

Modular Zeros



Michael Ratz

8/19/2026



FLASY 2026

Based on collaborations with:

Mu-Chun Chen, Xueqi Li, Xiang-Gan Liu, Harold Matias, Omar Medina,
Hans-Peter Nilles, Shaheed Perez & Alex Stewart

Outline

- 1 Outline
- 2 Zeros in Model Building
- 3 Modular Flavor Symmetries
- 4 Modular Zeros
- 5 Summary & outlook

Motivation

Zeros in Model Building

- Zeros: Forbidden Couplings
- Wanted: Zeros defying Traditional Picture

Zeros: Forbidden Couplings

- ☞ in (flavor) model building we want/need to forbid couplings, e.g.
- coefficient of $U^c D^c D^c$ in supersymmetric theories

up quark
superfield

down quark
superfield

Zeros: Forbidden Couplings

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- texture zero: $M = \begin{pmatrix} \bullet & \bullet & \bullet \\ 0 & \bullet & \bullet \\ 0 & 0 & \bullet \end{pmatrix}$

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✎ traditional way to achieve these “zeros”: symmetries that forbid the corresponding term(s)

Zeros: Forbidden Couplings

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👉 traditional way to achieve these “zeros”: symmetries that forbid the corresponding term(s)

👉 in supersymmetric theories: holomorphic zeros

[▶ details](#)

Wanted: Zeros defying Traditional Picture

👉 Weinberg's mass matrix for u and c quarks

[Weinberg \(1977\)](#)

$$\mathcal{M}_{\text{Weinberg}} = \begin{pmatrix} 0 & \delta \\ \delta & \mu \end{pmatrix}$$

with $\delta \ll \mu$ cannot be a consequence of “normal” symmetries

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🔗 Font, Ibáñez, Nilles & Quevedo (1988)

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this talk:

“modular zeros” can explain these features

Modular Flavor Symmetries

- Modular Flavor Symmetries
- Modular Flavor Symmetries were hiding in plain Sight
- Interlude: Fermat's Last Theorem

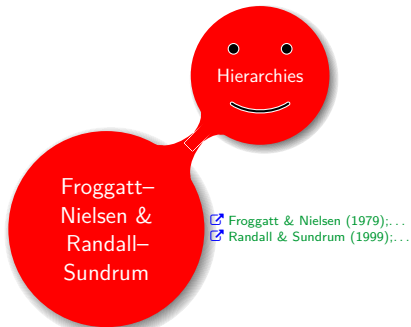
Flavor symmetries



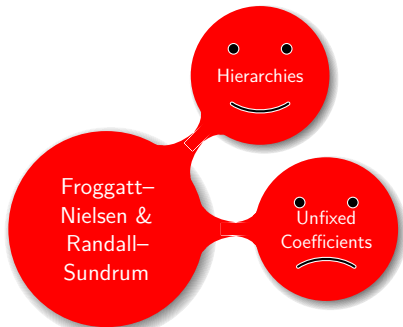
Froggatt–
Nielsen &
Randall–
Sundrum

- ☐ Froggatt & Nielsen (1979);...
- ☐ Randall & Sundrum (1999);...

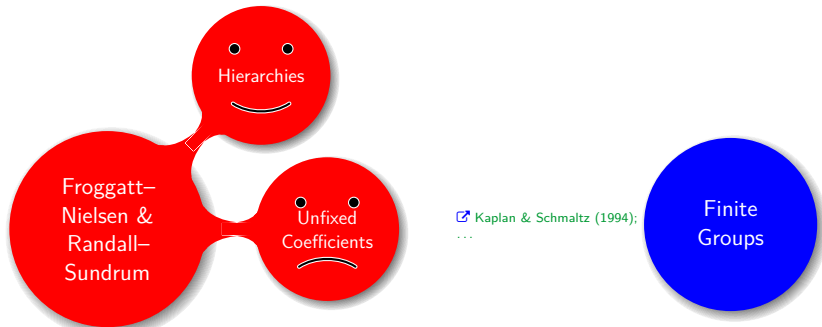
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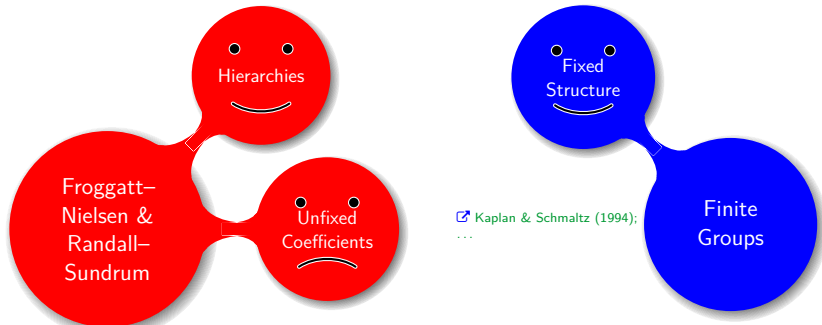
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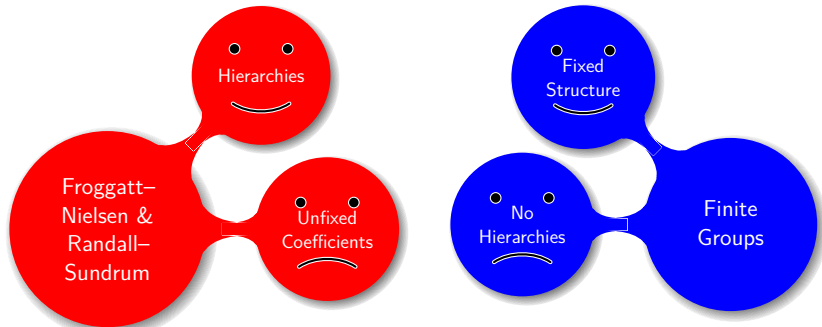
Flavor symmetries



