

Can pre-inflationary axions form miniclusters?

2026. 08. 26.

Heejoo Kim

Work in progress, in collaboration with
Seung J. Lee, Dipan Sengupta, Giovanni Pierobon, Yeray Garcia, Yvonne Wong

Contents

- Motivation
- Temperature-sourced fluctuations
- High f_a scenario
- Self interaction resonance
- Low f_a scenario
- Conclusion

Axion dark matter substructure

Post-inflationary

PQ symmetry breaks after inflation.

$O(1)$ misalignment variations on horizon scales at PQ breaking.

String + Domain Wall source large $\delta\rho/\rho$

→ Miniclusters & Minivoids well-studied

Eggemeier et al. 2212.00560

Pierobon et al. 2311.17367

Pre-inflationary

PQ symmetry breaks before inflation.

θ_{init} uniform across the observable universe.

No strings or domain wall

→ Naïve expectation: smooth axion DM

But: adiabatic $\delta T/T$ from inflation is still there.

Does it source enough fluctuation to seed substructure?

Why this matters

Direct detection

Local DM density is set by substructure

Lensing & pulsar timing (?)

Pre-inflationary is the cleaner window

Single θ_{init} removes string/wall uncertainties

Predictions follow from inflation + QCD

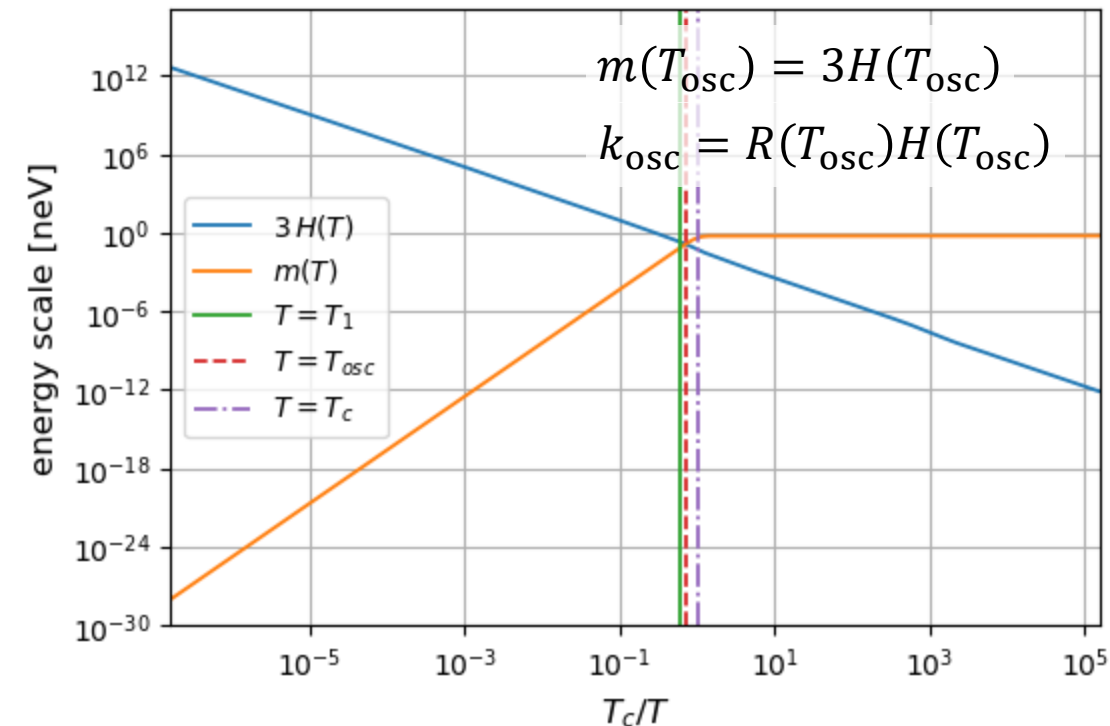
The QCD axion: field and mass

Real scalar $a(x) = f_a \theta(x)$, with cosine potential $V = f_a^2 m^2(T)(1 - \cos \theta)$

Temperature-dependent topological susceptibility $\chi(T)$ (Lattice QCD)

$$m^2(T) = \frac{\chi(T)}{f_a^2} = \frac{\chi_0/f_a^2}{1 + \left(\frac{T}{T_c}\right)^b}$$

$$\chi_0 = (75.5 \text{ MeV})^4, \quad T_c = 0.1565 \text{ GeV}, \quad b = 8.16$$



Linearised axion perturbation

Decompose $\theta(t, x) = \bar{\theta}(t) + \delta\theta(t, x)$, $T(t, x) = \bar{T}(t) + \delta T(t, x)$.

Linearise the cosine potential around the homogeneous mode.

Homogenous part

$$\frac{d^2 \bar{\theta}}{dt^2} + 3H \frac{d\bar{\theta}}{dt} + m^2(\bar{T}) \sin \bar{\theta} = 0$$

Fluctuation mode

$$\frac{\partial^2 \widehat{\delta\theta}}{\partial t^2} + 3H \frac{\partial \widehat{\delta\theta}}{\partial t} + \left(\frac{k^2}{R^2} + m^2(\bar{T}) \cos \bar{\theta} \right) \widehat{\delta\theta} = \hat{j}$$

Source term

$$\hat{j} = \underbrace{-\bar{T} \frac{dm^2}{dT}(\bar{T}) \frac{\delta \bar{T}}{\bar{T}} \sin \bar{\theta}}_{\text{Thermal drive}} - \underbrace{2m^2(\bar{T}) \widehat{\Psi} \sin \bar{\theta}}_{\text{Grav. drive}} + \underbrace{\frac{d\bar{\theta}}{dt} \frac{\partial(\widehat{\Psi} + 3\widehat{\Phi})}{\partial t}}_{\text{Kinetic}}$$

Thermal drive

Grav. drive

Kinetic

How does $\delta T/T$ excite $\delta\theta$

Source term	$\hat{J} = \underbrace{-\bar{T} \frac{dm^2}{dT}(\bar{T}) \frac{\widehat{\delta T}}{\bar{T}} \sin \bar{\theta}}_{\text{Thermal drive}} \underbrace{- 2m^2(\bar{T}) \widehat{\Psi} \sin \bar{\theta}}_{\text{Grav. drive}} + \underbrace{\frac{d\bar{\theta}}{dt} \frac{\partial(\widehat{\Psi} + 3\widehat{\Phi})}{\partial t}}_{\text{Kinetic}}$
--------------------	--

