

Gauge Invariance

- Theories where the interaction is determined are called "gauge theories"

1) Gauge Invariance in Classical Electromagnetism

$$\vec{B} = \vec{\nabla} \times \vec{A}, \quad \vec{E} = -\vec{\nabla} V - \frac{\partial \vec{A}}{\partial t} \quad (*)$$

- If the transformations $\begin{cases} \vec{A} \rightarrow \vec{A}' = \vec{A} + \vec{\nabla} \chi \\ V \rightarrow V' = V - \frac{\partial \chi}{\partial t} \end{cases}$ are carried out

(*) are unchanged.

- Combining \vec{A} & V into a 4-vector, $A^\mu = (V; \vec{A})$,

the above transformations become $A^\mu \rightarrow A'^\mu = A^\mu - \partial^\mu \chi$

2) Gauge Invariance in Quantum Theory

- Since observables depend on $|\psi|^2$, we can demand that the structure of the theory be invariant under

$$\psi \rightarrow \psi' = e^{-i\alpha} \psi$$

→ global gauge transformation.

(it should be possible to choose the phase of ψ in an arbitrary way.)

- It should also be possible to choose the phase of ψ at each space-time point without affecting the theory

$$\psi(x) \rightarrow \psi'(x) = e^{-i\chi(x)} \psi(x)$$

→ local gauge transformation

Consider a matter particle described by a wave fn. ψ , which satisfies

$$-\frac{1}{2m} \nabla^2 \psi(\vec{x}, t) = i \frac{\partial \psi(\vec{x}, t)}{\partial t} \Rightarrow \text{Schrödinger eq.}$$

\Rightarrow it is not invariant under the local gauge transformation

To satisfy the local gauge transformation,

we can modify the Schrödinger eq. by including e-m field,

$$\Rightarrow \frac{1}{2m} (-i\nabla + e\vec{A})^2 \psi = (i \frac{\partial}{\partial t} + eV) \psi$$

then, the eq. is invariant under
$$\begin{cases} \psi(\vec{x}, t) \rightarrow \psi'(\vec{x}, t) = e^{-i\chi(\vec{x}, t)} \psi \\ \vec{A} \rightarrow \vec{A}' = \vec{A} + \frac{1}{e} \vec{\nabla} \chi \\ V \rightarrow V' = V - \frac{1}{e} \frac{\partial \chi}{\partial t} \end{cases}$$

\Rightarrow we can reinterpret this result to say that the local phase invariance of the theory requires the presence of a field $A_\mu = (V, \vec{A})$

"Phase invariance of the theory for electrically charged particles requires that there must be a photon & an e-m interaction"

"we can say that the effects of a local change in phase convention is equivalent to those of a new vector field."

3) Covariant Derivatives

By rewriting the above eqs. it is possible to put them in a nice form which makes their properties explicit & which is easily generalized to the gauge invariant theories

Define
$$\vec{D} = -\vec{\nabla} - ie\vec{A}, \quad D^0 = \frac{\partial}{\partial t} - ieV : \frac{1}{2m} (\vec{D})^2 \psi = i \dots$$

• In general, for some particles with some other non-em charge, we can rewrite the transformation as follows:

Suppose that the theory be invariant under a transformation

$$\psi' = U \psi \quad \text{for some } U$$

for the covariant derivative: ^{we want to define} $D^\mu = \partial^\mu - ig A^\mu$

(A^μ represents the interacting field that has to be added to keep the theory invariant under the transformation) but we don't know how A^μ itself transforms.

$$\Rightarrow D^\mu \psi' = (\partial^\mu - ig A'^\mu) U \psi \xrightarrow{\text{we require}} U(D^\mu \psi) = U(\partial^\mu - ig A^\mu) \psi$$

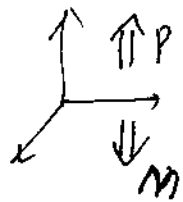
$$\begin{aligned} \Rightarrow -ig A'^\mu U \psi &= -\partial^\mu(U \psi) + U \partial^\mu \psi - ig U A^\mu \psi \\ &= -(\partial^\mu U) \psi - ig U A^\mu \psi \end{aligned}$$

$$\therefore A'^\mu = -\frac{1}{g} (\partial^\mu U) U^{-1} + U A^\mu U^{-1}$$

Non-Abelian Gauge Theories

Similarity between n & p in strong interaction has led to the idea that we could think of n, p as 2 states of the same nucleon N .

"strong isospin space" where the nucleon state points in some direction



To construct the theory that describes nucleon interactions invariant under rotations in strong isospin space

Since there are 2 nucleon states it is like spin-up & spin-down so we try putting p & n as states of a spin-like doublet

$$\rightarrow SU(2) \text{ doublet : } N = \begin{pmatrix} p \\ n \end{pmatrix}$$

Likewise, we can interpret the pion as an isospin-one state

$$\pi = \begin{pmatrix} \pi_1 \\ \pi_2 \\ \pi_3 \end{pmatrix} \rightarrow \begin{cases} \pi^\pm = \frac{1}{\sqrt{2}} (-\pi_1 \pm i\pi_2) \\ \pi^0 = \pi_3 \end{cases}$$

Let's consider the interactions of pion & nucleon.

$$\rightarrow \text{the most general Lagrangian : } \mathcal{L}_{int} = g_{pn} \bar{p} n \pi^0 + g_{mp} m \bar{p} \pi^+ + g_{pp} \bar{p} p \pi^- + g_{nn} \bar{n} n \pi^0$$

but this form is not invariant under rotation in isospin space unless certain relations hold among g 's

$$N \rightarrow N' = e^{i\vec{\alpha} \cdot \vec{T}} N$$

$$\mathcal{L}_{int} = g (N^+ \vec{T} N) \cdot \vec{\pi} \rightarrow \text{invariant under rotations}$$

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Now, let's combine the ideas of internal spaces & of phase invariance

For an $SU(2)$ doublet, the phase transformation is given by

$$\begin{pmatrix} \psi' \\ \psi'_m \end{pmatrix} = e^{i \vec{\epsilon} \cdot \vec{\tau} / 2} \begin{pmatrix} \psi \\ \psi_m \end{pmatrix} \quad (\vec{\tau}: \text{Pauli matrix})$$

* the successive transformation matters since the rotations do not commute

$$[\tau_i, \tau_j] = 2i \epsilon_{ijk} \tau_k$$

Note that instead of $\chi(\vec{x}, t)$, we have $\vec{\epsilon} \cdot \vec{\tau}$

To define D^μ , it is necessary for $SU(2)$ case to introduce a set of 3 fields, each of which behaves as a 4-vector under Lorentz transformations, in order that we can write a term that transforms as ∂^μ does.

