



中国科学院高能物理研究所
Institute of High Energy Physics
Chinese Academy of Sciences



The Chinese Academy
of Sciences

The Status of the R&D of the 20 inch MCP-PMT in China

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29th. Jan. 2018



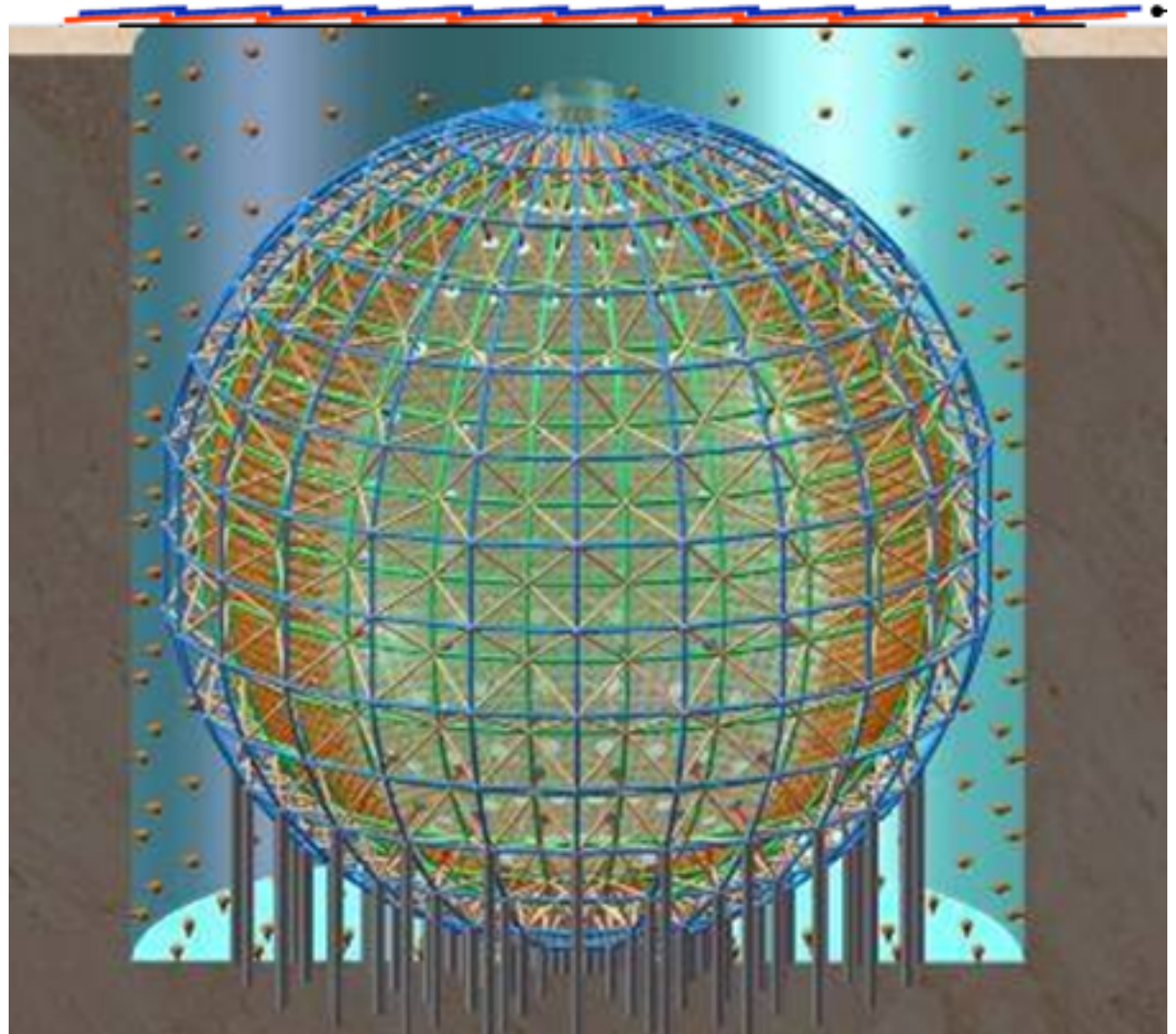
AFAD

Asian Forum for Accelerators and Detectors

➤ 0. The Neutrino Experiment in China

➤ JUNO Experiment

➤ Daya Bay Experiment

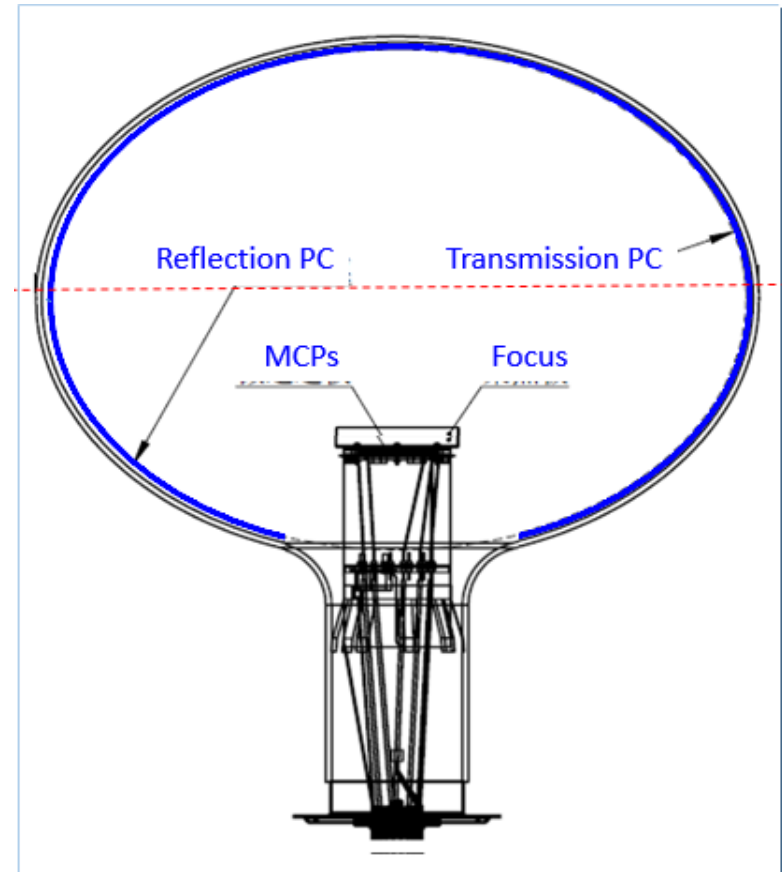
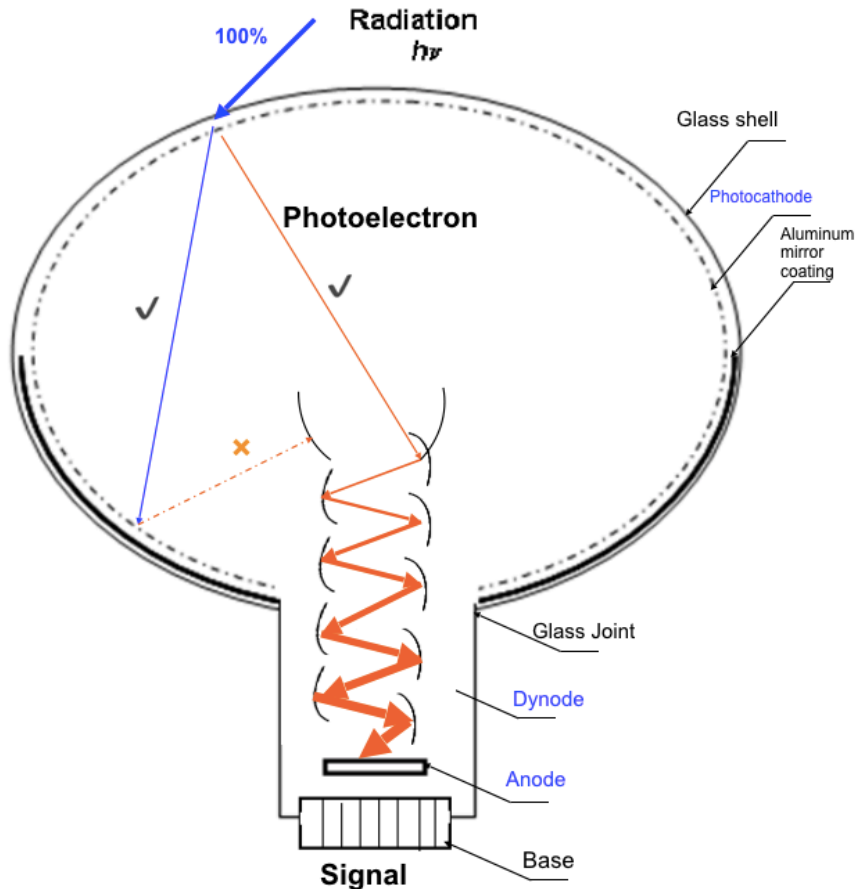


Generation 1: DayaBay: ~3,000 8-inch Dynode-PMTs from Hamamatsu

Generation 2: JUNO: ~20,000 20-inch PMTs from Where?

1.1 the design of the MCP-PMT in 2009

$$\text{Photon Detection Efficiency (PE)} = \text{QE}_{\text{Trans}} * \text{CE}$$