Temperature contrast

$$\frac{\widehat{\delta T}}{\bar{T}}$$

Sikivie & Wei Xue '22
Dominik & Ahmed '25

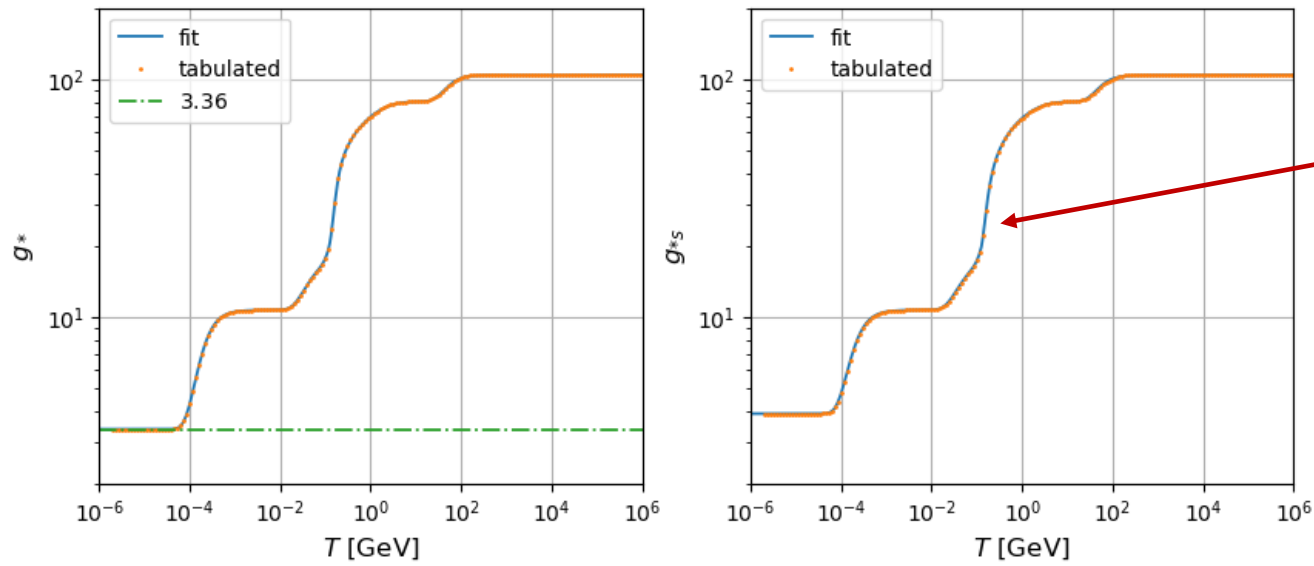
Metric potentials

Φ and Ψ

$$ds^2 = (1 + 2\Psi)dt^2 - R^2(1 - 2\Phi)d\vec{x}^2$$

Newtonian gauge

Inputs: $g_*(T)$, $g_{*s}(T)$, and curvature spectrum



$g_*(T)$ and $g_{*s}(T)$ from Saikawa & Shirai '18

Dynamical region of interest

We are looking at the edge
where the source is the largest

$$\bar{T} \frac{dm^2}{dT}(\bar{T})$$

Initial condition from inflation

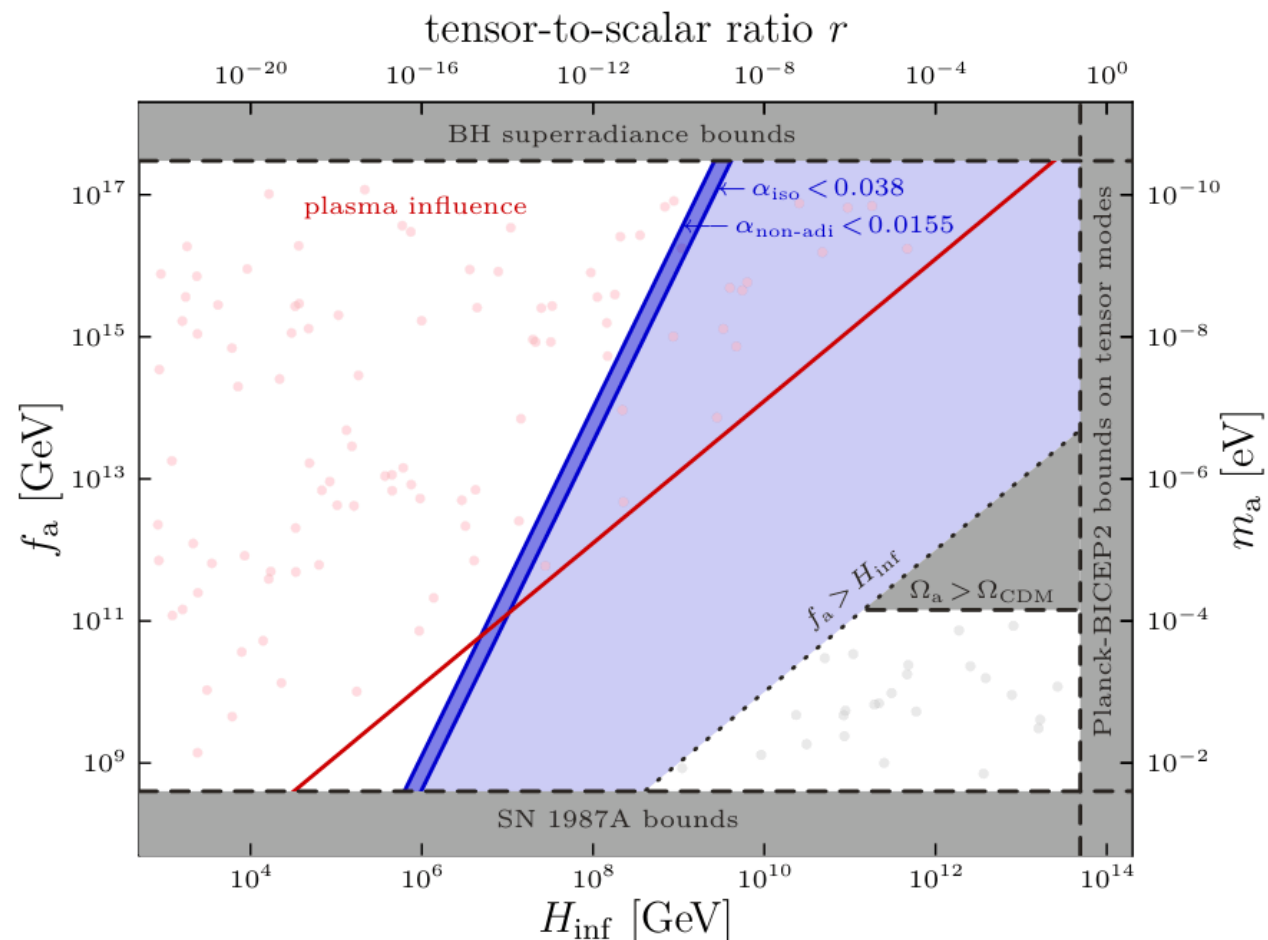
on super-horizon scales

$$\langle \hat{\Theta}(\vec{p})^* \hat{\Theta}(\vec{q}) \rangle = (2\pi)^3 \delta(\vec{p} - \vec{q}) \mathcal{P}_{\Theta}(p)$$

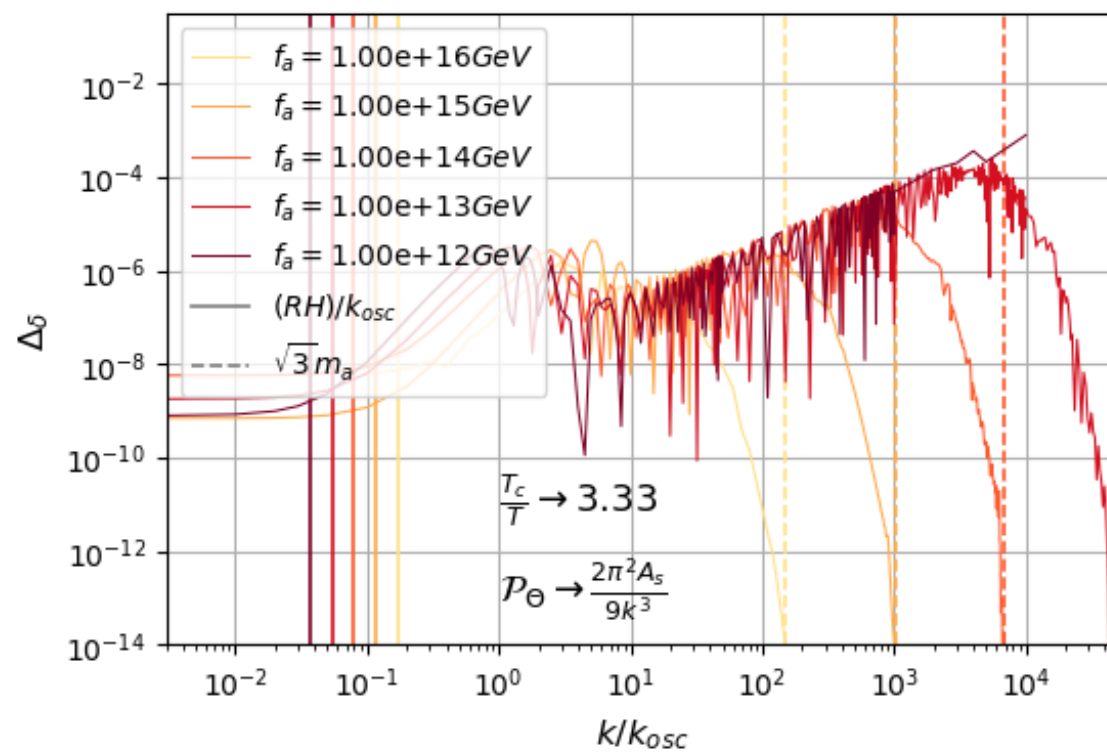
$$\mathcal{P}_{\Theta}(k) \rightarrow \frac{1}{9} \frac{2\pi^2}{k^3} A_s \quad A_s = 2.1 \times 10^{-9}$$

$$\hat{\Theta} = -\frac{1}{2} \hat{\Phi} = -\frac{1}{2} \hat{\Psi}$$

Density contrast power spectrum

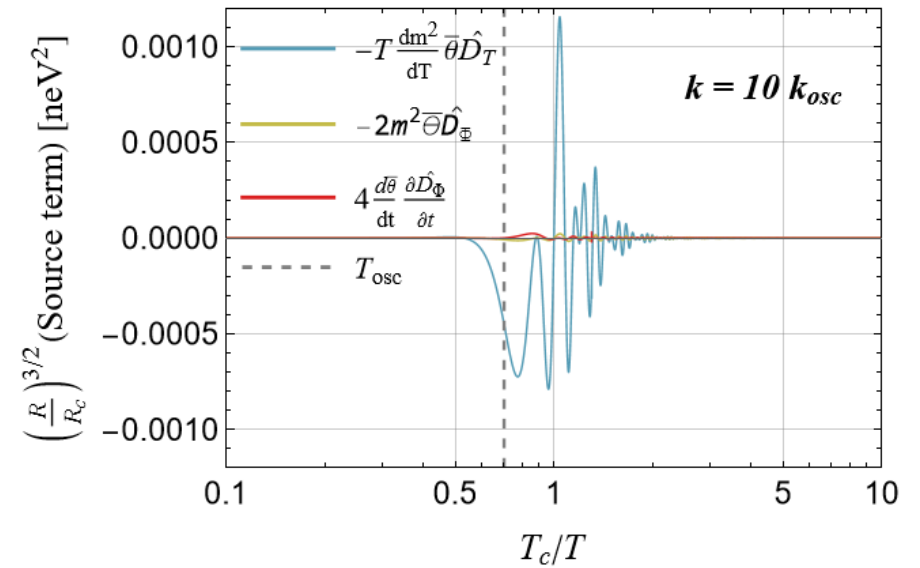
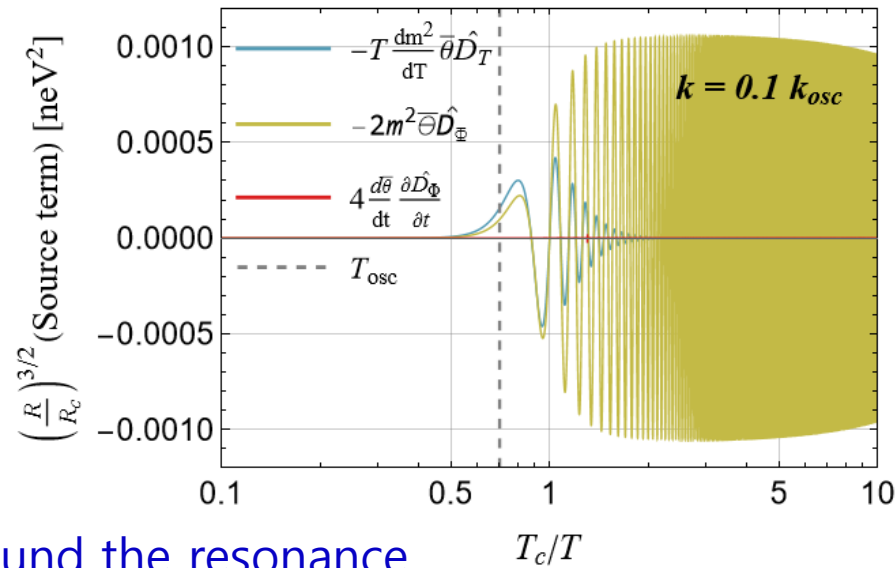