Flavor symmetries



Flavor symmetries



Flavor symmetries

Modular Flavor Symmetries

for reviews see e.g. [Feruglio \(2019\)](#);... (many)...
talks by [Mu-Chun Chen](#), [Takafumi Kai](#),
[Takaaki Nomura](#) & [Matteo Parriatiu](#)

for reviews see e.g. [Feruglio & Romanino \(2021\)](#); [Almumin, Chen, Cheng, Knapp-Perez, Li, Mondol, Ramos-Sánchez, MR & Shukla \(2023\)](#); [Kobayashi & Tanimoto \(2023\)](#); [Ding & King \(2024\)](#); [Ding & Valle \(2025\)](#); [Feruglio & Ramos-Sánchez \(2025\)](#)

Hierarchies

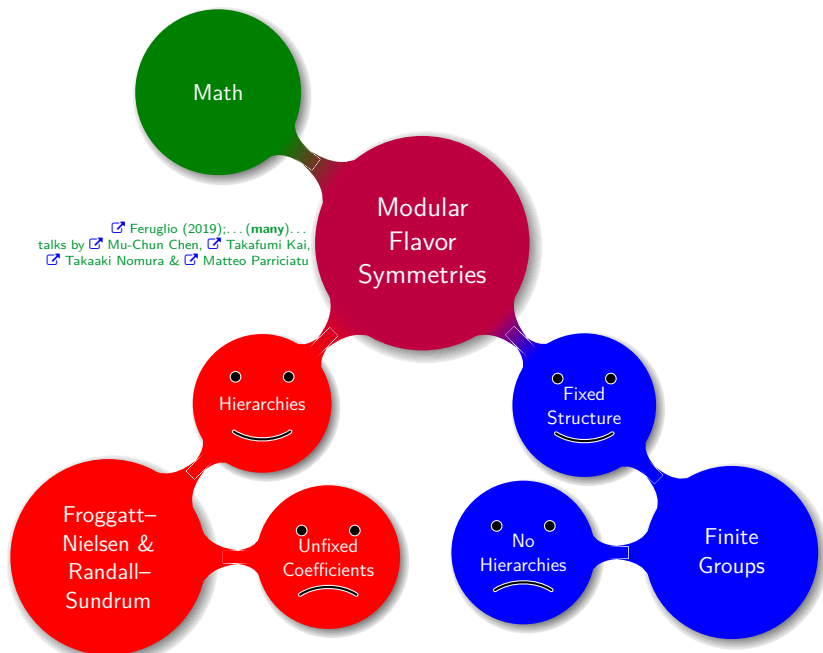
Fixed
Structure

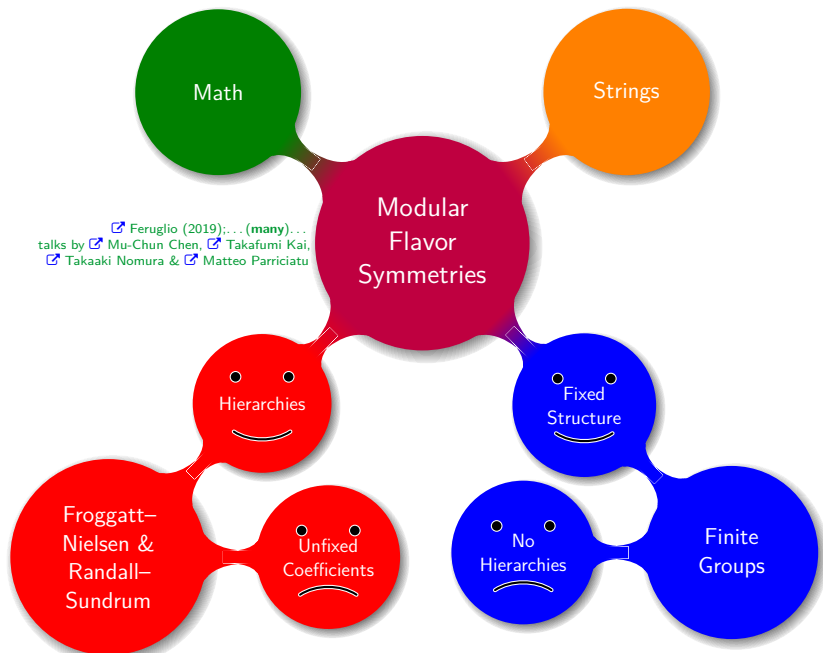
Froggatt-
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Unfixed
Coefficients

No
Hierarchies

Finite
Groups





Modular Flavor Symmetries were hiding in plain Sight

 world-sheet instantons & flavor

 [Dine, Seiberg, Wen & Witten \(1986\)](#)

“We cannot resist speculating on possible implications of these results for super-string phenomenology. A number of possibilities come to mind. The fact that some Yukawa couplings vanish to all orders of sigma model perturbation theory but may be generated by instantons suggests a possible origin for fermion mass hierarchies. Of course, for such a possibility to be realized, it will be necessary for $e^{-\tau}$ to be at least moderately small.”

Modular Flavor Symmetries were hiding in plain Sight

📖 world-sheet instantons & flavor

🔗 Dine, Seiberg, Wen & Witten (1986)

📖 (Yukawa) couplings *are* modular forms in heterotic orbifolds

🔗 Quevedo (1996)

This is nothing but one of the $SL(2, \mathbf{Z})_{T,U}$ transformation for toroidal orbifold compactifications ($a = b = d = 1, c = 0$ in eq. (10)). Therefore the only conditions these symmetries impose on W is that it should transform as a modular form of a given weight ($W \rightarrow (cT + d)^{-3} W$ for the simplest toroidal orbifolds with T the overall size of the compactification space)[36]. In fact, explicit calculations for specific orbifold models show that

$$W_{tree}(T, Q^I) = \chi_{IJK}(T) Q^I Q^J Q^K + \dots \quad (19)$$

with $\chi(T)$ a particular modular form of $SL(2, \mathbf{Z})$ or any other duality group and the ellipsis represent higher powers of Q , exponentially suppressed. The identification of $\chi(T)$ with modular forms was a highly nontrivial check of the explicit orbifold calculations which were performed in refs. [37] without any relation (nor knowledge) of the underlying duality symmetry $SL(2, \mathbf{Z})$. This kind of symmetry puts also strong constraints to the higher order, nonrenormalizable, corrections to W , since each matter field Q transforms in a particular way under that symmetry ($Q \rightarrow (cT + d)^n Q$ with n the modular weight of Q). There are also other discrete symmetries, as those defined by the point group $\mathcal{P}$ and space group $\mathcal{S}$ of an orbifold which have to be respected by the superpotential W . These ‘selection rules’ are very important to find vanishing couplings and uncover flat directions which can be used to break the original gauge symmetries and construct quasi-realistic models.