$$\text{Dynode: (PE)} = \text{QE}_{\text{Trans}} * \text{CE}$$

$$= 20\% * 70\% = 14\% \quad (2009)$$

$$= 30\% * 90\% = 27\% \quad (2015)$$

$$\text{Dynode: (PE)} = \text{QE}_{\text{Trans}} * \text{CE}$$

$$= 27\% * 100\% = 27\% \quad (2016)$$

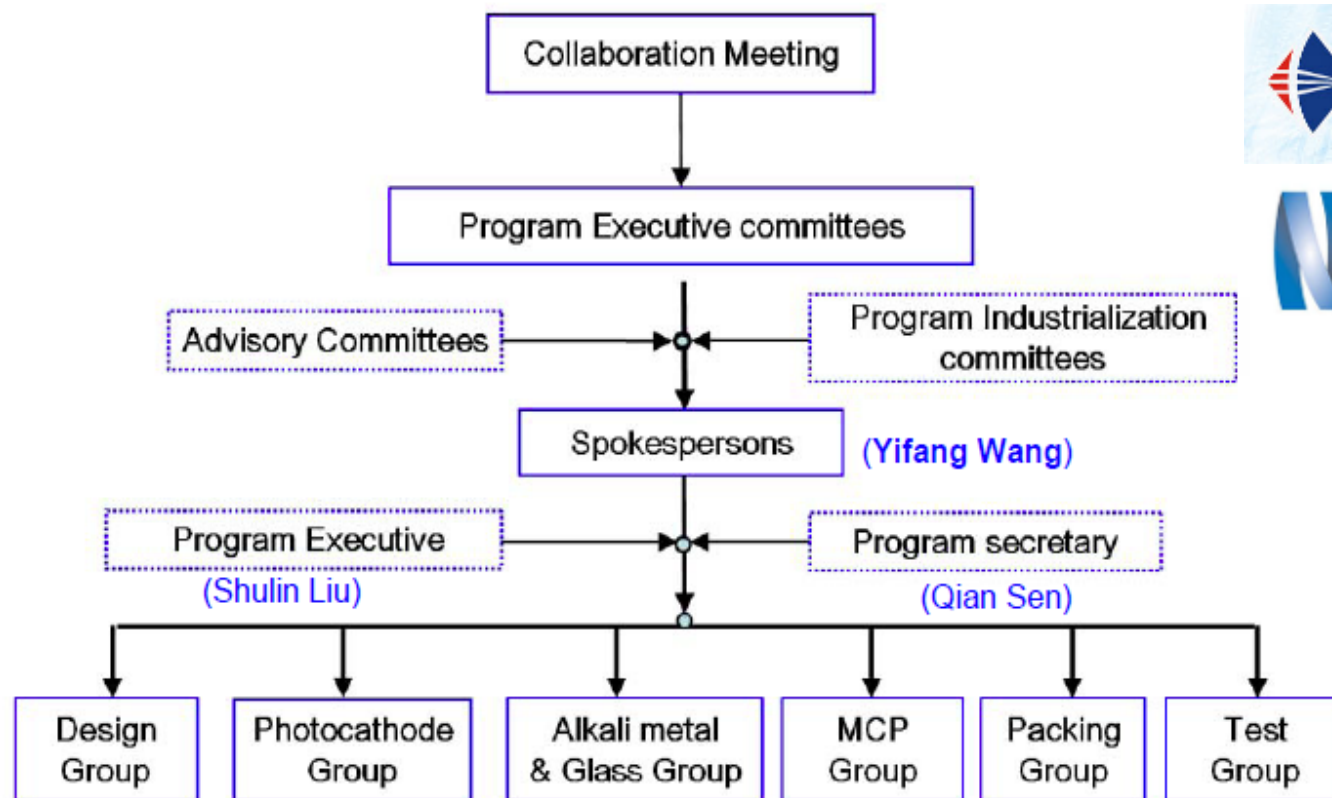
1.2 Project team and Collaborators (2011)



Institute of High Energy Physics, CAS

effort by Yifang Wang;

Microchannel-Plate-Based Large Area Photomultiplier Collaboration (MLAPC)



中国科学院西安光学精密机械研究所
XIAN INSTITUTE OF OPTICS AND PRECISION MECHANICS OF CAS



北方夜视技术股份有限公司
NORTH NIGHT VISION TECHNOLOGY CO.,LTD

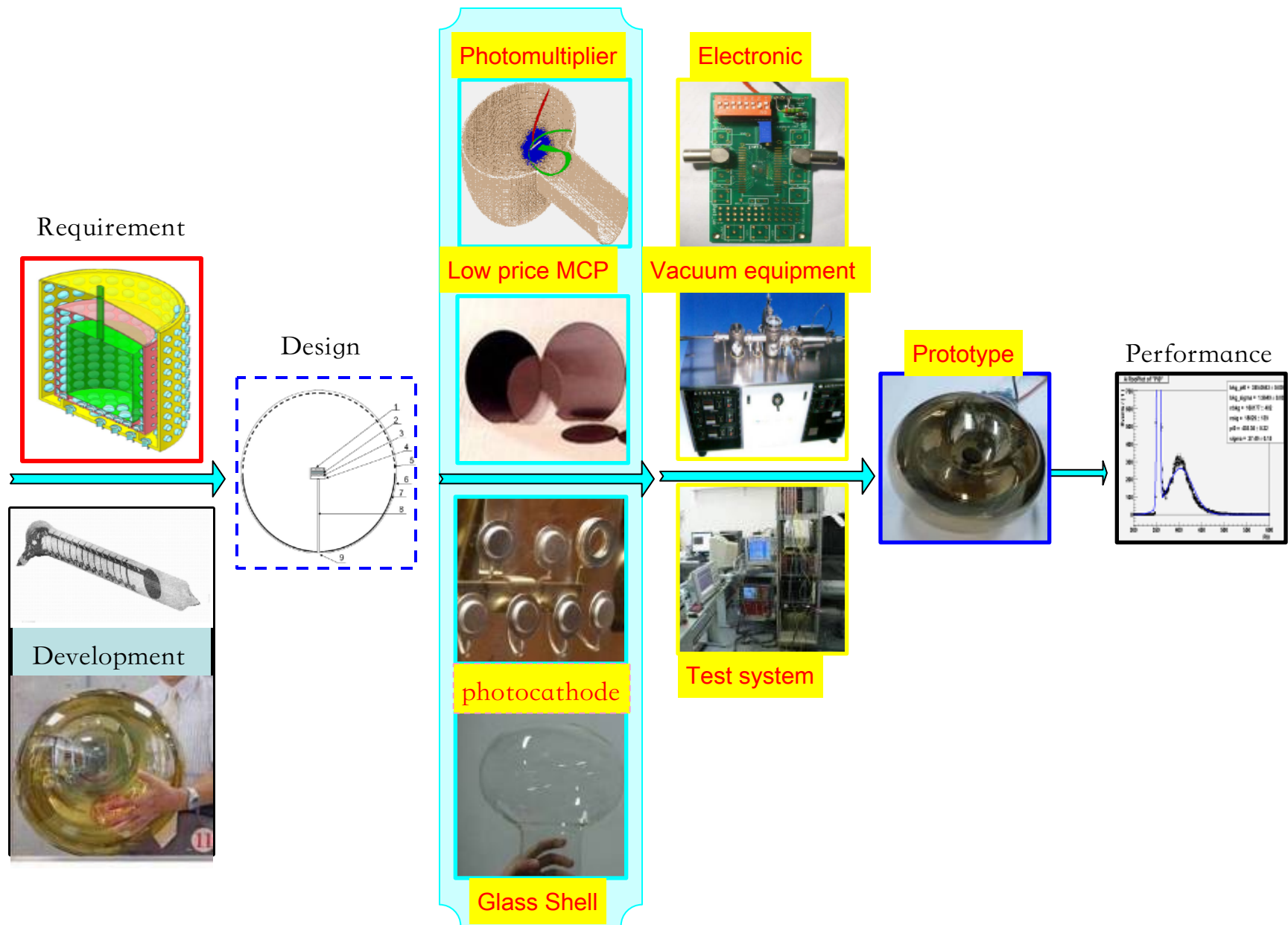


中核(北京)核仪器厂
CNNC Beijing Nuclear Instrument Factory

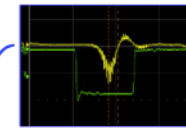
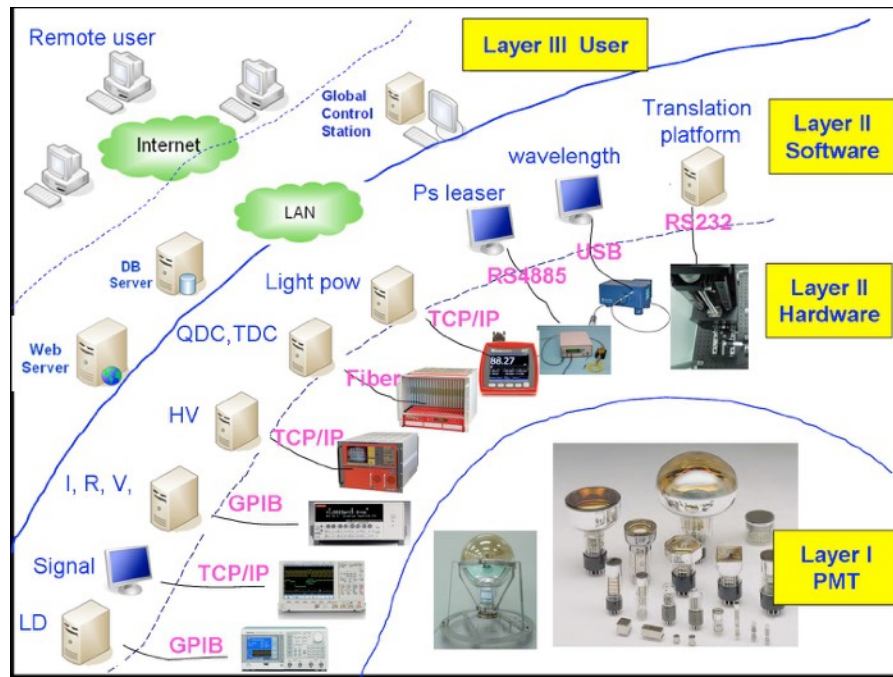


南京大学

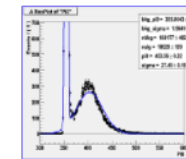
1.3 The R&D plan of MCP-PMT (Roadmap—Technology) (2009)



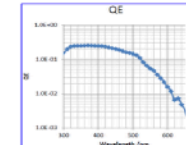
1.4 The Large PMT evaluation Lab in IHEP



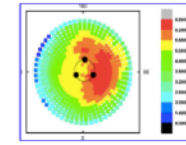
- > Anode Pulse Rise Time;
- > Pre/Late/After Pulse;
- > Dark Count



- > The Single Photoelectron Spectrum;
- > The voltage distribution (BASE) ;
- > The Supply voltage;
- > Typical Gain Characteristic;
- > Anode Dark Current



- > Spectral Response ;
- > Wavelength of Maximum Response ;
- > Cathode Sensitivity: Luminous(2856K);
- > Quantum efficiency with λ



- > Photocathode efficiency Area;
- > Photocathode efficiency Uniform;
- > The position of the Sb, K, Cs;

Others

- > The linearity of the PMT
- > Magnetic characteristics;
- > Transit Time Spread (FWHM)

Lab 1

Lab 2

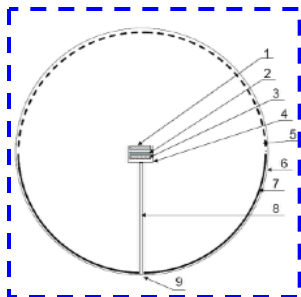
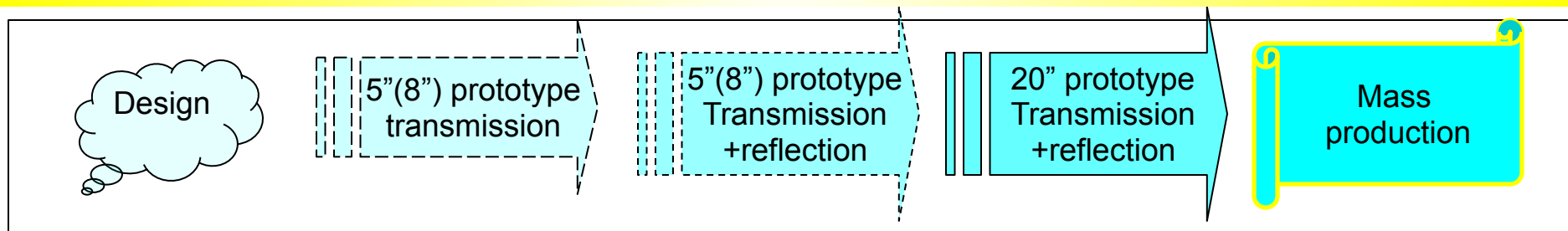
PMT

