

# Radiative Kähler moduli stabilization

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with

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# Introduction

## The standard model of particle physics

Gauge group:  $SU(3) \times SU(2) \times U(1)$

Matter content:

	spin1/2	$SU(3)_c, SU(2)_L, U(1)_Y$
quarks ( $\times 3$ families)	$Q^i = (u_L, d_L)^i$	$(3, 2, 1/6)$
	$u_R^i$	$(\bar{3}, 2, -2/3)$
	$d_R^i$	$(\bar{3}, 1, 1/3)$
leptons ( $\times 3$ families)	$L^i = (\nu, e_L)^i$	$(1, 2, -1/2)$
	$e_R^i$	$(1, 1, 1)$
	spin0	
Higgs	$H = (H^+, H^0)$	$(1, 2, -1/2)$

	spin1	$SU(3)_c, SU(2)_L, U(1)_Y$
gluon	$g$	$(8, 1, 0)$
W bosons	$W^\pm \quad W^0$	$(1, 3, 0)$
B boson	$B^0$	$(1, 1, 0)$

# Introduction

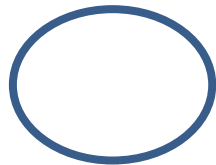
## Problem:

No gravitational interaction in the standard model

## String theory

A good candidate for the unified theory of the gauge and gravitational interactions

Closed string



→ Graviton



Dp-brane

Ramond-Ramond field:  $C_{p+1}$

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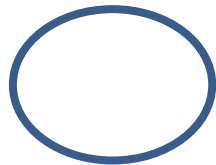
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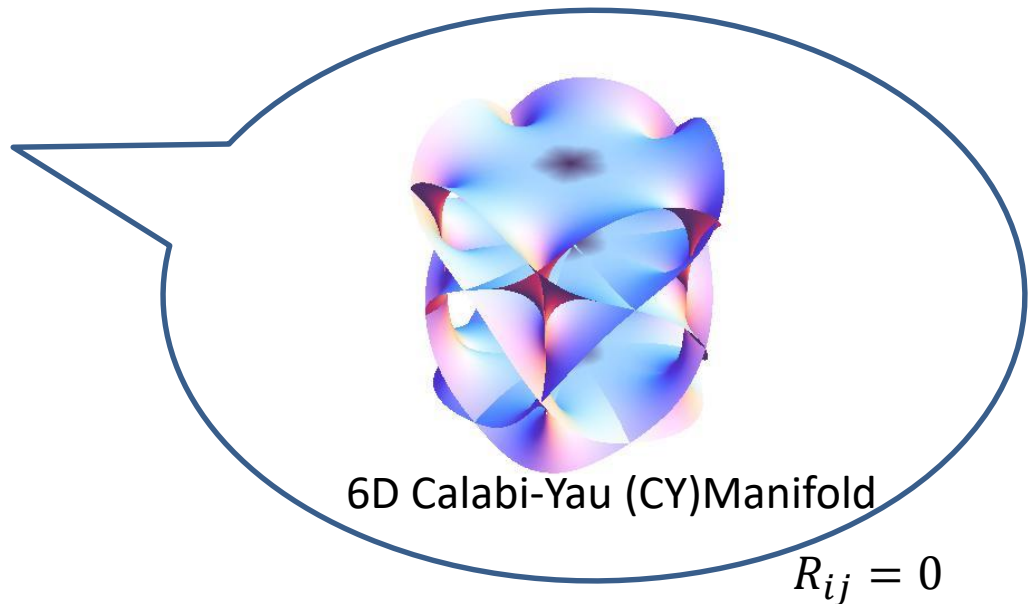
Dp-brane

Ramond-Ramond field:  $C_{p+1}$

→ Gauge fields

(Perturbative) superstring theory requires the extra 6 dimension.

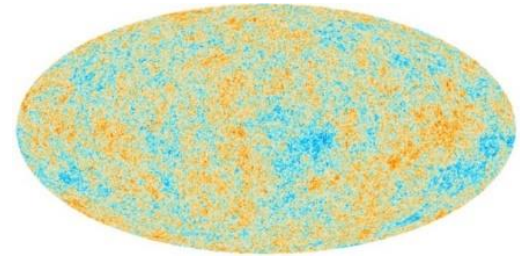
$$10 = 4 + 6$$



- The geometric parameters of extra 6D dimensional space  
→ 4D scalar fields (called moduli)
- Unless they are stabilized, it will lead to unobserved fifth forces.
- Stabilization of the extra dimensional space  
→ Moduli stabilization (creating a moduli potential)

# Moduli are ubiquitous in string compactifications

- Good candidate of inflaton



- Supersymmetry breaking

- Moduli cosmology

Moduli interact with matter fields gravitationally.  
→ Such long-lived particles affect the cosmology  
of the early Universe  
(e.g., dark matter abundance, baryon asymmetry,...)