Modular Flavor Symmetries were hiding in plain Sight

- 👉 world-sheet instantons & flavor [Dine, Seiberg, Wen & Witten \(1986\)](#)
 - 👉 (Yukawa) couplings *are* modular forms in heterotic orbifolds [Quevedo \(1996\)](#)
 - 👉 a nontrivial modular flavor symmetry (T') has been discovered early on
[Ferrara, Lüst, Shapere & Theisen \(1989\)](#); [Chun, Mas, Lauer & Nilles \(1989\)](#);...
 - 👉 vector-valued modular form couplings were obtained long ago using orbifold CFT
[Chun, Mas, Lauer & Nilles \(1989\)](#); [Lauer, Mas & Nilles \(1991\)](#);...
 - 👉 world-sheet instantons are leading-order terms in q -expansion of vector-valued modular forms (VVMFs)
- 

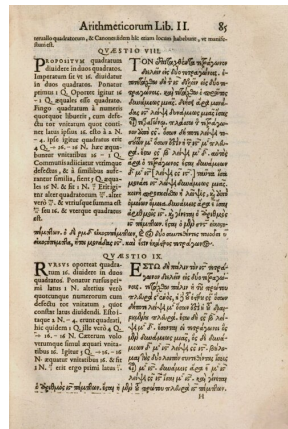
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- 👉 world-sheet instantons are leading-order terms in q -expansion of vector-valued modular forms (VVMFs)
- 👉 full picture requires an analysis of massive (and not so massive 😊) winding and KK modes [Li, Liu, Nilles, MR & Stewart \(2025\)](#) [details](#)
- 👉 modular symmetries are R-symmetries [Dixon, Kaplunovsky & Louis \(1990\)](#);... [details](#)
- ➡ important implications for solutions to the strong $\mathcal{CP}$ problem [Liu, MR & Stewart \(2026\)](#); [Chen, Li, Liu, Matias, MR & Stewart \(2026\)](#) [details](#)

Interlude: Fermat's Last Theorem



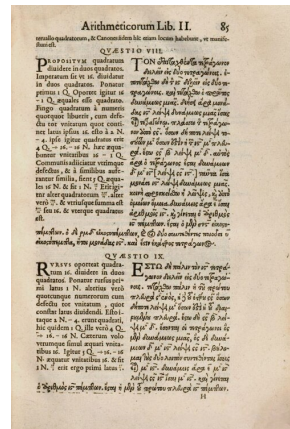
en.wikipedia.org/wiki/Pierre_de_Fermat



en.wikipedia.org/wiki/Fermat%27s_Last_Theorem

Interlude: Fermat's Last Theorem

what does Fermat's Last Theorem say?

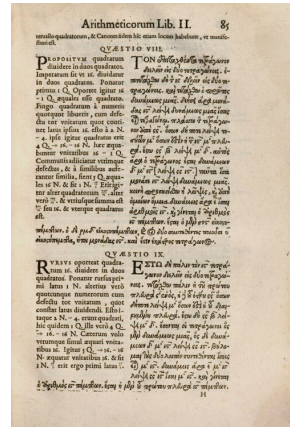


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Interlude: Fermat's Last Theorem

what does Fermat's Last Theorem say?
 $a^n + b^n = c^n$ has no integer solutions
 for $n > 2$

Fermat (1637)



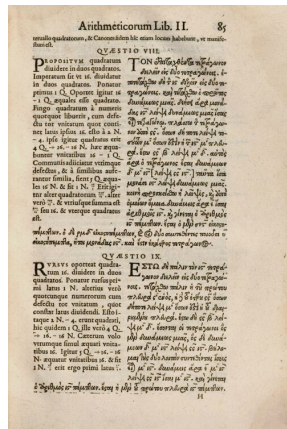
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Fermat (1637)

👉 how was this theorem proven?



Interlude: Fermat's Last Theorem

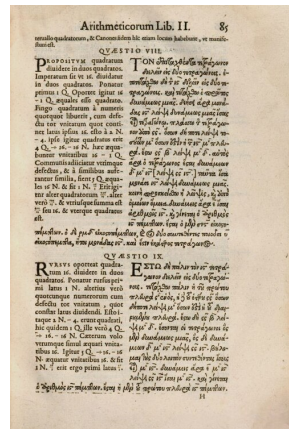
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- if there were solutions for $n > 2$
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en.wikipedia.org/wiki/Fermat%27s_Last_Theorem

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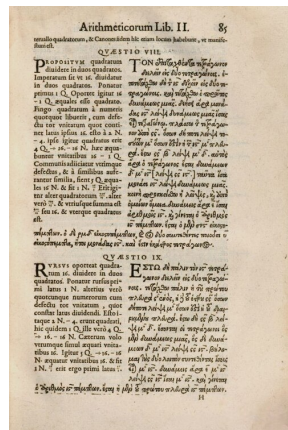
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- Taniyama–Shimura conjecture: these “weird” modular forms cannot exist

proved by Wiles (1994)



cf. public talk by Karl Rubin at <https://www.math.uci.edu/~krubin/lectures/psbreakfast.pdf>