Lab 3

Lab 4



1.5 The R&D plan of MCP-PMT (Roadmap —time)

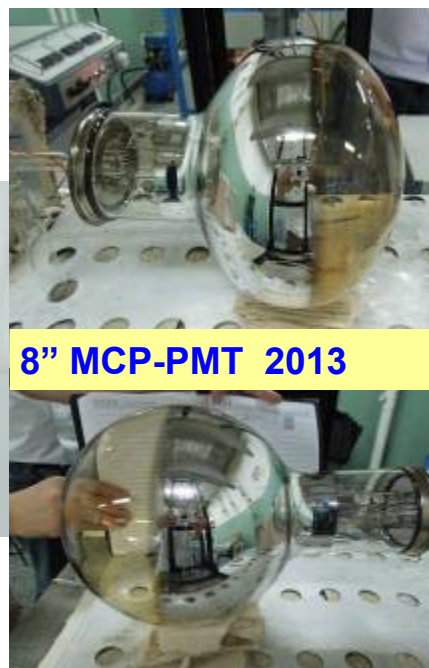


The design of the
IHEP-MCP-PMT

The project of
DayaBay /JUNO



2" MCP-PMT



8" MCP-PMT 2013



20" MCP-PMT 2015

5" MCP-PMT 2010年

Outline

➤ 1. The new design of the MCP-PMT for JUNO; (2009-2011)

the 4 π design; the MCP-PMT collaboration group; roadmap;

➤ 2. The MCP-PMT prototypes production; (2012-2015)

the 8 inch prototypes; the 20 inch prototypes; the high PDE;

➤ 3. The Mass production and Batch test; (2016-2017)

the mass production, the batch test system;

➤ 2.1 8" prototypes with normal performance--2013

8-inch IHEP MCP-PMT

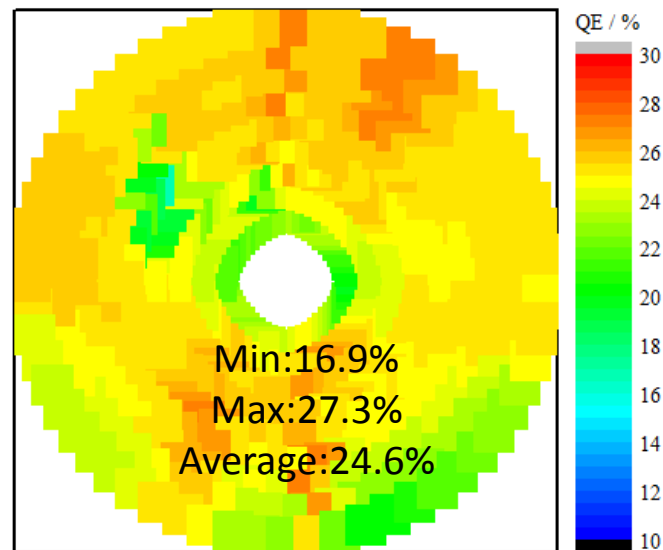
Vertical MCPs

Sphere Glass

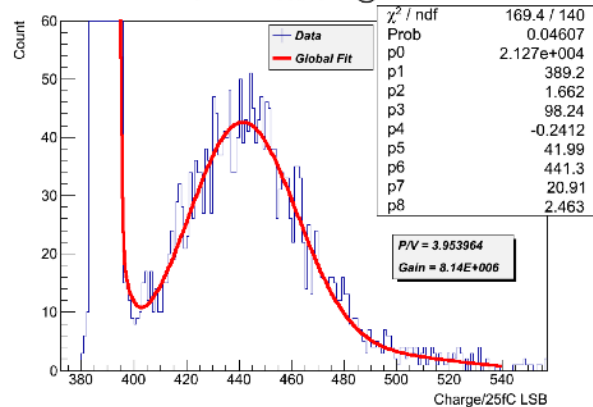


8-inch IHEP MCP-PMT

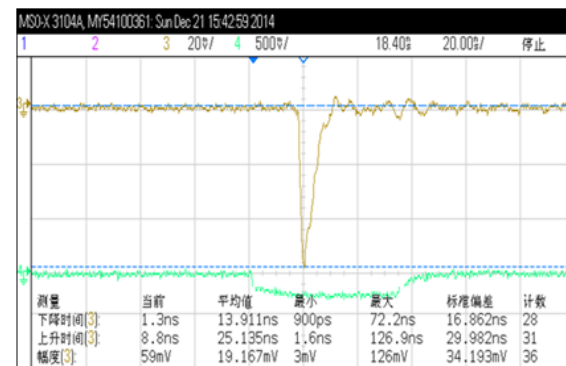
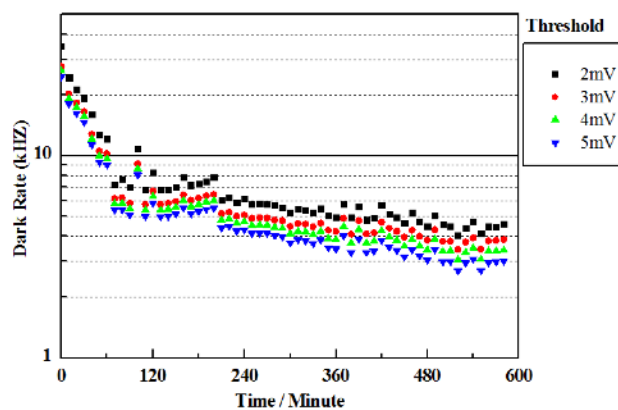
Horizontal MCPs



MCP-PMT-38# SPE@2100V

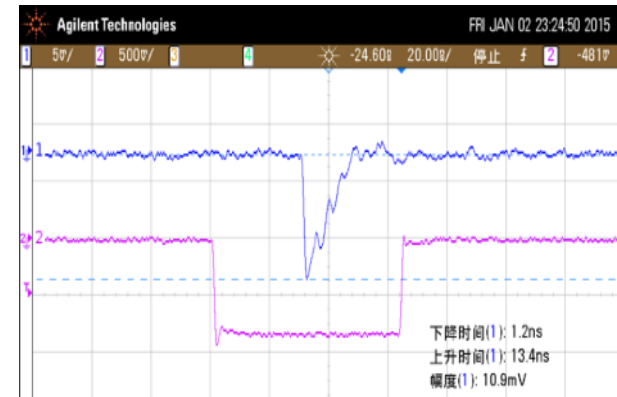
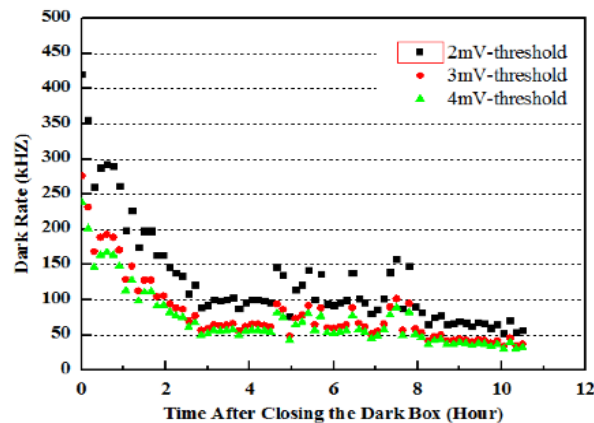
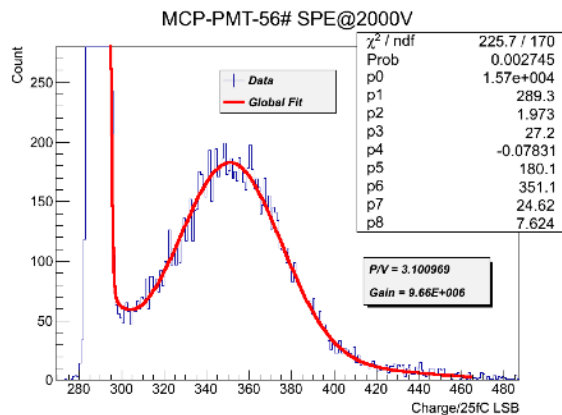
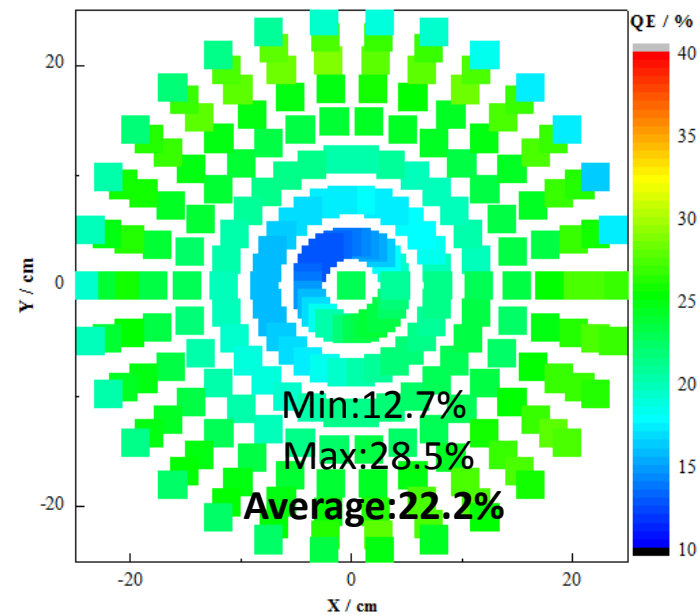
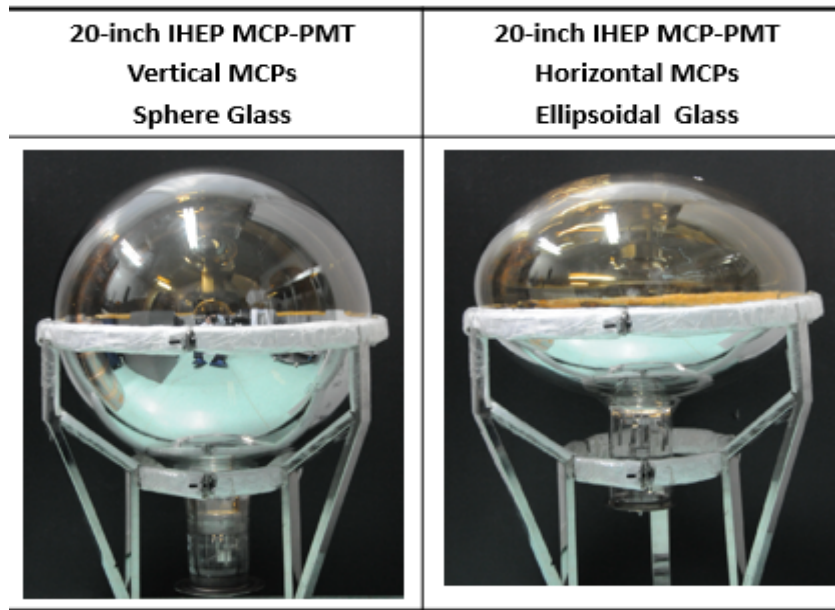


MCP-PMT-44# Dark Rate @ 10⁷



HV	Gain	P/V	Rise Time	Fall Time	Dark rate @1E7 Gain(0.25PE)
2100V	~1E7	~4	~1.3ns	~8.8ns	~3kHz

