

# Flavor Physics II

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# Outline

- Flavor Physics and the Standard Model
- Discrete Symmetry and CKM matrix
  - Parity, Charge Conjugation and CPT theorem
  - CKM matrix
- Renormalization and Muon  $g-2$
- RG and Effective Field Theory
- CP Violation and BSM Flavor Physics

# Parity

- Parity:  $(t, \mathbf{x}) \xrightarrow{P} (t, -\mathbf{x})$

$$\phi(\mathbf{x}, t) \xrightarrow{P} \phi^P(\mathbf{x}, t) = \eta_P \phi(-\mathbf{x}, t) \quad \begin{cases} \eta_P = 1 & \text{for a scalar} \\ \eta_P = -1 & \text{for a pseudoscalar} \end{cases}$$

$$\partial_\mu \phi(\mathbf{x}, t) \xrightarrow{P} \partial_\mu \phi^P(\mathbf{x}, t) = -(1 - 2\delta_{\mu 0}) \eta_P \partial_\mu \phi(-\mathbf{x}, t) \quad \begin{cases} \eta_P = 1 & \text{for a vector} \\ \eta_P = -1 & \text{for an axial vector} \end{cases}$$

$$A_\mu(\mathbf{x}, t) \xrightarrow{P} A_\mu^P(\mathbf{x}, t) = -(1 - 2\delta_{\mu 0}) A_\mu(-\mathbf{x}, t)$$

The photon field transforms as a vector (clear from  $\partial_\mu \rightarrow \partial_\mu - iA_\mu$  )

- The Lagrangian is strictly speaking not invariant under parity, but the space-time integration domain in the action also changes according to the parity transformation
- the equations of motion are invariant

$$S = \int \mathcal{L}(\phi, \partial_\mu \phi) d^4x$$

# Parity: scalar field

$$\phi(x) = \sum_{\mathbf{p}} \left[ a_{\mathbf{p}} \phi_{\mathbf{p}}^{(+)}(x) + b_{\mathbf{p}}^{\dagger} \phi_{-\mathbf{p}}^{(-)}(x) \right]$$

$$\phi_{\mathbf{p}}^{(+)}(x) = C_{\mathbf{p}} e^{i(\mathbf{p} \cdot \mathbf{x} - Et)} = C_{\mathbf{p}} e^{-ip \cdot x}$$

$$\phi_{-\mathbf{p}}^{(-)}(x) = C_{\mathbf{p}} e^{i(-\mathbf{p} \cdot \mathbf{x} + Et)} = C_{\mathbf{p}} e^{ip \cdot x}$$

## ● Transformation of scalar fields

$\mathcal{P} \phi(t, \mathbf{x}) \mathcal{P}^{-1} = \eta_{\text{B}} \phi(t, -\mathbf{x})$  :  $\mathcal{P}$  is a linear operator in the Hilbert space and does not act on c-number quantities

$$\mathcal{P} \phi(x) \mathcal{P}^{-1} = \sum_{\mathbf{p}} C_{\mathbf{p}} \left[ \mathcal{P} a_{\mathbf{p}} \mathcal{P}^{-1} e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} + \mathcal{P} b_{\mathbf{p}}^{\dagger} \mathcal{P}^{-1} e^{i(Et - \mathbf{p} \cdot \mathbf{x})} \right]$$

$$\begin{aligned} \eta_{\text{B}} \phi(t, -\mathbf{x}) &= \eta_{\text{B}} \sum_{\mathbf{p}} C_{\mathbf{p}} \left[ a_{\mathbf{p}} e^{-i(Et + \mathbf{p} \cdot \mathbf{x})} + b_{\mathbf{p}}^{\dagger} e^{i(Et + \mathbf{p} \cdot \mathbf{x})} \right] \\ &= \eta_{\text{B}} \sum_{\mathbf{p}} C_{\mathbf{p}} \left[ a_{-\mathbf{p}} e^{-i(Et - \mathbf{p} \cdot \mathbf{x})} + b_{-\mathbf{p}}^{\dagger} e^{i(Et - \mathbf{p} \cdot \mathbf{x})} \right] \end{aligned}$$

– we obtain the following property

$$\mathcal{P} a_{\mathbf{p}} \mathcal{P}^{-1} = \eta_{\text{B}} a_{-\mathbf{p}} \quad \mathcal{P} a_{\mathbf{p}}^{\dagger} \mathcal{P}^{-1} = \eta_{\text{B}} a_{-\mathbf{p}}^{\dagger}$$

$$\mathcal{P} b_{\mathbf{p}} \mathcal{P}^{-1} = \eta_{\text{B}} b_{-\mathbf{p}} \quad \mathcal{P} b_{\mathbf{p}}^{\dagger} \mathcal{P}^{-1} = \eta_{\text{B}} b_{-\mathbf{p}}^{\dagger}$$

– a one-body state of momentum  $\mathbf{p}$

$$\begin{aligned} \mathcal{P} |\mathbf{p}\rangle &= \mathcal{P} a_{\mathbf{p}}^{\dagger} |0\rangle = \mathcal{P} a_{\mathbf{p}}^{\dagger} \mathcal{P}^{-1} \mathcal{P} |0\rangle \\ &= \eta_{\text{B}} a_{-\mathbf{p}}^{\dagger} |0\rangle = \eta_{\text{B}} |-\mathbf{p}\rangle \end{aligned}$$

# Parity: Dirac wave function

- Transformation of Dirac wave function  $\psi(x)$

- Lorentz transformation  $x^\mu \rightarrow x'^\mu = a^\mu{}_\nu x^\nu$

- $\psi'(x')$  and  $\psi(x)$  are connected by a linear relation

$$\psi'_a(x') = S_{ab}(a) \psi_b(x)$$

- $\psi'(x')$  is also a solution of the Dirac equation

$$(i\gamma^\mu \partial'_\mu - m)\psi'(x') = 0$$

- compare it with the original equation

$$(i\partial - m)\psi(x) = 0 \quad \Rightarrow \quad (iS\gamma^\mu S^{-1}\partial_\mu - m)\psi'(x') = 0$$

$$S\gamma^\mu S^{-1}\partial_\mu = \gamma^\mu \partial'_\mu \quad \partial_\mu = a^\nu{}_\mu \partial'_\nu$$

$$S^{-1}(a)\gamma^\mu S(a) = a^\mu{}_\nu \gamma^\nu$$

- parity  $\mathcal{P} : \mathbf{x} \rightarrow \mathbf{x}' = -\mathbf{x}, \quad t \rightarrow t' = t \quad a^\mu{}_\nu = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$

$$S(a) = \eta_F \gamma_0 \quad \eta_F = \pm 1 \quad \text{intrinsic parity}$$

# Parity: Dirac field

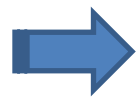
$$\begin{aligned}\gamma_0 u(-\mathbf{p}, s) &= u(\mathbf{p}, s) \\ \gamma_0 v(-\mathbf{p}, s) &= -v(\mathbf{p}, s)\end{aligned}$$

- In analogy with the classical wave function, the Dirac field operators transforms according to

$$\mathcal{P} \psi(x) \mathcal{P}^{-1} = \eta_F \gamma_0 \psi(t, -\mathbf{x})$$

- the Dirac field is expressed as

$$\psi(x) = \sum_{\mathbf{p}, s} C_{\mathbf{p}} [b(\mathbf{p}, s) u(\mathbf{p}, s) e^{-ip \cdot x} + d^\dagger(\mathbf{p}, s) v(\mathbf{p}, s) e^{ip \cdot x}]$$



$$\eta_F \gamma_0 \psi(t, -\mathbf{x}) = \eta_F \sum_{\mathbf{p}, s} C_{\mathbf{p}} [b(-\mathbf{p}, s) u(\mathbf{p}, s) e^{-ip \cdot x} - d^\dagger(-\mathbf{p}, s) v(\mathbf{p}, s) e^{ip \cdot x}]$$

$$\mathcal{P} b(\mathbf{p}, s) \mathcal{P}^{-1} = \eta_F b(-\mathbf{p}, s), \quad \mathcal{P} b^\dagger(\mathbf{p}, s) \mathcal{P}^{-1} = \eta_F b^\dagger(-\mathbf{p}, s),$$

$$\mathcal{P} d(\mathbf{p}, s) \mathcal{P}^{-1} = -\eta_F d(-\mathbf{p}, s), \quad \mathcal{P} d^\dagger(\mathbf{p}, s) \mathcal{P}^{-1} = -\eta_F d^\dagger(-\mathbf{p}, s)$$

the negative sign in the right-handed side means that the intrinsic parity of the antifermion is opposite in sign to that of the corresponding fermion

# Parity: Dirac field bilinear

- the one-fermion state transforms as

$$\begin{array}{ccc} b^\dagger(\mathbf{p}, s) |0\rangle & \xrightarrow{\quad} & b^\dagger(-\mathbf{p}, s) |0\rangle \\ d^\dagger(\mathbf{p}, s) |0\rangle & & -d^\dagger(-\mathbf{p}, s) |0\rangle \end{array}$$

- for any Dirac field bilinear

$$\mathcal{P} \bar{\psi}(x) \Gamma \psi(x) \mathcal{P}^{-1} = \bar{\psi}(t, -\mathbf{x}) \gamma_0 \Gamma \gamma_0 \psi(t, -\mathbf{x})$$

	$\bar{\psi}\psi$	$i\bar{\psi}\gamma^5\psi$	$\bar{\psi}\gamma^\mu\psi$	$\bar{\psi}\gamma^\mu\gamma^5\psi$	$\bar{\psi}\sigma^{\mu\nu}\psi$	$\partial_\mu$
$P$	+1	-1	$(-1)^\mu$	$-(-1)^\mu$	$(-1)^\mu(-1)^\nu$	$(-1)^\mu$

$$(-1)^\mu \equiv 1 \text{ for } \mu = 0 \text{ and } (-1)^\mu \equiv -1 \text{ for } \mu = 1, 2, 3$$

- the free Dirac Lagrangian is invariant under P

$$\mathcal{L}_0 = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$$

# Charge conjugation

- C: a unitary operator that reverses the signs of charges of particles including electric charge, lepton number, flavor number, ...

$$\mathcal{C} |p, s, Q\rangle = \xi |p, s, -Q\rangle$$

$\xi$  is a unimodular phase factor

– charge conjugation = field conjugation for physical particles

- a complex scalar field

$$\mathcal{C} \phi(x) \mathcal{C}^{-1} = \xi_B \phi^\dagger(x) \quad \mathcal{C}^\dagger \mathcal{C} = 1, \quad |\xi_B|^2 = 1$$

$$\sum_p \mathcal{C}_p [ \mathcal{C} a_p \mathcal{C}^{-1} e^{-ip \cdot x} + \mathcal{C} b_p^\dagger \mathcal{C}^{-1} e^{ip \cdot x} ] = \xi_B \sum_p \mathcal{C}_p [ a_p^\dagger e^{ip \cdot x} + b_p e^{-ip \cdot x} ]$$

$$\mathcal{C} a_p \mathcal{C}^{-1} = \xi_B b_p \quad \mathcal{C} b_p \mathcal{C}^{-1} = \xi_B^* a_p$$

– a one-particle state of momentum p

$$\mathcal{C} a_p^\dagger |0\rangle = \mathcal{C} a_p^\dagger \mathcal{C}^{-1} \mathcal{C} |0\rangle = \xi_B^* b_p^\dagger |0\rangle \quad \mathcal{C} b_p^\dagger |0\rangle = \mathcal{C} b_p^\dagger \mathcal{C}^{-1} \mathcal{C} |0\rangle = \xi_B a_p^\dagger |0\rangle$$