## ○ Ultralight axions

In the LARGE volume scenario,  
(Type IIB string on Calabi-Yau (CY) manifold)

$$V_{\text{axion}} \sim e^{-\mathcal{V}^{2/3}} \cos\left(\frac{a}{f}\right)$$

Axion mass is suppressed by the LARGE CY volume  $\langle \mathcal{V} \rangle \gg l_s^6$   
 $l_s$ : string length

Such an ultralight axion is a target of astrophysical and cosmological observations.

From such phenomenological points of view,  
it is quite important to discuss the moduli dynamics.

# Outline

- Introduction

- **Moduli stabilization in type IIB string**

  - (i) **KKLT scenario**

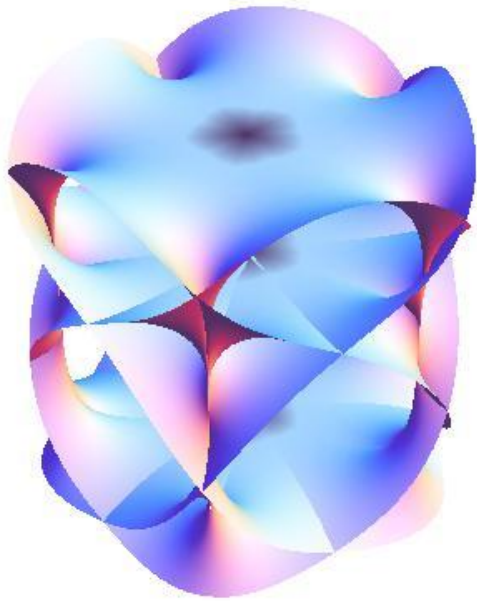
  - (ii) **LARGE volume scenario (LVS)**

- **Radiative Kähler moduli stabilization**

- **Conclusion**

# Moduli fields (4D massless scalar fields) :

## Closed string moduli



i) Dilaton :  $S$

$$\langle \text{Re}(S) \rangle = g_s^{-1}$$

$g_s$ : string coupling

ii) Kähler moduli :  $T$

Size of the internal cycles

iii) Complex structure moduli :  $U$

Shape

# ○ String axions

## Imaginary parts of moduli fields

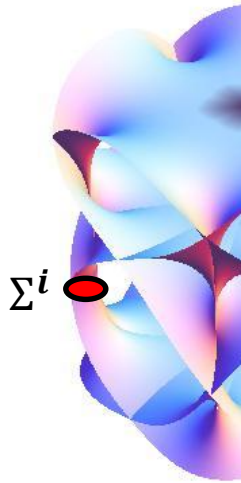
e.g.

Integrating the 4-form  $C_4$  over the internal 4-cycles  $\Sigma^i$  of internal manifold,

$$\sigma^i(x) = \int_{\Sigma^i} C_4$$

Kähler moduli :  $T^i = \tau^i + i\sigma^i$ ,  $(i = 1, 2, \dots, h^{1,1})$

$\tau^i$ : The volume of 4-cycles



A lot of axions originating from higher dimensional form fields

# ○ String axions

## Imaginary parts of moduli fields

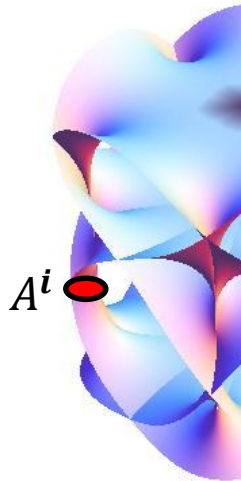
e.g.

Integrating the hol.3-form  $\Omega$  over the internal 3-cycles  $A^i$  of internal manifold,

$$U^i(x) = \int_{A^i} \Omega$$

Complex structure moduli

$$i = 1, 2, \dots, h^{2,1}$$



$h^{2,1}$ : # of three-cycles of CY

# Flux compactification

Flux compactification is useful to stabilize the moduli fields.