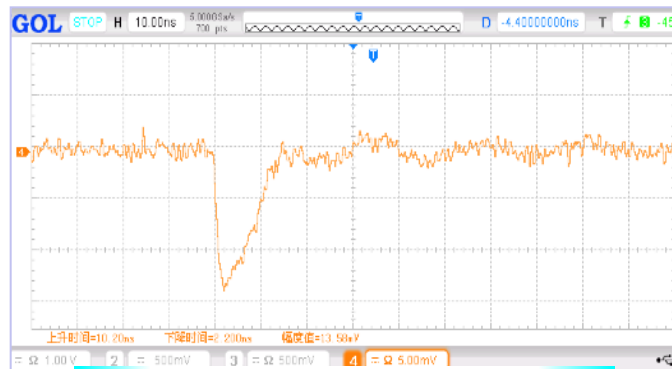
2.2 20" prototypes with normal performance--2014



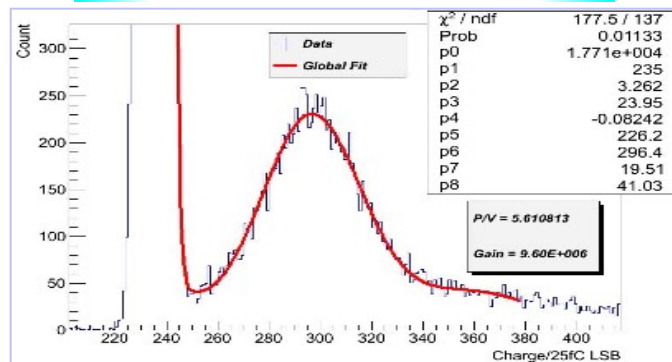
HV	Gain	P/V	Rise Time	Fall Time	Dark rate @1E7 Gain(0.25PE)
2000V	~1E7	~3	~1.2ns	~15ns	~50kHz

2.3 20'' prototypes with HCE performance--2015

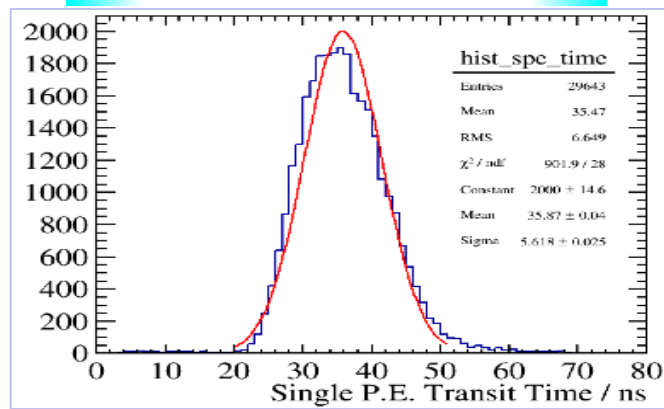
Waveform of the Prototype



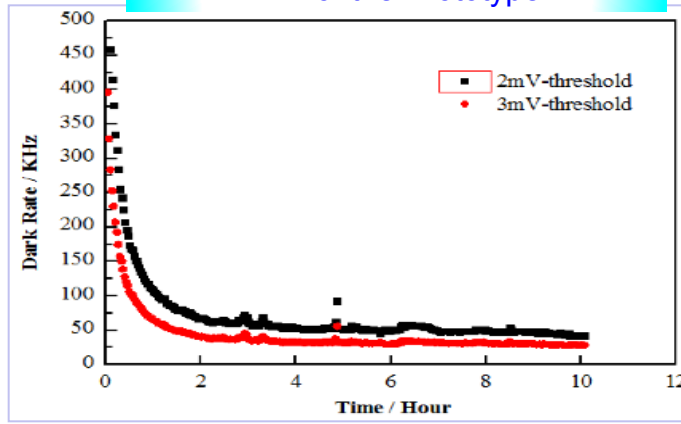
SPE of the Prototype



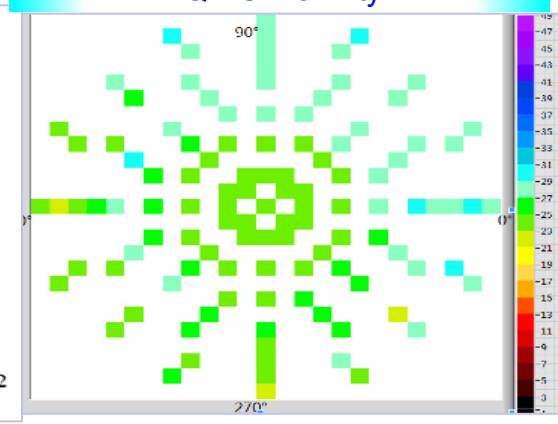
TTS of the Prototype



DR of the Prototype



QE Uniformity



Characteristics	unit	MCP-PMT (IHEP)
Electron Multiplier	--	MCP
Photocathode mode	--	reflection+ transmission
QuantumEfficiency (400nm)	%	26 (T), 30 (T+R)
Relativity Detection Efficiency	%	~ 100%
P/V of SPE		> 3
TTS on the top point	ns	~12
Rise time/ Fall time	ns	R~2 , F~10
Anode Dark Count	Hz	~30K
After Pulse Time distribution	us	4.5
After Pulse Rate	%	3
Glass	--	Low-Potassium Glass

2.4 The Order of the PMTs for JUNO (the end of 2015)



Specification in the Contracts

Characteristics	unit	MCP-PMT (NNVC)	R12860 (Hamamatsu)
Detection Eff.(QE*CE*area)	%	27%, > 24%	27%, > 24%
P/V of SPE		3.5, > 2.8	3, > 2.5
TTS on the top point	ns	~12, < 15	2.7, < 3.5
Rise time/ Fall time	ns	R~2 , F~12	R~5, <7; F~9, <12
Anode Dark Count	Hz	20K, < 30K	10K, < 50K
After Pulse Rate	%	1, <2	10, < 15
Radioactivity of glass	ppb	238U:50 232Th:50 40K: 20	238U:400 232Th:400 40K: 40

15000 MCP-PMT (75%)

Contract for JUNO

Signed with NNVT

on Dec.16, 2015



Outline

➤ 1. The new design of the MCP-PMT for JUNO; (2009-2011)

the 4 π design; the MCP-PMT collaboration group; roadmap;

➤ 2. The MCP-PMT prototypes production; (2011-2015)

the 8 inch prototypes; the 20 inch prototypes; the high PDE;

➤ 3. The Mass production and Batch test; (2016-2017)

the mass production, the batch test system;

3.1 The 20 inch MCP – PMT production line (2016)

- 2 units were working already in 2015; ★
- 6 units were ready on the summer 2016; ☆
- 14 units were ready on the winter 2016; ☆

One Unit could produce 3PMTs in Two days;

——> 22 Units for the mass production ;

——> 33 PMTs / 1 day (1PMT need 2 days) ;



The celebration for the 20 inch MCP – PMT production line (2016)



Aim:

1PMT need 2 days

total 33 pic/ day;

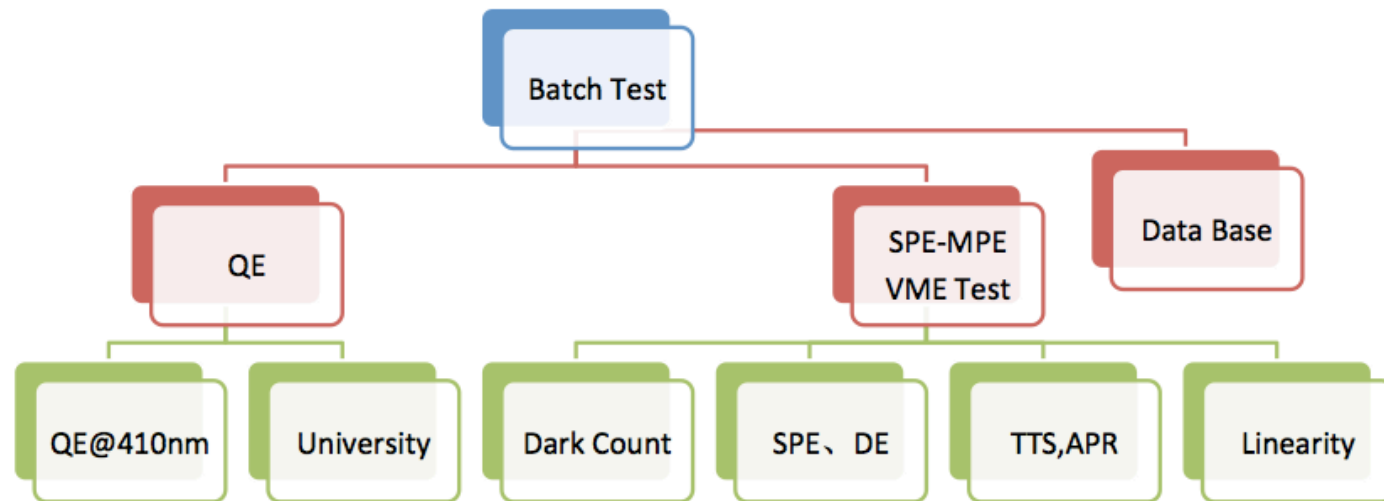
30 pic PMTs (OK!) /day

3.2 The Batch test platform (2016.10-2017.02)

PMT	JUNO Contract	NNVT test
QE@410nm	—	A
QE-Un	B	A
QE-λ	B	B
SPE	A	A
Gain	A	A
DE	B	A
TTS	B	A
APR	B	A
Linearity	B	A
RT/FT	A	A
DR	A	A

A: will be test 100%
one by one;

B: will be test 10%~20%,
part of them.



➤ **QE sub-system**



➤ **Equipment:** 2 pic;

➤ **Time:** 0.5h / PMT;

➤ **One Day:** 30 PMTs;

➤ **Test Ratio:** 100%;

➤ **SPE Batch Test sub-system**



➤ **with soft iron to shielding EM**

➤ **Equipment:** 2+1 Dark Room;

➤ **—>** 1 dark room = 32 PMTs

➤ **One Day:** 30 PMTs;

➤ **Test Ratio:** 100%;

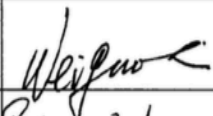
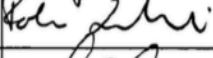

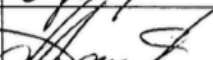

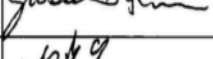
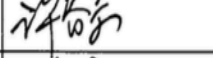
3.3 The MCP-PMT International Evaluation (2017)

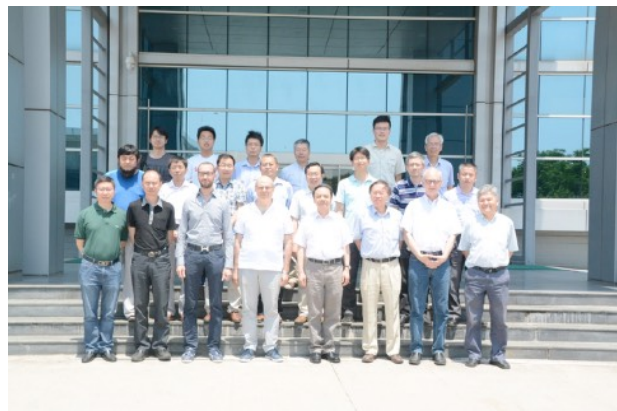
20 inch Micro-channel Plate Photomultiplier Tube International Evaluation on 28th.May 2017

—> The production line and testing procedures and equipment are world-class with unique capabilities.