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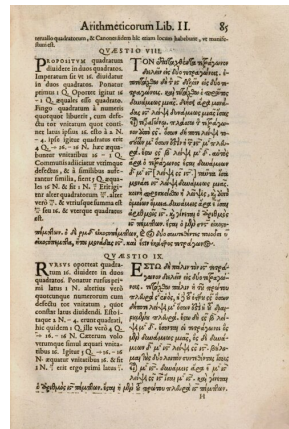
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this talk:

use gaps in modular forms to explain “mysterious” zeros in physics



en.wikipedia.org/wiki/Fermat%27s_Last_Theorem

Modular Zeros

 [Liu & MR \(2025\)](#)

- Gaps in Modular Forms
- Modular Spin
- A nontrivial modular Zero
- Stringy Zeros
- Weinberg's Mass Texture

Gaps in Modular Forms for $\Gamma'_3 \cong T'$

$$T' = \langle S, T \mid S^4 = (ST)^3 = T^3 = S^2TS^{-2}T^{-1} = \mathbb{1} \rangle$$

irreps s : 3-plet **3**, 3 2-plets **2**, **2'** & **2''**, 3 1-plets **1**, **1'** & **1''**

k_Y	VVMFs $\mathcal{Y}_s^{(k_Y)}$
1	$\mathcal{Y}_{2''}^{(1)}$
2	$\mathcal{Y}_3^{(2)}$
3	$\mathcal{Y}_{2'}^{(3)}, \mathcal{Y}_{2''}^{(3)}$
4	$\mathcal{Y}_1^{(4)}, \mathcal{Y}_{1'}^{(4)}, \mathcal{Y}_3^{(4)}$
5	$\mathcal{Y}_2^{(5)}, \mathcal{Y}_{2'}^{(5)}, \mathcal{Y}_{2''}^{(5)}$
6	$\mathcal{Y}_1^{(6)}, \mathcal{Y}_{3I}^{(6)}, \mathcal{Y}_{3II}^{(6)}$
$\vdots$	$\vdots$

$k \backslash s$	1	1'	1''	2	2'	2''	3
0	✓	∅	∅	∅	∅	∅	∅
1	∅	∅	∅	∅	∅	✓	∅
2	∅	∅	∅	∅	∅	∅	✓
3	∅	∅	∅	∅	✓	✓	∅
4	✓	✓	∅	∅	∅	∅	✓
5	∅	∅	∅	✓	✓	✓	∅
6	✓	∅	∅	∅	∅	∅	✓
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

evidently VVMFs do not exist for all combinations of k_Y and s

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2	∅	∅	∅	∅	∅	∅	✓
3	∅	∅	∅	∅	✓	✓	∅
4	✓	✓	∅	∅	∅	∅	✓
5	∅	∅	∅	✓	✓	✓	∅
6	✓	∅	∅	∅	∅	∅	✓
$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$	$\vdots$

gaps = modular zeros

evidently VVMFs do not exist for all combinations of k_Y and s
 ... note: these gaps were implicit in many models

Modular Spin

☞ central element of the modular group: $S^2 = -\mathbb{1}$

$$\mathcal{Y}_s^{(ky)}(\varrho) \xrightarrow{S^2} \mathcal{Y}_s^{(ky)}(\varrho) = (-1)^{ky} \rho_s(S^2) \mathcal{Y}_s^{(ky)}(\varrho)$$

Modular Spin

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🔗 Liu & Ding (2022)

- 👉 weight- k VVMFs from
contractions of k weight-1
“fundamental” doublets $\mathcal{Y}_{2''}^{(1)}$

$$\mathcal{Y}_s^{(k)} = \underbrace{\left(\mathcal{Y}_{2''}^{(1)} \times \cdots \times \mathcal{Y}_{2''}^{(1)} \right)}_{k \text{ times}}_s$$

contraction
to irrep s

$\begin{smallmatrix} s \\ k \end{smallmatrix}$	1	1'	1''	2	2'	2''	3
0	✓	∅	∅	∅	∅	∅	∅
1	∅	∅	∅	∅	∅	✓	∅
2	∅	∅	∅	∅	∅	∅	✓
3	∅	∅	∅	∅	✓	✓	∅
4	✓	✓	∅	∅	∅	∅	✓
5	∅	∅	∅	✓	✓	✓	∅
6	✓	∅	∅	∅	∅	∅	✓
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

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☞ Liu & Ding (2022)

- ☞ weight- k VVMFs from contractions of k weight-1 “fundamental” doublets $\mathcal{Y}_{2''}^{(1)}$

$$\mathcal{Y}_s^{(k)} = \underbrace{\left(\mathcal{Y}_{2''}^{(1)} \times \cdots \times \mathcal{Y}_{2''}^{(1)} \right)}_{k \text{ times}}_s$$

- ➡ SU(2)-like pattern

$$\dim s = \begin{cases} \text{even} & \text{if } k \text{ is odd} \\ \text{odd} & \text{if } k \text{ is even} \end{cases}$$

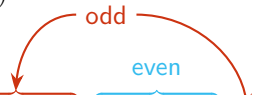


Diagram illustrating the mapping of odd and even k values to columns 1-3 and 2-3 respectively.