# Charge conjugation

- electromagnetic field

- the current density for a boson field

$$j^\mu(x) = i [\phi^\dagger(x) \partial^\mu \phi(x) - (\partial^\mu \phi^\dagger(x)) \phi(x)]$$

$$\mathcal{C} j_\mu(x) \mathcal{C}^{-1} = i [\phi \partial_\mu \phi^\dagger - (\partial_\mu \phi) \phi^\dagger] = -j_\mu(x)$$

- Similarly the electromagnetic field must transform as

$$\mathcal{C} A_\mu(x) \mathcal{C}^{-1} = -A_\mu(x)$$

$$\mathcal{C} a(\mathbf{k}, \lambda) \mathcal{C}^{-1} = -a(\mathbf{k}, \lambda)$$

$$\mathcal{C} |\mathbf{k}, \lambda\rangle = -|\mathbf{k}, \lambda\rangle$$

- The photon is odd under charge conjugation

# Charge conjugation

- Dirac field: proportional to its complex conjugate like a boson field

$$C \psi(x) C^{-1} = \xi_F B \psi^*(x), \quad |\xi_F| = 1$$

$B$  is a  $4 \times 4$  unitary matrix on the spinor representation

$$C = B \gamma_0^*$$

- more convenient to use the following form

$$\bar{\psi}^T = ((\psi^*)^T \gamma_0)^T = (\gamma_0)^T \psi^*$$

$$C \psi(x) C^{-1} = \xi_F C \bar{\psi}^T(x), \quad |\xi_F| = 1 \quad C^\dagger C = 1$$

Because of the complex conjugate, the charge conjugation operator  $C$  depends on the representation of the  $\gamma$  matrix

- The Lagrangian is invariant under charge conjugation

$$C \mathcal{L}_F(x) C^{-1} = \mathcal{L}_F(x)$$

$$\mathcal{L}_F \equiv \mathcal{L}_1 + \mathcal{L}_1^\dagger = \frac{1}{2} \bar{\psi} \left[ i \gamma^\mu \overrightarrow{\partial}_\mu - m \right] \psi + \frac{1}{2} \bar{\psi} \left[ -i \gamma^\mu \overleftarrow{\partial}_\mu - m \right] \psi$$

- One can derive

$$C \psi^\dagger C^{-1} = \xi_F^* \psi^T \gamma_0^* C^\dagger$$

$$\begin{aligned} C \mathcal{L}_1 C^{-1} &= \frac{1}{2} \psi^T \gamma_0^* C^\dagger (i \gamma^0 \gamma^\mu \partial_\mu - \gamma_0 m) C \bar{\psi}^T \\ &= \frac{1}{2} \bar{\psi} C^T \left( -i \gamma^{\mu T} \gamma^{0 T} \overleftarrow{\partial}_\mu + \gamma_0^T m \right) C^* \gamma_0 \psi \end{aligned}$$

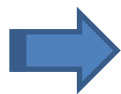
scalar, invariant under transpose in the spinor space

transpose and an additional (-) sign for fermion exchange

# Charge conjugation

- require  $\mathcal{C} \mathcal{L}_1 \mathcal{C}^{-1} = \mathcal{L}_1^\dagger$  to satisfy  $\mathcal{C} \mathcal{L}_F(x) \mathcal{C}^{-1} = \mathcal{L}_F(x)$

$$\begin{aligned} C^T \gamma^{\mu T} \gamma_0^T C^* \gamma_0 &= \gamma^\mu \\ C^T \gamma_0^T C^* \gamma_0 &= 1 \end{aligned}$$



$$C^\dagger \gamma_\mu C = -\gamma_\mu^T$$

in arbitrary  $\gamma$ -matrix representation

- in the standard representation of  $\gamma$ , we choose

$$C = i\gamma^2 \gamma^0$$

$$C \bar{u}^T(\mathbf{p}, s) = v(\mathbf{p}, s)$$

$$C \bar{v}^T(\mathbf{p}, s) = u(\mathbf{p}, s)$$

$$u(\mathbf{p}, s) = N \begin{pmatrix} \chi_s \\ \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} \chi_s \end{pmatrix}$$

$$v(\mathbf{p}, s) = N' \begin{pmatrix} \frac{\boldsymbol{\sigma} \cdot \mathbf{p}}{E + m} \eta_s \\ \eta_s \end{pmatrix}$$

- Then one finds

$$\eta_s = -i\sigma^2 \chi_s$$

$$\mathcal{C} \psi \mathcal{C}^{-1} = \sum_{\mathbf{p}, s} C_{\mathbf{p}} \left[ \mathcal{C} b(\mathbf{p}, s) \mathcal{C}^{-1} u(\mathbf{p}, s) e^{-i\mathbf{p} \cdot \mathbf{x}} + \mathcal{C} d^\dagger(\mathbf{p}, s) \mathcal{C}^{-1} v(\mathbf{p}, s) e^{i\mathbf{p} \cdot \mathbf{x}} \right]$$

$$\xi_F \mathcal{C} \bar{\psi}^T = \xi_F \sum_{\mathbf{p}, s} C_{\mathbf{p}} \left[ b^\dagger(\mathbf{p}, s) v(\mathbf{p}, s) e^{i\mathbf{p} \cdot \mathbf{x}} + d(\mathbf{p}, s) u(\mathbf{p}, s) e^{-i\mathbf{p} \cdot \mathbf{x}} \right]$$

$$\mathcal{C} b(\mathbf{p}, s) \mathcal{C}^{-1} = \xi_F d(\mathbf{p}, s) \quad \mathcal{C} d(\mathbf{p}, s) \mathcal{C}^{-1} = \xi_F^* b(\mathbf{p}, s)$$

- Note that in the Weyl representation (Peskin & Schroeder)

$$C \psi(x) C = -i\gamma^2 \psi^*(x) = -i\gamma^2 (\psi^\dagger)^T = -i(\bar{\psi} \gamma^0 \gamma^2)^T$$

# C, P, and T

- charge conjugation for Dirac field bilinear

$$\mathcal{C} \psi(x) \mathcal{C}^{-1} = \xi C \bar{\psi}^T(x)$$

$$\mathcal{C} \bar{\psi}(x) \mathcal{C}^{-1} = -\xi^* \psi^T(x) C^\dagger$$

$$\mathcal{C} \bar{\psi}_1(x) \Gamma \psi_2(x) \mathcal{C}^{-1} = \xi_1^* \xi_2 \bar{\psi}_2(x) C \Gamma^T C^\dagger \psi_1(x)$$

- transformation properties

	$\bar{\psi}\psi$	$i\bar{\psi}\gamma^5\psi$	$\bar{\psi}\gamma^\mu\psi$	$\bar{\psi}\gamma^\mu\gamma^5\psi$	$\bar{\psi}\sigma^{\mu\nu}\psi$	$\partial_\mu$
$P$	+1	-1	$(-1)^\mu$	$-(-1)^\mu$	$(-1)^\mu(-1)^\nu$	$(-1)^\mu$
$T$	+1	-1	$(-1)^\mu$	$(-1)^\mu$	$-(-1)^\mu(-1)^\nu$	$-(-1)^\mu$
$C$	+1	+1	-1	+1	-1	+1
$CPT$	+1	+1	-1	-1	+1	-1

$$(-1)^\mu \equiv 1 \text{ for } \mu = 0 \text{ and } (-1)^\mu \equiv -1 \text{ for } \mu = 1, 2, 3$$

$\mathcal{L}_0 = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$  is invariant under C, P, and T separately

# C, P, and T

Bilinear	$\mathcal{P}$	$\mathcal{T}$	$\mathcal{C}$	$\mathcal{CP}$	$\mathcal{CPT}$
$\bar{\psi}\chi$	$\bar{\psi}\chi$	$\bar{\psi}\chi$	$\bar{\chi}\psi$	$\bar{\chi}\psi$	$\bar{\chi}\psi$
$\bar{\psi}\gamma_5\chi$	$-\bar{\psi}\gamma_5\chi$	$\bar{\psi}\gamma_5\chi$	$\bar{\chi}\gamma_5\psi$	$-\bar{\chi}\gamma_5\psi$	$-\bar{\chi}\gamma_5\psi$
$\bar{\psi}P_{L,R}\chi$	$\bar{\psi}P_{R,L}\chi$	$\bar{\psi}P_{L,R}\chi$	$\bar{\chi}P_{L,R}\psi$	$\bar{\chi}P_{R,L}\psi$	$\bar{\chi}P_{R,L}\psi$
$\bar{\psi}\gamma^\mu\chi$	$\bar{\psi}\gamma^\mu\chi$	$\bar{\psi}\gamma^\mu\chi$	$-\bar{\chi}\gamma^\mu\psi$	$-\bar{\chi}\gamma^\mu\psi$	$-\bar{\chi}\gamma^\mu\psi$
$\bar{\psi}\gamma^\mu\gamma_5\chi$	$-\bar{\psi}\gamma^\mu\gamma_5\chi$	$\bar{\psi}\gamma^\mu\gamma_5\chi$	$\bar{\chi}\gamma^\mu\gamma_5\psi$	$-\bar{\chi}\gamma^\mu\gamma_5\psi$	$-\bar{\chi}\gamma^\mu\gamma_5\psi$
$\bar{\psi}\gamma^\mu P_{L,R}\chi$	$\bar{\psi}\gamma^\mu P_{R,L}\chi$	$\bar{\psi}\gamma^\mu P_{L,R}\chi$	$-\bar{\chi}\gamma^\mu P_{R,L}\psi$	$-\bar{\chi}\gamma^\mu P_{L,R}\psi$	$-\bar{\chi}\gamma^\mu P_{L,R}\psi$
$\bar{\psi}\sigma^{\mu\nu}\chi$	$\bar{\psi}\sigma_{\mu\nu}\chi$	$-\bar{\psi}\sigma_{\mu\nu}\chi$	$-\bar{\chi}\sigma^{\mu\nu}\psi$	$-\bar{\chi}\sigma_{\mu\nu}\psi$	$\bar{\chi}\sigma^{\mu\nu}\psi$

$$(-1)^\mu \equiv 1 \text{ for } \mu = 0 \text{ and } (-1)^\mu \equiv -1 \text{ for } \mu = 1, 2, 3$$

$\mathcal{L}_0 = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$  is invariant under C, P, and T separately

● CP:  $e_L^- \leftrightarrow e_R^+$

$$\mathcal{L} \propto V_{ij}\bar{U}_i\gamma^\mu(1-\gamma_5)D_jW_\mu^\dagger + V_{ij}^*\bar{D}_j\gamma^\mu(1-\gamma_5)U_iW_\mu$$

$\Updownarrow$  CP conjugation

$$\mathcal{L}_{\text{CP}} \propto V_{ij}\bar{D}_j\gamma^\mu(1-\gamma_5)U_iW_\mu + V_{ij}^*\bar{U}_i\gamma^\mu(1-\gamma_5)D_jW_\mu^\dagger$$

If  $V_{ij}^* = V_{ij} \rightarrow L = L_{\text{CP}}$ : i.e. CP conservation

# CPT theorem

CPT theorem:

$$\begin{aligned}
 (CPT)^{-1}(\bar{\Psi}\Psi)CPT &= +\bar{\Psi}\Psi, && \text{even under CPT} \\
 (CPT)^{-1}(\bar{\Psi}i\gamma_5\Psi)CPT &= +\bar{\Psi}i\gamma_5\Psi, && \text{even under CPT} \\
 (CPT)^{-1}(\bar{\Psi}\gamma^\mu\Psi)CPT &= -\bar{\Psi}\gamma^\mu\Psi, && \text{odd} \\
 (CPT)^{-1}(\bar{\Psi}\gamma^\mu\gamma_5\Psi)CPT &= -\bar{\Psi}\gamma^\mu\gamma_5\Psi, && \text{odd}
 \end{aligned}$$

**General rule:** a fermion bilinear with  $n$  vector indices is **even** (**odd**) under CPT if  $n$  is **even** (**odd**); this also applies to derivatives  $\partial_\mu$ .