High f_a , no fine-tuning at hill-top



Density contrast power spectrum

Source terms for $\widehat{\delta\theta}$



Dominant around the resonance

$$\hat{J} = -\bar{T} \frac{dm^2}{dT}(\bar{T}) \frac{\widehat{\delta T}}{\bar{T}} \sin \bar{\theta} - 2m^2(\bar{T}) \widehat{\Psi} \sin \bar{\theta} + \frac{d\bar{\theta}}{dt} \frac{\partial(\widehat{\Psi} + 3\widehat{\Phi})}{\partial t}$$

Latest resonance

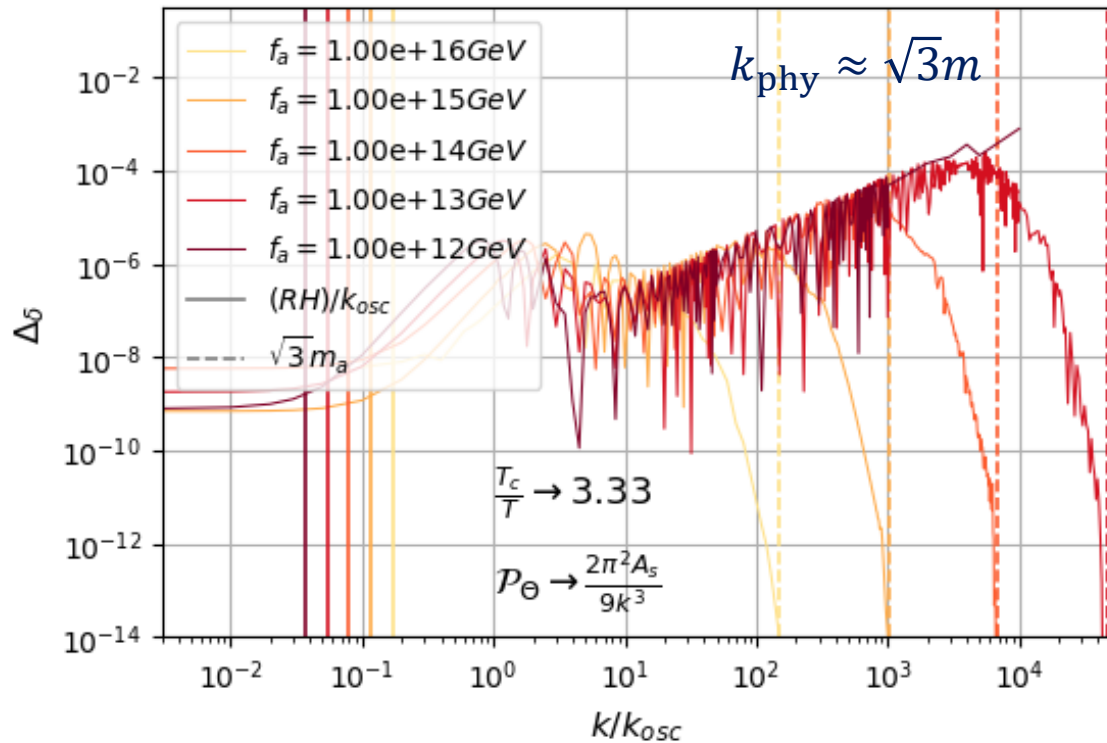
$$\widehat{\Theta} \sim \cos\left(\frac{k}{\sqrt{3}} \int^t \frac{dt'}{R(t')}\right) \sim \cos\left(\frac{k_{\text{phy}}}{\sqrt{3}} t + \delta'\right)$$

after horizon entry around QCD

$$\sqrt{m^2 + k_{\text{phy}}^2} \approx m + \frac{k_{\text{phy}}}{\sqrt{3}} \rightarrow k_{\text{phy}} \approx \sqrt{3}m$$

resonance condition

Density contrast power spectrum



Would this fluctuation grow to collapse?

Growth conditions

$\mathcal{O}(1)$ density contrast

$k < k_{QJ}$ (Quantum Jeans' scale)

Non-relativistic wave description

$$i\partial_t\psi + \frac{\nabla^2}{2mR^2}\psi - m\Phi\psi = 0$$

Two scales compete in the dispersion relation

Gradient pressure

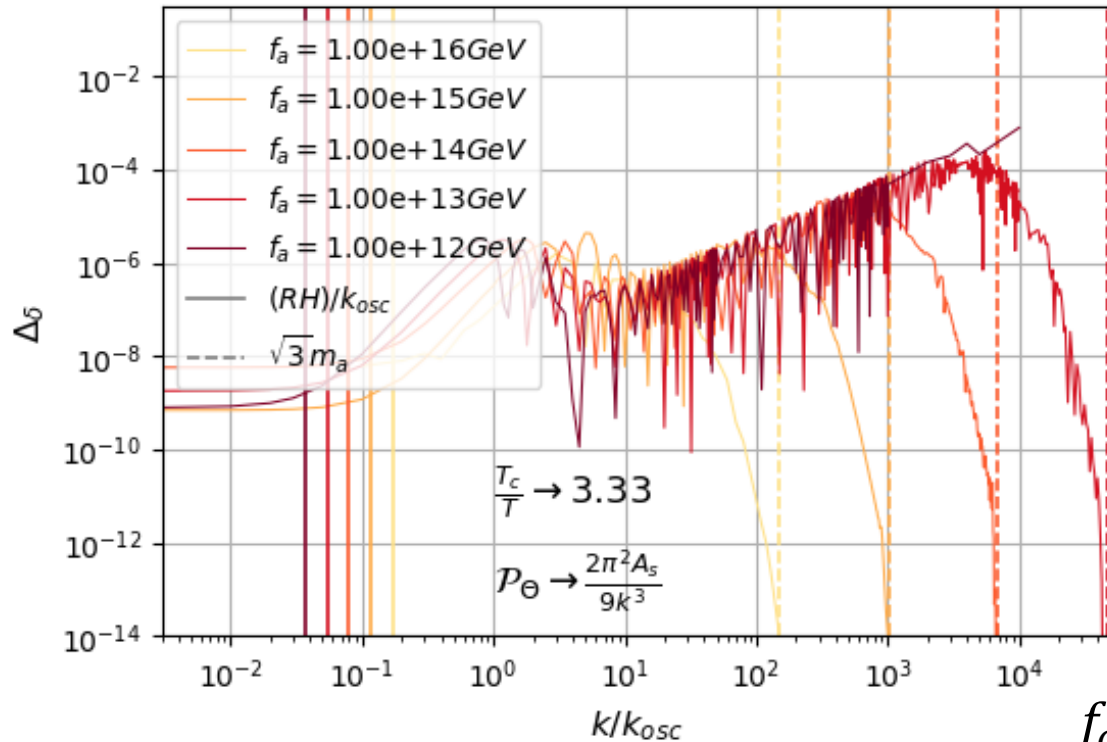
$$\omega_{\text{grad}} \sim \frac{k^2}{2mR^2}$$

self-gravity

$$\omega_{\text{grav}} \sim \sqrt{4\pi G \bar{\rho}}$$

$$\omega_{\text{grad}} = \omega_{\text{grav}} \rightarrow k_{QJ} = R(16\pi G \bar{\rho} m^2)^{1/4}$$

Density contrast power spectrum



In the scanned region, $\frac{k_{\text{peak}}}{R(T_c)} \approx m$.

Growth conditions

$\mathcal{O}(1)$ density contrast

$k < k_{QJ}$ (Quantum Jeans' scale)

$$k_{QJ} = R(16\pi G \bar{\rho} m^2)^{1/4}$$

$$\frac{k_{QJ}}{k_{\text{peak}}} \approx 0.15 \times \left(\frac{R_0/R}{3400}\right)^{-\frac{1}{4}} \left(\frac{m}{0.57 \text{ neV}}\right)^{-\frac{1}{2}} \left(\frac{\Omega_{DM} h^2}{0.12}\right)^{\frac{1}{4}}$$

$f_a \rightarrow 10^{16} \text{ GeV} \rightarrow m \approx 0.57 \text{ neV}$, smaller f_a only worsen.

→ Requires the redshift $z < 0.7$ for $k < k_{QJ}$.

For such large f_a , density should grow by $\times 10^3$.