Let us consider higher-dimensional Maxwell's theory on  $R^{1,3} \times M$ ,

$$\int_{R^{1,3} \times M} F_p \wedge^* F_p$$

When there exists a magnetic flux  $F_p$  in a cycle  $\Sigma_p$  of  $M$

$$\int_{\Sigma_p} F_p = n \in \mathbb{Z}$$

It generates a potential depending on the metric of extra dimension  
→ Generating moduli potential

# Flux compactification in type IIB string on Calabi-Yau(CY)

Type IIB string on  $R^{1,3} \times \text{CY}$ ,

$$\int_{R^{1,3} \times \text{CY}} G_3 \wedge * G_3$$

$$G_3 = F_3 - iSH_3 \quad : \text{three-form}$$

The fluxes on the three-cycle of CY ( $\Sigma_3$ ) generate the moduli potential,

$$\int_{\Sigma_3} F_3 \quad \int_{\Sigma'_3} H_3$$

We can stabilize the dilaton  $S$  and all the complex structure moduli  $U^i$  ( $i = 1, 2, \dots, h^{2,1}$ ). [Giddings-Kachru-Polchinski '02]

$h^{2,1}$ : # of three-cycles of CY

# Flux compactification in type IIB string on Calabi-Yau(CY)

After the Kaluza-Klein reductions,  
type IIB string on CY orientifold is described by 4D N=1 supergravity  
action.

Scalar potential (in units of  $M_{\text{pl}} = 2.4 \times 10^{18} \text{GeV}$ ):

$$V = e^K \left( \sum_{I,J} K^{I\bar{J}} D_I W D_{\bar{J}} \bar{W} - 3|W|^2 \right)$$
$$D_I = \partial_I + K_I$$
$$K_I = \partial_I K$$

The flux-induced superpotential

$$W = W_{\text{flux}}(S, U)$$

[Gukov-Vafa-Witten '00]

leads to the stabilization of  $S$  and  $U$  at the supersymmetric minimum.

$$D_S W = D_U W = 0$$

The remaining problem of string compactification is how to stabilize the Kähler moduli.

○KKLT scenario

[Kachru-Kallosh-Linde-Trivedi '03]

Non-perturbative effects

○LARGE volume scenario

[Balasubramanian-Berglund-Conlon-Quevedo '05]

Stringy correction( $\alpha'$ -corrections)+Non-perturbative effects

○Radiative Kahler moduli stabilization

[Kobayashi-Omoto-Otsuka-Tatsuishi '17]

Stringy correction( $\alpha'$ -corrections)+ radiative corrections from sparticles

# Outline

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- Moduli stabilization in type IIB string

  - (i) **KKLT scenario**

  - (ii) **LARGE volume scenario (LVS)**

- **Radiative Kähler moduli stabilization**

- **Conclusion**

# ①KKLT scenario

[Kachru-Kalosh-Linde-Trivedi '03]

## ○Non-perturbative effects in the superpotential

$$K = -2 \ln(\mathcal{V})$$

$$W = W_0 + \sum_i A_i e^{-a_i T_i}$$

$\mathcal{V}(T)$  : CY volume

$$W_0 = \langle W_{\text{flux}} \rangle$$

$$V_F = e^K \left( K^{T_i \bar{T}_j} D_{T_i} W D_{\bar{T}_j} \bar{W} - 3|W|^2 \right)$$

Stabilization of Kähler moduli:

$$D_{T_i} W = \partial_{T_i} W + (\partial_{T_i} K) W = 0$$

$$\text{Re}(T_i) \sim \frac{|\ln(W_0)|}{a_i} \gg 1$$

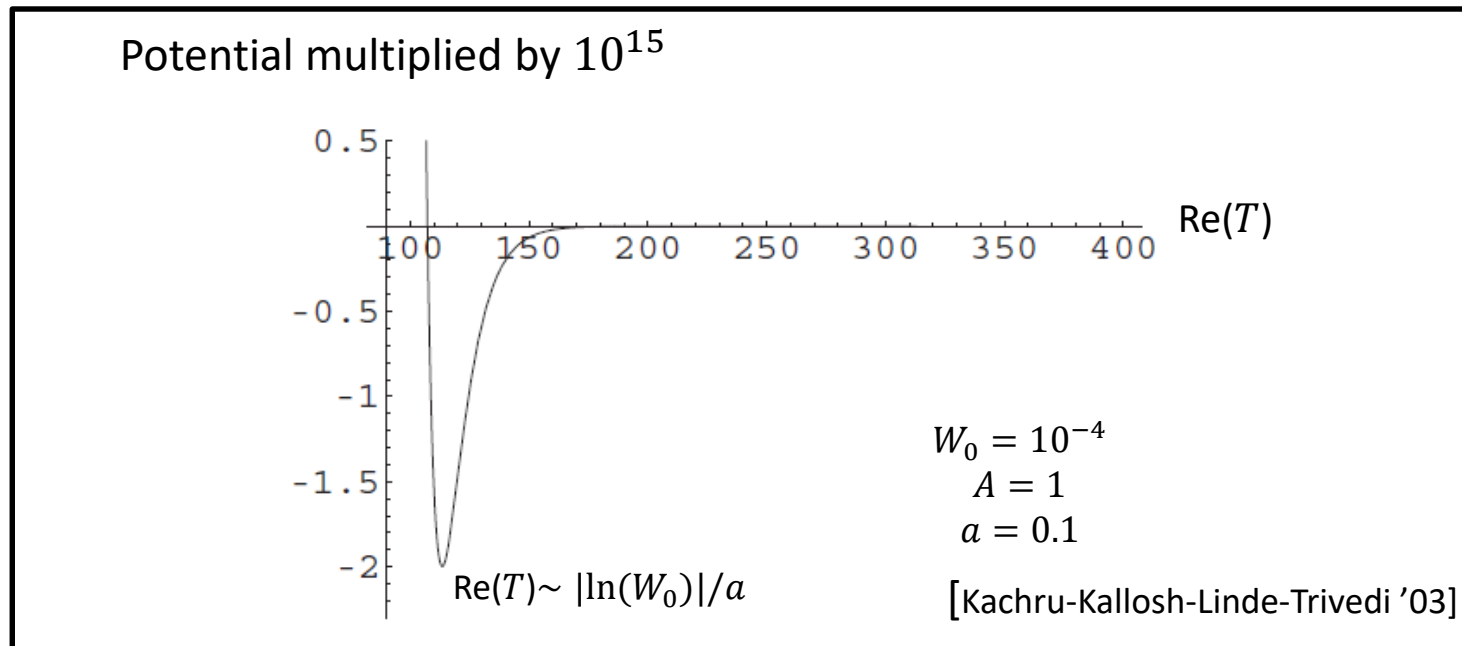
However, we require the tuning of  $W_0$  to achieve  $D_{T_i} W = 0$ .

## ① KKLT scenario

When the number of Kahler modulus is  $h^{1,1} = 1$ ,

$$K = -3\ln(T + \bar{T})$$

$$W = W_0 + Ae^{-aT}$$



However, we require the tuning of  $W_0$  to achieve  $\text{Re}(T) \gg 1$ .

## ② LARGE volume scenario

[Balasubramanian-Berglund-Conlon-Quevedo '05]

- The inclusion of stringy  $\alpha'$ -corrections to the Kähler potential
- Non-perturbative effects in the superpotential

$$K = -2 \ln(\mathcal{V} + \xi)$$

$$W = W_0 + \sum_i A_i e^{-a_i T_i}$$

$\mathcal{V}(T)$  : CY volume

$$W_0 = \langle W_{\text{flux}} \rangle$$

The stringy  $\alpha'$ -corrections (from 10D  $R^4$  term)

[Becker-Becker-Haack-Louis '02]

$$\xi \simeq -2.4 \times 10^{-3} \chi g_s^{-3/2}$$

$\chi$  : Euler number of CY

$g_s$  : String coupling

## ② LARGE volume scenario

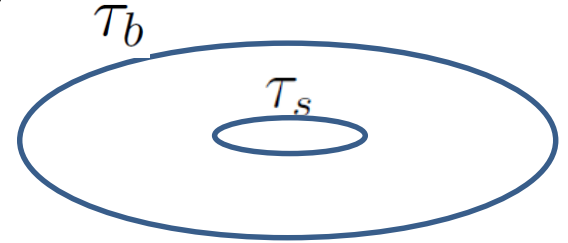
[Balasubramanian-Berglund-Conlon-Quevedo '05]

For Calabi-Yau  $P^4_{[1,1,1,6,9]}$  with two Kähler moduli,

Volume of CY :  $\mathcal{V} = \tau_b^{3/2} - \tau_s^{3/2}$

$$K = -2 \ln(\mathcal{V} + \xi)$$

$$W = W_0 + A_s e^{-a_s T_s}$$



$$\tau_s = \text{Re}(T_s)$$

The scalar potential:

$$V_F = \frac{a_s^2 |A_s|^2 e^{-2a_s \tau_s}}{\mathcal{V}} - \frac{a_s |A_s W| \tau_s e^{-a_s \tau_s}}{\mathcal{V}^2} + \frac{3\xi |W|^2}{4\mathcal{V}^3} + \mathcal{O}\left(\frac{1}{\mathcal{V}^4}\right)$$