Thus any hermitian combination of fields and derivatives that is Lorentz invariant (has no uncontracted Lorentz indices) is even under CPT!

Lagrangian is formed from such terms,  $\mathcal{L}(x) \rightarrow \mathcal{L}(-x)$  under CPT, and so the action  $S = \int d^4x \mathcal{L}$  is invariant under CPT.

**Lorentz invariance  $\longleftrightarrow$  CPT**

# Yukawa matrix

- The third generation was introduced before the discovery of the charm quark by Kobayashi and Maskawa (1972)

- The Yukawa or mass matrices are arbitrary 3×3 complex matrices

$$\mathcal{L}_Y^{\text{SM}} = y_{ij}^d \overline{Q}_{Li} \phi d_{Rj} + y_{ij}^u \overline{Q}_{Li} \tilde{\phi} u_{Rj} + y_{ij}^e \overline{L}_{Li} \phi e_{Rj}$$

$$(m_d)_{ij} = \frac{y_{ij}^d v}{\sqrt{2}}, \quad (m_u)_{ij} = \frac{y_{ij}^u v}{\sqrt{2}}, \quad (m_e)_{ij} = \frac{y_{ij}^e v}{\sqrt{2}}$$

- No flavor changing coupling for  $y_{ij}^f = \delta_{ij}$
- In general, the Yukawa couplings are non-diagonal in the generation basis (flavor basis)

$$y_{ij}^f \neq \delta_{ij}$$

- The mass matrices must be diagonalized to describe the physical process

# Diagonalization of mass matrix

- The Yukawa matrices can be diagonalized by bi-unitary transformations

$$y^f = V_L^f y_{\text{diag}}^f V_R^{f\dagger} \quad y_{\text{diag}}^f = \begin{pmatrix} y_1^f & & \\ & y_2^f & \\ & & y_3^f \end{pmatrix} \quad V_L^f, V_R^f : \text{unitary matrices}$$

- The mass matrices for quarks are diagonalized as

$$\bar{u}_L \overbrace{V_L^{u\dagger} V_L^u} M_u \overbrace{V_R^u V_R^u} u_R + \bar{d}_L \overbrace{V_L^{d\dagger} V_L^d} M_d \overbrace{V_R^d V_R^d} d_R \quad \Rightarrow \quad \bar{u}'_L M_u^{\text{diag}} u'_R + \bar{d}'_L M_d^{\text{diag}} d'_R$$

$$u_{L,R}^{(\circ)} = \begin{pmatrix} u_{L,R}^{(\circ)} \\ c_{L,R}^{(\circ)} \\ t_{L,R}^{(\circ)} \end{pmatrix}, \quad d_{L,R}^{(\circ)} = \begin{pmatrix} d_{L,R}^{(\circ)} \\ s_{L,R}^{(\circ)} \\ b_{L,R}^{(\circ)} \end{pmatrix} \quad M_u^{\text{diag}} = \begin{pmatrix} m_u & & \\ & m_c & \\ & & m_t \end{pmatrix}, \quad M_d^{\text{diag}} = \begin{pmatrix} m_d & & \\ & m_s & \\ & & m_b \end{pmatrix}$$

- The quark fields are transforms as (field redefinitions)

$$\psi_i \rightarrow V_{ij}^f \psi_j \quad (\psi = Q_L, U_R, D_R)$$

- Kinetic terms are not changed by field redefinitions by the unitary rotation in the generation basis

$$\mathcal{L}_{\text{kin}} \sim -i \bar{\psi}_i \not{D} \psi_i$$



# Neutral current

- The coupling to **neutral gauge bosons**  $\gamma, Z, g$  are not modified by the field redefinitions

$$\mathcal{L}_{\text{neutral}} \sim \bar{u}_L^i \delta_{ij} u_L^j \rightarrow \bar{u}_L^k (V_L^u)_{ki} \delta_{ij} (V_L^{u\dagger})_{jl} u_L^j = \bar{u}_L^i \delta_{ij} u_L^j$$

- **The FCNC is forbidden at the tree level**
- In the SM, all up (or down)-type quarks have the same charges  $Y$  and  $T_3$
- This simply forbids the FCNC which might be generated by the field redefinitions because the couplings to neutral gauge bosons depend on  $Y$  and  $T_3$
- the couplings to the Higgs boson are aligned to the mass matrix so that there is no FCNC related to the Higgs boson in the SM
- The FCNC process to any gauge boson would be a good signal for probing new physics

# CKM matrix

- The couplings to the W bosons are modified as

$$\mathcal{L}_W \sim \bar{u}_L^i \delta_{ij} d_L^j \rightarrow \bar{u}_L^k (V_L^u)_{ki} \delta_{ij} (V_L^{d\dagger})_{jl} d_L^l = \bar{u}_L^i (V_{\text{CKM}})_{ij} d_L^j$$

$$V_{\text{CKM}} = V_L^u V_L^{d\dagger}$$

- The CKM matrix is the generalization of the Cabibbo rotation

$$\begin{pmatrix} d'' \\ s'' \\ b'' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

weak eigenstates

mass eigenstates

- The CKM matrix is a 3×3 complex matrix and unitary by definition

$$V_{\text{CKM}}^\dagger V_{\text{CKM}} = 1 \quad \begin{pmatrix} V_{ud}^* & V_{cd}^* & V_{td}^* \\ V_{us}^* & V_{cs}^* & V_{ts}^* \\ V_{ub}^* & V_{cb}^* & V_{tb}^* \end{pmatrix} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

- The mixing of down-type quarks ~ a (historical) convention
  - in the lepton case, the mixing occurs in the up-type components (neutrinos)

# Weak phase

- To generate CP violation, we need a complex phase in the Lagrangian
- To be hermitian, all couplings except for the CKM matrix are real
- If the CKM matrix is real, no CP violation

$$\mathcal{L} \propto V_{ij} \bar{U}_i \gamma^\mu (1 - \gamma_5) D_j W_\mu^\dagger + V_{ij}^* \bar{D}_j \gamma^\mu (1 - \gamma_5) U_i W_\mu$$

$\Updownarrow$  CP conjugation

$$\mathcal{L}_{\text{CP}} \propto V_{ij} \bar{D}_j \gamma^\mu (1 - \gamma_5) U_i W_\mu + V_{ij}^* \bar{U}_i \gamma^\mu (1 - \gamma_5) D_j W_\mu^\dagger$$

If  $V_{ij}^* = V_{ij} \rightarrow L = L_{\text{CP}}$ : i.e. CP conservation

- If CP violation exists in the framework of the SM, the CKM matrix must be complex
- Because the phase in the CKM matrix is originated from weak interactions, the CKM phase is called as a "weak phase"
- In the BSM, another phase may exist in the new couplings or new vacuum

# 3 generation is required for CP violation

- An arbitrary  $N \times N$  complex matrix has  $2N^2$  parameters
- Unitary conditions ( $VV^\dagger=1$ ) remove  $N^2$  degree of freedoms

$$\sum_j V_{ij} V_{ji}^* = 1, \sum_j V_{ij} V_{jk}^* = 0 \ (i \neq k) \Rightarrow N + {}_N C_2 = N^2$$

- For a real matrix,  $N$  directions have  ${}_N C_2$  independent (relative) angles

$${}_N C_2 = \frac{N(N-1)}{2} \text{ angles}$$

- The remaining parameters are complex phases

$$N^2 - \frac{N(N-1)}{2} = \frac{N(N+1)}{2} \text{ phases}$$

- Each quark field can change its phase without modifying other terms

$$q_j \rightarrow q'_j = e^{i\phi_j} q_j$$

$q_R$  should have an opposite phase to  $q_L$  to make the mass term invariant.

$N(\text{up-type quarks}) + N(\text{down-type quarks}) = 2N$  rephasing possible

$$\mathcal{L}_W \rightarrow \mathcal{L}_W \sim \bar{u}_L^i e^{i(\delta_i - \delta_j)} (V_{CKM})_{ij} d_L^j$$

# 3 generation is required for CP violation

- Among  $2N$  phases, one phase which is called as “overall phase” is not independent
- Therefore  $(2N-1)$  phases can be removed by rephasing quark fields
- The number of phases which cannot be removed is  $N(N+1)/2 - (2N-1) =$

$$\frac{N(N+1)}{2} - (2N-1) = \frac{(N-1)(N-2)}{2}$$

$n$	$\frac{(n-1)(n-2)}{2}$
1	0
2	0
3	1
4	3

- For CP violation,  $N \geq 3$
- In  $N=3$ , 3 mixing angles and 1 (weak) phase (the only phase in the SM Lagrangian that is necessary for CP violation)
- Rephasing example in  $N=3$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

the rephasing phase of u quark is multiplied to the first row and can make  $V_{ud}$  real  $u_L \rightarrow e^{i\phi(u)} u_L$

Similarly c quark  $\rightarrow V_{cd}$ , t quark  $\rightarrow V_{td}$ , s quark  $\rightarrow V_{us}$ , b quark  $\rightarrow V_{ub}$  but rephasing d quark cannot be applied because all elements of the first column are real

# Parametrization of CKM matrix

- The original CKM matrix in Kobayashi and Maskawa's paper

$$\begin{pmatrix} \cos \theta_1 & -\sin \theta_1 \cos \theta_3 & -\sin \theta_1 \sin \theta_3 \\ \sin \theta_1 \cos \theta_2 & \cos \theta_1 \cos \theta_2 \cos \theta_3 - \sin \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \cos \theta_2 \sin \theta_3 + \sin \theta_2 \cos \theta_3 e^{i\delta} \\ \sin \theta_1 \sin \theta_2 & \cos \theta_1 \sin \theta_2 \cos \theta_3 + \cos \theta_2 \sin \theta_3 e^{i\delta} & \cos \theta_1 \sin \theta_2 \sin \theta_3 - \cos \theta_2 \sin \theta_3 e^{i\delta} \end{pmatrix}.$$

- The standard CKM matrix which is adopted by PDG

$$\begin{aligned} V_{\text{CKM}} &\equiv \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} = R_{23}(I_{\delta_D} R_{13} I_{\delta_D}^\dagger) R_{12} \\ &= \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \\ &= \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} \end{aligned}$$

$$I_{\delta_D} = \text{diag}(1, 1, e^{i\delta})$$

$$0 \leq \theta_{ij} \leq \pi/2, \quad 0 \leq \delta \leq 2\pi$$

$$s_{ij} = \sin \theta_{ij}, \quad c_{ij} = \cos \theta_{ij}$$

# Neutrino and PMNS matrix

- If neutrinos are massive, we can construct the Pontecorvo-Maki-Nakagata-Saki (PMNS) matrix similar to the CKM matrix

CKM matrix	PMNS matrix
$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$	$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$

- In the case of Dirac neutrinos, the PMNS matrix are exactly the same as the CKM matrix
- In the case of Majorana neutrinos, there are two more Majorana phases

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Because of an additional mass term  $m \overline{\nu_L^C} \nu_L$ , we cannot redefine the phase of neutrino fields

$$\nu_L \rightarrow e^{i\phi} \nu_L \Rightarrow m \overline{\nu_L^C} \nu_L \rightarrow e^{i2\phi} m \overline{\nu_L^C} \nu_L$$

# Dirac vs Majorana

- the conventions with respect to charge conjugation and helicity projection

$$\psi^c = C\gamma^0\psi^* = i\gamma^2\psi^*, \quad \bar{\psi}^c = \psi^T C$$

$$C = i\gamma^2\gamma^0 \quad (\gamma^k)^\dagger = -\gamma^k$$

$$\psi_L = \frac{1}{2}(1 - \gamma_5)\psi, \quad \psi_R = \frac{1}{2}(1 + \gamma_5)\psi$$

$$\overline{\psi^c} = (i\gamma_2\psi^*)^\dagger \gamma_0 = -i\psi^T (\gamma_2)^\dagger \gamma_0 = \psi^T C$$