Define $D^\mu = \partial^\mu - i g \frac{\vec{\tau}}{2} \cdot \vec{W}^\mu$

$$D^\mu \psi' = e^{i \vec{\epsilon} \cdot \vec{\tau} / 2} D^\mu \psi$$

$$\begin{pmatrix} \psi \rightarrow \psi' = e^{i \vec{\epsilon} \cdot \vec{\tau} / 2} \psi \\ \vec{W}^\mu \rightarrow \vec{W}'^\mu = \vec{W}^\mu + \delta \vec{W}^\mu \end{pmatrix}$$

As usual, let the transformation be infinitesimal so higher terms in ϵ can be neglected

$$\begin{aligned} D^\mu \psi' &\approx (\partial^\mu - i g \tau_i W_i^\mu / 2) (1 + i \epsilon_j \tau_j / 2) \psi \\ &= (\partial^\mu - i g \tau_i W_i^\mu / 2 - i g \tau_i \delta W_i^\mu / 2) (1 + i \epsilon_j \tau_j / 2) \psi \\ &= (\partial^\mu - i g \tau_i W_i^\mu / 2 - i g \tau_i \delta W_i^\mu / 2 + i \tau_j (\partial^\mu \epsilon_j) / 2 \\ &\quad + g \tau_i W_i^\mu \epsilon_j \tau_j / 4) \psi + i \tau_j \epsilon_j (\partial^\mu \psi) / 2 \end{aligned}$$

$$e^{i \vec{\epsilon} \cdot \vec{\tau} / 2} D^\mu \psi' = (1 + i \epsilon_j \tau_j / 2) (\partial^\mu - i g \tau_i W_i^\mu / 2) \psi$$

$$\begin{aligned}
z_i \delta W_i^M &= \frac{1}{g_2} (\partial^m \epsilon_i) z_i + \frac{i}{2} \epsilon_i W_j^M \underbrace{[z_i z_j - z_j z_i]}_{\sim 2i \epsilon_{ijk} z_k} \\
&= \frac{1}{g_2} (\partial^m \epsilon_i) z_i - \underbrace{\epsilon_{ijk} \epsilon_j W_j^M}_{\rightarrow \epsilon_{ijk} \epsilon_j W_k^M} z_k \\
\Rightarrow \delta W_i^M &= \frac{1}{g_2} \partial^m \epsilon_i - \epsilon_{ijk} \epsilon_j W_k^M
\end{aligned}$$

* the 2nd term is familiar from classical mechanics as an example of how a vector transforms under rotations

• let's consider how W transforms under an isospin rotation

$$\vec{W}' = e^{i \vec{\epsilon} \cdot \vec{T}} \vec{W}$$

where \vec{T} is the appropriate representation of the rotation matrix for spin-one : $(T_i)_{jk} = -i \epsilon_{ijk}$

• for an infinitesimal transf. $W_i' \approx (1 + i \epsilon_k T_k)_{ij} W_j \equiv W_i + \delta W_i$

$$\begin{aligned}
\rightarrow \delta W_i &= i \epsilon_k (-i \epsilon_{ijk} + \delta_{ij}) W_j \\
&= \epsilon_{ijk} \epsilon_k W_j
\end{aligned}$$

• more generally, the covariant derivatives can be rewritten as

$$D^m = \partial^m - i g_2 \vec{T} \cdot \vec{W}_m$$

For $SU(2)$ and $spin-1/2 \Rightarrow \vec{T} = \frac{\vec{\sigma}}{2}$

For an $SU(m)$ with group generators \vec{F} ,

$$D^m = \partial^m - i g_m \vec{F} \cdot \vec{G}^m, \quad [F_i, F_j] = i \epsilon_{ijk} F_k$$

Summary of free particle Lagrangians

(a) Real spin-0 field of mass m (scalar or pseudoscalar)

$$\mathcal{L} = \frac{1}{2} [(\partial_\mu \phi)(\partial^\mu \phi) - m^2 \phi^2]$$

$$\rightarrow \text{satisfies } (\partial^\mu \partial_\mu + m^2)\phi = 0$$

(b) complex scalar field of mass m

$$\begin{aligned} \mathcal{L} &= \frac{1}{2} [(\partial_\mu \phi_1)(\partial^\mu \phi_1) - m^2 \phi_1^2] + \frac{1}{2} [(\partial_\mu \phi_2)(\partial^\mu \phi_2) - m^2 \phi_2^2] \\ &= (\partial^\mu \phi)^* (\partial_\mu \phi) - m^2 \phi^* \phi \quad \left(\phi = \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2) \right) \end{aligned}$$

(c) spin- $\frac{1}{2}$ fermion field of mass m

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - m)\psi \quad \xrightarrow{\text{eq. of motion}} (i\gamma^\mu \partial_\mu - m)\psi = 0.$$

current

Any variations $\delta\phi$ and $\delta\phi^*$ leads to

$$\begin{aligned} \delta\mathcal{L} &= \delta\phi \frac{\partial\mathcal{L}}{\partial\phi} + \left[\delta\left(\frac{\partial\phi}{\partial x^\mu}\right) \right] \frac{\partial\mathcal{L}}{\partial(\partial_\mu\phi)} + (\phi \rightarrow \phi^*) \\ &= \delta\phi \left\{ \frac{\partial\mathcal{L}}{\partial\phi} - \partial^\mu \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)} \right\} + (\phi \rightarrow \phi^*) + \partial_\mu \left\{ \delta\phi \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)} + (\phi \rightarrow \phi^*) \right\} \end{aligned}$$

$$\rightarrow \frac{\partial\mathcal{L}}{\partial\phi} - \partial^\mu \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)} = 0 \quad \text{Euler-Lagrangian Eq.}$$

$$\rightarrow \delta\mathcal{L} = \alpha \partial^\mu J_\mu = 0, \quad J_\mu = \left\{ \delta\phi \frac{\partial\mathcal{L}}{\partial(\partial^\mu\phi)} + (\phi \rightarrow \phi^*) \right\} \quad \text{conserved current}$$