The two Kähler moduli are stabilized at

$$\langle \mathcal{V} \rangle \sim |W_0| e^{a_s \tau_s} \quad \langle \tau_s \rangle \sim \xi^{2/3}$$

## ② LARGE volume scenario

[Balasubramanian-Berglund-Conlon-Quevedo '05]

The two Kähler moduli are stabilized at

$$\langle \mathcal{V} \rangle \sim |W_0| e^{a_s \tau_s} \quad \langle \tau_s \rangle \sim \xi^{2/3}$$

○ CY volume is extremely large  $\langle \mathcal{V} \rangle \sim |W_0| e^{a_s \tau_s} \gg 1$

○ We require the positive  $\xi$

$$\xi \simeq -2.4 \times 10^{-3} \chi g_s^{-3/2} > 0$$

Euler number of CY should be negative, namely

$$\chi = 2(h^{1,1} - h^{2,1}) < 0 \leftrightarrow h^{2,1} > h^{1,1}$$

# of complex structure moduli > # of Kahler moduli

## ② LARGE volume scenario

[Balasubramanian-Berglund-Conlon-Quevedo '05]

○ Ultralight axion :

Non-perturbative effects

$$W_{\text{non}} = A_b e^{-a_b T_b}$$

$$T_b = \tau_b + i\sigma_b$$

generate the potential of axion associated with volume modulus.

$$V_{\text{axion}} \sim e^{-\mathcal{V}^{2/3}} \cos(a_b \sigma_b)$$

$$\langle \mathcal{V} \rangle \sim |W_0| e^{a_s \tau_s} \gg 1$$

LARGE volume leads to the tiny mass of axion.

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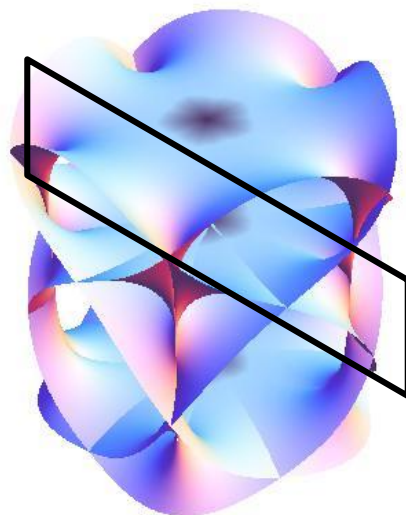
  - (i) KKLT scenario

  - (ii) LARGE volume scenario (LVS)

- **Radiative Kähler moduli stabilization**

- **Conclusion**

○ The inclusion of matter fields  $Q_a$  living on D7-branes



D7-branes wrapping  $T_i$   
 $i \in \{1, 2, \dots, h^{1,1}\}$

$$K_{\text{matter}} = Z_{a\bar{a}}^{(i)} |Q_a|^2$$

$$\text{Kähler metric: } Z_{a\bar{a}}^{(i)} = (T_i + \bar{T}_i)^{-n_i^a}$$

Also, the gaugino fields localized on D7-branes

$$\text{Gauge kinetic function: } f = \frac{T_i}{2\pi}$$

○ The inclusion of matter fields  $Q_a$  living on D7-branes

$$K = -2 \ln(\mathcal{V} + \xi) + Z_{a\bar{a}}^{(i)} |Q_a|^2$$

$$W = W_0$$

$$W_0 = \langle W_{\text{flux}} \rangle$$

Scalar potential :  $V_{\alpha'} \simeq \frac{3\xi |W|^2}{4\mathcal{V}^3}$

Volume modulus  $\mathcal{V}$  runs away to  $\mathcal{V} \rightarrow \infty$

Moduli F-term

$$F^{T_i} = -e^K K^{T_i \bar{T}_j} K_{\bar{T}_j} \bar{W}_0 \neq 0$$

generates the soft masses

$$m_a^2 \simeq V_{\alpha'} + (1 - n_i^a) m_{3/2}^2$$

$$M_f \simeq m_{3/2}$$

$$m_{3/2} \simeq W_0 / \mathcal{V}$$

Sparticle masses depend on the CY volume,

$$m_a^2 \simeq V_{\alpha'} + (1 - n_i^a) m_{3/2}^2$$

$$M_f \simeq m_{3/2}$$

$$m_{3/2} \simeq W_0/\mathcal{V}$$

→ Radiative corrections through the Coleman-Weinberg (CW) potential

$$V_{\text{CW}} = \frac{1}{32\pi^2} \int^{\Lambda^2} dk^2 k^2 \text{STr} \ln(k^2 + M^2)$$

$$\simeq \frac{c_1}{32\pi^2} \Lambda^2 m_{3/2}^2 + \mathcal{O}(m_{3/2}^4)$$

$$c_1 \simeq c_b - 2c_f + 4$$

We fix the cutoff scale  $\Lambda = m_{\text{KK}}$  as the mass of Kaluza-Klein mode.