- notation

$$\psi_L^c \equiv (\psi_L)^c = \frac{1}{2}(1 + \gamma_5)\psi^c = (\psi^c)_R$$

$$(\psi_L)^c = i\gamma_2 \frac{1}{2}(1 - \gamma_5)\psi^* = \frac{1}{2}(1 + \gamma_5)i\gamma_2\psi^*$$



the charge conjugate of the left-handed field acts as a right-handed field

- Dirac mass term

$$\mathcal{L}_D = D(\bar{\psi}_L\psi_R + \bar{\psi}_R\psi_L) = D\bar{\psi}\psi$$

- Majorana mass term

$$\mathcal{L}_{MA} = A(\bar{\psi}_L^c\psi_L + \bar{\psi}_L\psi_L^c) = A\bar{\chi}\chi$$

$$\mathcal{L}_{MB} = B(\bar{\psi}_R^c\psi_R + \bar{\psi}_R\psi_R^c) = B\bar{\omega}\omega$$



Majorana fields must not be charged

$$\chi = \psi_L + \psi_L^c, \quad \chi^c = \chi \quad \psi_L = \frac{1}{2}(1 - \gamma_5)\chi; \quad \psi_L^c = \frac{1}{2}(1 + \gamma_5)\chi$$

$$\omega = \psi_R + \psi_R^c, \quad \omega^c = \omega \quad \psi_R = \frac{1}{2}(1 + \gamma_5)\omega; \quad \psi_R^c = \frac{1}{2}(1 - \gamma_5)\omega$$



# Questions

1. Show that there is no CP violation for  $N=3$  if any two of the quarks are mass degenerate.
2. Explain why there is no CKM-like matrix in the lepton sector of the SM.
3. It is observed that neutrinos oscillate. Can the charged leptons also oscillate?

# Wolfenstein parametrization

- More popular parametrization is Wolfenstein parametrization
- $V_{\text{CKM}}$  in nature there is hierarchical  $\theta_{13} \ll \theta_{23} \ll \theta_{12} \ll 1$

$$\lambda = \sin \theta_c \approx 0.22$$

$$A, \rho, \eta \sim \mathcal{O}(1)$$

$$\sum_j V_{ij} V_{ji}^* = 1, \quad \sum_j V_{ij} V_{jk}^* = 0 \quad (i \neq k)$$

Cabibbo rotation

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda \\ -\lambda & 1 - \frac{\lambda^2}{2} \end{pmatrix}$$

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Cabibbo rotation

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & \\ -\lambda & 1 - \frac{\lambda^2}{2} & \\ & & \end{pmatrix} \quad V_{cb} \sim 0.06 = \mathcal{O}(\lambda^2) \quad V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ & -A\lambda^2 & 1 \end{pmatrix}$$

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$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ & -A\lambda^2 & 1 \end{pmatrix}$$

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

# Wolfenstein parametrization

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \lambda^2/2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \lambda^2/2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

Only  $V_{ub}$  and  $V_{td}$   
are complex

- In the  $W$  decays, suppressing the common factor and phase space factor

$$\Gamma(W^+ \rightarrow \ell^+ \nu_\ell) \propto 1 \quad \Gamma(W^+ \rightarrow u_i \bar{d}_j) \propto 3|V_{ij}|^2 \quad (i = 1, 2; j = 1, 2, 3)$$

- The top quark is omitted and the number of lepton is 3
- The unitarity condition for the CKM matrix

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = |V_{cd}|^2 + |V_{cs}|^2 + |V_{cb}|^2 = 1$$

$$\Gamma(W \rightarrow \text{hadrons}) \approx 2\Gamma(W \rightarrow \text{leptons})$$

Experimentally,  $\Gamma(W \rightarrow \text{hadrons})/\Gamma(W \rightarrow \text{leptons}) = 2.09 \pm 0.01$

# Wolfenstein parametrization beyond LO

- In the standard parametrization, we define  $(\lambda, A, \varrho, \eta)$

$$s_{12} = \lambda, \quad s_{23} = A\lambda^2, \quad s_{13}e^{-i\delta} = A\lambda^3(\varrho - i\eta)$$

$$\varrho = \frac{s_{13}}{s_{12}s_{23}} \cos \delta, \quad \eta = \frac{s_{13}}{s_{12}s_{23}} \sin \delta$$

- The higher order terms are obtained as

$$V_{ud} = 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 + \mathcal{O}(\lambda^6)$$

$$V_{us} = \lambda + \mathcal{O}(\lambda^7)$$

$$V_{ub} = A\lambda^3(\varrho - i\eta)$$

$$V_{cd} = -\lambda + \frac{1}{2}A^2\lambda^5[1 - 2(\varrho + i\eta)] + \mathcal{O}(\lambda^7)$$

$$V_{cs} = 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4(1 + 4A^2) + \mathcal{O}(\lambda^6)$$

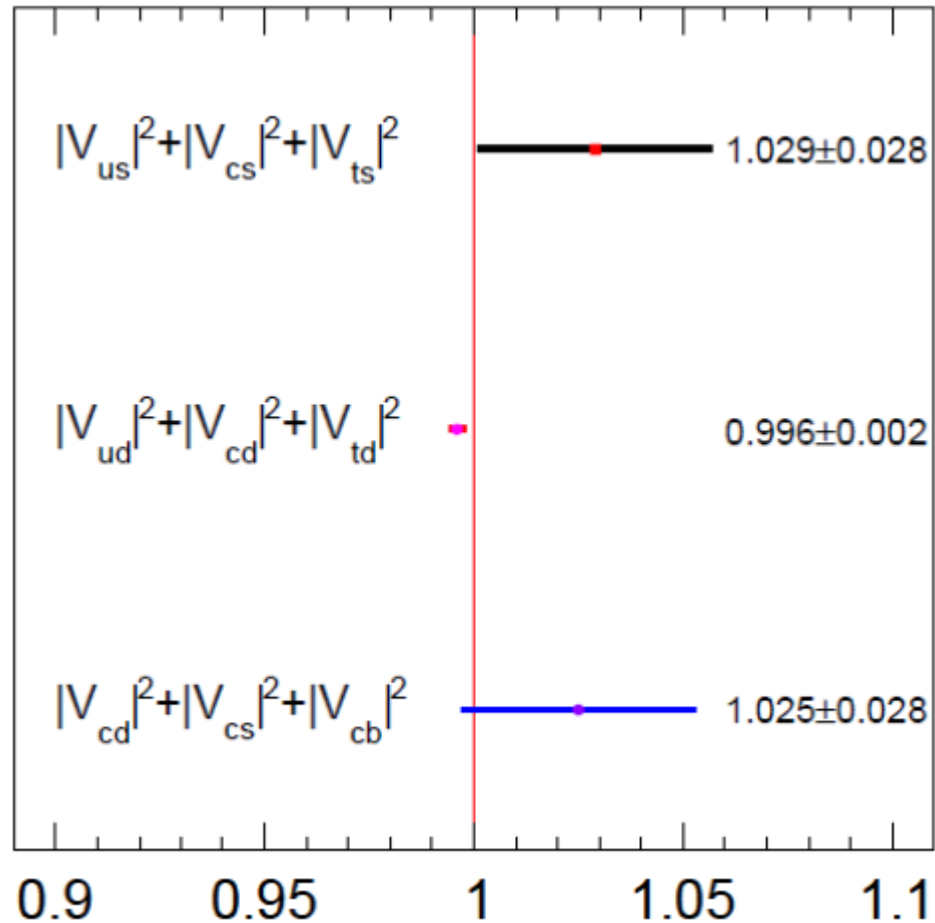
$$V_{cb} = A\lambda^2 + \mathcal{O}(\lambda^8)$$

$$V_{td} = A\lambda^3 \left[ 1 - (\varrho + i\eta)(1 - \frac{1}{2}\lambda^2) \right] + \mathcal{O}(\lambda^7)$$

$$V_{ts} = -A\lambda^2 + \frac{1}{2}A(1 - 2\varrho)\lambda^4 - i\eta A\lambda^4 + \mathcal{O}(\lambda^6)$$

$$V_{tb} = 1 - \frac{1}{2}A^2\lambda^4 + \mathcal{O}(\lambda^6)$$

# Unitarity check



# Hierarchy in Unitary relations

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$\frac{V_{ud} V_{us}^*}{\mathcal{O}(\lambda)} + \frac{V_{cd} V_{cs}^*}{\mathcal{O}(\lambda)} + \frac{V_{td} V_{ts}^*}{\mathcal{O}(\lambda^5)} = 0$$

**ds**

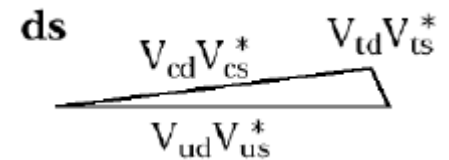
$\frac{V_{cd} V_{cs}^*}{V_{ud} V_{us}^*}$



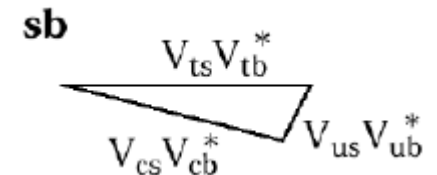
# Hierarchy in Unitary relations

$$\begin{pmatrix} V_{ud} & \boxed{V_{us}} & \boxed{V_{ub}} \\ V_{cd} & \boxed{V_{cs}} & \boxed{V_{cb}} \\ V_{td} & \boxed{V_{ts}} & \boxed{V_{tb}} \end{pmatrix}$$

$$\begin{matrix} V_{ud} & V_{us}^* \\ \mathcal{O}(\lambda) & \end{matrix} + \begin{matrix} V_{cd} & V_{cs}^* \\ \mathcal{O}(\lambda) & \end{matrix} + \begin{matrix} V_{td} & V_{ts}^* \\ \mathcal{O}(\lambda^5) & \end{matrix} = 0$$



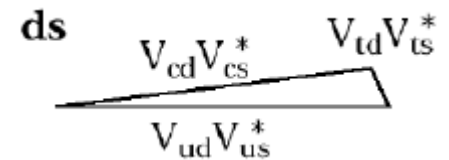
$$\begin{matrix} V_{us} & V_{ub}^* \\ \mathcal{O}(\lambda^4) & \end{matrix} + \begin{matrix} V_{cs} & V_{cb}^* \\ \mathcal{O}(\lambda^2) & \end{matrix} + \begin{matrix} V_{ts} & V_{tb}^* \\ \mathcal{O}(\lambda^2) & \end{matrix} = 0$$



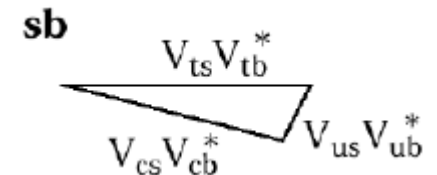
# Hierarchy in Unitary relations

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

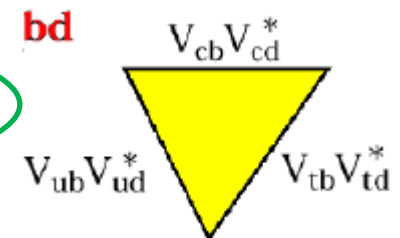
$$\frac{V_{ud} V_{us}^*}{\mathcal{O}(\lambda)} + \frac{V_{cd} V_{cs}^*}{\mathcal{O}(\lambda)} + \frac{V_{td} V_{ts}^*}{\mathcal{O}(\lambda^5)} = 0$$



$$\frac{V_{us} V_{ub}^*}{\mathcal{O}(\lambda^4)} + \frac{V_{cs} V_{cb}^*}{\mathcal{O}(\lambda^2)} + \frac{V_{ts} V_{tb}^*}{\mathcal{O}(\lambda^2)} = 0$$



$$\frac{V_{ud} V_{ub}^*}{\mathcal{O}(\lambda^3)} + \frac{V_{cd} V_{cb}^*}{\mathcal{O}(\lambda^3)} + \frac{V_{td} V_{tb}^*}{\mathcal{O}(\lambda^3)} = 0$$



