First results from DAMA/LIBRA–phase2

R. Cerulli
INFN-Roma Tor Vergata

NDM 2018
Daejeon (Korea)
June 29 – July 4, 2018

DAMA set-ups

an observatory for rare processes @ LNGS

- **DAMA/LIBRA** (DAMA/NaI)
- DAMA/LXe
- DAMA/R&D
- DAMA/Crys
- DAMA/Ge

Collaboration:

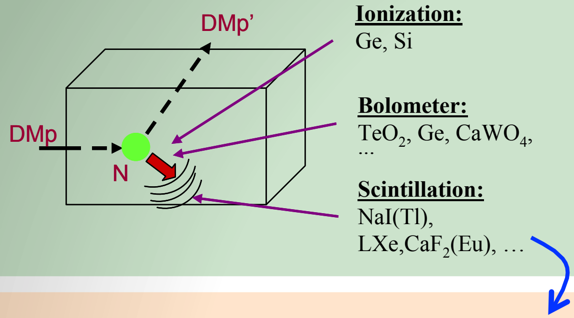
Roma Tor Vergata, Roma La Sapienza, LNGS, IHEP/Beijing
+ by-products and small scale expts.: INR-Kiev
+ neutron meas.: ENEA-Frascati, ENEA-Casaccia
+ in some studies on ββ decays (DST-MAE project): IIT Kharagpur and Ropar, India

Web Site: http://people.roma2.infn.it/dama

Some direct detection processes:

- Scatterings on nuclei

  → detection of nuclear recoil energy



- Inelastic Dark Matter: **W + N → W* + N**

  → W has 2 mass states χ+ , χ- with δ mass splitting

  → Kinematical constraint for the inelastic scattering of χ- on a nucleus

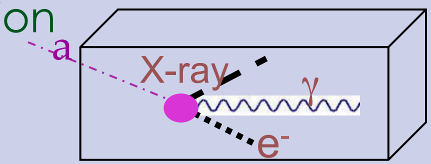
$$\frac{1}{2}\mu v^2 \geq \delta \Leftrightarrow v \geq v_{thr} = \sqrt{\frac{2\delta}{\mu}}$$

- Excitation of bound electrons in scatterings on nuclei

  → detection of recoil nuclei + e.m. radiation

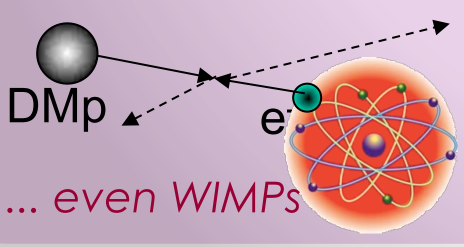
- Conversion of particle into e.m. radiation

  → detection of γ, X-rays, e^-



- Interaction only on atomic electrons

  → detection of e.m. radiation

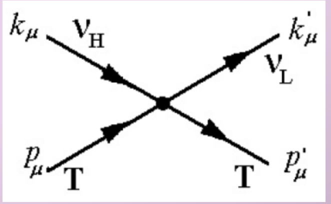


… even WIMPs

- Interaction of light DMp (LDM) on e^- or nucleus with production of a lighter particle

  → detection of electron/nucleus recoil energy

  e.g. sterile ν



e.g. signals from these candidates are **completely lost** in experiments based on "rejection procedures" of the e.m. component of their rate

… also other ideas …

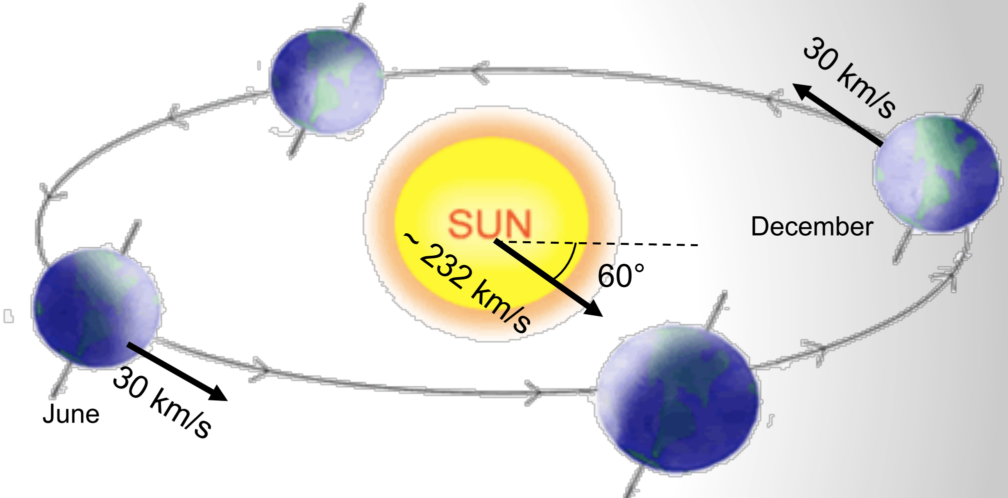
The annual modulation: a model independent signature for the investigation of DM particles

With the present technology, the annual modulation is the main model independent signature for the DM signal. Although the modulation effect is expected to be relatively small a suitable large-mass, low-radioactive set-up with an efficient control of the running conditions can point out its presence.

Requirements:

1) Cosine-like modulation of the rate
2) In low energy range
3) Period of 1 year
4) Phase at about June 2nd
5) For single-hit events in a multi-detector set-up
6) With modulation amplitude in the region of maximal sensitivity must be <7% for usually adopted halo distributions, but it can be larger in case of some possible scenarios

Drukier, Freese, Spergel PRD86; Freese et al. PRD88



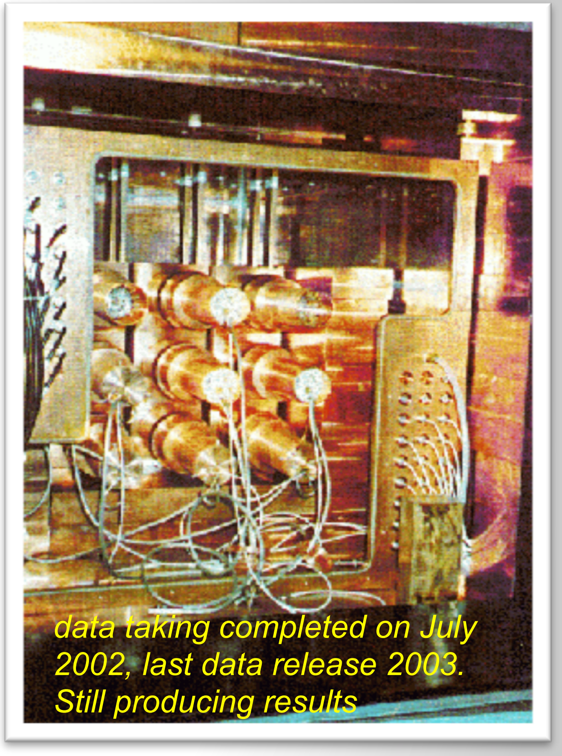
$$v_{\oplus}(t) = v_{sun} + v_{orb} \cos\gamma \cos[\omega(t-t_0)]$$

$$S_k[\eta(t)] = \int_{\Delta E_k} \frac{dR}{dE_R} dE_R \cong S_{0,k} + S_{m,k} \cos[\omega(t-t_0)]$$

the DM annual modulation signature has a different origin and peculiarities (e.g. the phase) than those effects correlated with the seasons