$s \backslash k$	odd			even			
	1	1'	1''	2	2'	2''	3
0	✓	∅	∅	∅	∅	∅	∅
1	∅	∅	∅	∅	∅	✓	∅
2	∅	∅	∅	∅	∅	∅	✓
3	∅	∅	∅	∅	✓	✓	∅
4	✓	✓	∅	∅	∅	∅	✓
5	∅	∅	∅	✓	✓	✓	∅
6	✓	∅	∅	∅	∅	∅	✓
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

A nontrivial modular Zero

- ✎ $\Gamma'_3 \cong T'$ w/ Higgs doublets H_u & H_d carrying modular weight $k_H = -5/2$
- ✎ scenario A: Higgs doublets in the representation $1'$
- ➡ μ term: $\mathcal{W}_\mu = \lambda \left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_1 H_u H_d$

constant

$$\mathcal{Y}_3^{(2)} := \begin{pmatrix} \mathcal{Y}_{3,1}^{(2)} \\ \mathcal{Y}_{3,2}^{(2)} \\ \mathcal{Y}_{3,3}^{(2)} \end{pmatrix} = \begin{pmatrix} -\sqrt{2} [\mathcal{Y}_{2'',1}^{(1)}]^2 \\ 2 \mathcal{Y}_{2'',1}^{(1)} \mathcal{Y}_{2'',2}^{(1)} \\ \sqrt{2} [\mathcal{Y}_{2'',2}^{(1)}]^2 \end{pmatrix}$$

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- ✎ scenario B: Higgs doublets in the representation $1''$
- ➡ μ term: $\mathcal{W}_\mu = \lambda \left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1''} H_u H_d$

A nontrivial modular Zero

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- ✎ scenario A: Higgs doublets in the representation $1'$
- ➡ μ term: $\mathcal{W}_\mu = \lambda \left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1'} H_u H_d$
- ✎ scenario B: Higgs doublets in the representation $1''$
- ➡ μ term: $\mathcal{W}_\mu = \lambda \left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1''} H_u H_d = 0$
- ✎ $\left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1''}$ contraction vanishes

✎ Feruglio (2019); ✎ Chen, Li, Liu, Medina & MR (2024)
talk by Mu-Chun Chen

$s \backslash k$	1	1'	1''	2	2'	2''	3
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮
4	✓	✓	∅	∅	∅	∅	✓
⋮	⋮	⋮	⋮	⋮	⋮	⋮	⋮

A nontrivial modular Zero

- 👉 $\Gamma'_3 \cong T'$ w/ Higgs doublets H_u & H_d carrying modular weight $k_H = -5/2$
- 👉 scenario A: Higgs doublets in the representation $1'$ on same footing in T'
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$s \backslash k$	1	1'	1''	2	2'	2''	3
4	✓	✓	∅	∅	∅	∅	✓

“sporadic”

structural

- 👉 even though from a T' point of view $1'$ and $1''$ are on the same footing the VVMFs distinguish them maximally: one $1''$ has a “gap” or “sporadic modular zero” at weight 4

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- ➡ μ term: $\mathcal{W}_\mu = \lambda \left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1''} H_u H_d = 0$
- 👉 $\left(\mathcal{Y}_3^{(2)} \mathcal{Y}_3^{(2)} \right)_{1''}$ contraction vanishes

🔗 Feruglio (2019); 🔗 Chen, Li, Liu, Medina & MR (2024)
talk by Mu-Chun Chen

$s \backslash k$	1	1'	1''	2	2'	2''	3
4	✓	✓	∅	∅	∅	∅	✓

“sporadic”

- 👉 even though from a T' point of view $1'$ and $1''$ are on the same footing the VVMFs distinguish them maximally: one $1''$ has a “gap” or “sporadic modular zero” at weight 4

nontrivial (or “sporadic”) modular zeros:

couplings are prohibited without an obvious reason

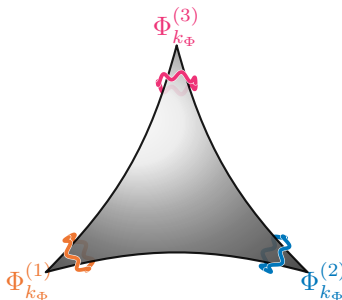
Stringy Zeros

- mysterious string selection rule in orbifolds that does not correspond to known symmetry

Font, Ibáñez, Nilles & Quevedo (1988)

Rule 4: Couplings $(\Phi_{k_\Phi}^{(i)})^{3n}$ of twisted fields $\Phi_{k_\Phi}^{(i)}$ at the same fixed point are only allowed if the sum of the number of derivatives Σ equals $0 \bmod 6$.

orbifold looks like “Napjak Mandu” w/ twisted strings sitting at the corners



AI generated

Stringy Zeros

Rule 4: Couplings $(\Phi_{k_\Phi}^{(i)})^{3n}$ of twisted fields $\Phi_{k_\Phi}^{(i)}$ at the same fixed point are only allowed if the sum of the number of derivatives Σ equals $0 \bmod 6$.

📖 explicit expression ($N = 3n$ w/ $n \in \mathbb{N}$)

$$\Sigma = \begin{cases} N - 3 & \text{for couplings of non-oscillator states w/ } k_\Phi = -2/3 \\ 2N - 3 & \text{for couplings of oscillator states w/ } k_\Phi = -5/3 \end{cases}$$

📖 to have $\mathcal{W} \supset \mathcal{Y}_{k_Y} (\Phi_{k_\Phi}^{(i)})^{3n}$

$$k_Y = \begin{cases} 2n - 1 & \text{for couplings of non-oscillator states w/ } k_\Phi = -2/3 \\ 5n - 1 & \text{for couplings of oscillator states w/ } k_\Phi = -5/3 \end{cases}$$

➡ “Modular Spin” implies constraint $\rho_\Phi^{3n}(S^2) \rho_s(S^2) = (-1)^{3n} (-1)^{-k_Y} \stackrel{!}{=} 1$

Stringy Zeros

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Stringy Zeros (cont'd)

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👉 oscillator states: $-2n + 1$ is odd $\curvearrowright$ no couplings

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see also [Liu & MR \(2025\)](#)
[Knapp-Perez, Liu, Nilles & Ramos-Sanchez \(2026\)](#)

bottom-line:

Rule 4 can be explained via modular zeros

Weinberg's Mass Texture

👉 Weinberg's mass matrix for u and c quarks

[Weinberg \(1977\)](#)

$$\mathcal{M}_{\text{Weinberg}} = \begin{pmatrix} 0 & \delta \\ \delta & \mu \end{pmatrix}$$

with $\delta \ll \mu$ cannot be a consequence of “normal” symmetries

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- 👉 zero may be explained as holomorphic zero but not the hierarchy