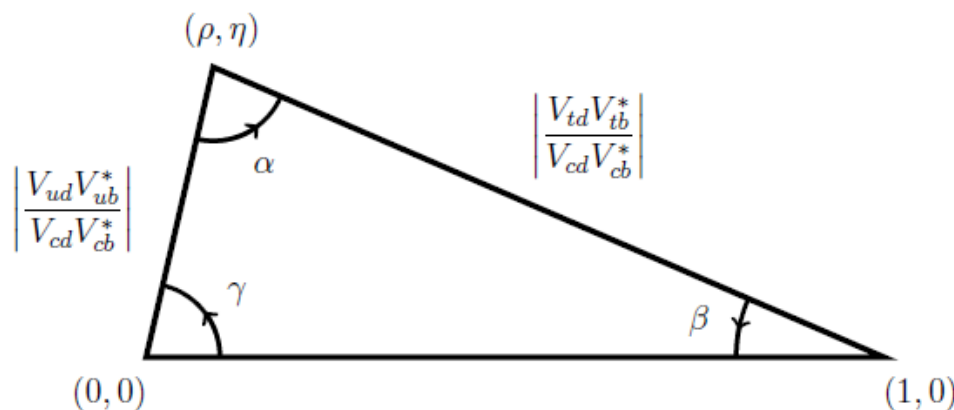
The CKM triangle

# Unitarity triangle

$$\frac{V_{ud} V_{ub}^*}{\mathcal{O}(\lambda^3)} + \frac{V_{cd} V_{cb}^*}{\mathcal{O}(\lambda^3)} + \frac{V_{td} V_{tb}^*}{\mathcal{O}(\lambda^3)} = 0$$

$$A\lambda^3[(\rho + i\eta) + (-1) + (1 - \rho - i\eta)] = 0$$

- Resize the sides by  $V_{cd}V_{cb}^*$

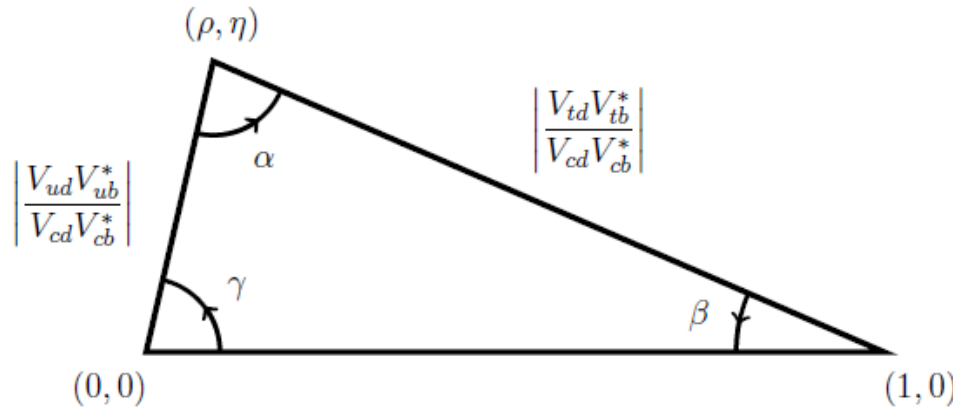


$$\alpha = \arg \left( -\frac{V_{td} V_{tb}^*}{V_{ud} V_{ub}^*} \right)$$

$$\beta = \arg \left( -\frac{V_{cd} V_{cb}^*}{V_{td} V_{tb}^*} \right)$$

$$\gamma = \arg \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right)$$

# Jarlskog invariant



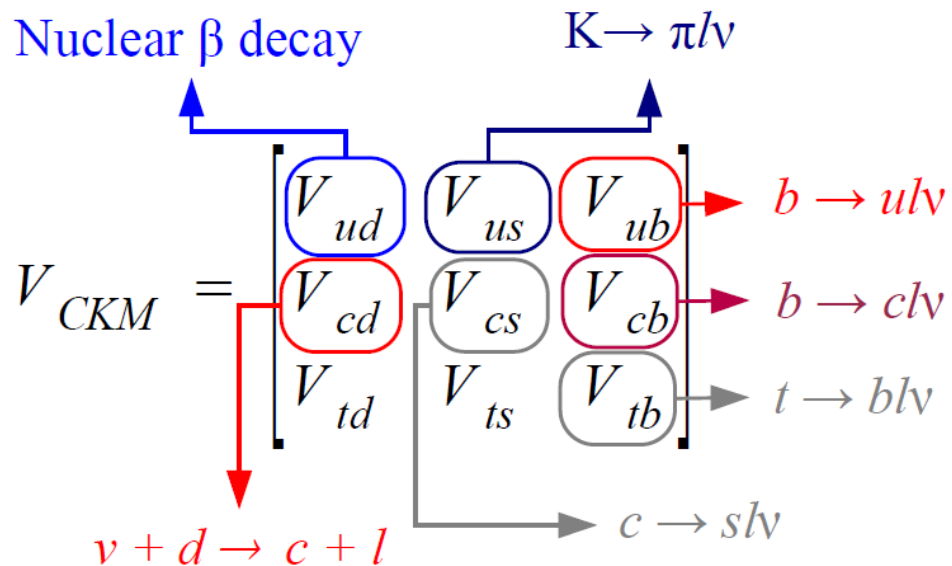
$$\begin{aligned}
 (\text{area}) &= \left( \frac{1}{2} (\text{base}) \times (\text{height}) \right) \times (\text{scale factor}) = \frac{1}{2} \text{Im} \left( -\frac{V_{ud} V_{ub}^*}{V_{cd} V_{cb}^*} \right) \times |V_{cd} V_{cb}^*|^2 \\
 &= \frac{1}{2} \text{Im} \left( -V_{ud} V_{ub}^* V_{cd}^* V_{cb} \right) = \frac{1}{2} \text{Im} \left( c_{12} c_{13} s_{13} e^{i\delta} (s_{12} c_{23} + c_{12} s_{23} s_{13} e^{-i\delta}) s_{23} c_{13} \right) \\
 &= \frac{1}{2} c_{12} c_{23} c_{13}^2 s_{12} s_{23} s_{13} \sin \delta
 \end{aligned}$$

■ basis-independent quantity that identifies CP violation = Jarlskog invariant

$$\text{Im} \left[ V_{ij} V_{kl} V_{il}^* V_{kj}^* \right] = J \sum_{n,m} \epsilon_{ikn} \epsilon_{jlm} \quad (\text{no sum in } i, j, k, l)$$

$$J = c_{12} c_{23} c_{13}^2 s_{12} s_{23} s_{13} \sin \delta \sim \lambda^6 A^2 \eta \quad (\text{in the SM})$$

# Determination of CKM matrix elements



Excellent determination (error  $\sim 0.5\%$ )

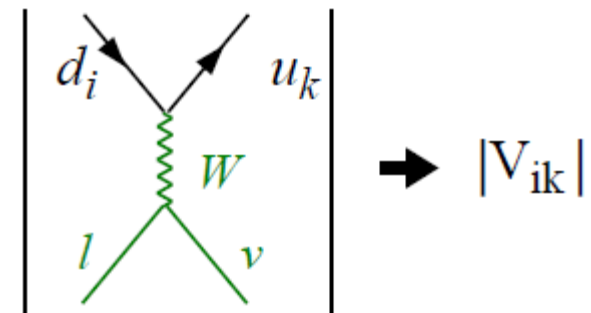
Very good determination (error  $\sim 0.1\%$ )

Good determination (error  $\sim 2\%$ )

Sizable error (5-15%)

Not competitive with unitarity constraints

Once we assume unitarity, the CKM matrix can be completely determined using only exp. info from processes mediated by tree-level c.c. amplitudes



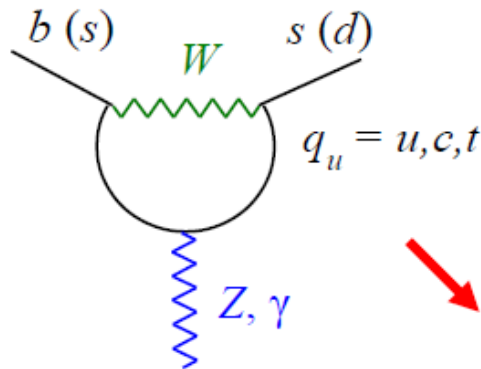
# Determination of CKM matrix elements

The only CKM elements we cannot access via tree-level processes are  $V_{ts}$  &  $V_{td}$

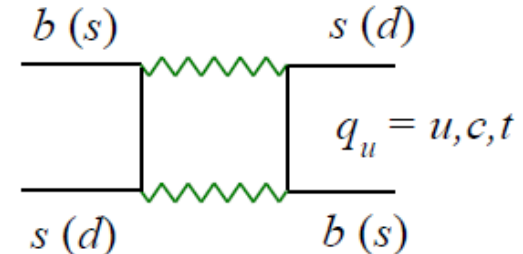


Loop-induced amplitudes:

$\Delta F = 1$  FCNC



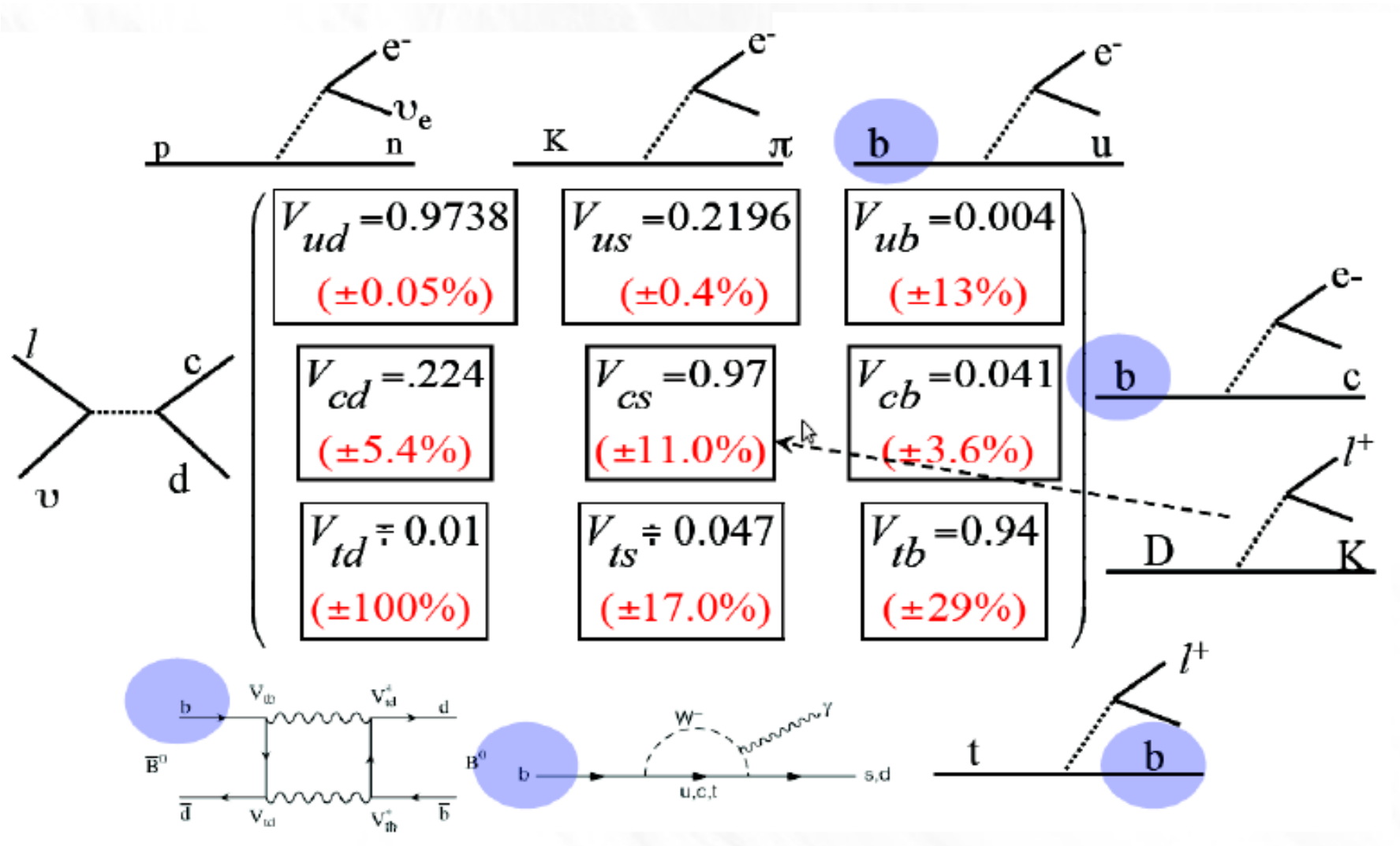
$\Delta F = 2$  (neutral-meson mixing)