To mimic this signature, spurious effects and side reactions must be able to account for the whole observed modulation amplitude, and also to satisfy simultaneously all the requirements

The pioneer DAMA/NaI: ≈100 kg highly radiopure NaI(Tl)



Performances:

N.Cim.A112(1999)545-575, EPJC18(2000)283, Riv.N.Cim.26 n. 1(2003)1-73, IJMPD13(2004)2127

Results on rare processes:

- Possible Pauli exclusion principle violation — PLB408(1997)439
- CNC processes — PRC60(1999)065501
- Electron stability and non-paulian transitions in Iodine atoms (by L-shell) — PLB460(1999)235
- Search for solar axions — PLB515(2001)6
- Exotic Matter search — EPJdirect C14(2002)1
- Search for superdense nuclear matter — EPJA23(2005)7
- Search for heavy clusters decays — EPJA24(2005)51

Results on DM particles:

- PSD — PLB389(1996)757
- Investigation on diurnal effect — N.Cim.A112(1999)1541
- Exotic Dark Matter search — PRL83(1999)4918
- **Annual Modulation Signature** — PLB424(1998)195, PLB450(1999)448, PRD61(1999)023512, PLB480(2000)23, EPJC18(2000)283, PLB509(2001)197, EPJC23(2002)61, PRD66(2002)043503, Riv.N.Cim.26 n.1 (2003)1, IJMPD13(2004)2127, IJMPA21(2006)1445, EPJC47(2006)263, IJMPA22(2007)3155, EPJC53(2008)205, PRD77(2008)023506, MPLA23(2008)2125

Model independent evidence of a particle DM component in the galactic halo at 6.3 σ C.L.

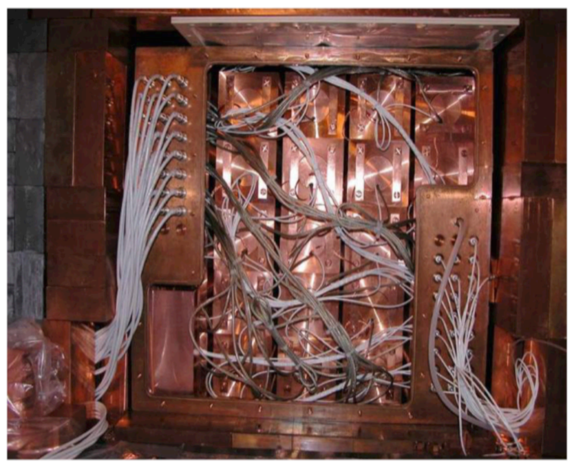
total exposure (7 annual cycles) 0.29 ton×yr

The DAMA/LIBRA set-up ~250 kg NaI(Tl)
(Large sodium Iodide Bulk for RAre processes)

As a result of a 2nd generation R&D for more radiopure NaI(Tl) by exploiting new chemical/physical radiopurification techniques
(all operations involving - including photos - in HP Nitrogen atmosphere)

See IJMPA31(2106) dedicated issue

Residual contaminations in the new DAMA/LIBRA NaI(Tl) detectors: ^{232}Th, ^{238}U and ^{40}K at level of 10^{-12} g/g

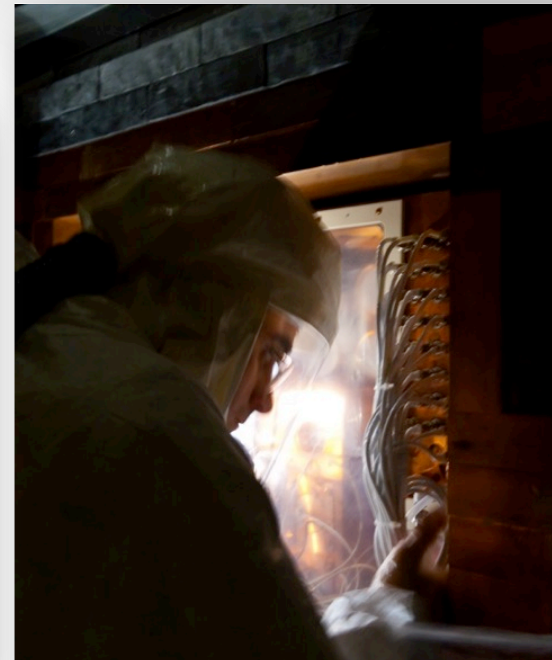


- Radiopurity, performances, procedures, etc.: **NIMA592(2008)297, JINST 7 (2012) 03009**
- Results on DM, Annual Modulation Signature: **EPJC56(2008)333, EPJC67(2010)39, EPJC73(2013)2648**
  related results: **PRD84(2011)055014, EPJC72(2012)2064, IJMPA28 (2013)1330022, EPJC74(2014)2827, EPJC74(2014)3196, EPJC75 (2015)239, EPJC75(2015)400,IJMPA 31(2016) issue,EPJC77(2017)83**
- Rare processes: PEP viol.: **EPJC62(2009)327**, CNC in I: **EPJC72(2012)1920**, IPP in Am: **EPJA49(2013)64**

Upgrading to DAMA/LIBRA-phase2

JINST 7(2012)03009

Upgrade on Nov/Dec 2010: all PMTs replaced with new ones of higher Q.E.



Q.E. of the new PMTs:

33 – 39% @ 420 nm

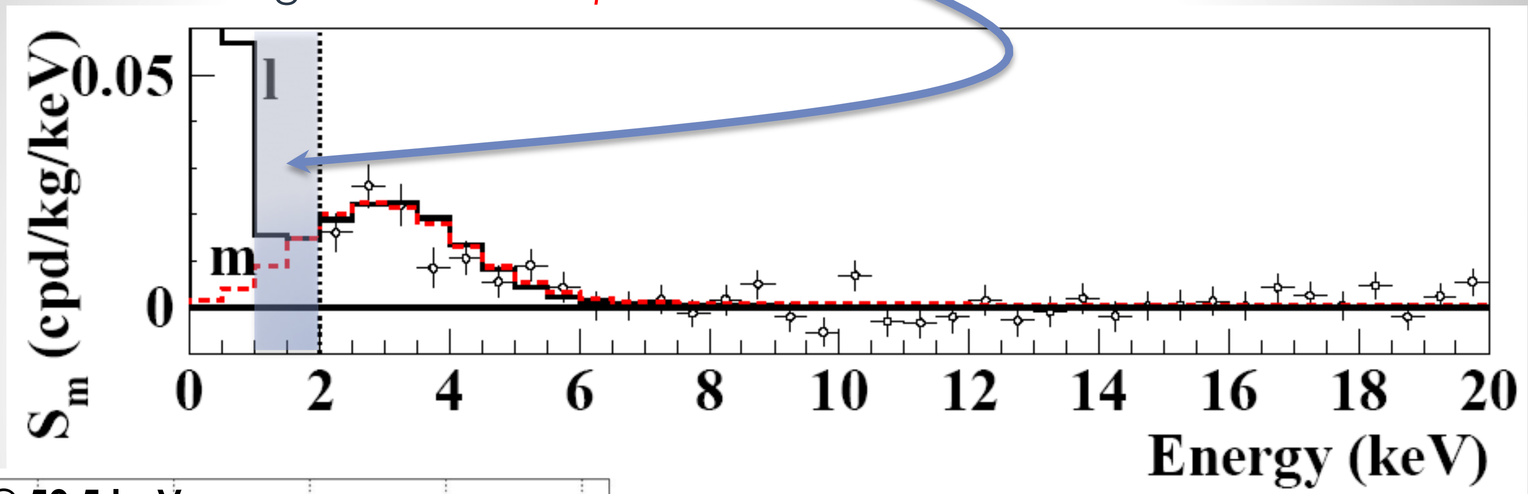
36 – 44% @ peak

DAMA/LIBRA–phase2

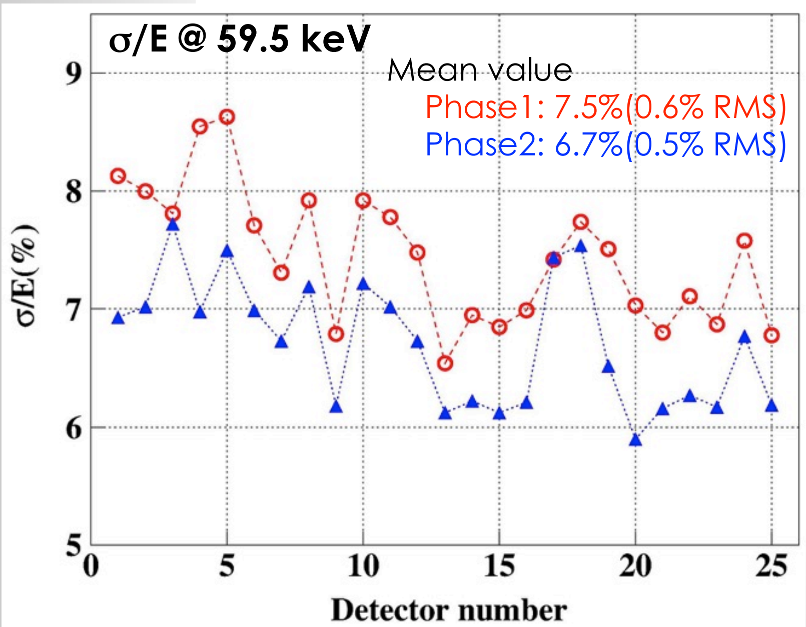
JINST 7(2012)03009

Lowering software energy threshold below 2 keV:

- to study the nature of the particles and features of astrophysical, nuclear and particle physics aspects, and to investigate 2nd order effects
- special data taking for *other rare processes*



The resolution:



The contaminations:

	^{226}Ra (Bq/kg)	^{235}U (mBq/kg)	^{228}Ra (Bq/kg)	^{228}Th (mBq/kg)	^{40}K (Bq/kg)
Mean Contamination	0.43	47	0.12	83	0.54
Standard Deviation	0.06	10	0.02	17	0.16

The light responses:

DAMA/LIBRA-phase1: 5.5 – 7.5 ph.e./keV
DAMA/LIBRA-phase2: 6-10 ph.e./keV

DAMA/LIBRA phase 2 – data taking

- ✓ Fall 2012: new preamplifiers installed + special trigger modules.

- ✓ Calibrations: $\approx 1.3 \times 10^8$ events from sources
- ✓ Acceptance window efficiency: $\approx 3.4 \times 10^6$ events ($\approx 1.4 \times 10^5$ events/keV)

Annual Cycles	Period	Mass (kg)	Exposure	$(\alpha-\beta^2)$
I	Dec 2010 – Sept. 2011	commissioning		
II	Nov. 2, 2011 – Sept. 11, 2012	242.5	62917	0.519
III	Oct. 8, 2012 – Sept. 2, 2013	242.5	60586	0.534
IV	Sept. 8, 2013 – Sept. 1, 2014	242.5	73792	0.479
V	Sept. 1, 2014 – Sept. 9, 2015	242.5	71180	0.486
VI	Sept. 10, 2015 – Aug. 24, 2016	242.5	67527	0.522
VII	Sept. 7, 2016 – Sept. 25, 2017	242.5	75135	0.480

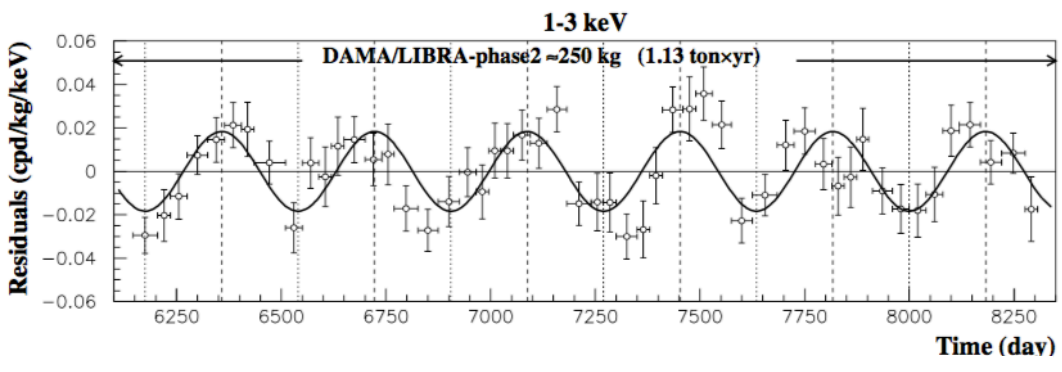
Exposure first data release of DAMA/LIBRA-phase2: **1.13 ton x yr**

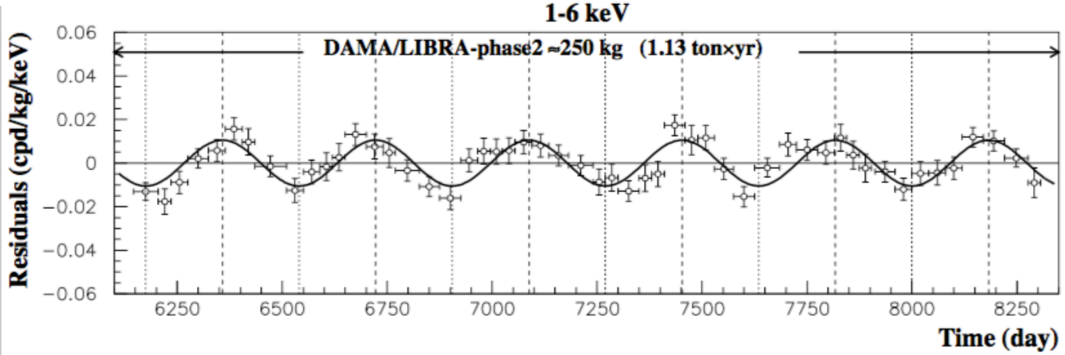
Exposure DAMA/NaI+DAMA/LIBRA-phase1+phase2: **2.46 ton x yr**

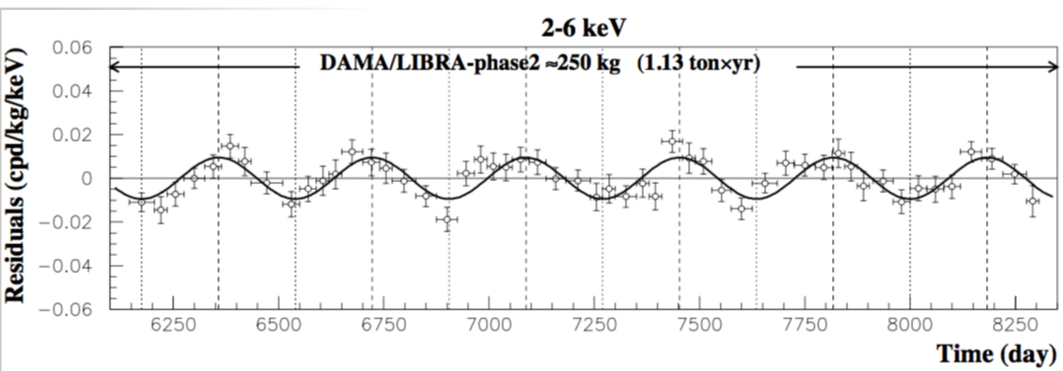
DM model-independent Annual Modulation Result

experimental residuals of the single-hit scintillation events rate vs time and energy