→ Requires the redshift $z < 2.4$

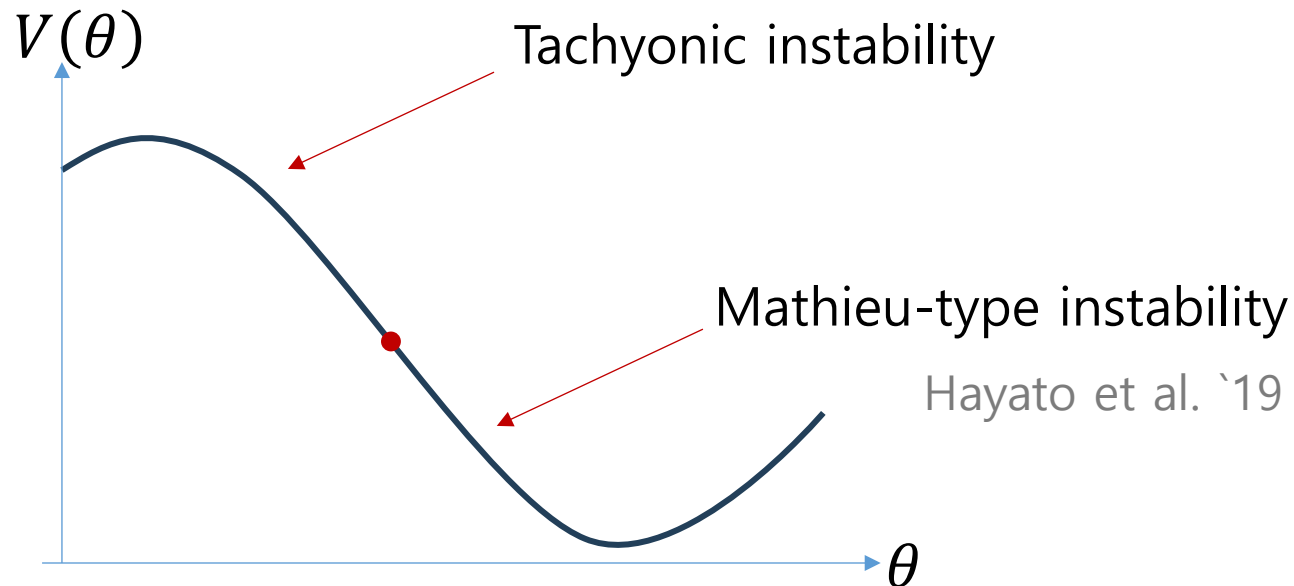
Smaller f_a scenario

For $f_a \leq 10^{11}$ GeV, $\bar{\theta}_{\text{init}}$ must be tuned to π . $\bar{\theta}_{\text{init}} \approx 0.65$ for $f_a \approx 10^{12}$ GeV

Oscillation is delayed, and Hubble drag becomes smaller at oscillation.

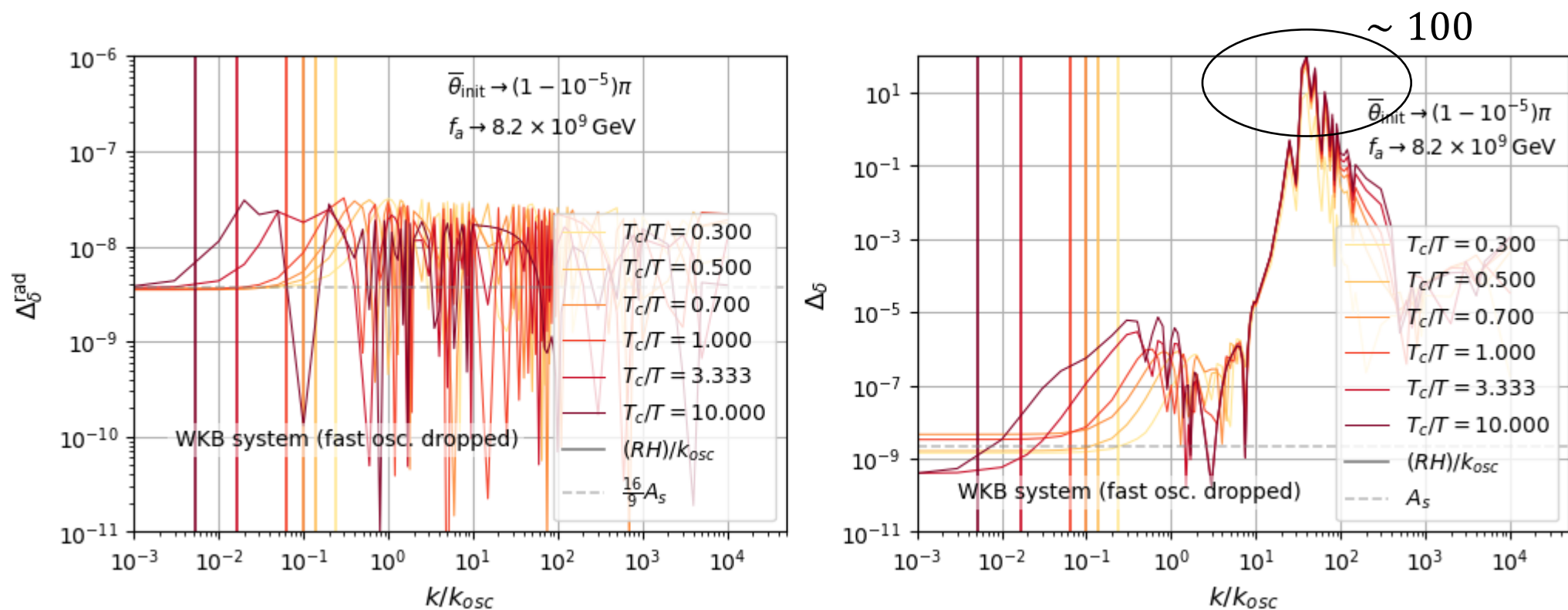
The anharmonicity of the potential becomes important even after the oscillation.

Naoya et al. '22



Hayato et al. '19

Density contrast power spectrum: $f_a = 8.2 \times 10^9 \text{ GeV}$ $\bar{\theta}_{\text{init}} = (1 - 10^{-5})\pi$



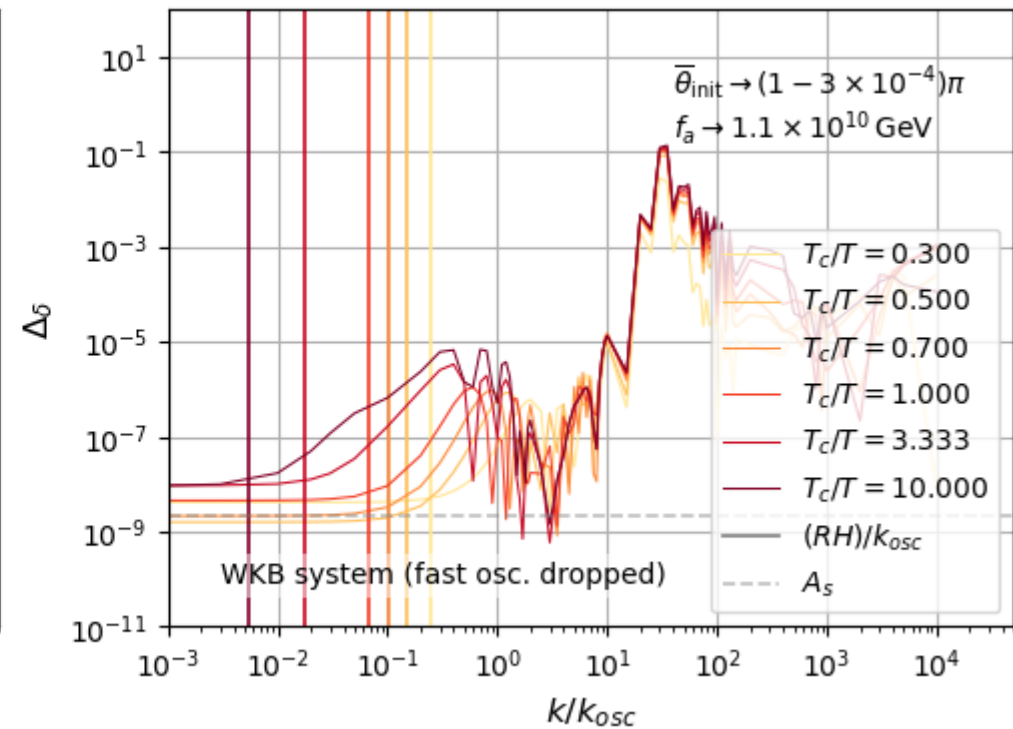
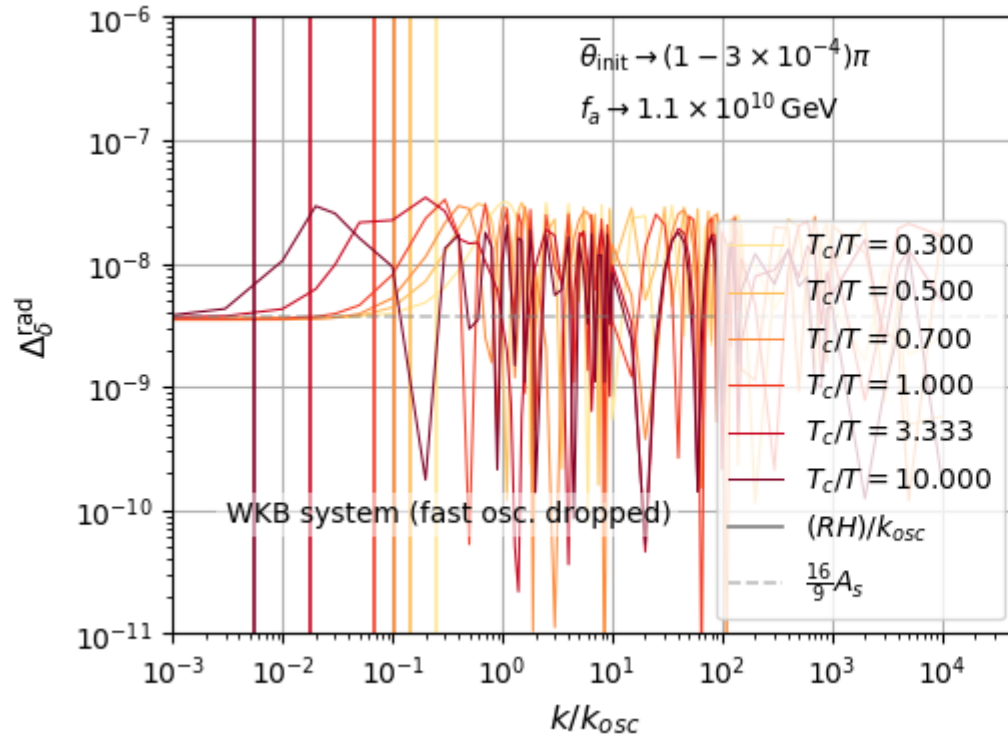
$$k_{QJ}(T_{\text{MRE}}) = 23000 \text{ pc}^{-1}$$

$$k_{\text{peak}} = 3500 \text{ pc}^{-1}$$

$\times 10$

Density contrast power spectrum: $f_a = 1.1 \times 10^{10}$ GeV $\bar{\theta}_{\text{init}} = (1 - 3 \times 10^{-4})\pi$