2. The Standard Model Lagrangian

1) classical Electrodynamics

$$E^i = -\vec{\nabla} V - \frac{\partial \vec{A}}{\partial t} = \partial^i A^0 - \partial^0 A^i, \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

It is convenient to define an antisymmetric tensor

$$F^{\mu\nu} \equiv \partial^\mu A^\nu - \partial^\nu A^\mu \quad (F^{0i} = -E^i, F^{ij} = \epsilon^{ijk} B^k)$$

→ gauge invariant

The conventional Lagrangian for electromagnetism: $\mathcal{L} = \frac{1}{2} (E^2 - B^2)$

Written in terms of $F^{\mu\nu}$, $\mathcal{L} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu}$

2) Non-Abelian gauge fields

- what is the analogue of $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$?
- Let's call it $W_{\mu\nu}^i$. is it $\partial_\mu W_\nu^i - \partial_\nu W_\mu^i$?
- check if it is gauge invariant

$$\delta(\partial_\mu W_\nu^i - \partial_\nu W_\mu^i) = \partial_\mu \left(\frac{1}{g_2} \partial_\nu \epsilon_i - \epsilon_{ijk} \epsilon_j W_\nu^k \right) - \partial_\nu \left(\frac{1}{g_2} \partial_\mu \epsilon_i - \epsilon_{ijk} \epsilon_j W_\mu^k \right)$$

$$= -\epsilon_{ijk} \epsilon_j (\partial_\mu W_\nu^k - \partial_\nu W_\mu^k) - \frac{\epsilon_{ijk} (\partial_\mu \epsilon_j W_\nu^k - \partial_\nu \epsilon_j W_\mu^k)}{\text{unwanted term}}$$

- To cancel the "unwanted term", let's observe that

$$g_2 \epsilon_{ijk} W_\mu^j W_\nu^k \Rightarrow \delta(g_2 \epsilon_{ijk} W_\mu^j W_\nu^k)$$

$$= g_2 \epsilon_{ijk} \left[\left(\frac{1}{g_2} \partial_\mu \epsilon_j - \epsilon_{jlm} \epsilon_l A_\mu^m \right) A_\nu^k + \epsilon_{ijk} A_\mu^j \left(\frac{1}{g_2} \partial_\nu \epsilon_k - \epsilon_{klm} \epsilon_l A_\nu^m \right) \right]$$

$$= \epsilon_{ijk} (\partial_\mu \epsilon_j A_\nu^k + \partial_\nu \epsilon_k A_\mu^j) - g_2 \epsilon_{ijk} [\epsilon_{jlm} \epsilon_l A_\mu^m A_\nu^k + \epsilon_{klm} \epsilon_l A_\mu^j A_\nu^m]$$

$$\begin{aligned} & \epsilon_{ijk} [\epsilon_{jlm} \epsilon_l A_m^m A_\nu^k + \epsilon_{klm} \epsilon_l A_m^j A_\nu^m] \\ &= \epsilon_l [\epsilon_{ijk} \epsilon_{jlm} A_m^m A_\nu^k + \underbrace{\epsilon_{ijk} \epsilon_{klm} A_m^j A_\nu^m}_{\Rightarrow j \rightarrow m, m \rightarrow k, k \rightarrow j}] \end{aligned}$$

$$= \epsilon_l [\epsilon_{ijk} \epsilon_{jlm} A_m^m A_\nu^k + \epsilon_{ilmj} \epsilon_{jlk} A_m^m A_\nu^k]$$

$$= \epsilon_l [\epsilon_{ijk} \epsilon_{jlm} + \epsilon_{ilmj} \epsilon_{jlk}] A_m^m A_\nu^k$$

$$\star: \epsilon_{ijk} \epsilon_{ilm} = \delta_{jl} \delta_{km} - \delta_{jm} \delta_{kl}$$

$$\text{So, } \epsilon_{ijk} \epsilon_{jlm} + \epsilon_{ilmj} \epsilon_{jlk} = -\epsilon_{jik} \epsilon_{jlm} + \epsilon_{jim} \epsilon_{jlk}$$

$$= -(\delta_{il} \delta_{km} - \delta_{im} \delta_{kl}) + (\delta_{il} \delta_{mk} - \delta_{ik} \delta_{ml})$$

$$= \delta_{im} \delta_{kl} - \delta_{ik} \delta_{ml} = \epsilon_{jil} \epsilon_{jmk}$$

$$= \epsilon_l \epsilon_{jil} \epsilon_{jmk} A_m^m A_\nu^k \quad (l \rightarrow j, j \rightarrow k, m \rightarrow l, k \rightarrow m)$$

$$= \epsilon_{ijk} \epsilon_j \epsilon_{klm} A_m^l A_\nu^m$$

Therefore, we should modify $\partial_\mu W_\nu^i - \partial_\nu W_\mu^i$ to

$$W_{\mu\nu}^i \equiv \partial_\mu W_\nu^i - \partial_\nu W_\mu^i + g_2 \epsilon_{ijk} W_\mu^j W_\nu^k$$

$$\text{Then, } \delta(W_{\mu\nu}^i) = -\epsilon_{ijk} \epsilon_j W_{\mu\nu}^k$$

The Lagrangian for non-abelian gauge field:

$$\boxed{-\frac{1}{4} W_{\mu\nu}^i W_{\mu\nu}^i}$$

In order to describe the particles and interactions known today, 3 internal symmetries are needed.