DAMA/LIBRA-phase2 (1.13 ton × yr)







Absence of modulation? No

- 1-3 keV: χ^2/dof=127/52 ⇒ P(A=0) = 3×10^{-8}
- 1-6 keV: χ^2/dof=150/52 ⇒ P(A=0) = 2×10^{-11}
- 2-6 keV: χ^2/dof=116/52 ⇒ P(A=0) = 8×10^{-7}

Fit on DAMA/LIBRA-phase2

$A\cos[\omega(t-t_0)]$;
continuous lines: t_0 = 152.5 d, T = 1.00 y

1-3 keV

A=(0.0184±0.0023) cpd/kg/keV

χ^2/dof = 61.3/51 **8.0 σ C.L.**

1-6 keV

A=(0.0105±0.0011) cpd/kg/keV

χ^2/dof = 50.0/51 **9.5 σ C.L.**

2-6 keV

A=(0.0095±0.0011) cpd/kg/keV

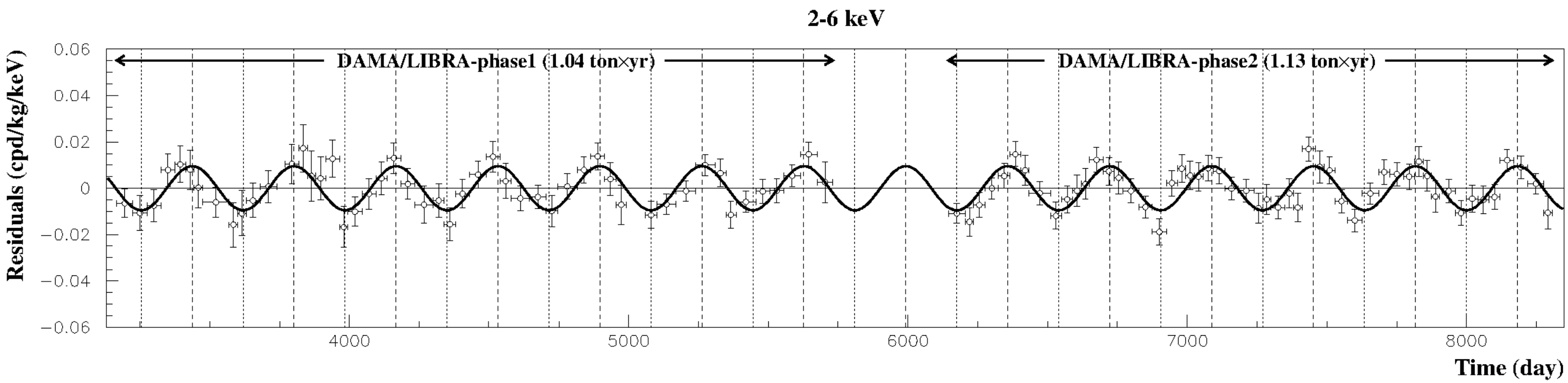
χ^2/dof = 42.5/51 **8.6 σ C.L.**

The data of DAMA/LIBRA-phase2 favor the presence of a modulated behavior with proper features at 9.5σ C.L.

DM model-independent Annual Modulation Result

experimental residuals of the single-hit scintillation events rate vs time and energy

DAMA/LIBRA-phase1+DAMA/LIBRA-phase2 (2.17 ton × yr)



Absence of modulation? No

- 2-6 keV: χ^2/dof=199.3/102 $\Rightarrow$ P(A=0) =2.9×10^{-8}

Fit on DAMA/LIBRA-phase1+ DAMA/LIBRA-phase2

$A\cos[\omega(t-t_0)]$;
continuous lines: t_0 = 152.5 d, T = 1.00 y

2-6 keV

A=(0.0095±0.0008) cpd/kg/keV

χ^2/dof = 71.8/101 **11.9σ C.L.**

The data of DAMA/LIBRA-phase1 +DAMA/LIBRA-phase2 favor the presence of a modulated behavior with proper features at 11.9 σ C.L.

Releasing period (T) and phase (t_0) in the fit

	ΔE	A(cpd/kg/keV)	$T=2\pi/\omega$ (yr)	t_0 (day)	C.L.
DAMA/LIBRA-ph2	(1-3) keV	0.0184±0.0023	1.0000±0.0010	153±7	8.0σ
	(1-6) keV	0.0106±0.0011	0.9993±0.0008	148±6	9.6σ
	(2-6) keV	0.0096±0.0011	0.9989±0.0010	145±7	8.7σ
DAMA/LIBRA-ph1 + DAMA/LIBRA-ph2	(2-6) keV	0.0096±0.0008	0.9987±0.0008	145±5	12.0σ
DAMA/NaI + DAMA/LIBRA-ph1 + DAMA/LIBRA-ph2	(2-6) keV	0.0103±0.0008	0.9987±0.0008	145±5	12.9σ

$A\cos[\omega(t-t_0)]$

DAMA/NaI (0.29 ton x yr)

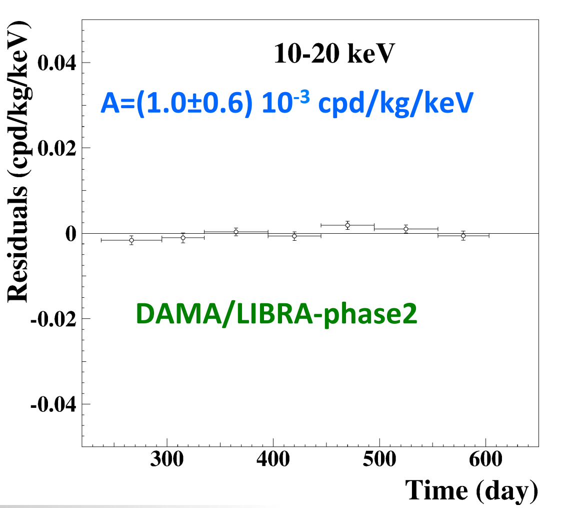
DAMA/LIBRA-ph1 (1.04 ton x yr)