$$m_{\text{KK}} = \frac{\sqrt{\pi}}{\mathcal{V}^{2/3}} M_{\text{Pl}}$$

# Scalar potential

○  $\alpha'$ -corrections and radiative corrections

$$V_F = V_{\alpha'} + V_{\text{CW}}$$

In units of  $\Lambda = m_{\text{KK}} = 1$

$$M_{\text{Pl}} = \frac{\mathcal{V}^{2/3}}{\sqrt{\pi}} \quad W_0 \equiv \hat{W}_0 M_{\text{Pl}}^3$$

$$V_F \simeq \frac{|\hat{W}_0|^2}{\pi^2} \left[ \frac{3\xi}{4\mathcal{V}^{1/3}} + \frac{c_1}{32\pi^2} \frac{\pi}{\mathcal{V}^{2/3}} \right]$$

Volume modulus is perturbatively stabilized at

$$\langle \mathcal{V} \rangle \simeq 18664 \left( -\frac{c_1/\xi}{10^3} \right)^3$$

From the positivity of  $\langle \partial_{\mathcal{V}} \partial_{\mathcal{V}} V_F \rangle$        $c_1 > 0$  and  $\xi < 0$

Volume modulus is perturbatively stabilized at

$$\langle \mathcal{V} \rangle \simeq 18664 \left( -\frac{c_1/\xi}{10^3} \right)^3$$

$$c_1 > 0 \text{ and } \xi < 0$$

However, the potential energy becomes negative at this minimum,

$$V_F < 0$$

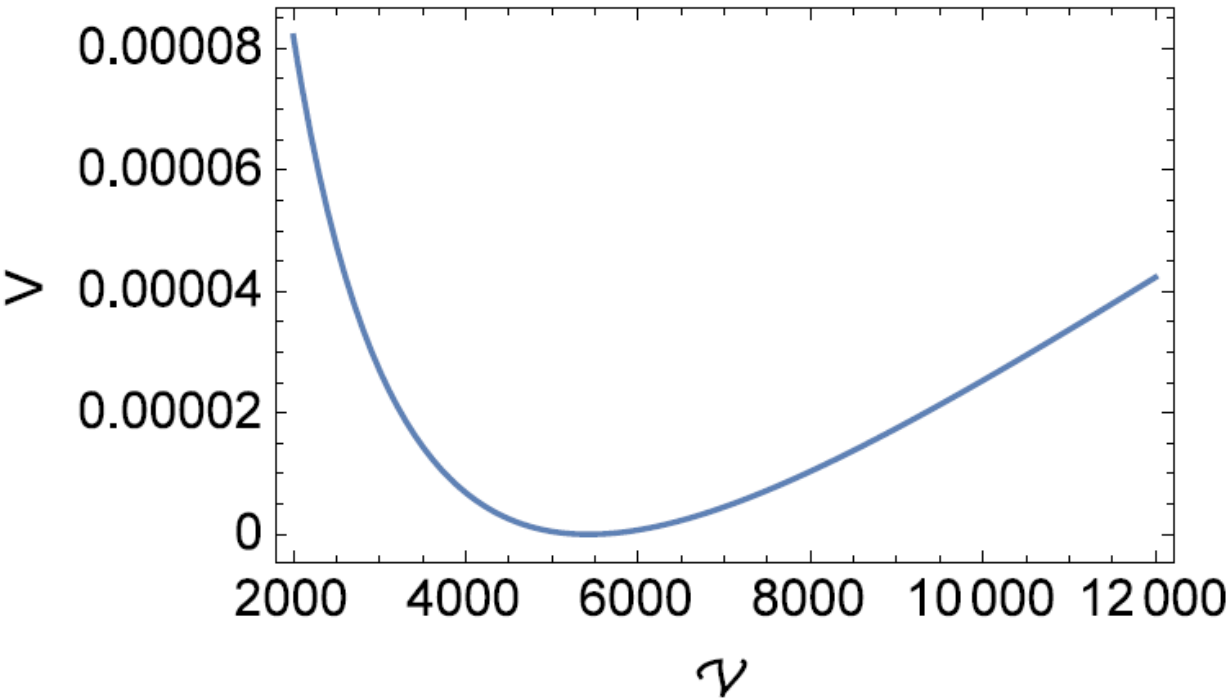
To achieve tiny cosmological constant,  
we introduce the anti-D3 branes