All experiments so far are consistent with the fact that the 3 symmetries are necessary and sufficient to describe the interactions of the known particles.

3) The Quark & Lepton Lagrangian

- The full Lagrangian should arise by taking the free particle Lagrangian and replacing the ordinary derivative by the covariant derivative.
- It also has a part, \mathcal{L}_{gauge} , for the kinetic energies of gauge bosons.
- Let's assign electroweak $SU(2)$ states to the particles.
- The remarkable thing is that L-H & R-H states transform differently under $SU(2)$. R-H fermions are $SU(2)$ singlets, while L-H are doublets.

ex) $L = \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, e^-_R, Q_{Ld} = \begin{pmatrix} u_d \\ d_d \end{pmatrix}_L, d_{Rd}$

(X) $e^-_R = P_R \psi_e = \frac{1}{2}(1+\gamma_5)\psi_e, e^-_L = P_L \psi_e = \frac{1}{2}(1-\gamma_5)\psi_e, P^2 = P$

- The parity violation of electroweak interaction energies, which reflect that L-H & R-H fermions were put in different $SU(2)$ multiplets.

$\Phi i \gamma^\mu \partial_\mu \Phi \longrightarrow \Phi i \gamma^\mu D_\mu \Phi$

$$D_\mu = \partial_\mu - i g_1 \frac{Y}{2} B_\mu - i g_2 \frac{\tau^a}{2} W_\mu^a - i g_3 \frac{\lambda^a}{2} G_\mu^a$$

ex) $\mathcal{L} = i \bar{e}_R \gamma^\mu \partial_\mu e_R + i \bar{e}_L \gamma^\mu \partial_\mu e_L + i \bar{\nu}_L \gamma^\mu \partial_\mu \nu_L$
 $= i \bar{e}_R \gamma^\mu \partial_\mu e_R + i \bar{L}_L \gamma^\mu \partial_\mu L_L$

\Rightarrow "gauging" $\partial_\mu \rightarrow D_\mu$:

(i) $U(1)$ terms : $-\mathcal{L}_f(U(1)) = \bar{L} i \gamma^\mu (i g_1 \frac{Y_L}{2} B_\mu) L + \bar{e}_R i \gamma^\mu (i g_1 \frac{Y_R}{2} B_\mu) e_R$
 $= -\frac{g_1}{2} (Y_L (\bar{L}_L \gamma^\mu L_L) + Y_R (\bar{e}_R \gamma^\mu e_R))$

(ii) $SU(2)$ terms :

$-\mathcal{L}_f(SU(2)) = \bar{L} i \gamma^\mu [i g_2 \frac{\tau^a}{2} W_\mu^a] L \Rightarrow \bar{L} i \gamma^\mu (-i \frac{g_2}{2} \tau^a W_\mu^a) L$

Neutral Current

- Since we know how electrons & neutrinos interact, let's make $-\mathcal{L}_f(\psi, \psi^c)$ consistent with experiment.

→ We know that ν_L does not have an electromagnetic interaction

$$\text{from } -\mathcal{L}_f, \quad \left(-\frac{g_1}{2} Y_L B_\mu - \frac{g_2}{2} W_\mu^0\right) \bar{\nu}_L \gamma^\mu \nu_L$$

Such combination of B_μ & W_μ^0 should not be e-m field A_μ , rather it should be orthogonal to A_μ .

We call the above combination of B_μ & W_μ^0 , Z_μ

$$\text{i.e.) } Z_\mu \propto g_1 Y_L B_\mu + g_2 W_\mu^0$$

then, the orthogonal field to Z_μ is proportional to

$$g_2 B_\mu - g_1 Y_L W_\mu^0 \propto A_\mu$$

After normalizing A_μ & Z_μ ,

$$A_\mu = \frac{g_2 B_\mu - g_1 Y_L W_\mu^0}{\sqrt{g_2^2 + g_1^2 Y_L^2}}, \quad Z_\mu = \frac{g_1 Y_L B_\mu + g_2 W_\mu^0}{\sqrt{g_2^2 + g_1^2 Y_L^2}}$$

$$\Rightarrow B_\mu = \frac{g_2 A_\mu + g_1 Y_L Z_\mu}{\sqrt{g_2^2 + g_1^2 Y_L^2}}, \quad W_\mu^0 = \frac{-g_1 Y_L A_\mu + g_2 Z_\mu}{\sqrt{g_2^2 + g_1^2 Y_L^2}}$$

$$\begin{aligned} \text{Therefore, } \left(-\frac{g_1}{2} Y_L B_\mu - \frac{g_2}{2} W_\mu^0\right) \bar{\nu}_L \gamma^\mu \nu_L &= -\frac{\sqrt{g_2^2 + g_1^2} Y_L^2}{2} Z_\mu \bar{\nu}_L \gamma^\mu \nu_L \\ &= -\frac{\sqrt{g_2^2 + g_1^2}}{2} Z_\mu \bar{\nu}_L \gamma^\mu \nu_L \quad (Y_L = -1) \end{aligned}$$

$$\begin{aligned} \text{For electrons: } \bar{e}_L \gamma^\mu e_L \left[-\frac{g_1}{2} Y_L B_\mu + \frac{g_2}{2} W_\mu^0\right] + \bar{e}_R \gamma^\mu e_R \left[-\frac{g_1}{2} Y_R B_\mu\right] \\ = -A_\mu \left\{ \bar{e}_L \gamma^\mu e_L \left(\frac{g_1 g_2 Y_L}{\sqrt{g_2^2 + g_1^2 Y_L^2}}\right) + \bar{e}_R \gamma^\mu e_R \left(\frac{g_1 g_2 Y_R}{2\sqrt{g_2^2 + g_1^2 Y_L^2}}\right) \right\} \end{aligned}$$

then, $e \equiv \frac{g_1 g_2}{\sqrt{g_1^2 + g_2^2}}$, in the suggestive form, $\sin \theta_w = \frac{g_1}{\sqrt{g_1^2 + g_2^2}}$, $\cos \theta_w = \frac{g_2}{\sqrt{g_1^2 + g_2^2}}$

$$\Rightarrow \begin{cases} g_2 = \frac{e}{\sin \theta_w} \\ g_1 = \frac{e}{\cos \theta_w} \end{cases} \rightarrow \boxed{\sqrt{g_1^2 + g_2^2} = \frac{e}{\cos \theta_w \sin \theta_w}} \quad (\theta_w: \text{Weinberg angle})$$