DAMA/LIBRA-ph2 (1.13 ton x yr)

total exposure = 2.46 ton×yr

Rate behaviour above 6 keV

• No Modulation above 6 keV

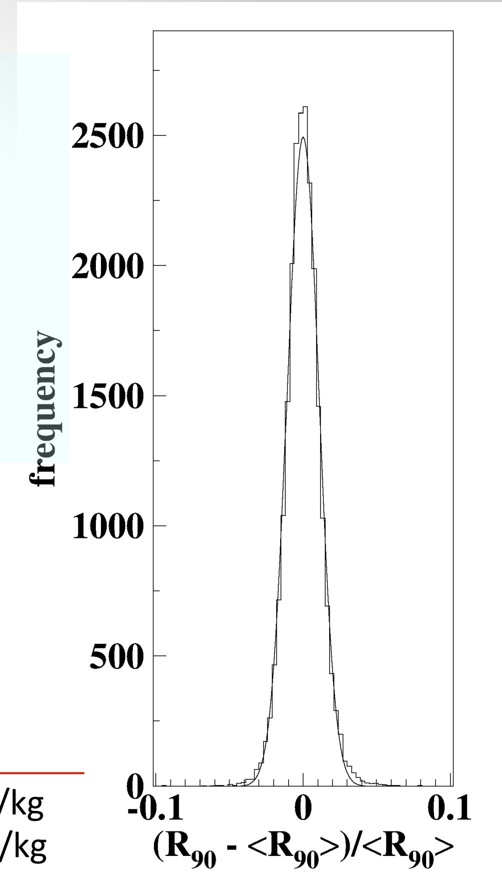


Mod. Ampl. (6-14 keV): cpd/kg/keV

(0.0032 ± 0.0017) DAMA/LIBRA-ph2_2
(0.0016 ± 0.0017) DAMA/LIBRA-ph2_3
(0.0024 ± 0.0015) DAMA/LIBRA-ph2_4
-(0.0004 ± 0.0015) DAMA/LIBRA-ph2_5
(0.0001 ± 0.0015) DAMA/LIBRA-ph2_6
(0.0015 ± 0.0014) DAMA/LIBRA-ph2_7

→ statistically consistent with zero

DAMA/LIBRA-phase2



σ ≈ 1%, fully accounted by statistical considerations

• No modulation in the whole energy spectrum:

studying integral rate at higher energy, R_{90}

- R_{90} percentage variations with respect to their mean values for single crystal in the DAMA/LIBRA running periods
- Fitting the behaviour with time, adding a term modulated with period and phase as expected for DM particles:

  consistent with zero

Period	Mod. Ampl.
DAMA/LIBRA-ph2_2	(0.12±0.14) cpd/kg
DAMA/LIBRA-ph2_3	-(0.08±0.14) cpd/kg
DAMA/LIBRA-ph2_4	(0.07±0.15) cpd/kg
DAMA/LIBRA-ph2_5	-(0.05±0.14) cpd/kg
DAMA/LIBRA-ph2_6	(0.03±0.13) cpd/kg
DAMA/LIBRA-ph2_7	-(0.09±0.14) cpd/kg

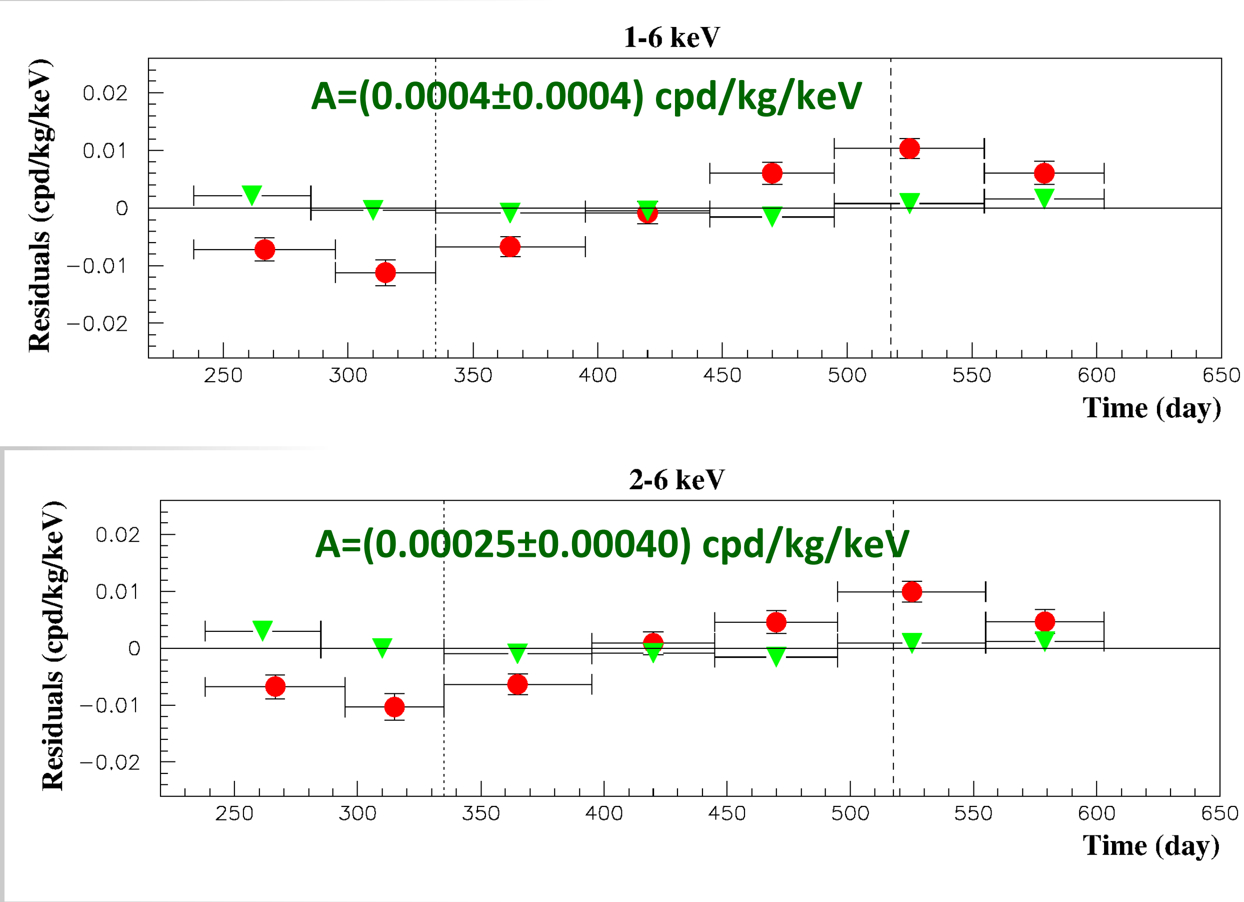
+ if a modulation present in the whole energy spectrum at the level found in the lowest energy region → R_{90} ~ tens cpd/kg → ~ 100 σ far away

No modulation above 6 keV
This accounts for all sources of bckg and is consistent with the studies on the various components

DM model-independent Annual Modulation Result

DAMA/LIBRA-phase2 (1.13 ton × yr)

Multiple hits events = Dark Matter particle "switched off"



Single hit residual rate (red) vs **Multiple hit residual rate (green)**

- Clear modulation in the single hit events;
- No modulation in the residual rate of the multiple hit events

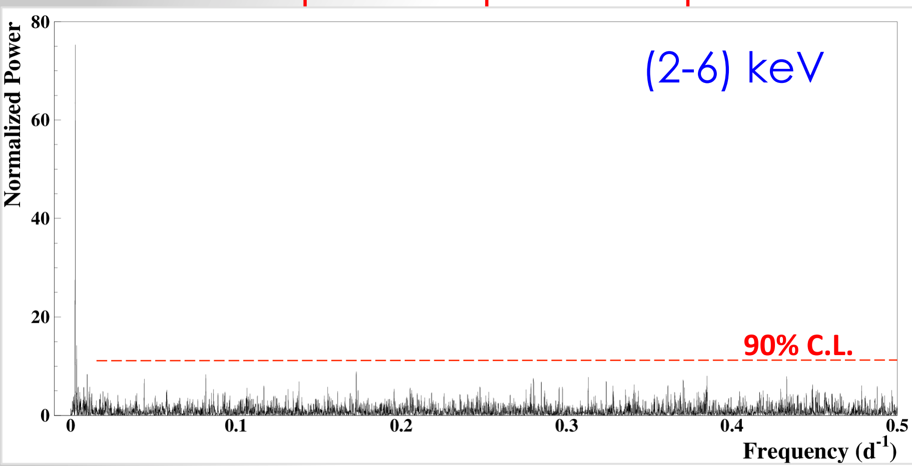
This result offers an additional strong support for the presence of DM particles in the galactic halo further excluding any side effect either from hardware or from software procedures or from background