The peak height is not very sensitive to f_a , but to $\bar{\theta}_{\text{init}}$.



$$k_{QJ}(T_{\text{MRE}}) = 20000 \text{ pc}^{-1}$$



$$k_{\text{peak}} = 2300 \text{ pc}^{-1}$$

$\times 10$

Smaller f_a scenario

Large scale hierarchy

Completion of QCD cross-over takes $\sim H(T_c)^{-1} \approx (0.018 \text{ neV})^{-1}$

Axion oscillation time scale $\sim m^{-1} \approx (0.57 \text{ meV})^{-1}$  $\times 10^7$

Smaller f_a scenario

Slow-envelope formulation

Absorbing the fast phase

$$\varphi(t) = \int^t \omega(t') dt' \quad \omega(t) \rightarrow m(t)$$

$$\widehat{\delta\theta} = \frac{1}{\sqrt{2\omega R^3}} \left(A(t, \vec{k}) e^{-i\varphi} + A^*(t, -\vec{k}) e^{i\varphi} \right)$$

$$\bar{\theta} = \frac{1}{\sqrt{2\omega R^3}} \left(B(t) e^{-i\varphi} + B^*(t) e^{i\varphi} \right)$$

Laurent expand the equation of motion in $e^{-i\varphi}$

$$\frac{d^2 \bar{\theta}}{dt^2} + 3H \frac{d\bar{\theta}}{dt} + m^2(\bar{T}) \sin \bar{\theta} = 0$$

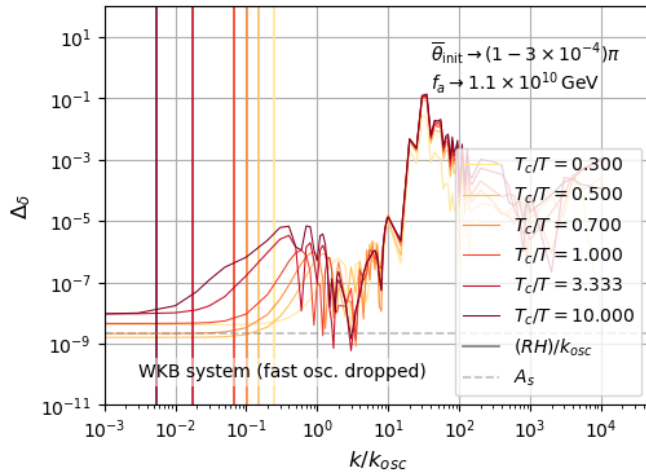
$$\frac{\partial^2 \widehat{\delta\theta}}{\partial t^2} + 3H \frac{\partial \widehat{\delta\theta}}{\partial t} + \left(\frac{k^2}{R^2} + m^2(\bar{T}) \cos \bar{\theta} \right) \widehat{\delta\theta} = \hat{j}$$

→ $e^{-in\varphi}$ terms on both sides.

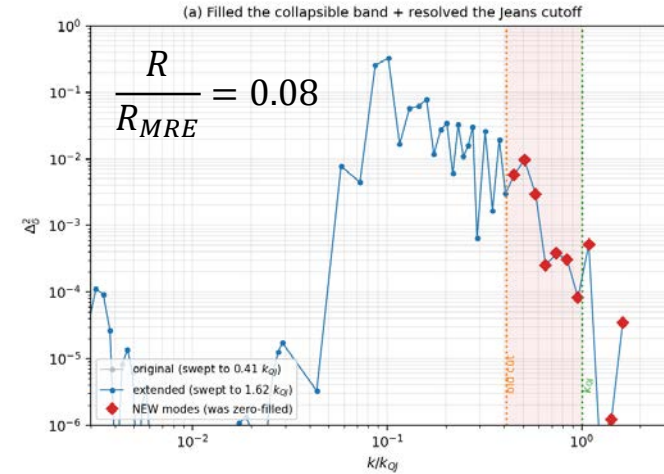
Take only the $e^{-0 \cdot i \cdot \varphi}$ term.

Tracking the evolution

Inflation

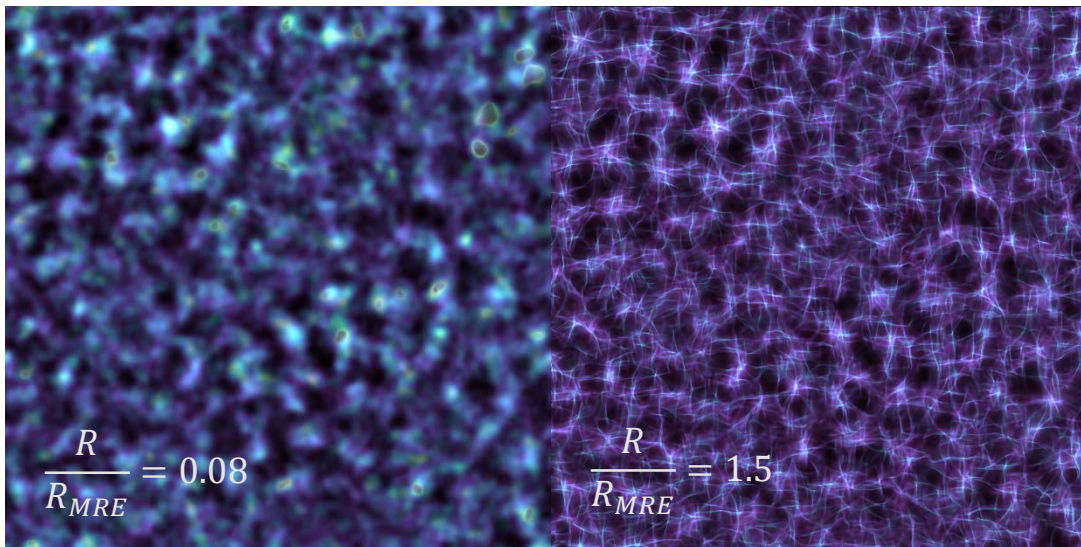


linear pert.