We call the interactions with Z "neutral current"

The couplings in the neutral currents:

$$\begin{cases} -\frac{\sqrt{g_1^2 + g_2^2}}{2} = -\frac{g_2}{2 \cos \theta_w} = -\frac{e}{2 \cos \theta_w \sin \theta_w} & (\text{for } \nu_L) \\ \frac{g_1 Y_L^2 - g_2^2}{2 \sqrt{g_1^2 + g_2^2} Y_L^2} = \frac{e}{\cos \theta_w \sin \theta_w} \left(-\frac{1}{2} + \sin^2 \theta_w \right) & (\text{for } e_L) \\ \frac{g_1^2 Y_L Y_A}{2 \sqrt{g_1^2 + g_2^2} Y_L^2} = \frac{e}{\cos \theta_w \sin \theta_w} \left(-\sin^2 \theta_w \right) \end{cases}$$

$$\Rightarrow \boxed{\frac{e}{\cos \theta_w \sin \theta_w} (T_3^f - Q_f \sin^2 \theta_w)}$$

Charged Current

off-diagonal part of $-\mathcal{L}_f(SU(2))$: $\frac{g_2}{\sqrt{2}} (\bar{\nu}_L \gamma^\mu e_L W_\mu^+ + \bar{e}_L \gamma^\mu \nu_L W_\mu^-)$

$\bar{\nu}_L \gamma^\mu e_L = \frac{1}{2} \bar{\nu} \gamma^\mu (1 - \gamma_5) e \Rightarrow V-A$ charged current interaction

$$\frac{(g_2 \sqrt{2})^2}{4\pi} = \frac{(e^2/4\pi)}{2 \sin^2 \theta_w} \approx \frac{2}{13}$$

Bring together in a place the Lagrangian for the interactions of fermions with gauge bosons:

$$\mathcal{L} \supset \bar{f} \gamma^\mu (F \gamma^\mu + g A^\mu)$$

To realize the mechanism developed to construct a meaningful gauge theory which can include the possibility that particles have mass, we assume that the universe is filled with a spin-zero field, called a Higgs field.

→ a doublet in $SU(2)$ & carries non-zero $U(1)$ hypercharge. gauge bosons and fermions can interact with this field, and in its presence they no longer appear to have zero mass.

A crucial ingredient is that states with one or more Higgs are not orthogonal to vacuum (ground state) even though these states carry non-zero $SU(2)$ & $U(1)$ quantum numbers.

→ that means $SU(2)$ & $U(1)$ quantum numbers of vacuum are non-zero, so $SU(2)$ & $U(1)$ symmetries are effectively broken.

When a sym. is broken this way, (i.e.) sym. is valid for Lagrangian but not for the ground state of the system. \Rightarrow called SSB.

Masses & the Higgs Mechanism

Spontaneous Symmetry Breaking

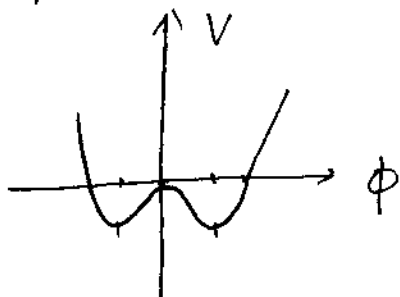
Let's examine a very simple case

$$\mathcal{L} = T - V = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \left(\frac{1}{2} \mu^2 \phi^2 + \frac{1}{4} \lambda \phi^4 \right)$$

- We require $\lambda > 0$ in order that the potential be bounded from below as $\phi \rightarrow \infty$
- Invariant under $\phi \rightarrow -\phi$
- For $\mu^2 > 0$, vacuum corresponds to $\phi = 0$
- For $\mu^2 < 0$, $\phi = 0$ is not a minimum

$$\frac{\partial V}{\partial \phi} = 0 \Rightarrow \phi (\mu^2 + \lambda \phi^2) = 0$$

$$\phi = \pm \sqrt{\frac{-\mu^2}{\lambda}} \equiv \langle 0 | \phi | 0 \rangle = v : \text{vacuum expectation value}$$



- It is obvious that vacuum is not invariant under $\phi \rightarrow -\phi$,
 \Rightarrow spontaneous sym. br.

- To determine the particle spectrum, we must study the theory in the region of the minimum (quantum fluctuation around)

$$\phi(x) = v + \eta(x) \quad (\text{we are expanding around } \eta)$$

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \eta \partial^\mu \eta) - \left\{ \frac{1}{2} \mu^2 (v^2 + 2v\eta + \eta^2) + \frac{1}{4} \lambda (v^4 + 4v^3\eta + 6v^2\eta^2 + 4v\eta^3 + \eta^4) \right\}$$

$$= \frac{1}{2} (\partial_\mu \eta \partial^\mu \eta) - \left\{ \frac{v^2}{2} (\mu^2 + \frac{1}{2} \lambda v^2) + \eta v (\mu^2 + \lambda v^2) + \frac{\eta^2}{2} (\mu^2 + 3\lambda v^2) \right\}$$

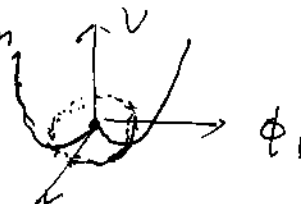
1) A global symmetry - complex scalar field

$$\phi \equiv \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2) \rightarrow \text{complex scalar}$$

$$\mathcal{L} = (\partial_\mu \phi)^* (\partial^\mu \phi) - \mu^2 \phi^* \phi - \lambda (\phi^* \phi)^2$$