- 👉 e.g. pseudo-anomalous $U(1)_{\text{anom}}$ & flavon ϕ with charges

$$\left. \begin{aligned} q_{Q_L} &= \begin{pmatrix} 1 \\ -2 \end{pmatrix} \\ q_{\bar{d}_R} &= \begin{pmatrix} 1 \\ -2 \end{pmatrix} \\ q_\phi &= 1 \end{aligned} \right\} \curvearrowright M_{\text{holomorphic zero}} \sim \begin{pmatrix} 0 & \varepsilon \\ \varepsilon & \varepsilon^4 \end{pmatrix}$$

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- 👉 zero can also emerge from non-invertible symmetries

talks by Junichiro Kawamura, Tatsuo Kobayashi, Hiroshi Okada & Morimitsu Tanimoto

Weinberg's Mass Texture with a Modular Zero

- ✎ assign both the quark doublet & d -type quarks the representations $(\mathbf{1}'', \mathbf{1})$ under a modular T' & weight $-5/2$

Weinberg's Mass Texture with a Modular Zero

- assign both the quark doublet & d -type quarks the representations $(\mathbf{1}'', \mathbf{1})$ under a modular T' & modular zero

- Weinberg's mass texture can be reproduced as

[Liu & MR \(2025\)](#)

$$M_{\text{modular zero}} = \begin{pmatrix} 0 & y_{1'}^{(4)} \\ y_{1'}^{(4)} & y_1^{(4)} \end{pmatrix}$$

- mass hierarchies

$$M_{\text{modular zero}} \xrightarrow{\text{Im } \varrho > 1} \begin{pmatrix} 0 & \varepsilon \\ \varepsilon & 1 \end{pmatrix}$$

$$\varepsilon := e^{2\pi i \varrho/3}$$

Weinberg's Mass Texture with a Modular Zero

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- modular mass matrix can naturally explain the Cabibbo angle & the mass hierarchy of the lighter two generations, $\vartheta_C \approx \sqrt{m_d/m_s} = \varepsilon \simeq 0.2$

Summary

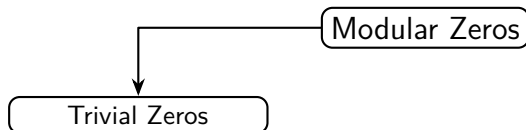
Summary

&

Outlook

Outlook

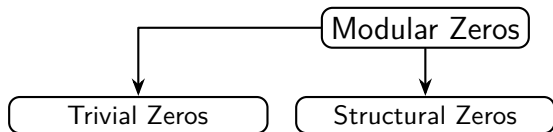
Summary



e.g. $k \leq 0$

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Summary



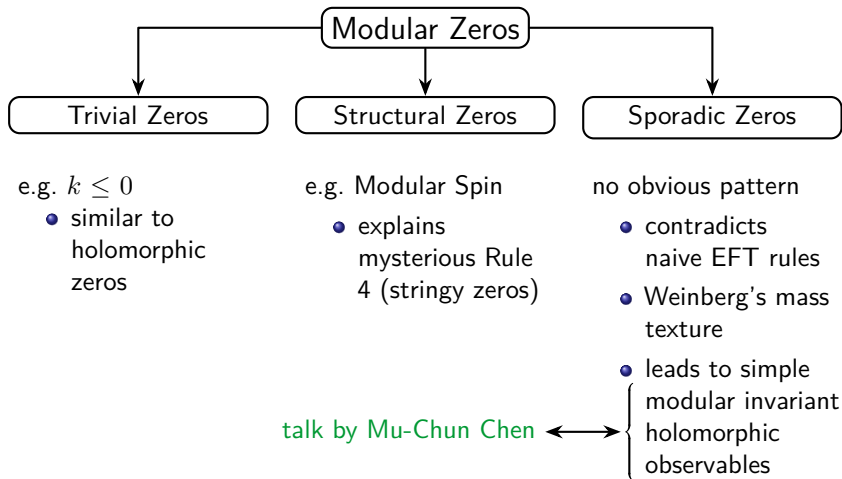
e.g. $k \leq 0$

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e.g. Modular Spin

- explains mysterious Rule 4 (stringy zeros)

Summary



Outlook



- We have only started to use the analytic properties of modular forms to address the flavor puzzle
 - modular invariant holomorphic observables
 - (almost) immune to quantum corrections } talk by Mu-Chun Chen

- Modular Zeros may be also available in non-holomorphic scenarios



정말
정말 감사합니다

THANK YOU VERY MUCH

Holomorphic Zeros

[Binetruy & Ramond \(1995\)](#); [Binétruy, Lavignac & Ramond \(1996\)](#)

☞ string models often have a pseudo-anomalous $U(1)_{\text{anom}}$

[Fischler, Nilles, Polchinski, Raby & Susskind \(1981\)](#)

☞ $U(1)_{\text{anom}}$ symmetry leads to 1-loop Fayet–Iliopoulos (FI) term

$$\mathcal{V}_D \supset \frac{1}{2} \left(g_{\text{anom}} \sum_i q_{\text{anom}}^{(i)} |\Phi^{(i)}|^2 - \xi_{\text{FI}} \right)^2$$

[Leurer, Nir & Seiberg \(1993\)](#); [Leurer, Nir & Seiberg \(1994\)](#)

☞ holomorphy & sign of the charge of the field(s) that cancel the FI term lead to restrictions on the couplings, known as “holomorphic zeros”

☞ if only fields with positive $U(1)_{\text{anom}}$ charges get VEVs, superpotential term with net positive $U(1)_{\text{anom}}$ charge are prohibited at the perturbative level

Holomorphic Zeros (cont'd)

👉 consider monomial of superfields

$$\mathcal{M} = \prod_i \Phi_i^{n_i}$$

👉 two cases:

- ① $q_{\text{anom}}(\mathcal{M}) \leq 0$: we can find an $n_+ \geq 0$ such that a superpotential term $\mathcal{M} \cdot \Phi_+^{n_+}$ is $U(1)_{\text{anom}}$ invariant
- ② $q_{\text{anom}}(\mathcal{M}) > 0$: no such $n_+ \curvearrowright$ corresponding superpotential term is prohibited

🔗 [Araki et al. \(2008\)](#)

👉 holomorphic zeros are not expected to be exact

$$\mathcal{W} \supset B e^{-bS} \mathcal{M}$$

dilaton

▶ back to intro

▶ back to modular zeros

Modular Symmetries are R-Symmetries

- combining supersymmetry with gravity yields a unique framework: supergravity
- Kähler potential of modulus τ is not modular invariant

$$K = -\ln(-i\tau + i\bar{\tau}) \xrightarrow{\gamma} -\ln\left(\frac{-i\tau + i\bar{\tau}}{|c\tau + d|^2}\right)$$

$$= -\ln(-i\tau + i\bar{\tau}) + \ln|c\tau + d|^2$$

$$\tau \xrightarrow{\gamma} \frac{a\tau + b}{c\tau + d}$$

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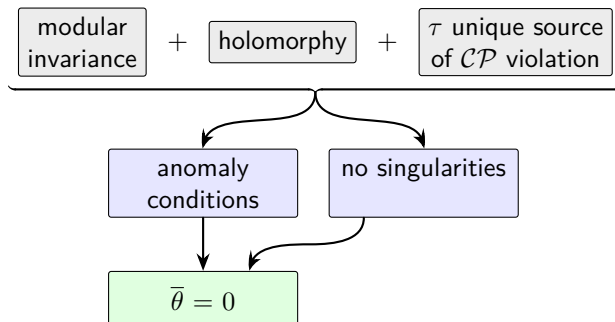
- (why) is this important?
one implication: duality anomaly coefficients are different

▶ back

Solutions to the Strong $\mathcal{CP}$ Problem

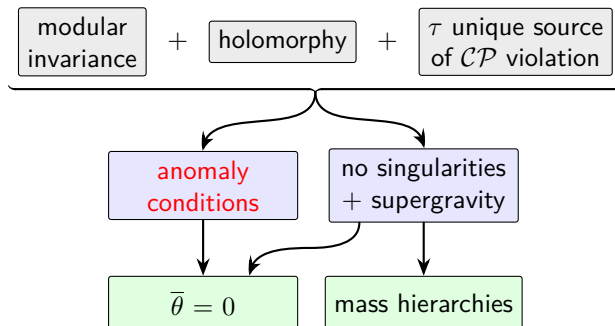
- modular invariance has been proposed as solution to the strong $\mathcal{CP}$ problem
 - [Feruglio, Strumia & Titov \(2023\)](#); [Feruglio, Parriciatu, Strumia & Titov \(2024\)](#); [Petcov & Tanimoto \(2024\)](#);
 - [Penedo & Petcov \(2024\)](#); [Feruglio, Marrone, Strumia & Titov \(2025\)](#);...
- solutions were based on established duality anomaly coefficients

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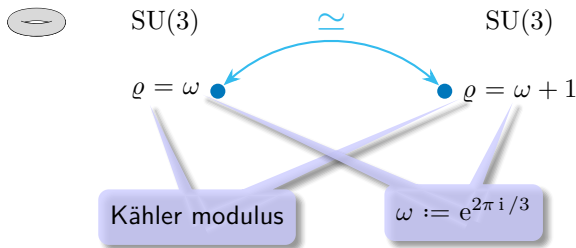
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- ... which are not entirely correct
 - [Liu, MR & Stewart \(2026\)](#);
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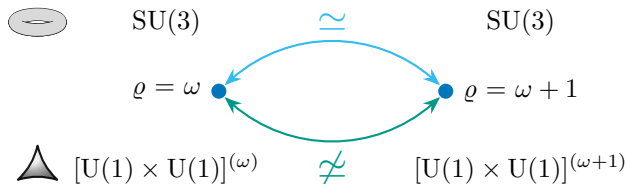
Modular Symmetries & Discrete Gauge Symmetries

- 👉 how to get modular flavor symmetries & VVMFs? [Li, Liu, Nilles, MR & Stewart \(2025\)](#)
- 👉 well known: compactifying heterotic string on $\mathfrak{su}(3)$ torus leads to $SU(3) \times SL(2, \mathbb{Z})$ at critical radius



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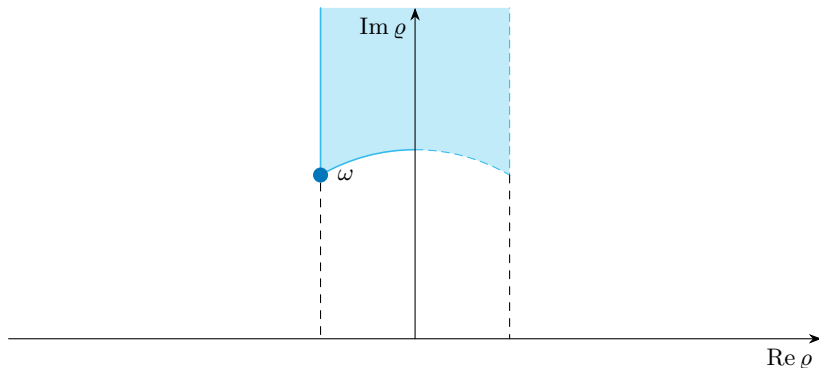


- 👉 orbifold: $U(1) \times U(1)$ symmetries at critical radius [Ibáñez, Lerche, Lüst & Theisen \(1991\)](#)
- 👉 certain $SL(2, \mathbb{Z})_{\varrho}$ transformations relate *physically different* $U(1) \times U(1)$ symmetries [Li, Liu, Nilles, MR & Stewart \(2025\)](#)