$$V_{\text{up}} = \frac{\epsilon}{\mathcal{V}^2} M_{\text{Pl}}^4$$

We have checked that such an uplifting term does not change the structure of moduli stabilization scenario.

○The scalar potential in units of  $\Lambda = m_{\text{KK}}$

$$e^{\langle K(S,U) \rangle / 2} |\hat{W}_0| = 1 \qquad g_s = 0.1 \qquad \xi = -0.1$$
$$n_i^a = 0, \qquad c_b = 120 \qquad c_f = 12.$$



## ○ Mass scales of typical modes

Volume modulus

$$m_\tau \simeq 3.5 \times 10^{-3} |\hat{W}_0| \left( -\frac{10^3}{c_1/\xi} \right)^{3/2} (-\xi)^{1/2} m_{\text{KK}}$$

Gravitino

$$m_{3/2} \simeq 3.2 \times 10^{-2} \left( -\frac{10^3}{c_1/\xi} \right) |\hat{W}_0| m_{\text{KK}}$$

Dilaton and Complex structure moduli

$$m_{U,S} = N m_{3/2}$$

KK mode

$$m_{\text{KK}} = 1$$

Stringy mode

$$m_{\text{st}} = 2.4 \left( \frac{g_s}{10^{-1}} \right)^{1/4} \left( -\frac{10^3}{c_1/\xi} \right)^{1/2} m_{\text{KK}}$$

Planck scale

$$M_{\text{Pl}} = 176 \left( -\frac{c_1/\xi}{10^3} \right)^2 m_{\text{KK}}$$

$$m_\tau, m_{3/2} < m_U, m_S < m_{\text{KK}} < m_{\text{st}} < M_{\text{Pl}}$$

○ Mass scales of typical modes

$$e^{\langle K(S,U) \rangle / 2} |\hat{W}_0| = 1 \qquad g_s = 0.1 \qquad \xi = -0.1$$

$$c_1 \simeq c_b - 2c_f + 4 \qquad c_b^{(\text{MSSM})} = 52 \qquad c_f^{(\text{MSSM})} = 12 \qquad \mathcal{V} \simeq \kappa (T + \bar{T})^{3/2}$$

Scale	$c_1 = 50$	$c_1 = 100$	$c_1 = 1000$
$\mathcal{V}$	691	5530	$5.5 \times 10^6$
$\tau$	$39 \kappa^{-2/3}$	$156 \kappa^{-2/3}$	$1.6 \times 10^4 \kappa^{-2/3}$
$m_\tau [\text{GeV}]$	$1.7 \times 10^{14}$	$1.5 \times 10^{13}$	$4.8 \times 10^9$
$m_{3/2} [\text{GeV}]$	$3.5 \times 10^{15}$	$4.4 \times 10^{14}$	$4.4 \times 10^{11}$
$m_{U,S} [\text{GeV}]$	$3.5 N \times 10^{15}$	$4.4 N \times 10^{14}$	$4.4 N \times 10^{11}$
$m_{\text{KK}} [\text{GeV}]$	$5.5 \times 10^{16}$	$1.4 \times 10^{16}$	$1.4 \times 10^{14}$
$m_{\text{st}} [\text{GeV}]$	$9.1 \times 10^{16}$	$3.2 \times 10^{16}$	$1.0 \times 10^{15}$
$M_{\text{Pl}} [\text{GeV}]$	$2.4 \times 10^{18}$	$2.4 \times 10^{18}$	$2.4 \times 10^{18}$

## Radiative Kähler moduli stabilization

- The inclusion of  $\alpha'$ -corrections and radiative corrections  
→ Stabilization of CY volume without tuning flux-induced superpotential

$$\langle \mathcal{V} \rangle \simeq 18664 \left( -\frac{c_1/\xi}{10^3} \right)^3$$