Invariant under a global gauge transformation

$$\phi \rightarrow \phi' = e^{i\chi} \phi$$



Written in terms of the real components,

$$\mathcal{L} = \frac{1}{2}(\partial_\mu \phi_1)^2 + \frac{1}{2}(\partial_\mu \phi_2)^2 - \frac{1}{2}\mu^2(\phi_1^2 + \phi_2^2) - \frac{\lambda}{4}(\phi_1^2 + \phi_2^2)^2$$

for $\mu^2 < 0$, the minimum is along a circle of radius

$$\phi_1^2 + \phi_2^2 = \frac{-\mu^2}{\lambda} \equiv v^2 \quad \xrightarrow{O(2)} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \rightarrow \begin{pmatrix} c & -s \\ s & c \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

we could choose any point on the circle, but to proceed we have to choose some point, which will break the sym.

we pick the point $\phi_1 = v, \phi_2 = 0$

$$\phi \equiv \frac{1}{\sqrt{2}}(v + \eta(x) + i\rho(x))$$

$$\rightarrow \mathcal{L} = \frac{1}{2}(\partial_\mu \rho)^2 + \frac{1}{2}(\partial_\mu \eta)^2 + \frac{\mu^2 \eta^2}{\lambda} - \lambda v(\eta \rho^2 + \eta^3) - \frac{\lambda}{2} \eta^2 \rho^2 - \frac{\lambda}{4} \eta^4 - \frac{\lambda}{4} \rho^4$$

\hookrightarrow mass term of η

we see no mass term of $\rho \rightarrow$ Goldstone boson

* Goldstone theorem: whenever a continuous global sym. is spontaneously broken, the spectrum will contain

3) The Abelian Higgs Mechanism

$$\mathcal{L} = (D_\mu \phi^*) (D^\mu \phi) - \mu^2 \phi^* \phi - \lambda (\phi^* \phi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$(D^\mu = \partial^\mu - ig A^\mu)$$

$$\text{gauge transformation } \begin{cases} A_\mu \rightarrow A'_\mu = A_\mu - \frac{1}{g} \partial_\mu \chi(x) \\ \phi(x) \rightarrow \phi'(x) = e^{i\chi(x)} \phi(x) \end{cases}$$

In general, $\phi(x)$ could be written in the form

$$\phi(x) = \eta(x) e^{-i\rho(x)}$$

$$\text{choosing } \phi_1 = v, \phi_2 = 0, \quad \phi(x) = \frac{1}{\sqrt{2}} (v + \eta(x)) e^{-i\rho(x)}$$

Using the gauge transformation, we can choose to write

$$\phi(x) = \frac{1}{\sqrt{2}} (v + \eta(x))$$

$$\Rightarrow \mathcal{L} = \frac{1}{2} [(\partial^\mu + ig A^\mu)(v + \eta)] [(\partial^\mu - ig A^\mu)(v + \eta)] - \frac{1}{2} \mu^2 (v + \eta)^2 - \frac{1}{4} (v + \eta)^4 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$= \frac{1}{2} (\partial^\mu \eta)(\partial_\mu \eta) + \frac{1}{2} g^2 v^2 A_\mu A^\mu - \lambda v^2 \eta^2 - \lambda v \eta^3 - \frac{1}{4} \eta^4 + g^2 v \eta A^\mu A_\mu + \frac{1}{2} g^2 \eta^2 A^\mu A_\mu - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

→ we see that $\begin{cases} \text{no massless boson} \\ \eta \text{ gets a mass } m_\eta^2 = 2\lambda v^2 \\ A_\mu \text{ get " } M_A = gv \end{cases}$

Note)) Before performing gauge transformation, a term $A_\mu \partial^\mu \rho$ appears.

• when such cross terms appear, one can go to eigenstates by diagonalizing.

The Higgs Mechanism in the Standard Model

The Higgs field is assigned to an $SU(2)$ doublet

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \quad \begin{cases} \phi^+ = \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2) \\ \phi^0 = \frac{1}{\sqrt{2}} (\phi_3 + i\phi_4) \end{cases}$$

→ electric charge assignment of ϕ corresponds to putting $Y_H = 1$

$$(\text{X} \cdot Q = T_3 + \frac{Y_H}{2})$$

only ϕ^0 get a VEV (if ϕ^+ get a VEV, Q is not conserved)

Sym. breaking : $SU(2)$ is broken because $\phi(x)$ is a doublet and only one comp. gets a VEV.

$U(1)_Y$ is broken because $Y_H \neq 0$.

However, $Q\phi^0 = (T_3 + \frac{Y}{2})\phi_0 = 0$, so ϕ_0 is invariant under

$$\text{a transformation } \phi_0 \rightarrow \phi_0' = e^{i\alpha Q} \phi_0 = \phi_0$$

So, the vacuum is invariant under a particular $U'(1)$ whose generators are a particular linear combinations of the generators of the original $SU(2)$ & $U(1)$

⇒ this $U'(1)$ is the $U(1)$ of EM & the gauge boson that remains massless is the photon.

$$\partial_\mu \rightarrow D_\mu = \partial_\mu - i'g_1 \frac{Y}{2} B_\mu - i'g_2 \frac{\vec{T} \cdot \vec{W}_\mu}{2}$$

$$\mathcal{L} = (D_\mu \phi)^\dagger (D^\mu \phi) - m^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2 + \dots$$

$$++ \dots (++) \dots (\phi^\dagger)$$

For $\mu^2 < 0$, $V(\phi)$ has a minimum at

$$\phi^\dagger \phi = \frac{-\mu^2}{2\lambda} \equiv \frac{v^2}{2}$$

we choose, $\phi_1 = \phi_2 = \phi_4 = 0$, $\phi_3 = v$.

$$\langle \phi \rangle = \phi_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$$

Expanding around the vacuum, $\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(x) \end{pmatrix}$

(*) it's possible to rotate arbitrary $\phi(x)$ into the above

by making a gauge transformation, $\phi \rightarrow \phi' = e^{i\vec{z} \cdot \frac{\vec{D}}{v}} \phi$