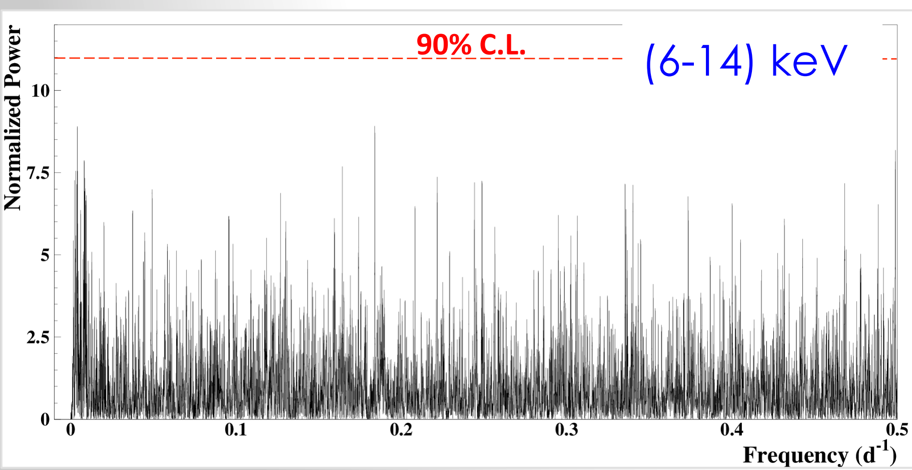
The analysis in frequency

(according to PRD75 (2007) 013010)

To perform the Fourier analysis of the data in a wide region of frequency, the single-hit scintillation events have been grouped in 1 day bins

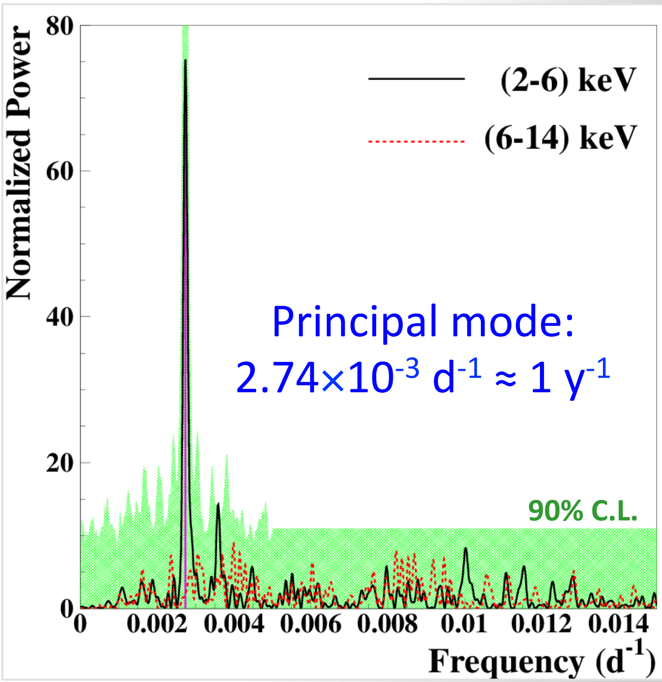
The whole power spectra up to the





DAMA/NaI + DAMA/LIBRA-(ph1+ph2) (20 yr)
total exposure: 2.46 ton×yr

Zoom around the 1 y^{-1} peak



Green area: 90% C.L. region calculated taking into account the signal in (2-6) keV

Clear annual modulation in (2-6) keV + only aliasing peaks far from signal region

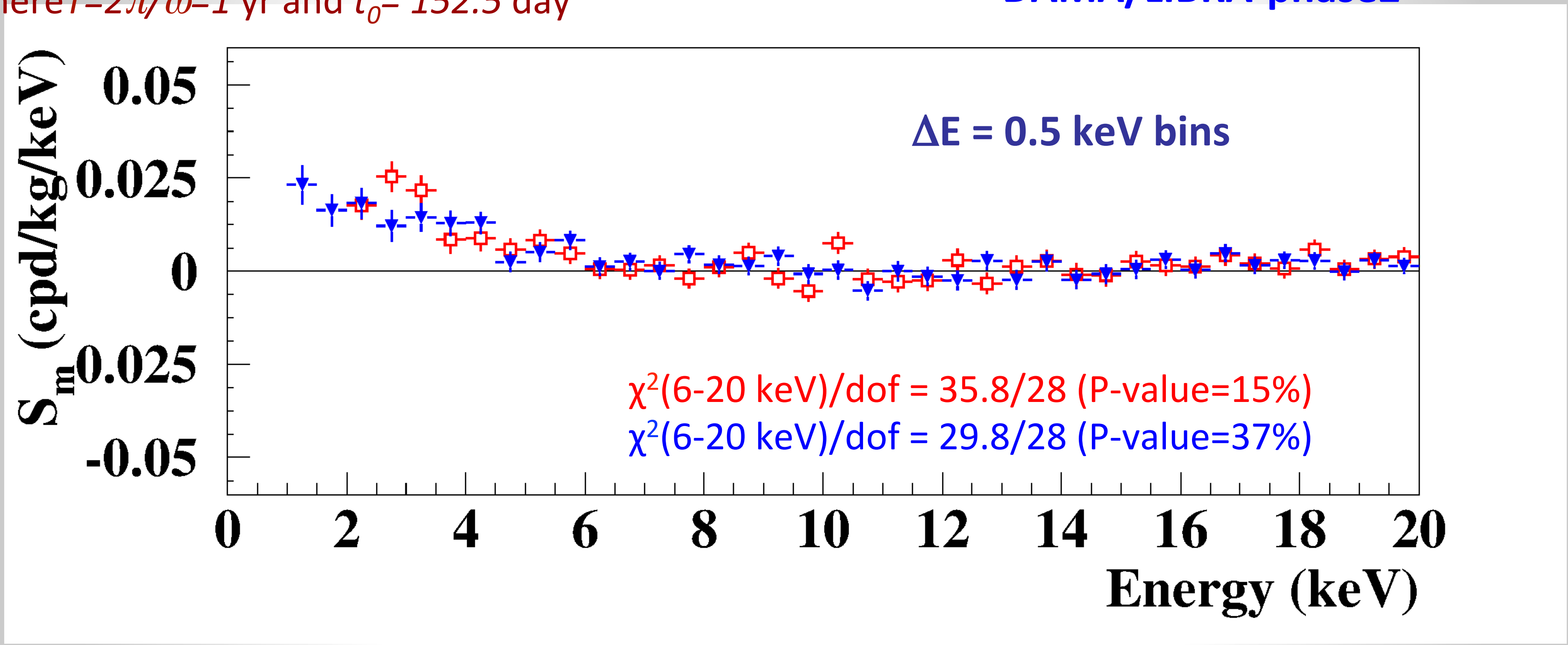
Energy distribution of the modulation amplitudes