—> The design of the MCP-PMT has acquired a patent of invention and intellectual property rights.

The MCP-PMT Review Committee

	Name	Company	Signature
Chairman	Weiguo Li	IHEP	
Member	Paolo Lombardi	INFN	
Member	Bayarto Lubsandorzhev	INR	
Member	Demarteau Marcel	ANL	
Member	Gioacchino Ranucci	INFN	
Member	Zizong Xu	USTC	
Member	Jiawen Zhang	IHEP	



➤ 3.4 The transport by road for the MCP-PMT to JUNO

Status	Times	Date	AVDE (%)	Pics
finish-336	1	2017.5.15	28.95%	336
finish-648	2	2017.6.14	29.36%	312
finish-1008	3	2017.7.4	29.47%	360
finish-1344	4	2017.7.26	28.83%	336
finish-1680	5	2017.8.24	29.01%	336
finish-2016	6	2017.9.12	29.09%	336
finish-2351	7	2017.9.25	29.62%	336
finish-2687	8	2017.10.09	29.79%	336
finish-3023	9	2017.10.26	29.35%	336
finish-3360	10	2017.11.08	29.17%	336
finish-3696	11	2017.11.22	29.92%	336
finish-4031	12	2017.12.21	29.35%	335
finish-4366	13	2018.01.21	29.28%	336
finish-4703	14	2018.02.23	29.48%	337



➤ 3.5 the MCP-PMT parameters Test in NNVT for JUNO

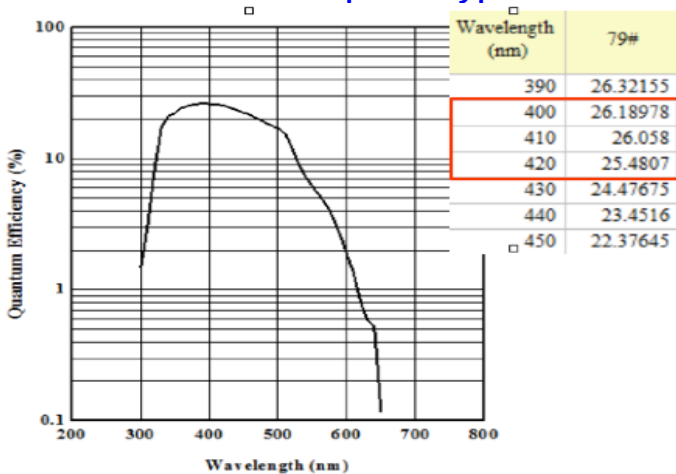
PMT Parmaeters	JUNO Contract	data in Contract	NNVT test	Prototype	mass production
单波长QE@410nm	A	$\geq 26.5\%$	A	$\sim 26\%$?
均匀性 (QE Uniformity)	B	$\leq 15\%$	A	$\leq 10\%$?
频谱响应曲线 (QE- λ)	B	300nm ~ 650 nm	B(50%)	300nm ~ 650 nm	?
单光子探测 (SPE-P/V)	A	≥ 2.8	A	~ 5.6	?
能量分辨率 (SPE-ER)	A	$\leq 40\%$	A	$\sim 41\%$?
增益 (Gain)	A	1E+07	A	1E+07	?
高压 (HV)	A	$\leq 2800V$	A	$\sim 1780V$?
探测效率 (DE) @405nm	B	?	A	$\sim 26\%$ @405nm	?
探测效率 (DE) @420nm		$\geq 24\%$ @420nm		?	?
暗计数率 (DR)	A	$\leq 30KHz$	A	$\sim 30KHz$?
渡越时间涨落 (TTS)	B	$\leq 15ns$	A	$\sim 12ns$?
后脉冲率 (APR)	B	$\leq 5\%$	A	$\sim 2.5\%$?
非线性 (Linearity) <10%	B	$\geq 1000pe$	A	$\sim 1000pe$?
信号波形 (RT)	A	$\leq 2ns$	A	$\sim 1.2ns$?
信号波形 (FT)	A	$\leq 12ns$	A	$\sim 10.2ns$?

A: will be test 100% one by one; **B:** will be test 10%~20%, part of them.

➤ 3.5.1 The Quantum Efficiency @ 405nm

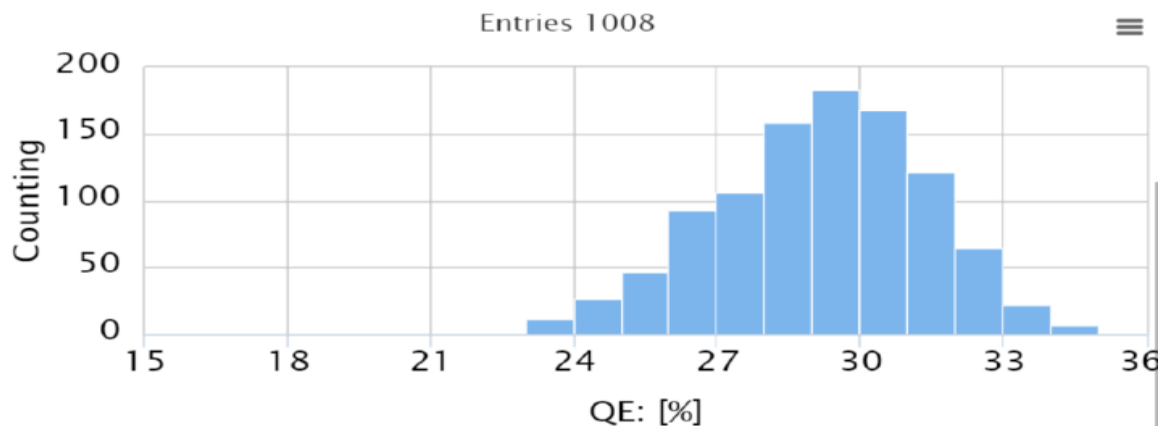
PMTs	Hamamatsu	MCP-PMT prototype	~300 MCP-PMTs	~1000 MCP-PMTs	~4703 MCP-PMTs
QE @ 405nm	30%	26%	29.5%	29.2%	29.3%

MCP-PMT-prototype

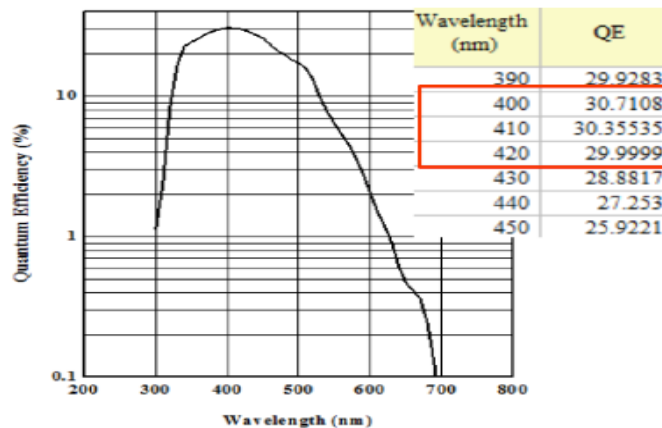


Average : 29.19

1000 shipped MCP-PMTs:

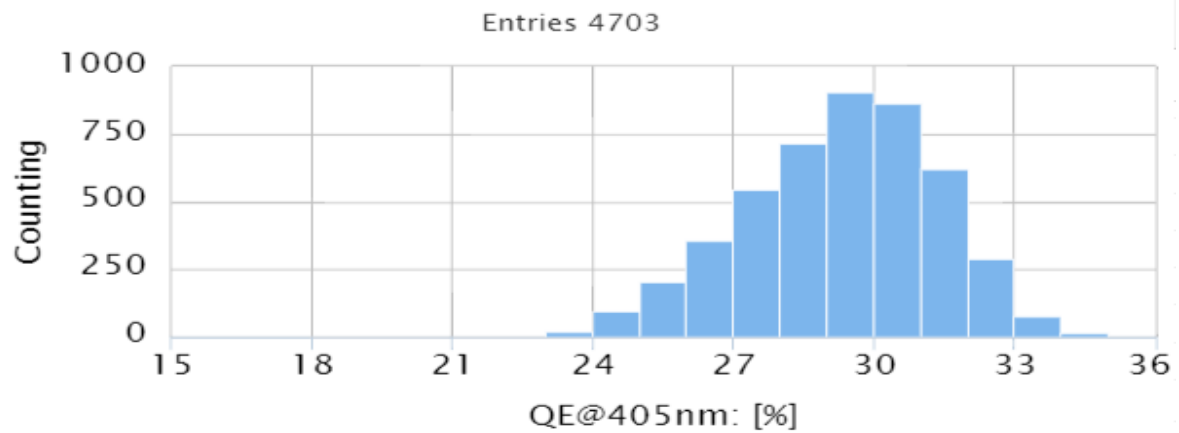


Hamamatsu Prototype



Average: 29.3

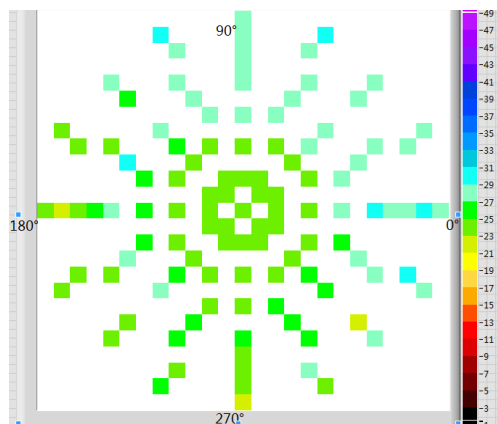
total shipped MCP-PMTs: ~4703



➤ 3.5.2 The Uniformity of the Photocathode

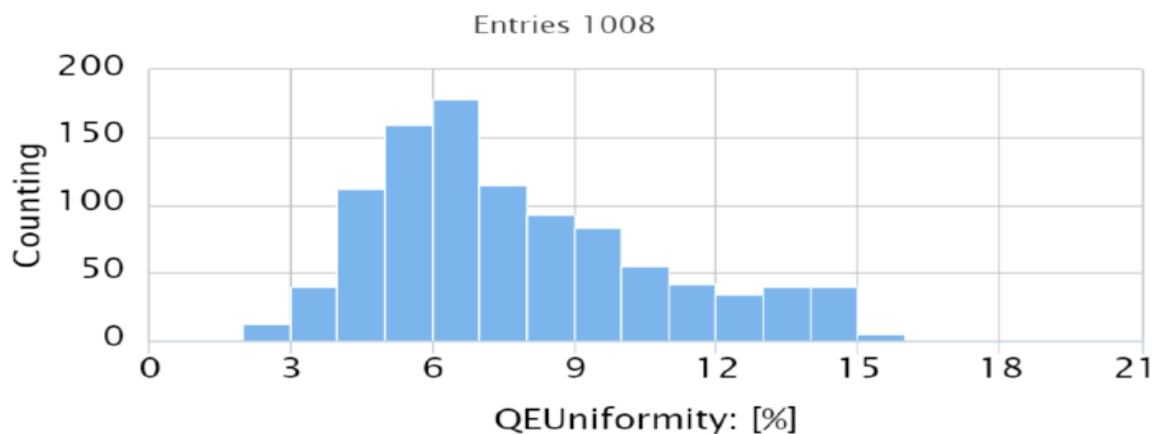
PMTs	Hamamatsu	MCP-PMT prototype	~300 MCP-PMTs	~1000 MCP-PMTs	~4703 MCP-PMTs
Uni-QE @ 410nm	< 10%	< 10%	8.1%	7.8%	7.4%