GIM mechanism

[ large top-quark contribution:  $A \sim m_t^2 V_{tq}^* V_{tb}$  ]

# Determination of CKM matrix elements



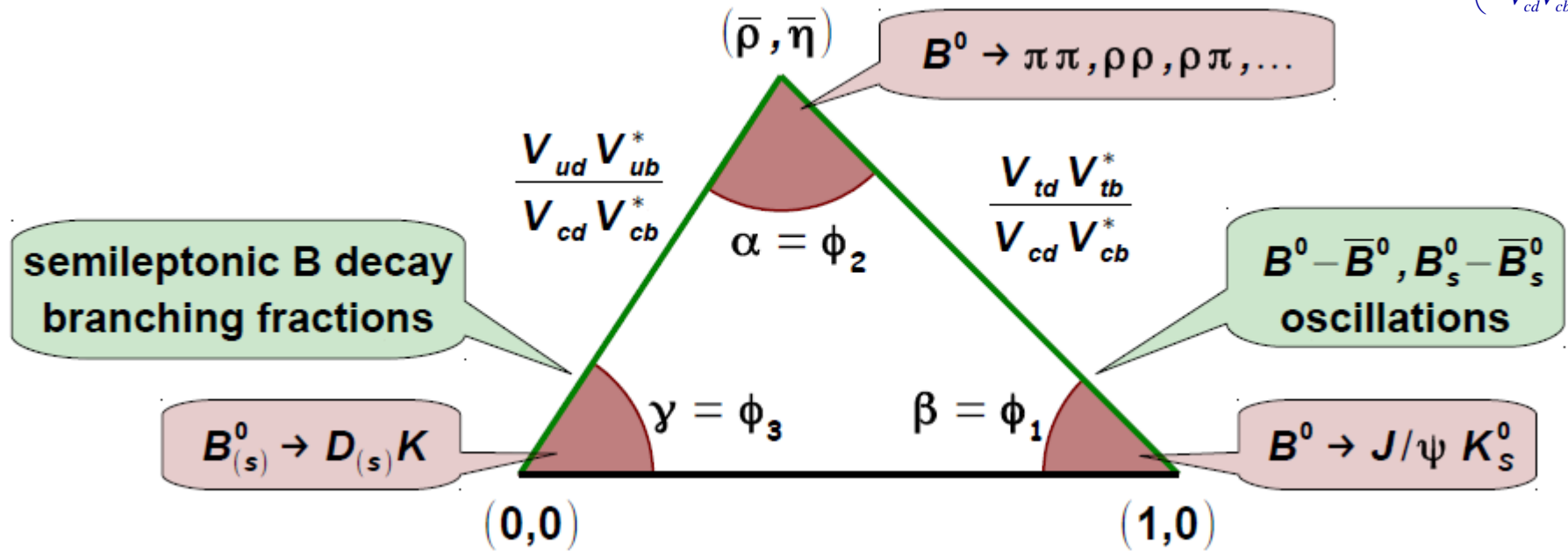
# The Unitarity Triangle

$$\alpha = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$$

$$\beta = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$$

$$\gamma = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$$

$$\underbrace{V_{ud}V_{ub}^*}_{A\lambda^3(\rho - i\eta)} + \underbrace{V_{cd}V_{cb}^*}_{-A\lambda^3} + \underbrace{V_{td}V_{tb}^*}_{A\lambda^3(1 - \rho - i\eta)} = 0 \Rightarrow 1 + \frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} + \frac{V_{td}V_{tb}^*}{V_{cd}V_{cb}^*} = 0$$

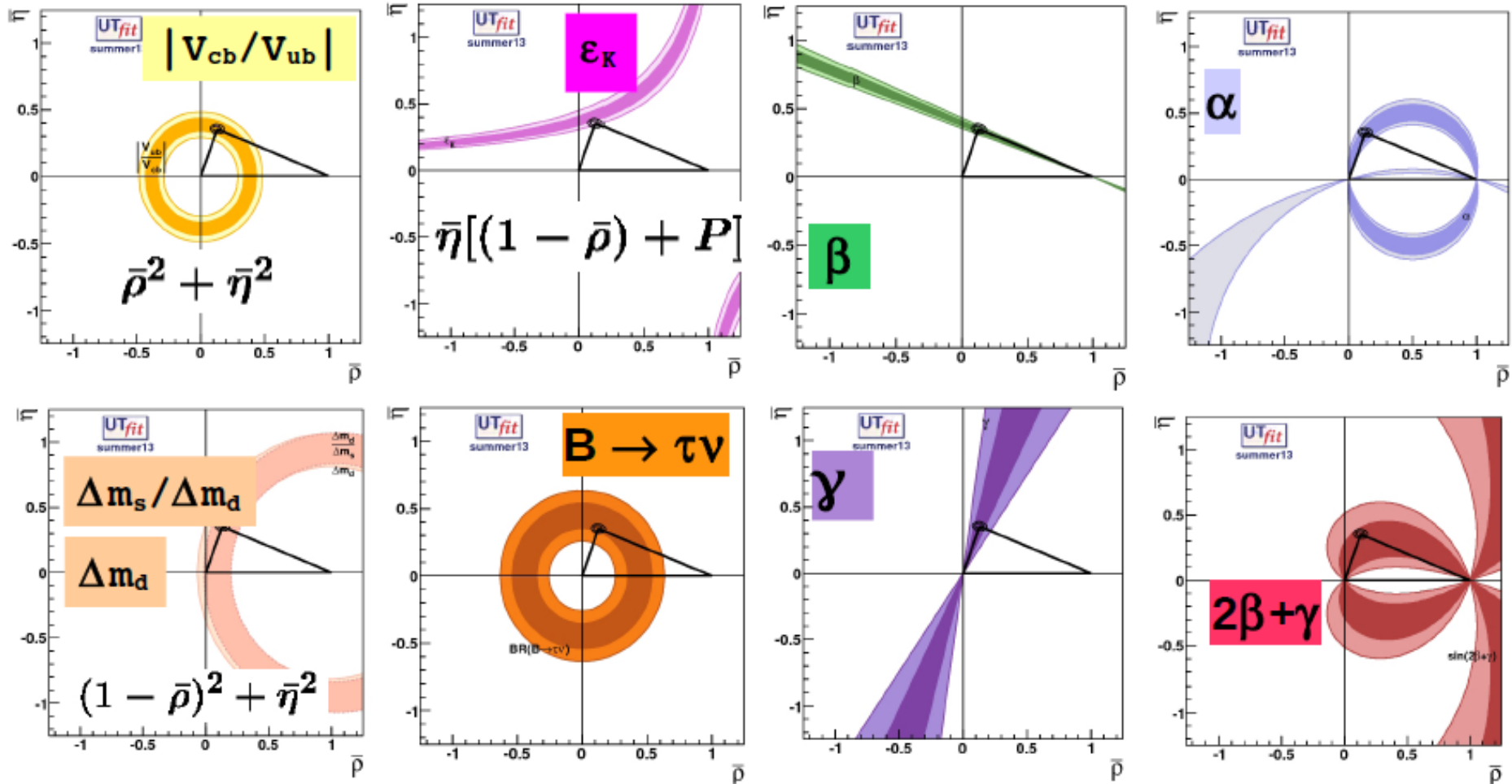


- measure the lengths of the two sides: **CP conserving quantities**
- measure all three angles: **CP violating quantities (angles = phases !)**
- many observables → **overconstraint determination of triangle**