Max-likelihood analysis

$$R(t) = S_0 + S_m \cos\left[\omega\left(t - t_0\right)\right]$$

here $T=2\pi/\omega=1$ yr and $t_0= 152.5$ day

DAMA/NaI + DAMA/LIBRA-phase1
vs
DAMA/LIBRA-phase2



The two S_m energy distributions obtained in DAMA/NaI+DAMA/LIBRA-ph1 and in DAMA/LIBRA-ph2 are consistent in the (2–20) keV energy interval:

$\chi^2 = \Sigma\,(r_1 - r_2)^2/(\sigma_1^2+\sigma_2^2)$

(2-20) keV	χ^2/d.o.f.=32.7/36	(P=63%)
(2-6) keV	χ^2/d.o.f.=10.7/8	(P=22%)

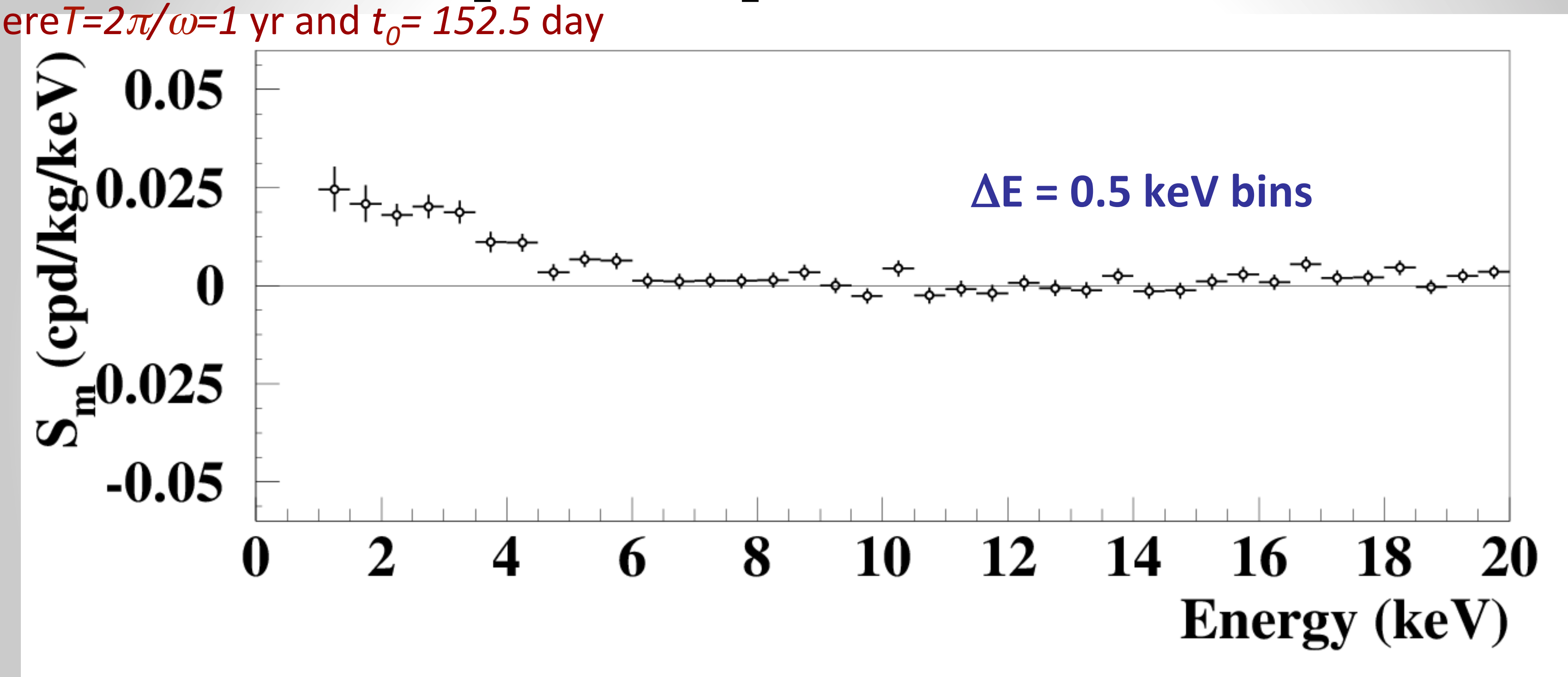
Energy distribution of the modulation amplitudes

Max-likelihood analysis

$$R(t) = S_0 + S_m \cos\left[\omega\left(t - t_0\right)\right]$$

here $T=2\pi/\omega=1$ yr and $t_0= 152.5$ day

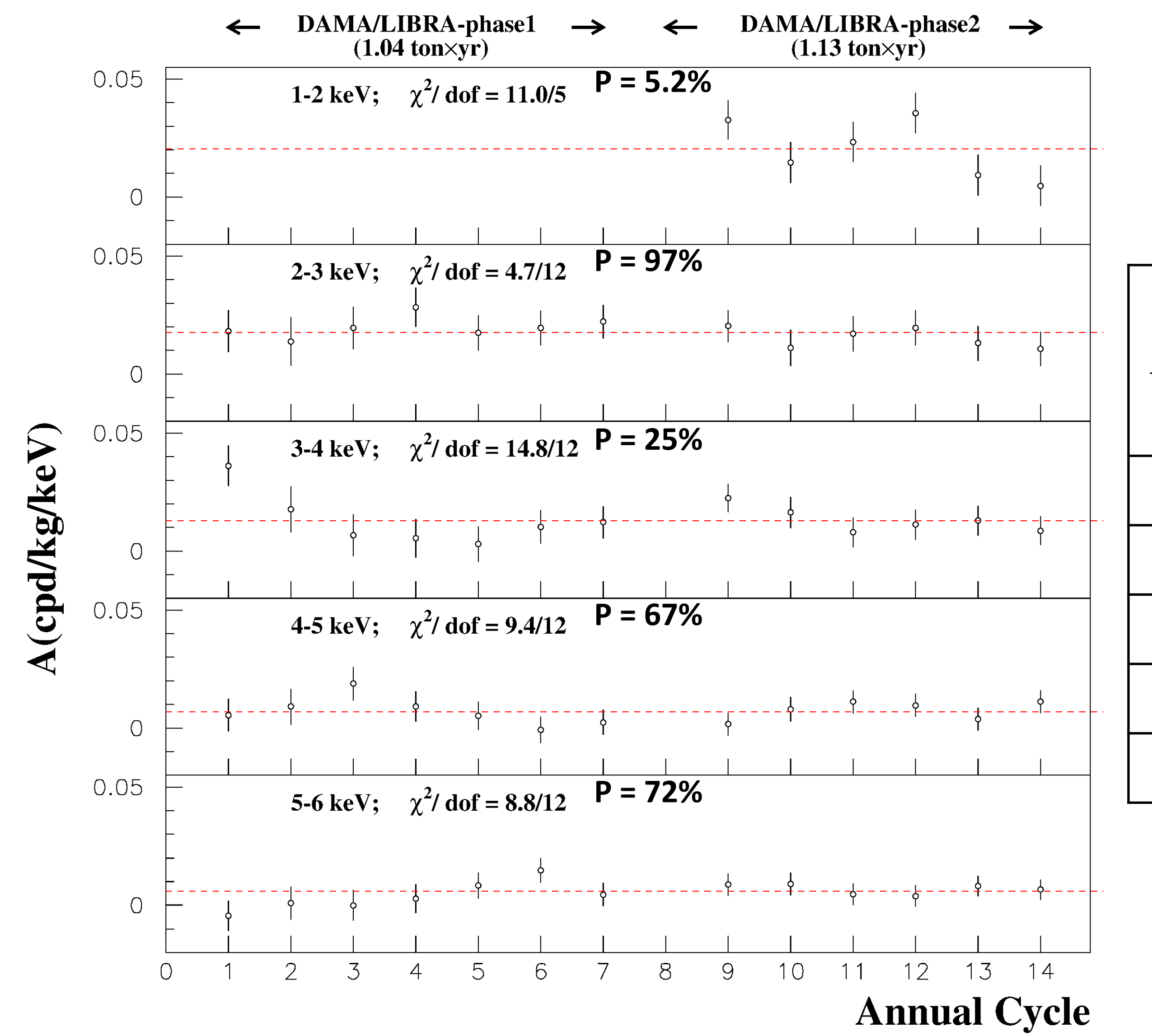
DAMA/NaI + DAMA/LIBRA-phase1 **+ DAMA/LIBRA-phase2 (2.46 ton×yr)**