MCP-PMT-prototype

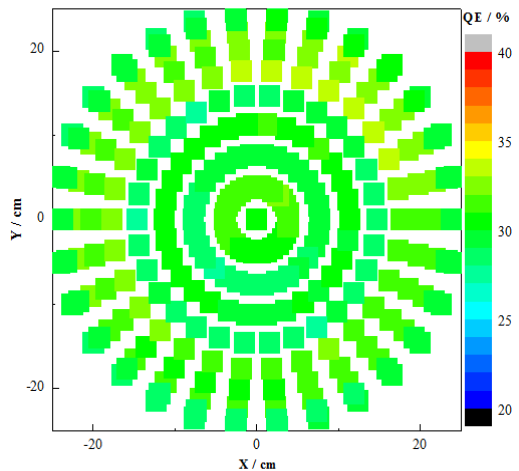


Average : 7.77

1000 shipped MCP-PMTs:

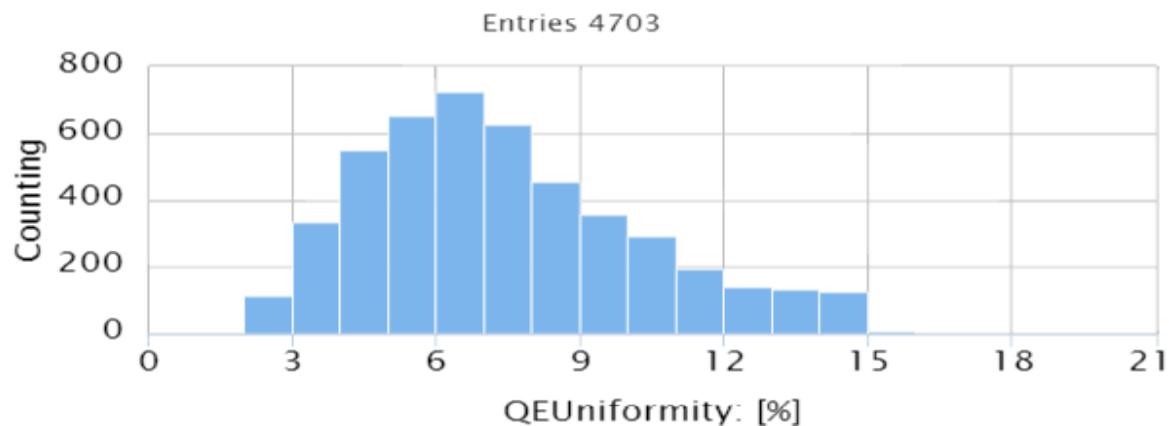


Hamamatsu Prototype



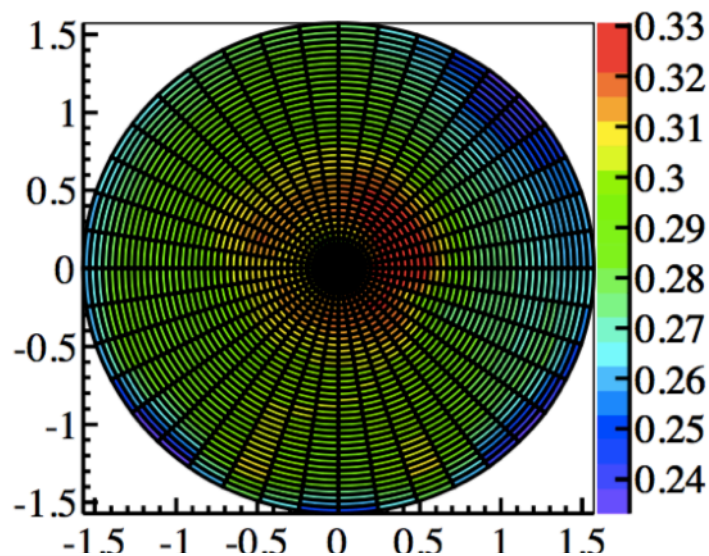
Average: 7.43

total shipped MCP-PMTs: ~4703

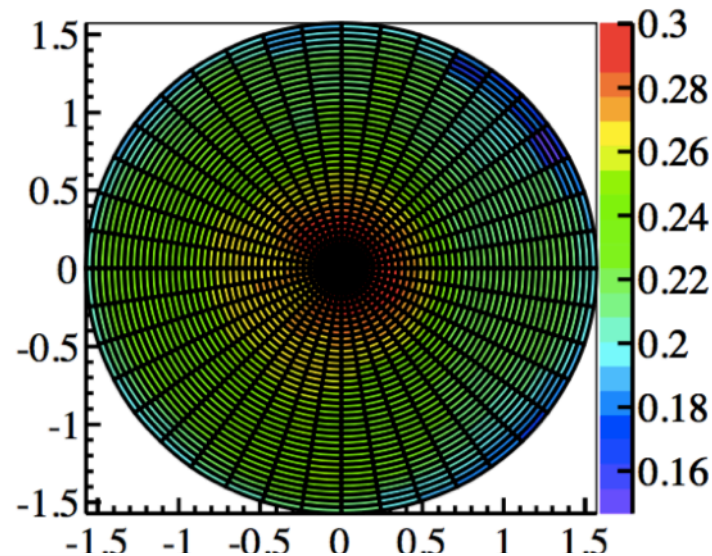


the Uniformity of the DE is mainly affected by the uniformity of QE

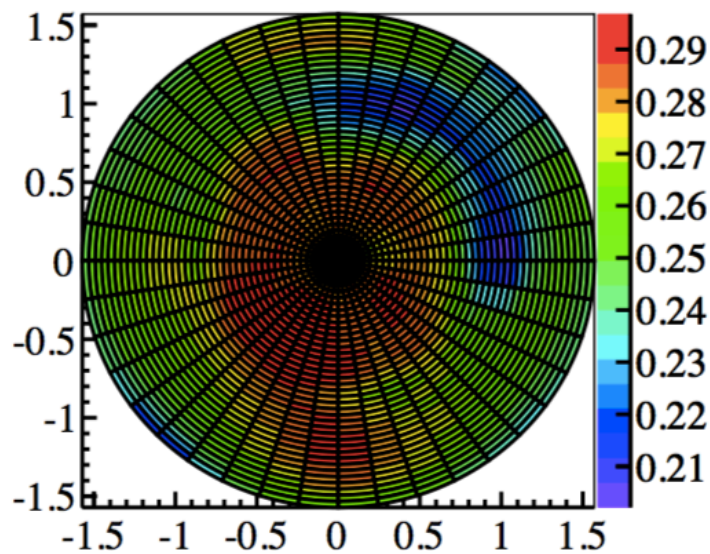
QE: PA1612-143



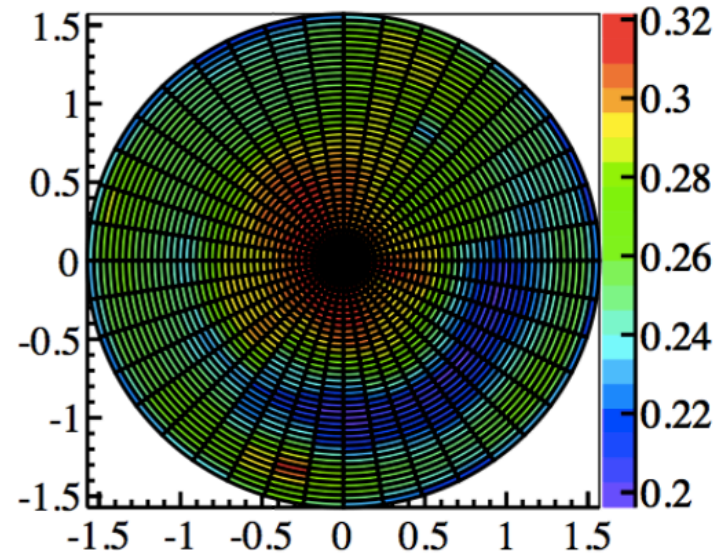
DE: PA1612-143



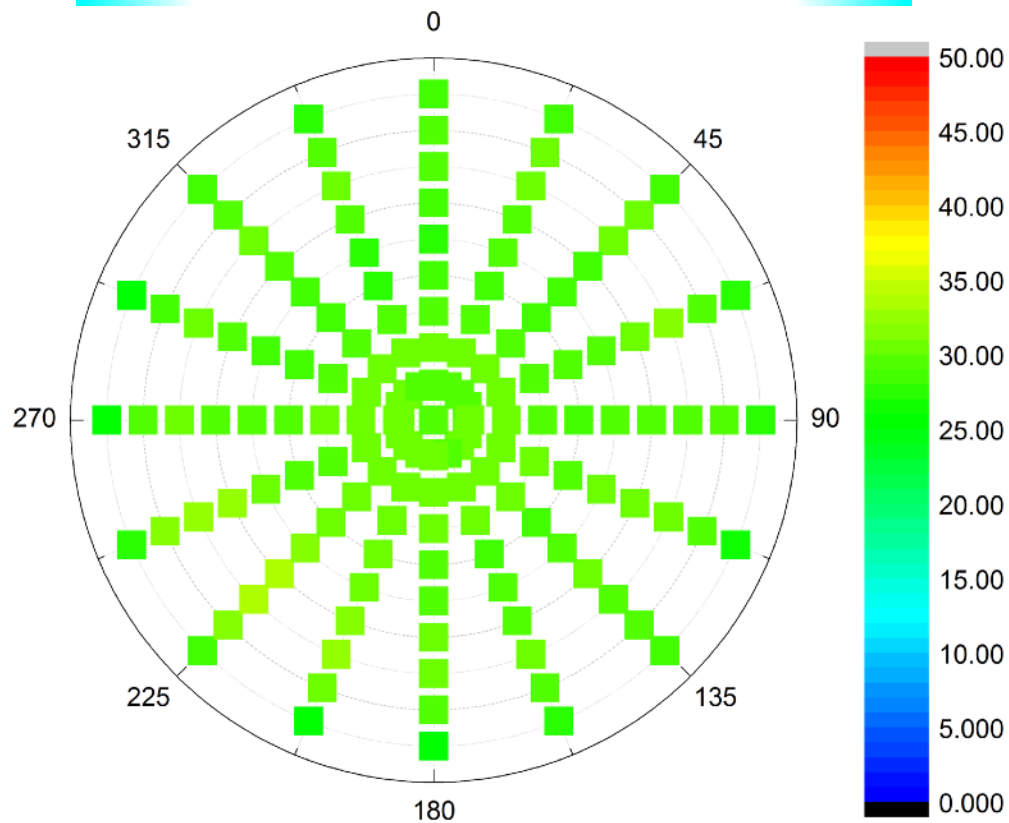
QE: PA1703-1987



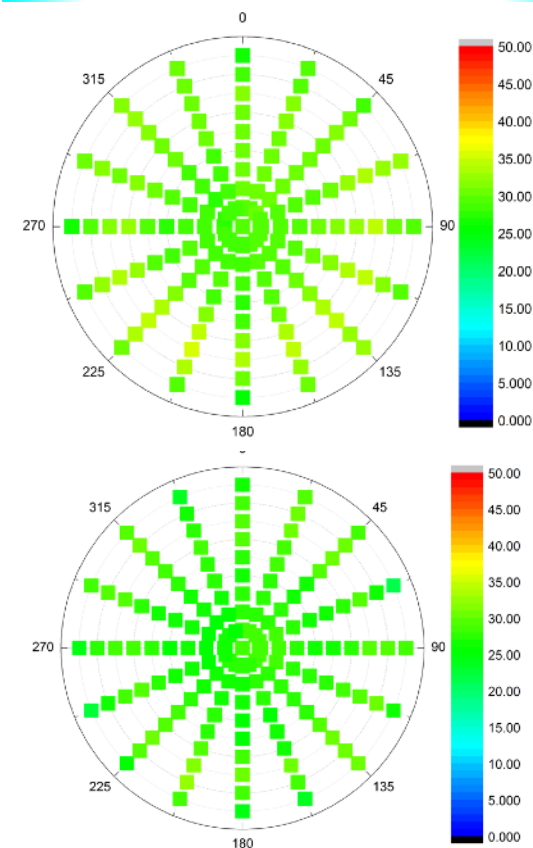
DE: PA1703-1987



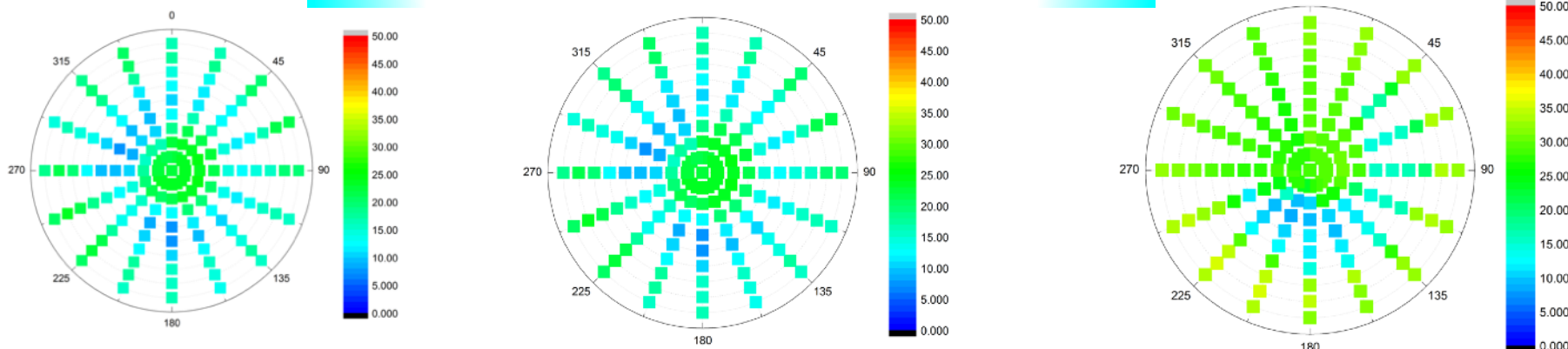
The average data of 2688 piece PMTs



The good situation