**consistency check of Standard Model !**

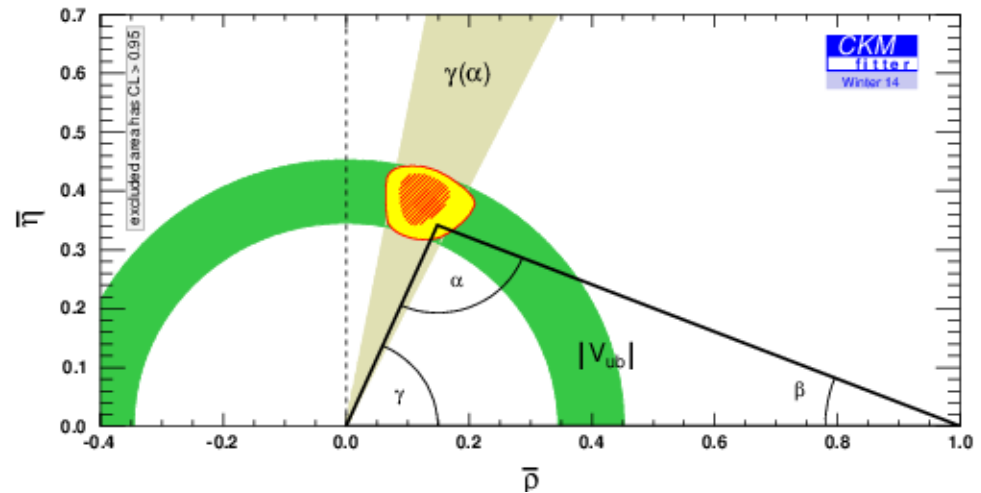


# Unitarity Triangle analysis in the SM

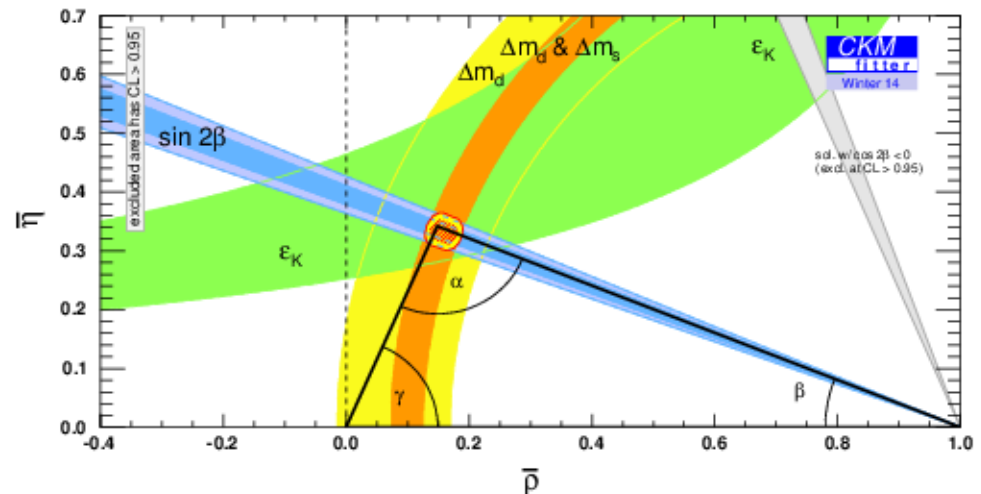


# The Unitarity Triangle : Tree vs Loop

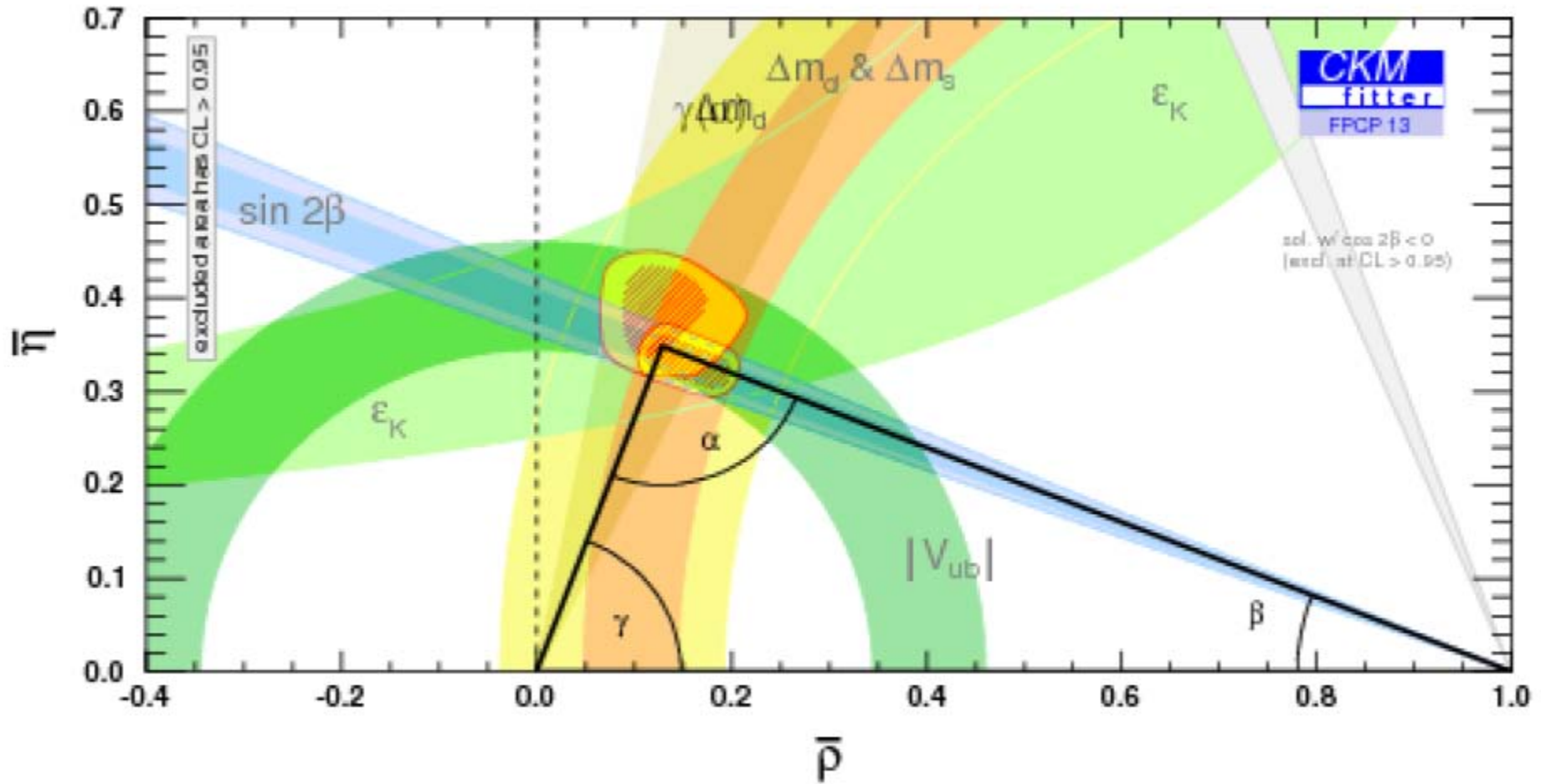
Unitarity triangle  
from  
“tree observables”



Unitarity triangle  
from  
“loop observables”

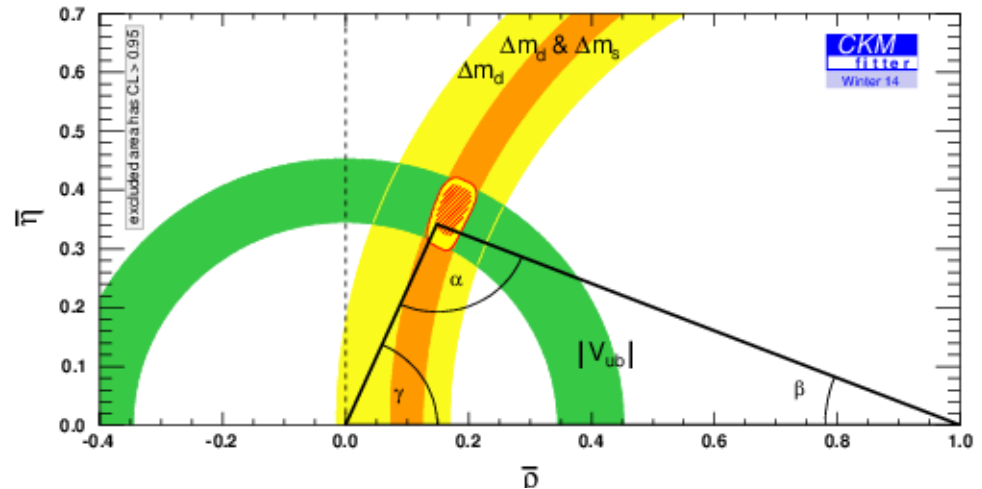


# The Unitarity Triangle : Tree vs Loop

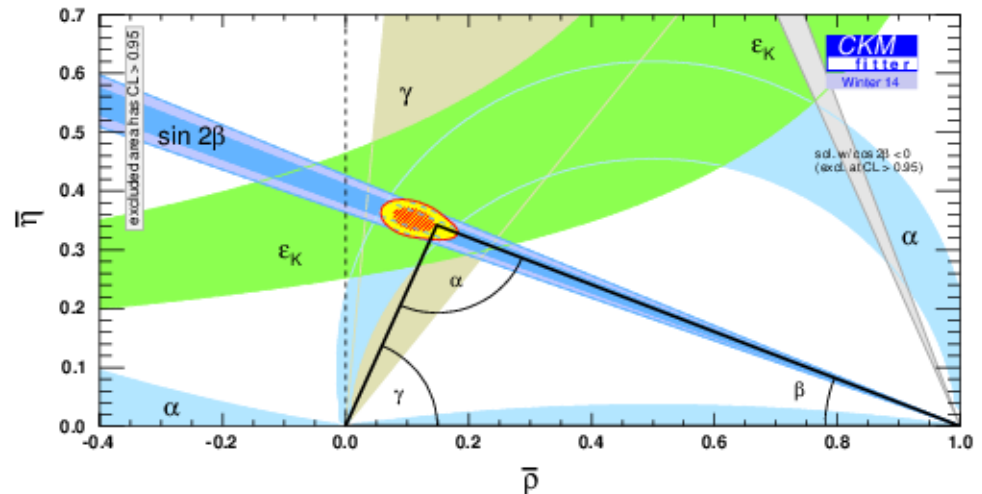


# The Unitarity Triangle : CP vs ~~CP~~

Unitarity triangle  
from  
“CP conserving observables”

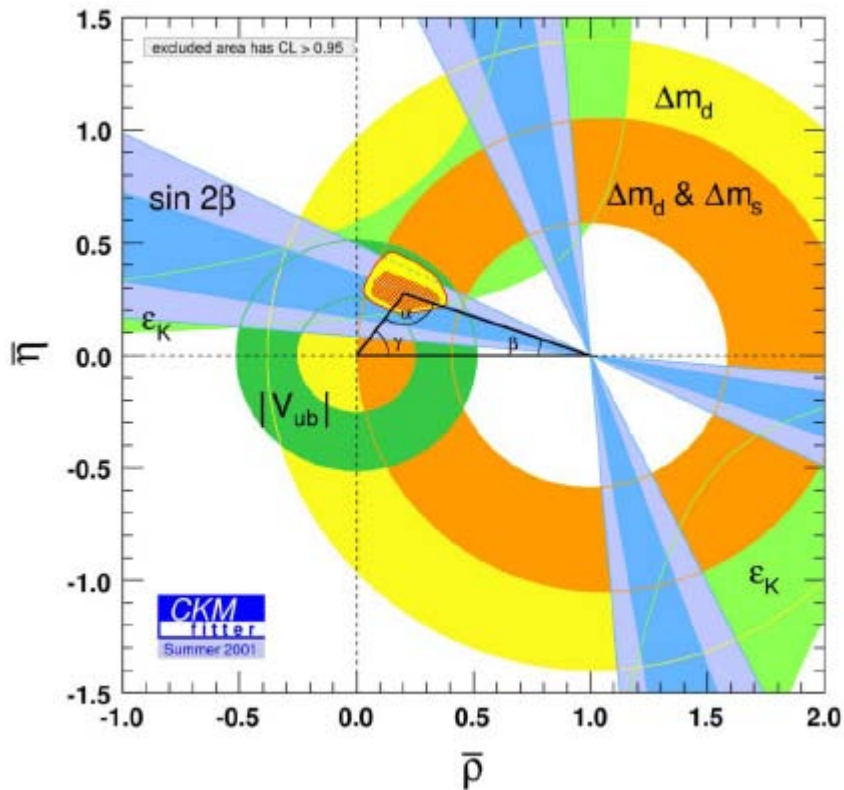


Unitarity triangle  
from  
“CP violating observables”