- Large number of sparticle contributions

$$c_1 \simeq c_b - 2c_f + 4 = 10^{2-3}$$

- Positive Euler number of CY

$$\xi \simeq -2.4 \times 10^{-3} \chi g_s^{-3/2} < 0$$

$$\chi = 2(h^{1,1} - h^{2,1}) > 0 \leftrightarrow h^{1,1} > h^{2,1}$$

# of complex structure moduli  $<$  # of Kähler moduli

# Comment on the stabilization of other Kähler Moduli

(I) Non-perturbative effects

Similar to the LVS,

$$K = -2 \ln(\mathcal{V} + \xi)$$

$$W = W_0 + A_s e^{-a_s T_s}$$

$$V_F = \underbrace{\frac{a_s^2 |A_s|^2 e^{-2a_s \tau_s}}{\mathcal{V}} - \frac{a_s |A_s W| \tau_s e^{-a_s \tau_s}}{\mathcal{V}^2} + \frac{3\xi |W|^2}{4\mathcal{V}^3}}_{V_{\text{LVS}}} + \underbrace{\frac{c_1}{32\pi^2} \Lambda^2 m_{3/2}^2}_{V_{\text{CW}}}$$

Volume modulus and another modulus can be stabilized at

$$a_s \tau_s \sim \ln(\mathcal{V}) \quad \mathcal{V} \simeq 18664 \left( -\frac{c_1/\hat{\xi}}{10^3} \right)^3 \quad \hat{\xi} \equiv \xi - \frac{4}{3} \frac{(\tau_s)^2}{(-\kappa_{ssi} t^i)}$$

# Comment on the stabilization of other Kähler Moduli

## (II) D-term stabilization

Anomalous U(1)s on hidden  $D7_i$ -branes ( or fractional D3-branes)

$$V_D^{D7} = \sum_i \left( q_{T_i}^{(D7_i)} \partial_{T_i} K - q_m |\phi_m|^2 \right)^2$$

generate the moduli potential induced by the Fayet-Iliopoulos term.

Anomalous U(1) gauge bosons eat the linear combination of string axions.

For vanishing matter fields,  
some moduli correspond to the blow-up modes (brane at singularities).

# Ultralight axion

○ Scalar potential is a function of CY volume.

→ The axion associated with CY volume remains massless.

Axion potential is generated by the non-perturbative effects,

$$W = W_0 + e^{-\frac{2\pi}{n}T}$$

where CY volume is approximated as  $\mathcal{V} \simeq (T + \bar{T})^{3/2}$

Axion mass and its decay constant

$$\frac{m_\theta}{m_{3/2}} \simeq \mathcal{V} e^{-\frac{\pi}{2n}\mathcal{V}^{2/3}} \quad f_\theta \simeq \frac{\sqrt{6}n M_{\text{Pl}}}{2\pi \mathcal{V}^{2/3}}$$

Scale	$n = 1$	$n = 3$	$n = 5$	$n = 7$	$n = 9$
$m_\theta/m_{3/2}$	$2.3 \times 10^{-209}$	$7.4 \times 10^{-68}$	$9.7 \times 10^{-40}$	$9.1 \times 10^{-28}$	$3.7 \times 10^{-21}$
$f_\theta[\text{GeV}]$	$3 \times 10^{15}$	$9 \times 10^{15}$	$1.5 \times 10^{16}$	$2 \times 10^{16}$	$2.7 \times 10^{16}$

# Kähler moduli stabilization

## OKKLT scenario

[Kachru-Kallosh-Linde-Trivedi '03]

Non-perturbative effects

$$\text{Tuning : } |W_{\text{flux}}| \ll M_{\text{Pl}}^3$$

## OLARGE volume scenario

[Balasubramanian-Berglund-Conlon-Quevedo '05]

Stringy corrections + Non-perturbative effects

$$\text{No tuning : } |W_{\text{flux}}| \sim M_{\text{Pl}}^3 \quad \text{Euler number of CY is negative}$$

## ORadiative Kähler moduli stabilization

[Kobayashi-Omoto-Otsuka-Tatsuishi '17]

Stringy corrections + radiative corrections from sparticles

$$\text{No tuning : } |W_{\text{flux}}| \sim M_{\text{Pl}}^3 \quad \text{Euler number of CY is positive}$$

## Conclusion

- Leading  $\alpha'$ -and radiative corrections
- **Stabilization of overall Kähler modulus**

if the Euler number of CY is positive

- Other Kahler moduli can be stabilized by D-terms and/or non-perturbative effects
- Prediction of Ultralight axion

## Discussion

- Cosmology and phenomenology of the ultralight axion
- Explicit moduli stabilization in a detailed setup