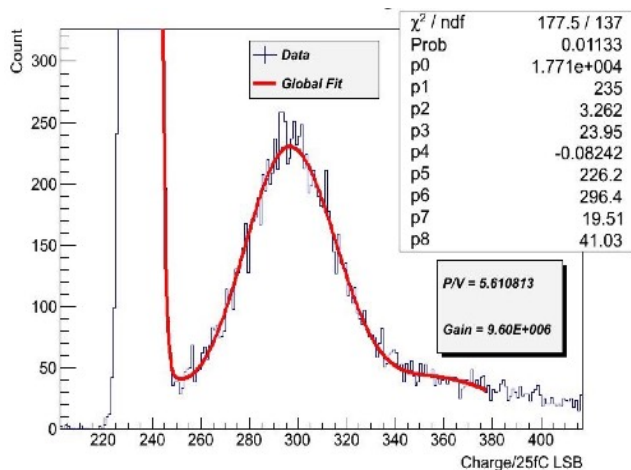
The bad situation of the QE uniformity



➤ 3.5.3 The P/V of the SPE

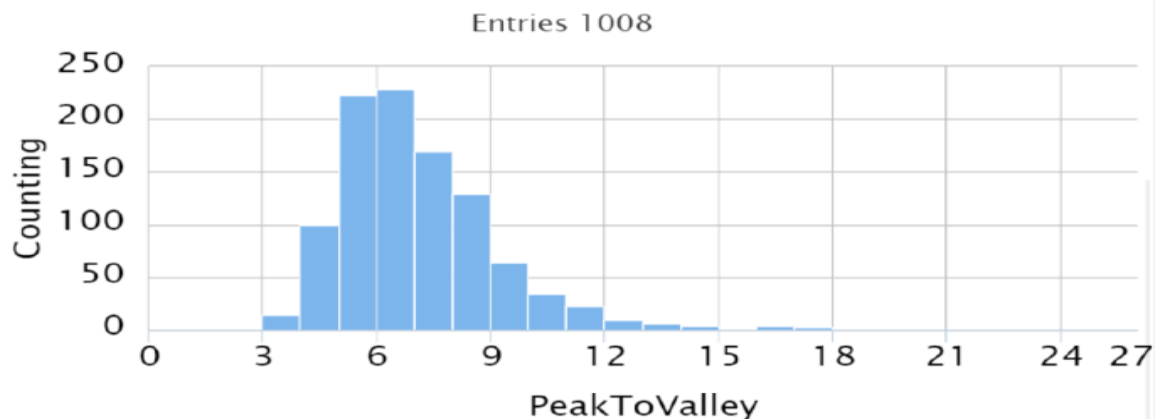
PMTs	Hamamatsu	MCP-PMT prototype	~300 MCP-PMTs	~1000 MCP-PMTs	~4703 MCP-PMTs
SPE @ Gain~1X10⁷	3.7	5.6	8.2	7.1	7.0

MCP-PMT-prototype

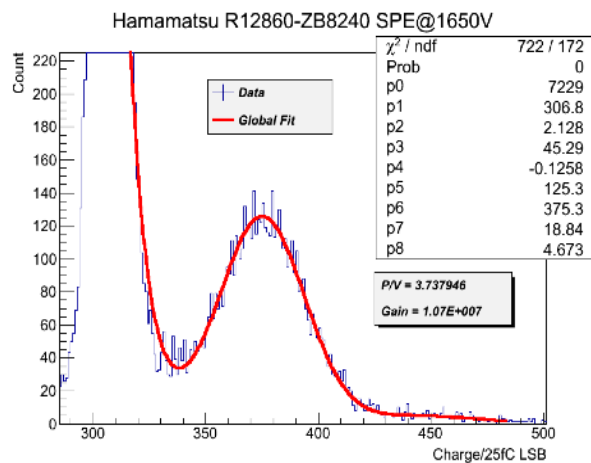


Average : 7.07

1000 shipped MCP-PMTs:

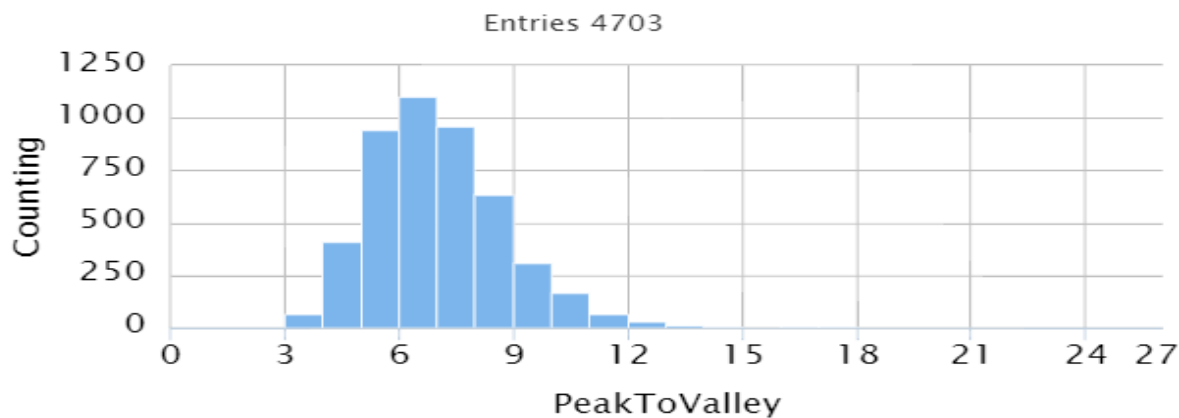


Hamamatsu Prototype



Average: 7.03

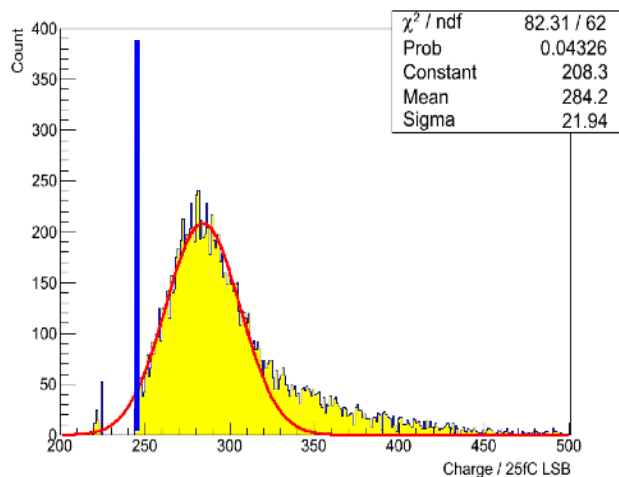
total shipped MCP-PMTs: ~4703



➤ 3.5.4 The Relativity Detection Efficiency of SPE @ 405nm

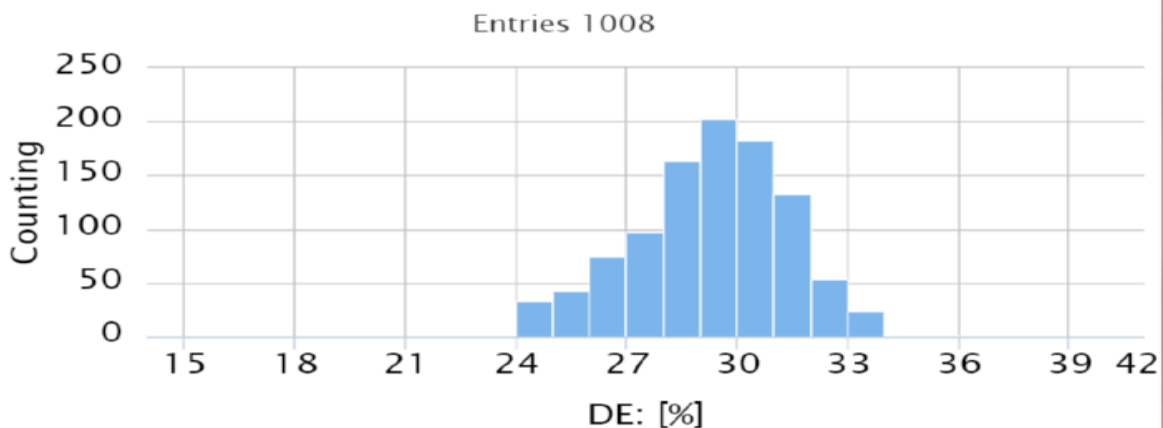
PMTs	Hamamatsu	MCP-PMT prototype	~300 MCP-PMTs	~1000 MCP-PMTs	~4703 MCP-PMTs
DE @ Gain~ 1×10^7	27%	26%	28.9%	29.3%	29.3%