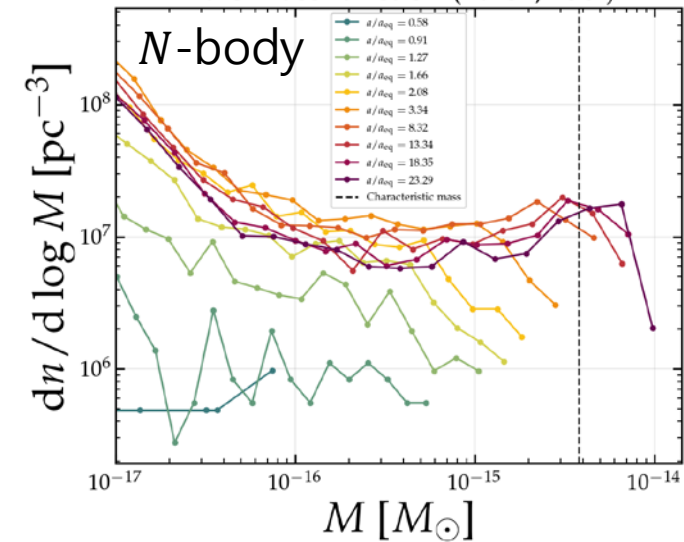


Schrodinger-Poisson

Nonlinear dynamics

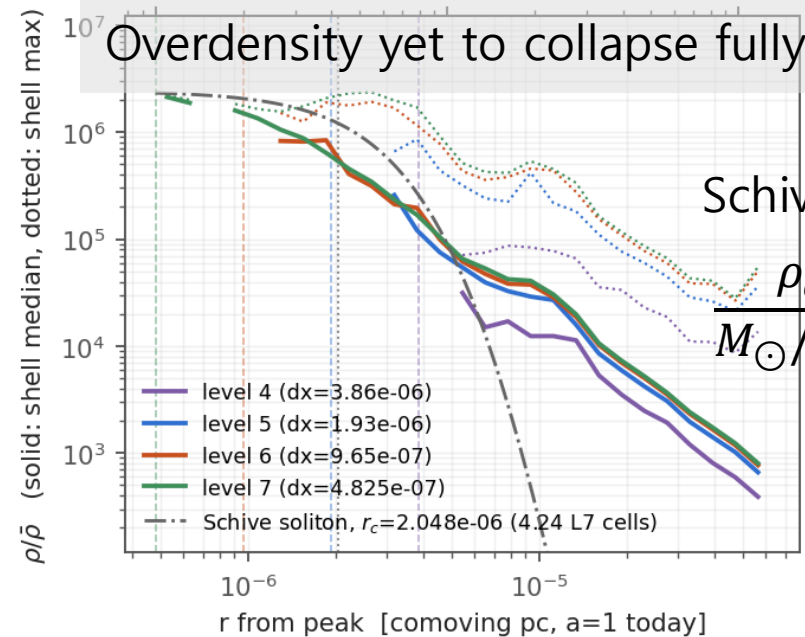
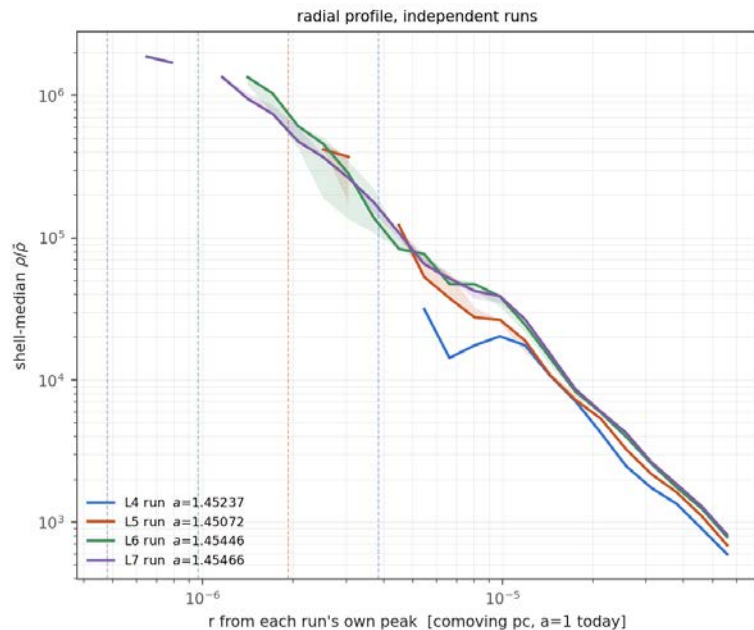
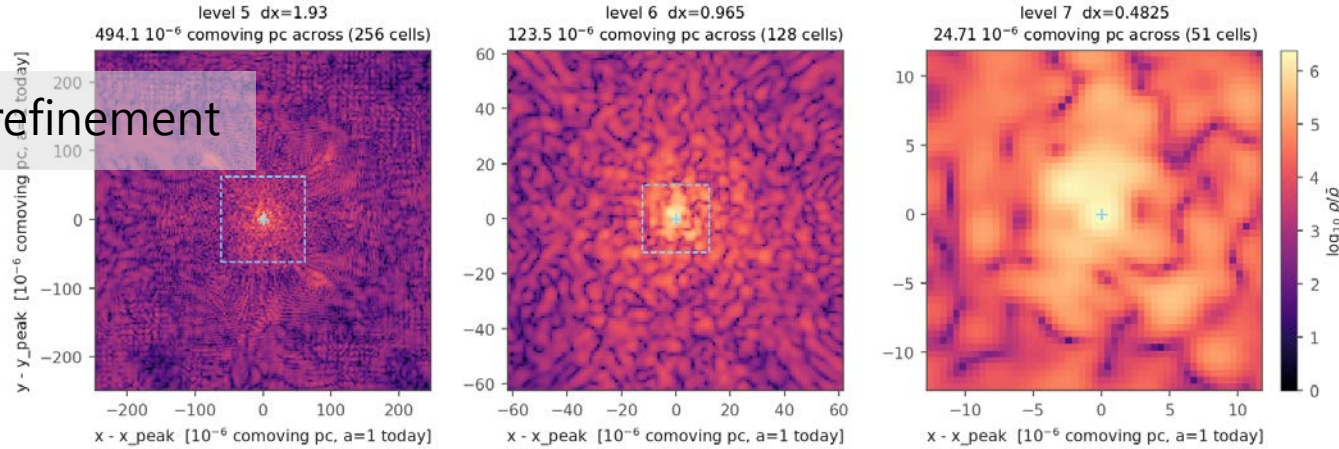


Halo mass function (halo4, 256³)



Tracking the evolution

Mesh refinement



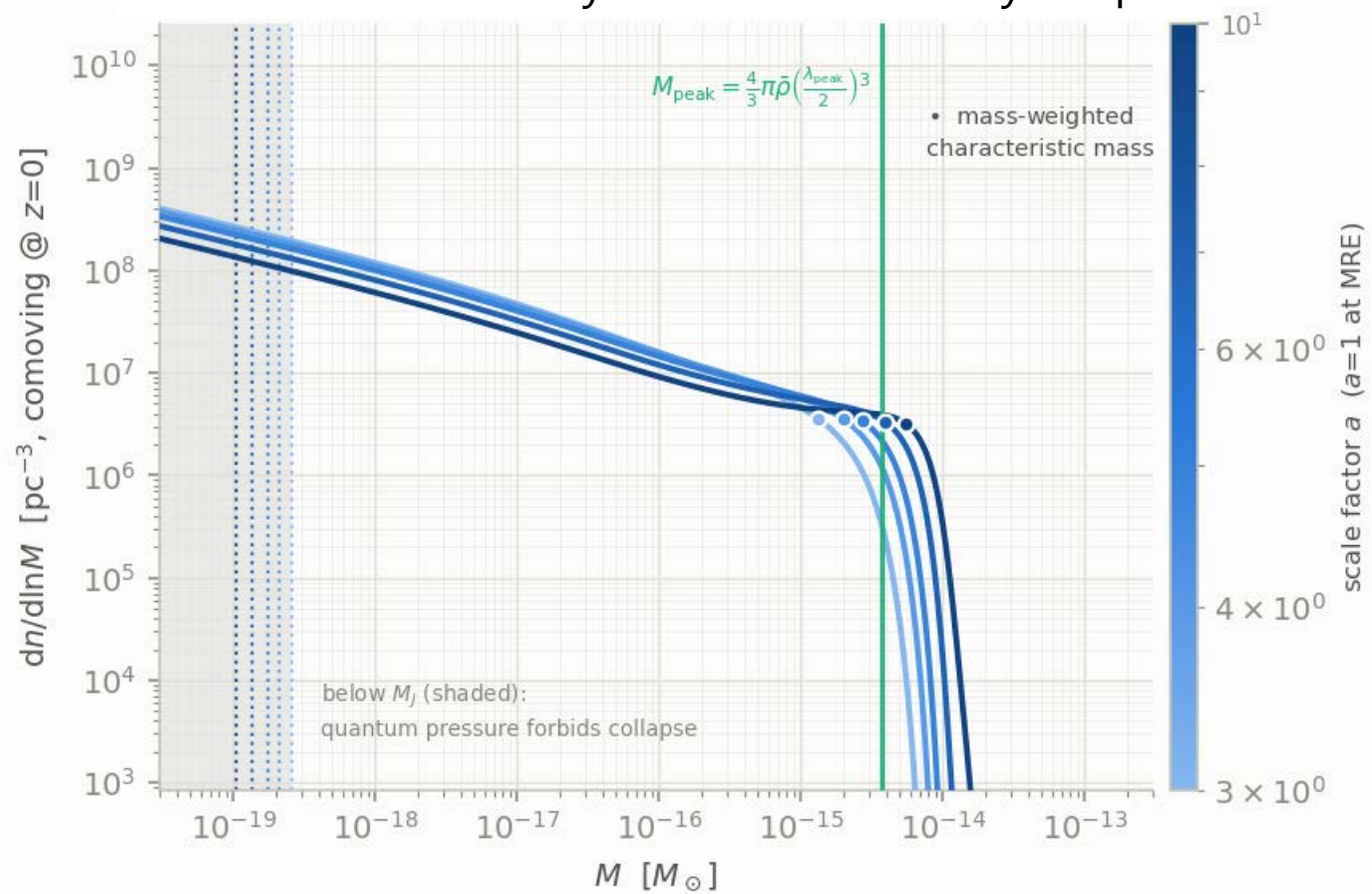
Schive soliton fit with

$$\frac{\rho_c}{M_{\odot}/\text{pc}^3} \left(\frac{r_c}{10^{-3} \text{ pc}} \right)^4 = \frac{1.9 \times 10^{-6}}{a} \left(\frac{10^{-8} \text{ eV}}{m} \right)^2$$

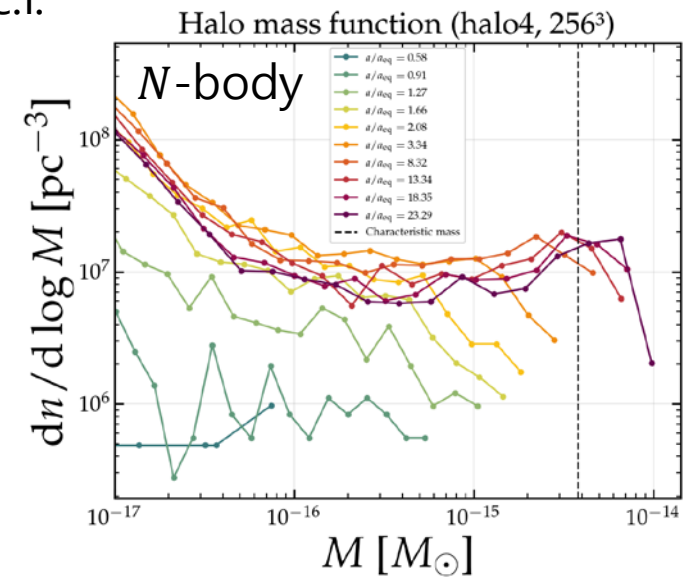
Eggemeier & Niemeyer '19

Tracking the evolution

Press-Schechter analysis on linear theory output



c.f.