Enhanced Gauge Symmetries & Fundamental Domains

[▶ back](#)

fundamental domain of $SL(2, \mathbb{Z})$



Enhanced Gauge Symmetries & Fundamental Domains

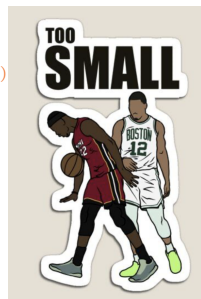
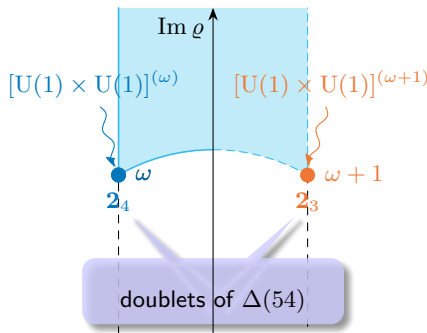
it is well known that there are $U(1) \times U(1)$ symmetries at ω and $\omega + 1$

▢ Ibáñez, Lerche, Lüst & Theisen (1991)

however, the $U(1)$ symmetries at ω and $\omega + 1$ are physically inequivalent

▢ Li, Liu, Nilles, MR & Stewart (2025)

fundamental domain of $SL(2, \mathbb{Z})$ is *too small*



<https://www.pinterest.com/>

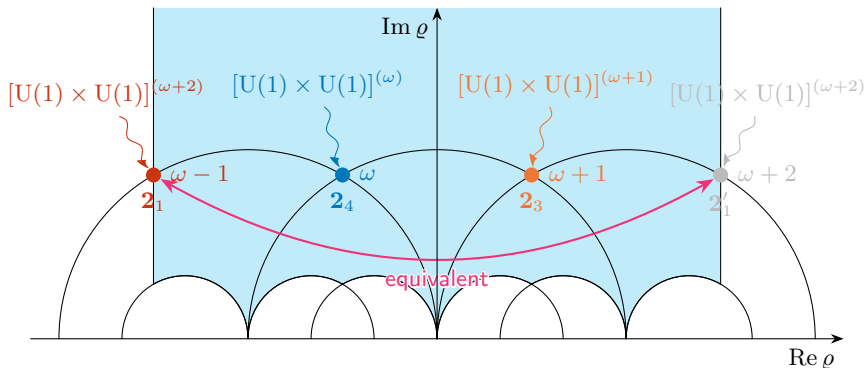
Enhanced Gauge Symmetries & Fundamental Domains

[▶ back](#)

$\omega + 3n$ and ω are physically equivalent

$\curvearrowright$ need fundamental domain of $\Gamma(3)$

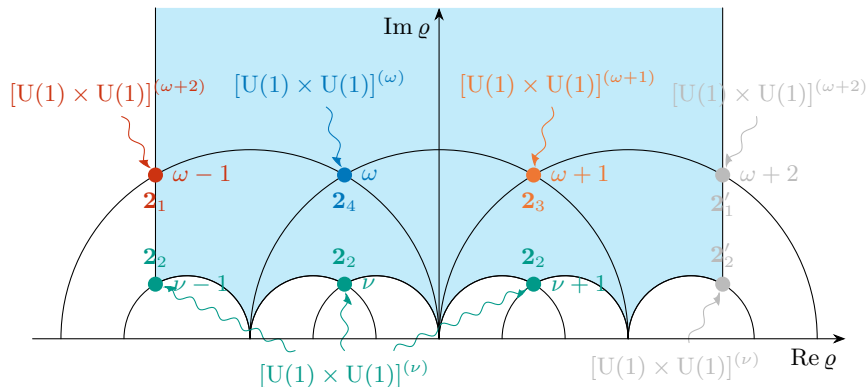
$$\Gamma(3) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{Z})_e; \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \bmod 3 \right\}$$



Enhanced Gauge Symmetries & Fundamental Domains

[▶ back](#)

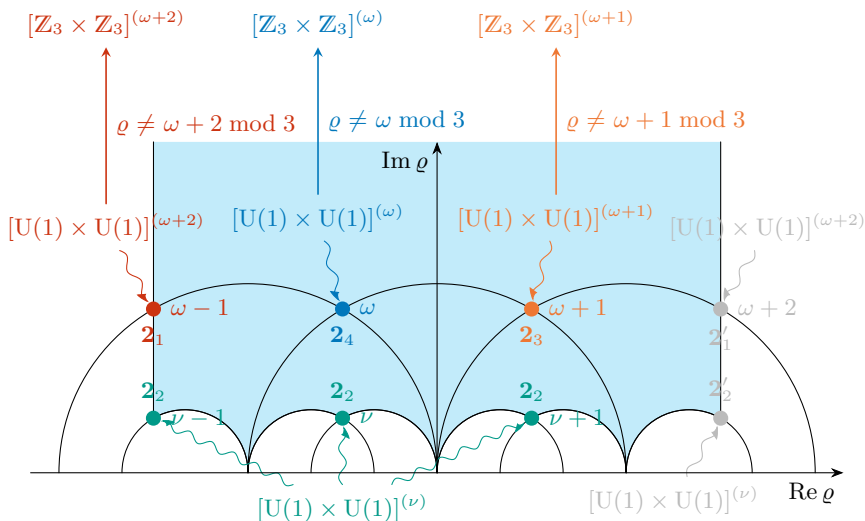
the critical points ν , $\nu + 1$ & $\nu + 2$ carry the 'same' $[U(1) \times U(1)]^{(\nu)}$ symmetries but can be shown to be physically distinct



Enhanced Gauge Symmetries & Fundamental Domains

[▶ back](#)

$$(\mathbb{Z}_3 \times \mathbb{Z}_3) \rtimes \mathbb{Z}_3 = \Delta(27) \subset \Delta(54)$$



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