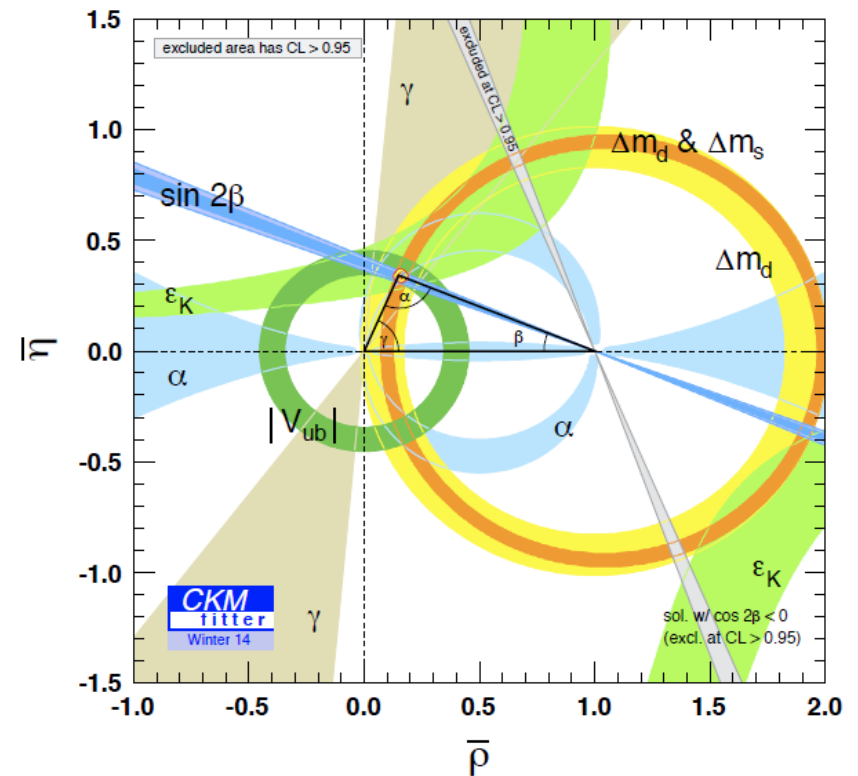


# The Unitarity Triangle: 2001 vs 2014

Summer 2001



Winter 2014



$$\alpha + \beta + \gamma = (175.2 \pm 9.3)^\circ$$

well with the CKM picture at O(10%) level

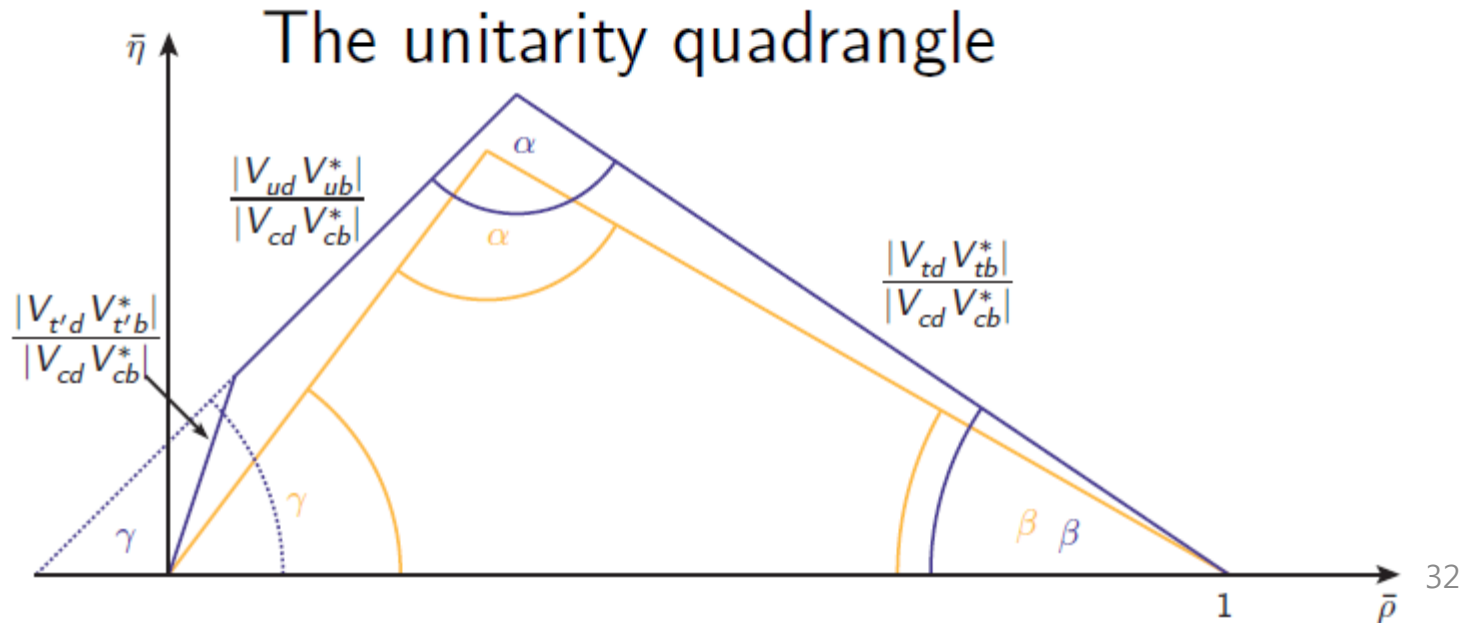
Direct	CKM fit
$\alpha = (88.8^{+4.5}_{-4.3})^\circ$	$(93.6^{+3.2}_{-2.9})^\circ$
$\beta = (21.5^{+0.8}_{-0.7})^\circ$	$(25.38^{+0.80}_{-1.57})^\circ$
$\gamma = (70^{+7.7}_{-9.0})^\circ$	$(66.4^{+1.2}_{-3.3})^\circ$
$-2\beta_s = +0.00 \pm 0.07$	$-0.0363^{+0.0014}_{-0.0012}$

# 4<sup>th</sup> generation and CKM matrix

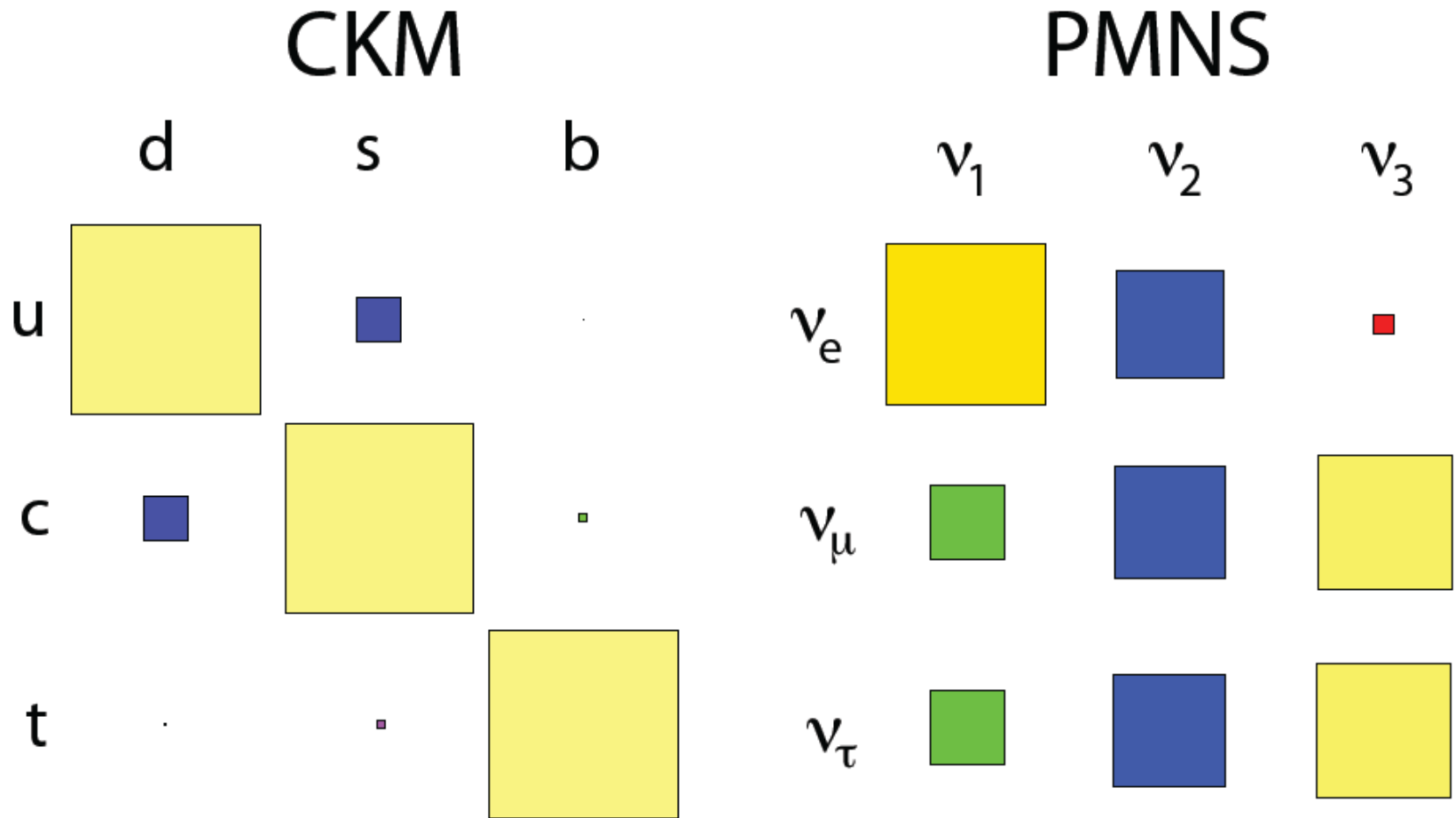


## The 4 × 4 CKM matrix

$$V_{CKM4} = \begin{pmatrix} c_{12}c_{13}c_{14} & c_{13}c_{14}s_{12} & c_{14}s_{13}e^{-i\delta_{13}} & s_{14}e^{-i\delta_{14}} \\ -c_{23}c_{24}s_{12} & c_{12}c_{23}c_{24} & c_{13}c_{24}s_{23} & c_{14}s_{24}e^{-i\delta_{24}} \\ -c_{12}c_{24}s_{13}s_{23}e^{i\delta_{13}} & -c_{24}s_{12}s_{13}s_{23}e^{i\delta_{13}} & -s_{13}s_{14}s_{24}e^{-i(\delta_{13}+\delta_{24}-\delta_{14})} & \\ -c_{12}c_{13}s_{14}s_{24}e^{i(\delta_{14}-\delta_{24})} & -c_{13}s_{12}s_{14}s_{24}e^{i(\delta_{14}-\delta_{24})} & & \\ -c_{12}c_{23}c_{34}s_{13}e^{i\delta_{13}} & -c_{12}c_{34}s_{23} & c_{13}c_{23}c_{34} & c_{14}c_{24}s_{34} \\ +c_{34}s_{12}s_{23} & -c_{23}c_{34}s_{12}s_{13}e^{i\delta_{13}} & -c_{13}s_{23}s_{24}s_{34}e^{i\delta_{24}} & \\ -c_{12}c_{13}c_{24}s_{14}s_{34}e^{i\delta_{14}} & -c_{12}c_{23}s_{24}s_{34}e^{i\delta_{24}} & -c_{24}s_{13}s_{14}s_{34}e^{i(\delta_{14}-\delta_{13})} & \\ +c_{23}s_{12}s_{24}s_{34}e^{i\delta_{24}} & -c_{13}c_{24}s_{12}s_{14}s_{34}e^{i\delta_{14}} & & \\ +c_{12}s_{13}s_{23}s_{24}s_{34}e^{i(\delta_{13}+\delta_{24})} & +s_{12}s_{13}s_{23}s_{24}s_{34}e^{i(\delta_{13}+\delta_{24})} & & \\ -c_{12}c_{13}c_{24}c_{34}s_{14}e^{i\delta_{14}} & -c_{12}c_{23}c_{34}s_{24}e^{i\delta_{24}} & -c_{13}c_{23}s_{34} & c_{14}c_{24}c_{34} \\ +c_{12}c_{23}s_{13}s_{34}e^{i\delta_{13}} & +c_{12}s_{23}s_{34} & -c_{13}c_{34}s_{23}s_{24}e^{i\delta_{24}} & \\ +c_{23}c_{34}s_{12}s_{24}e^{i\delta_{24}} & -c_{13}c_{24}c_{34}s_{12}s_{14}e^{i\delta_{14}} & -c_{24}c_{34}s_{13}s_{14}e^{i(\delta_{14}-\delta_{13})} & \\ -s_{12}s_{23}s_{34} & +c_{23}s_{12}s_{13}s_{34}e^{i\delta_{13}} & & \\ +c_{12}c_{34}s_{13}s_{23}s_{24}e^{i(\delta_{13}+\delta_{24})} & +c_{34}s_{12}s_{13}s_{23}s_{24}e^{i(\delta_{13}+\delta_{24})} & & \end{pmatrix}$$



# CKM vs PMNS: flavor problems



- Why are the CKM elements so hierarchical and diagonal?
- Why is the PMNS matrix so different from the CKM matrix?