\rightarrow 3 fields "gauge away" \rightarrow become the longitudinal parts of W^\pm & Z^0

$$D_\mu \phi = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} \partial_\mu H(x) \end{pmatrix} - \left[\frac{i g_1}{2} B_\mu + \frac{i g_2}{2} \begin{pmatrix} W_\mu^3 & W_\mu^1 - i W_\mu^2 \\ W_\mu^1 + i W_\mu^2 & -W_\mu^3 \end{pmatrix} \right] \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}} (v + H(x)) \end{pmatrix}$$

$$= -\frac{i}{2} \begin{pmatrix} \frac{1}{\sqrt{2}} (v + H(x)) g_2 (W_\mu^1 - i W_\mu^2) \\ i \sqrt{2} \partial_\mu H(x) - (g_2 W_\mu^3 + g_1 B_\mu) \frac{1}{\sqrt{2}} (v + H(x)) \end{pmatrix}$$

$$D_\mu \phi^\dagger D^\mu \phi = \frac{1}{2} (\partial_\mu H(x))^2 + \frac{1}{8} \begin{pmatrix} g_1 B_\mu + g_2 W_\mu^3 & g_2 (W_\mu^1 - i W_\mu^2) \\ g_2 (W_\mu^1 + i W_\mu^2) & g_1 B_\mu - g_2 W_\mu^3 \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix}$$

$$= \frac{1}{2} (\partial_\mu H(x))^2 + \frac{1}{8} v^2 g_2^2 ((W_\mu^1)^2 + (W_\mu^2)^2) + \frac{1}{8} v^2 (g_1 B_\mu - g_2 W_\mu^3)^2 + \dots$$

define

$$Z_\mu = \frac{g_2 W_\mu^3 - g_1 B_\mu}{\sqrt{g_1^2 + g_2^2}} \equiv \cos \theta_W W_\mu^3 - \sin \theta_W B_\mu$$

5) Fermion Masses

• For $L = \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L$ & $\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$,

an $SU(2)$ invariant is $\bar{L}\phi = \bar{\nu}_e \phi^+ + \bar{e}_L \phi^0$

Multiplying by the singlet e_R^- does not change $SU(2)$ sym

→ $\mathcal{L} = g_e (\bar{L}\phi e_R^- + \phi^+ \bar{e}_R^- L)$

\bar{L} arbitrary coupling

⇒ SSB: $\phi \rightarrow \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(v + H_0) \end{pmatrix}$

⇒ $\mathcal{L} = \frac{g_e v}{\sqrt{2}} (\bar{e}_L e_R^- + \bar{e}_R^- e_L^-) + \frac{g_e}{\sqrt{2}} (\bar{e}_L e_R^- + \bar{e}_R^- e_L^-) H_0$

fermion mass terms.

$$m_e = \frac{g_e}{\sqrt{2}} v$$

• No mass term occurred for neutrino because of no ν_R in SM

• L has $Y_W = -1$, e_R^- has $Y_W = -2$

• For quarks, $Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}$

it is well known that if $\begin{pmatrix} a \\ b \end{pmatrix}$ is an $SU(2)$ double,

$$\text{so is } -i\tau_2 \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} -b^* \\ a^* \end{pmatrix}$$

letting $\phi = \begin{pmatrix} -\phi^{0*} \\ \phi^+ \end{pmatrix}$ (Y = -1)

Cabibbo Theory & GIM mechanism

Before gauge theory has been established for the description of weak interactions, physicists used Fermi's theory which is presented by current-current interactions:

$$\mathcal{L} = -\frac{G_F}{\sqrt{2}} J^\lambda(x) J_\lambda^\dagger(x)$$

$$J^\lambda = j_e^\lambda(x) + j_\mu^\lambda(x) + a J_c^\lambda(x) + b J_s^\lambda(x)$$

$$\begin{cases} j_e^\lambda(x) = \bar{e} \gamma^\lambda (1 + \gamma_5) \nu_e \\ j_\mu^\lambda(x) = \bar{\mu} \gamma^\lambda (1 + \gamma_5) \nu_\mu \end{cases} \quad \begin{cases} J_c^\lambda(x) = \bar{u} \gamma^\lambda (1 + \gamma_5) p \\ J_s^\lambda(x) = \bar{s} \gamma^\lambda (1 + \gamma_5) p \end{cases}$$

In quark model, $\begin{cases} J_c^\lambda(x) = \bar{u} \gamma^\lambda (1 + \gamma_5) u \\ J_s^\lambda(x) = \bar{s} \gamma^\lambda (1 + \gamma_5) u \end{cases}$ $\left(\begin{array}{l} J_{lep}^\lambda = \bar{\nu}_l \gamma^\lambda (1 + \gamma_5) \nu_l \\ J_\lambda^{(1)} + \lambda J_\lambda^{(2)} = (\Delta S = 0) \\ J_\lambda^{(u)} + \lambda J_\lambda^{(s)} = (\Delta S = \pm 1) \end{array} \right)$

It has been known that strange particles (kaons, hyperons...) slowly decay.

In early 60's, there is a puzzle why rates for decays with $\Delta S = 0$

$$(i.e.) BR(K^- \rightarrow \mu \bar{\nu}_\mu) \cong 63.5\%, \quad BR(\pi^- \rightarrow \mu \bar{\nu}_\mu) \cong 100\%.$$

$$\text{Empirically, } |G_M|^2 \cong |G_P|^2 + |G^A|^2$$

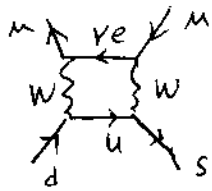
In 1963, Cabibbo postulated that the quarks that participate in the weak interaction are a mixture of the quarks that participate in the strong interaction.

$$d' = d \cos \theta_c + s \sin \theta_c$$

$$\Rightarrow \begin{cases} d \rightarrow u + W^- \propto \cos \theta_c \\ s \rightarrow u + W^- \propto \sin \theta_c \end{cases} \Rightarrow \text{experimentally, } \theta_c \sim 12^\circ$$

Experimentally, $\frac{BR(K^0 \rightarrow \mu^+ \mu^-)}{BR(K^+ \rightarrow \mu^+ \nu_\mu)} = \frac{7 \times 10^{-9}}{0.64} \approx 10^{-8}$

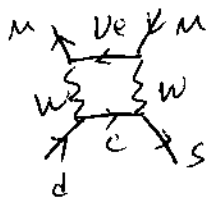
Cabibbo theory shows



$\propto \cos\theta_c \sin\theta_c$: not suppressed.