MCP-PMT-prototype

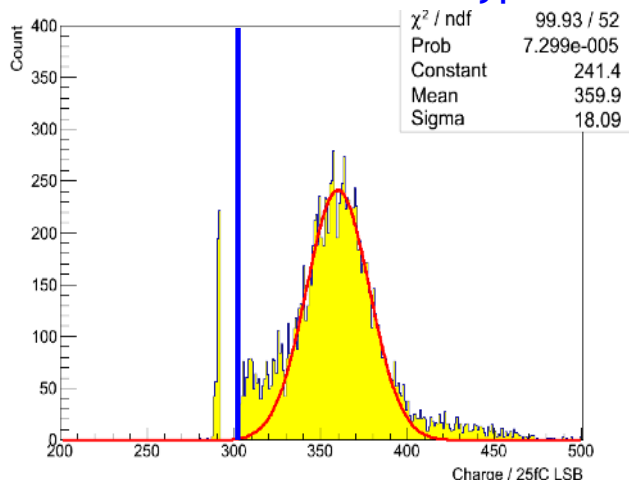


Average : 29.27

1000 shipped MCP-PMTs:

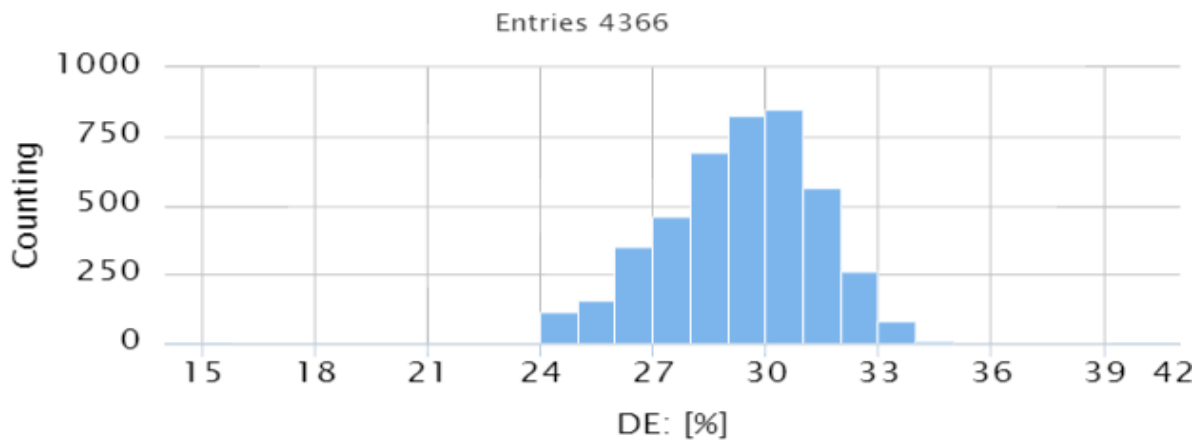


Hamamatsu Prototype



Average: 29.32

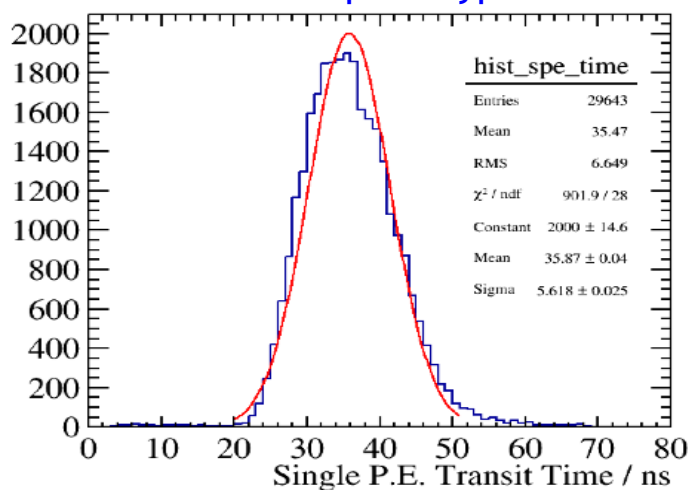
total shipped MCP-PMTs: ~4703



➤ 3.5.5 The TTS (FWHM) @ Gain~1X10⁷

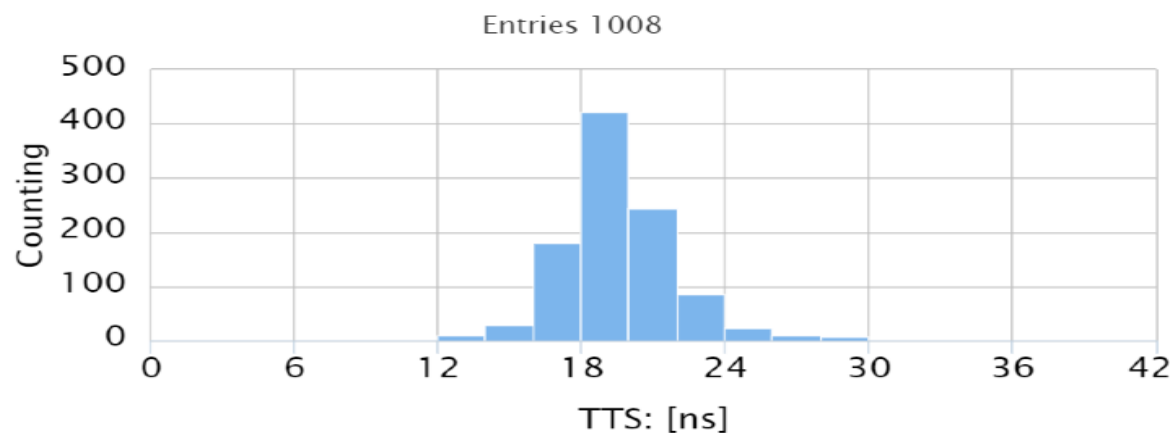
PMTs	Hamamatsu	MCP-PMTprototype	~300 MCP-PMTs	~1000 MCP-PMTs	~4703 MCP-PMTs
TTS @ FWHM	2.8 ns	13.2 ns	19.2 ns	19.5ns	20.4ns
TTS @sigma	1.19 ns	5.62ns	8.17ns	8.30ns	8.64ns

MCP-PMT-prototype

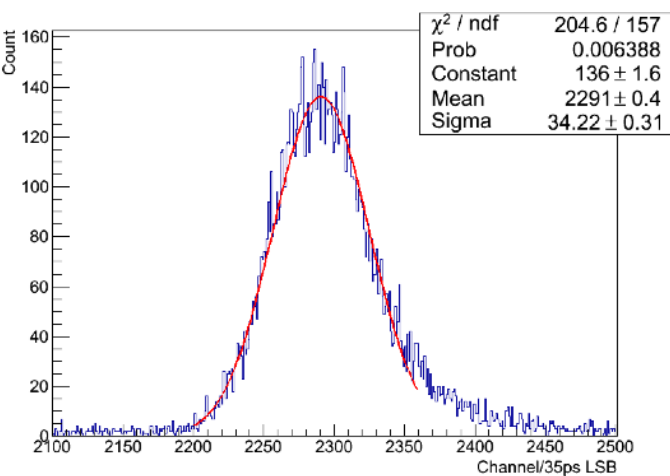


1000 shipped MCP-PMTs:

Average : 19.49

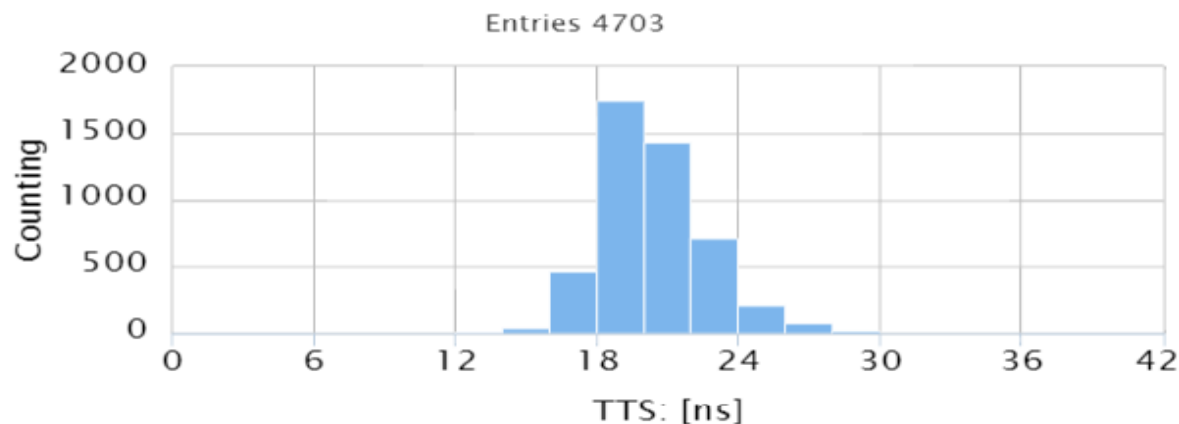


Hamamatsu Prototype



total shipped MCP-PMTs: ~4703

Average: 20.36



➤ Summary of the MCP-PMT R&D process

- 2009: the design of the MCP-PMT;
- 2010~2011: 5" MCP-PMT prototype without SPE;
- 2012: 8" MCP-PMT prototype without SPE;
- **2013: 8" prototypes with normal performance;**
QE ~ 25% @ 410nm; CE ~ 60%; P/V of SPE > 2.0;
- **2014: 20" prototypes with normal performance;**
QE ~ 25% @ 410nm; CE ~ 60%; P/V of SPE > 2.0;
- **2015: 20" prototypes with HDE performance;**
QE ~ 26% @ 410nm; CE ~ 100%; P/V of SPE > 3.0;
- 2016: for the high QE、DE improvement;

The mass production line preparation;
- **2017: Mass production; Bunch Test;**
- **2018-19: Mass production; Bunch Test;**
- **AFAD 2013, Russia,**
- **AFAD 2014, Australia,**
- **AFAD 2015, Taiwan, China.**
- **AFAD 2016, Japan.**
- **AFAD 2017, China.**
- **AFAD 2018, South Korea.**

Thanks!

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Thanks for your attention!
Any comment and suggestion are welcomed!