Tracking the evolution

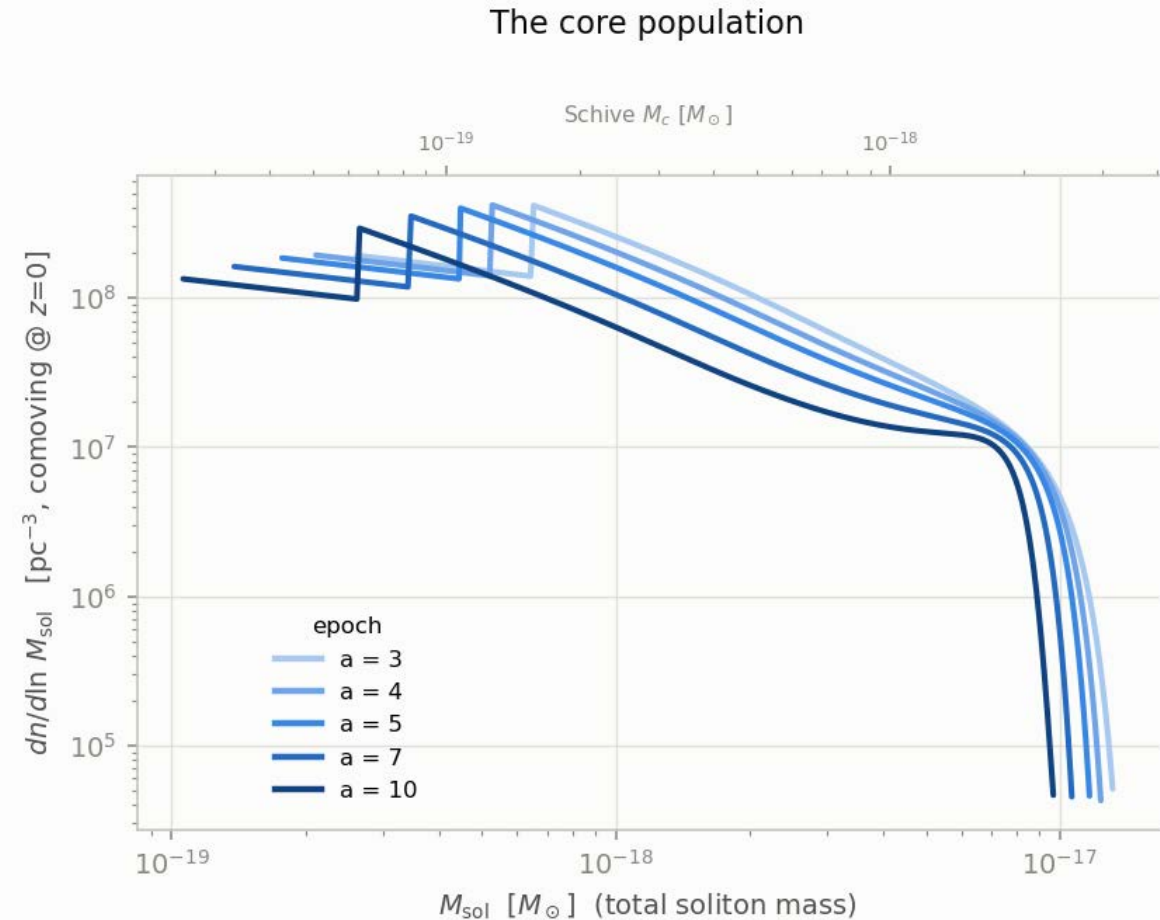
Schive et al. '14

$$M_c = \frac{1}{4} a^{-\frac{1}{2}} \left(\frac{\zeta(z)}{\zeta(0)} \right)^{\frac{1}{6}} \left(\frac{M_h}{M_{\min,0}} \right)^{1/3} M_{\min,0}$$

$$r_c = 1.6 \text{ kpc} \left(\frac{m}{10^{-22} \text{ eV}} \right)^{-1} a^{\frac{1}{2}} \left(\frac{\zeta(z)}{\zeta(0)} \right)^{-\frac{1}{6}} \left(\frac{M_h}{10^9 M_\odot} \right)^{-1/3}$$

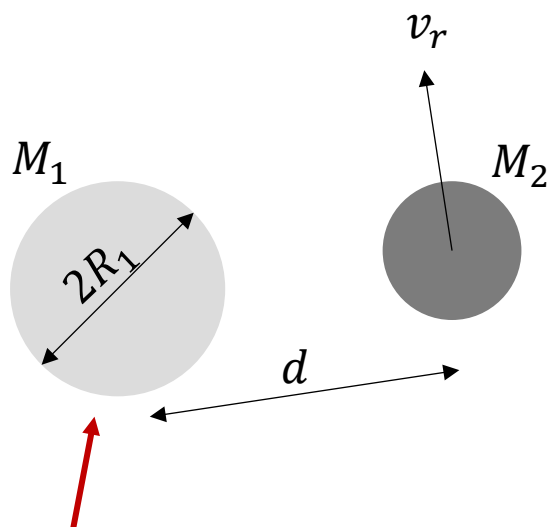
$$M_{\min,0} \sim 4.4 \times 10^7 M_\odot \left(\frac{m}{10^{-22} \text{ eV}} \right)^{-3/2}$$

Total soliton mass $M_{\text{sol}} \sim 4.15 M_c$



Tidal disruption

Gorghetto et al. '24



Is this object going to be disrupted?

The tidal acceleration $a_{\text{tidal}} = \frac{2GM_2R_1}{d^3}$

Duration of encounter $\Delta t \sim \frac{d}{v_r}$

Induced velocity $\Delta v \sim a_{\text{tidal}} \Delta t$

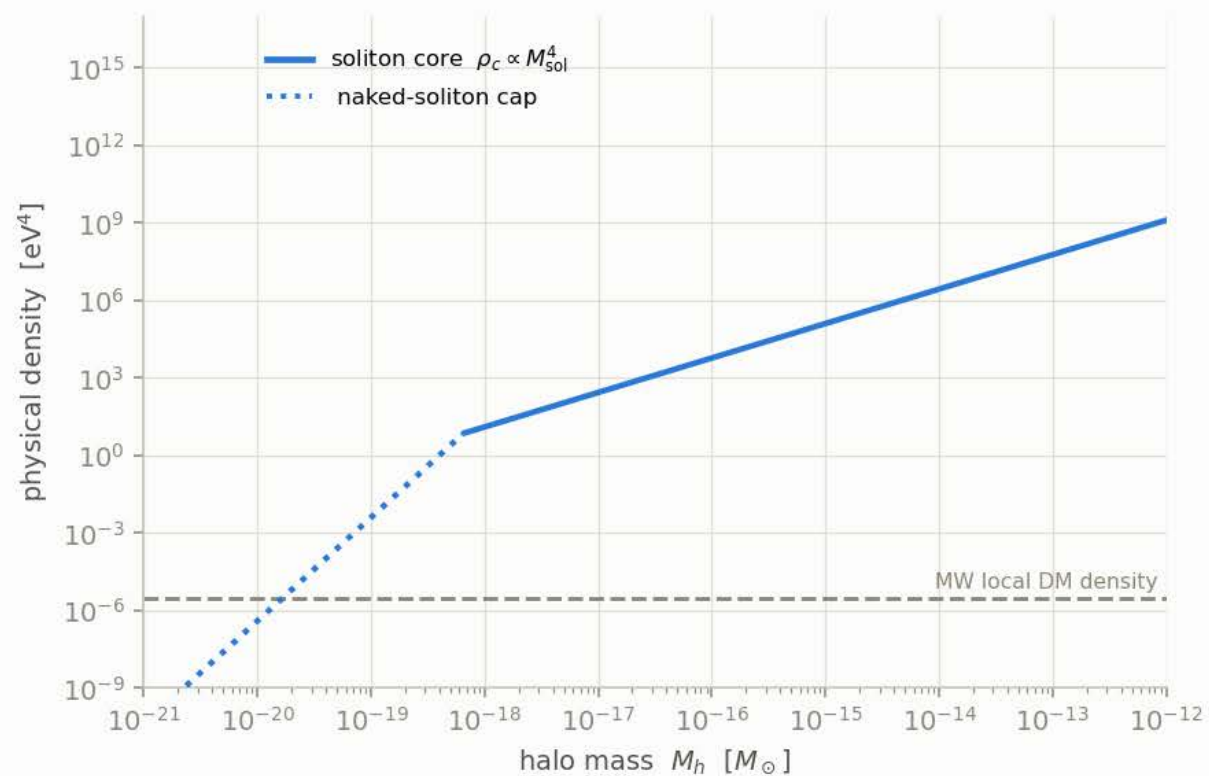
The object is tidally disrupted if $\Delta v \geq \sqrt{\frac{2GM_1}{R_1}}$