In 1940, GIM proposed the existence of a fourth quark (c) whose couplings to s and d carry factors of $\cos\theta_c$ & $-\sin\theta_c$

Then there exists the following contribution to $K^0 \rightarrow \mu^+ \mu^-$



$\propto -\cos\theta_c \sin\theta_c \Rightarrow$ cancel the above contribution.

Instead of the physical quark d and s, the "correct" states to use in the weak interactions are d' & s' given by

$$\begin{pmatrix} d' \\ s' \end{pmatrix} = \begin{pmatrix} \cos\theta_c & \sin\theta_c \\ -\sin\theta_c & \cos\theta_c \end{pmatrix} \begin{pmatrix} d \\ s \end{pmatrix}$$

The W's couple to the "Cabibbo-rotated" states $\begin{pmatrix} u \\ d' \end{pmatrix}, \begin{pmatrix} c \\ s' \end{pmatrix}$

Extension to 3 generations \Rightarrow KM matrix

$$\begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} 3 \times 3 \text{ unitary} \\ \text{matrix} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$= \begin{pmatrix} c_1 & s_1 c_3 & s_1 s_3 \\ -s_1 c_1 & c_1 c_2 - s_1 c_2 e^{i\delta} & c_1 c_2 + s_1 c_2 e^{i\delta} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

⊙ Yukawa Interactions of Higgs fields with quarks

$$\mathcal{L}_Y = (g_d)_{ij} \bar{Q}_{Li} \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} d_{Rj} + (g_u)_{ij} \bar{Q}_{Li} \begin{pmatrix} -\phi^{0*} \\ \phi^- \end{pmatrix} u_{Rj} + h.c.$$

- once ϕ^0 gets a VEV, fermion masses arise
- Then, the mass matrices for up-type & down-type quarks are proportional to $(g_d)_{ij}$, $(g_u)_{ij}$.
- Since the Yukawa couplings are arbitrary, so are the mass matrices
- We can diagonalize the mass matrices with the help of two unitary matrices $U_{UL}, U_{UR}, U_{DL}, U_{DR}$

$$\Rightarrow U_{UL} M_U U_{UR}^\dagger = M_U^{\text{diag}}, \quad U_{DL} M_D U_{DR}^\dagger = M_D^{\text{diag}}$$

$$\begin{pmatrix} u^m \\ c^m \\ t^m \end{pmatrix}_{L(R)} = U_{UL(R)} \begin{pmatrix} u \\ c \\ t \end{pmatrix}_{L(R)} \begin{matrix} \left(\text{transforming L(R) quarks} \right. \\ \left. \text{into their mass eigenstates} \right) \end{matrix}$$

- U_L & U_R can be found by diagonalizing $M_U M_U^\dagger$ & $M_U^\dagger M_U$

$$\Rightarrow (M_U^{\text{diag}})^2 = U_{UR} M_U^\dagger M_U U_{UR}^\dagger = U_{UL} M_U M_U^\dagger U_{UL}^\dagger$$

- For charged currents :

$$(\bar{u}_L, \bar{c}_L, \bar{t}_L) \gamma_\mu \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} = (\bar{u}_L^m, \bar{c}_L^m, \bar{t}_L^m) U_{UL} \gamma_\mu U_{DL}^\dagger \begin{pmatrix} d_L^m \\ s_L^m \\ b_L^m \end{pmatrix}$$

$$\equiv (\bar{u}_L^m, \bar{c}_L^m, \bar{t}_L^m) \gamma_\mu V \begin{pmatrix} d_L^m \\ s_L^m \\ b_L^m \end{pmatrix}$$

$$V = U_{UL} U_{DL}^\dagger \Rightarrow \boxed{\text{CKM matrix}}$$

" Determining the number of independent physical parameters in V "

i) A general $m \times m$ complex matrix contains $2m^2$ real parameters

ii) Unitarity implies $\sum_j V_{ij} V_{jk}^* = \delta_{ik}$ yielding

$$\begin{cases} m \text{ constraints for } i=k \\ 2 \cdot \frac{1}{2} \cdot m \cdot (m-1) = m^2 - m \text{ for } i \neq k \end{cases}$$

→ So, a unitary $m \times m$ matrix contains m^2 independent real parameters.

iii) The phases of quark fields can be rotated freely

$$U_i^m \rightarrow e^{i\phi_i^U} U_i^m, \quad D_j^m \rightarrow e^{i\phi_j^D} D_j^m$$

Leading to $V \rightarrow \begin{pmatrix} e^{-i\phi_1^U} & & 0 \\ & \ddots & \\ 0 & & e^{-i\phi_n^U} \end{pmatrix} V \begin{pmatrix} e^{i\phi_1^D} & & 0 \\ & \ddots & \\ 0 & & e^{i\phi_n^D} \end{pmatrix}$

Since the overall phase is irrelevant, $2m-1$ relative phases can be removed from V . Accordingly, V contains $(m-1)^2$ independent parameters.

iv) A general orthogonal $m \times m$ matrix is constructed from $\frac{1}{2}m(m-1)$ quantities describing the independent rotation angles:

$$N_{\text{angle}} = \frac{1}{2}m(m-1)$$

So, the # of independent phases in V : $(m-1)^2 - \frac{1}{2}m(m-1) = \frac{1}{2}(m-1)(m-2)$

(ex for 3 generations, $m=3$, $\Rightarrow N_{\text{angle}}=3$, $N_{\text{phase}}=1$)

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot \end{pmatrix}$$