The probability of disruption while passing the Milky Way disc

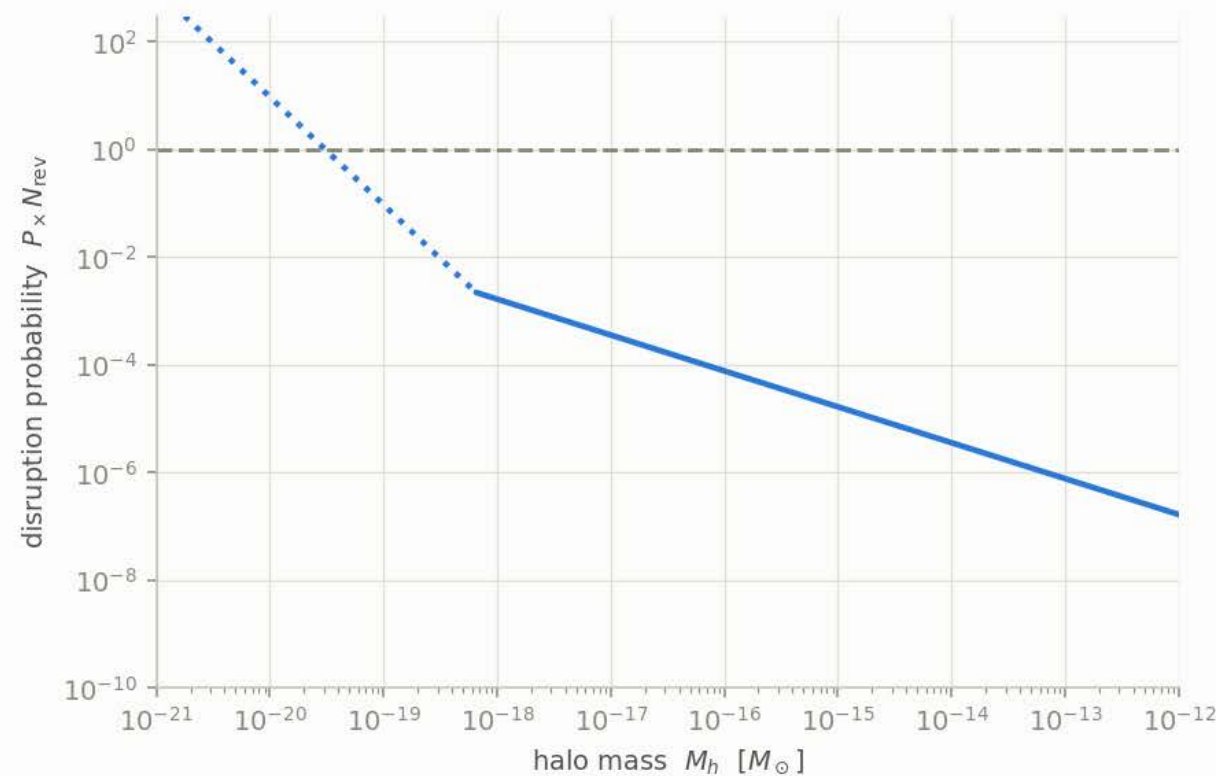
$$P_{\text{tidal}} = \pi d_{\text{crit}}^2 n_{\text{star}} = 6 \times 10^{-4} \left(\frac{\rho_{\text{sol}}}{\text{eV}^4} \right)^{\frac{1}{2}} \left(\frac{\Sigma}{50 M_{\odot} / \text{pc}^2} \right)$$

Tidal disruption

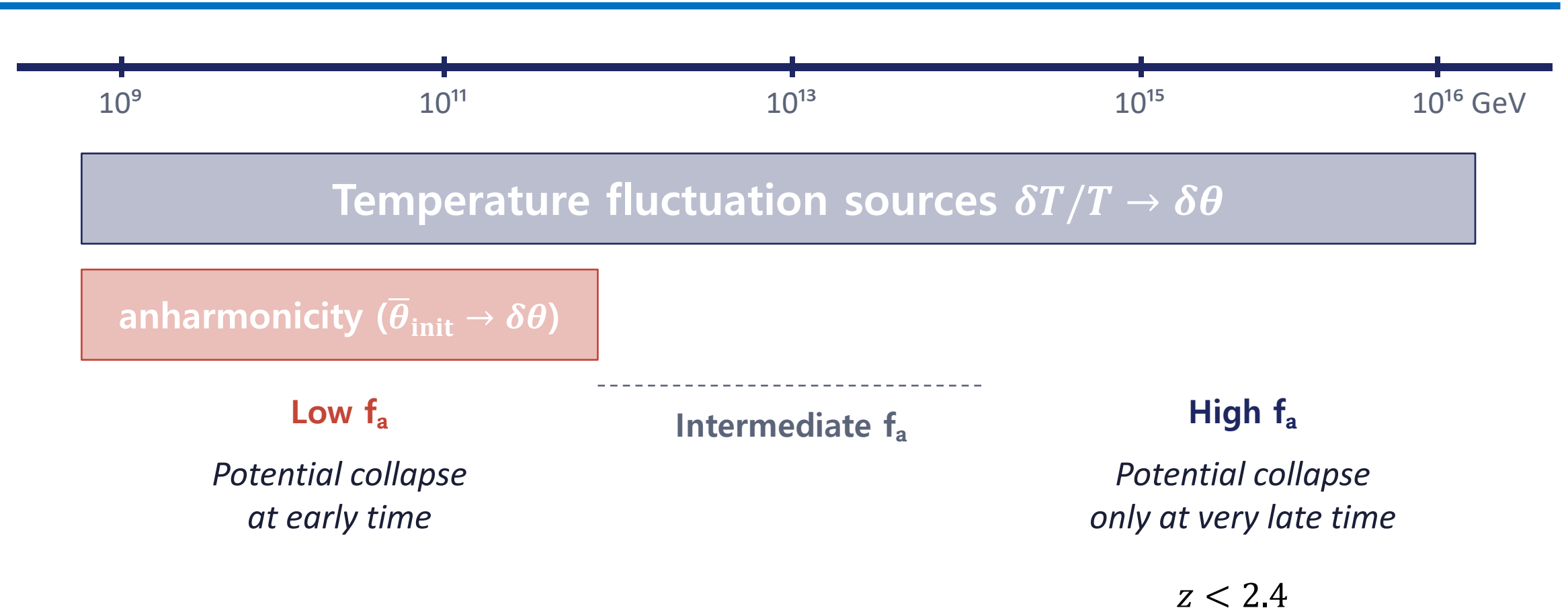
From Milky Way halo



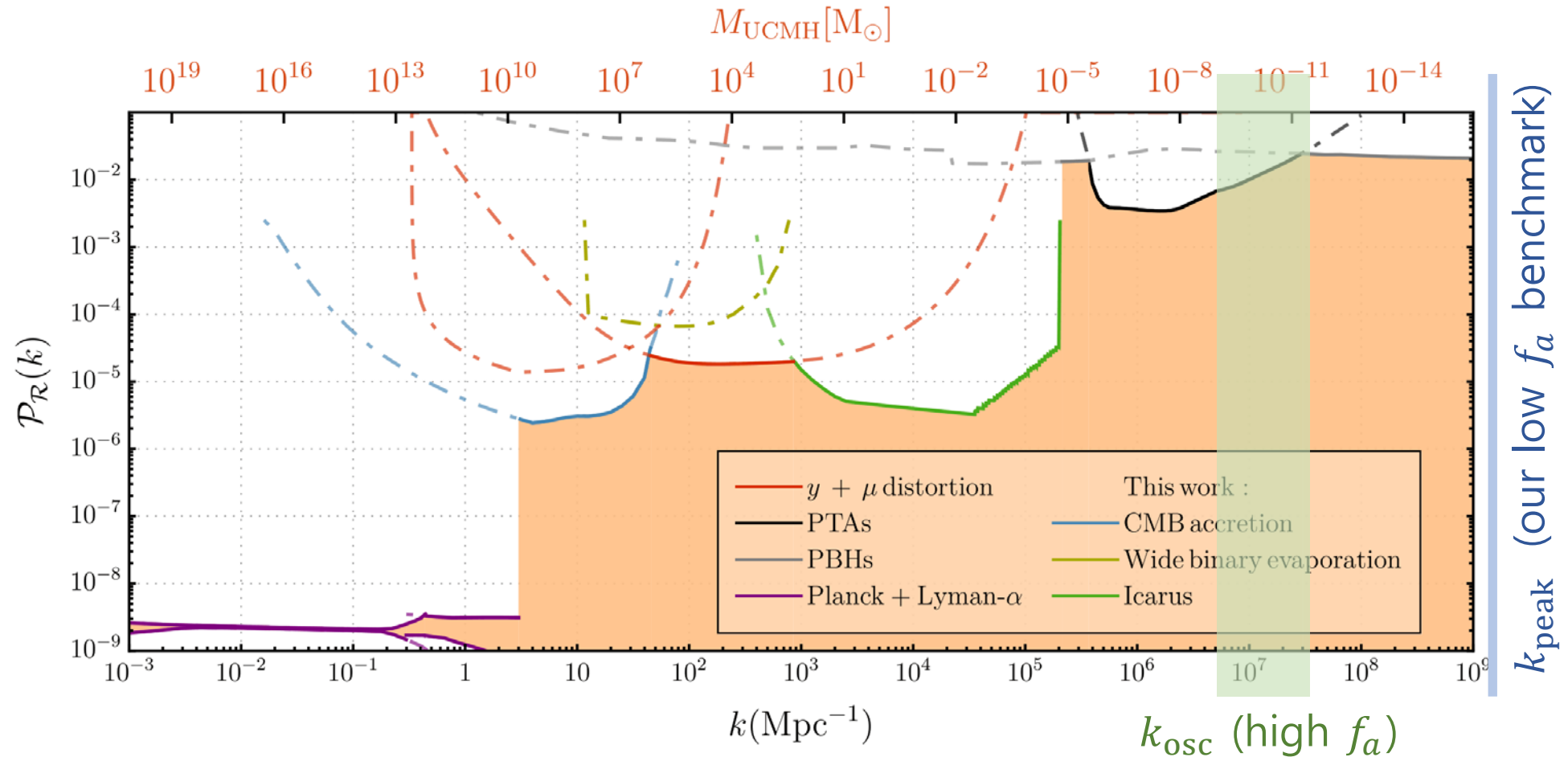
Stellar encounters



The story in one picture



How wildly can pre-inflationary scenario vary



Summary

- Possibility of having pre-inflationary axion Minicluster formation is explored at linear level.
- Effect of gravitational potential and realistic QCD cross-over era are considered.
- The cluster formation window for high $10^{12} \text{ GeV} \leq f_a \leq 10^{16} \text{ GeV}$ is very narrow.
- Low axion decay constant (e.g. $1.1 \times 10^{10} \text{ GeV}$) scenario makes a perfect target for S-P.