A clear modulation is present in the (1-6) keV energy interval, while S_m values compatible with zero are present just above

- The S_m values in the (6–14) keV energy interval have random fluctuations around zero with χ^2 equal to 19.0 for 16 degrees of freedom (upper tail probability 27%).
- In (6–20) keV χ^2/dof = 42.6/28 (upper tail probability 4%). The obtained χ^2 value is rather large due mainly to two data points, whose centroids are at 16.75 and 18.25 keV, far away from the (1–6) keV energy interval. The P-values obtained by excluding only the first and either the points are 11% and 25%.

S_m for each annual cycle



DAMA/LIBRA-phase1 +
DAMA/LIBRA-phase2
total exposure: 2.46 ton×yr

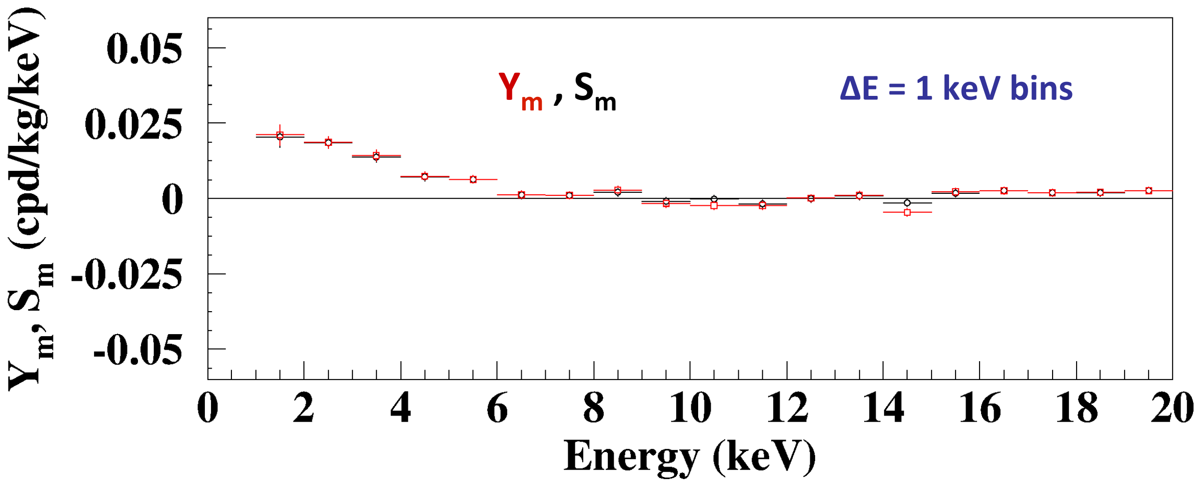
Energy bin (keV)	run test probability	
	Lower	Upper
1-2	70%	70%
2-3	50%	73%
3-4	85%	35%
4-5	88%	30%
5-6	88%	30%

The signal is well distributed over all the annual cycles in each energy bin

Phase vs energy

$$R(t) = S_0 + Y_m \cos\left[\omega\left(t - t^*\right)\right]$$

DAMA/NaI + DAMA/LIBRA-phase1 + DAMA/LIBRA-phase2 (**2.46 ton × yr**)

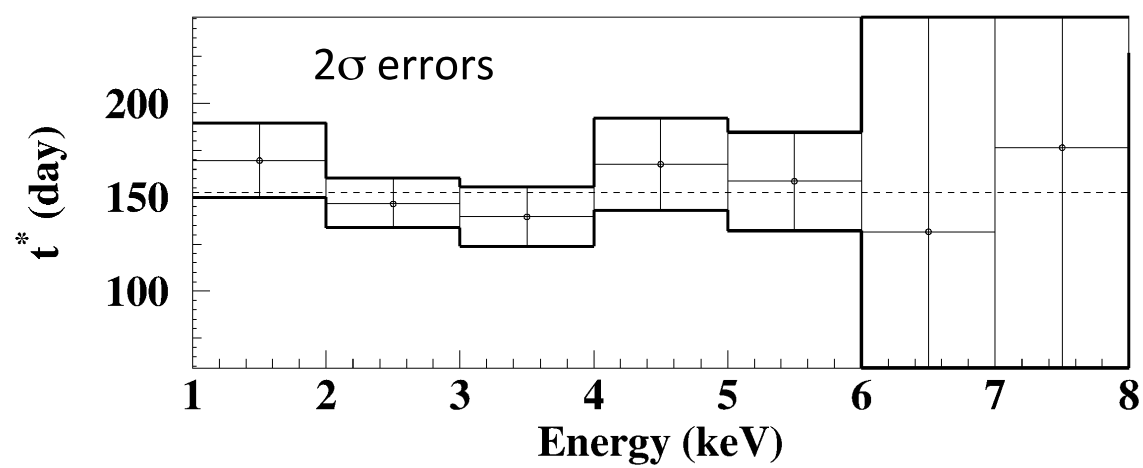


For DM signals:

$|Y_m| \approx |S_m|$

$t^* \approx t_0 = 152.5d$

$\omega = 2\pi/T$; $T = 1$ year



Slight differences from 2nd June are expected in case of contributions from non thermalized DM components (as the SagDEG stream)

Stability parameters of DAMA/LIBRA–phase2

Modulation amplitudes obtained by fitting the time behaviours of main running parameters, acquired with the production data, when including a DM-like modulation

Running conditions stable at a level better than 1% also in the new running periods

	DAMA/LIBRA-phase2_2	DAMA/LIBRA-phase2_3	DAMA/LIBRA-phase2_4	DAMA/LIBRA-phase2_5	DAMA/LIBRA-phase2_6	DAMA/LIBRA-phase2_7
Temperature (°C)	(0.0012 ± 0.0051)	-(0.0002 ± 0.0049)	-(0.0003 ± 0.0031)	(0.0009 ± 0.0050)	(0.0018 ± 0.0036)	-(0.0006 ± 0.0035)
Flux N_2 (l/h)	-(0.15 ± 0.18)	-(0.02 ± 0.22)	-(0.02 ± 0.12)	-(0.02 ± 0.14)	-(0.01 ± 0.10)	-(0.01 ± 0.16)
Pressure (mbar)	$(1.1 \pm 0.9)\times10^{-3}$	(0.2 ± 1.1))$\times10^{-3}$	$(2.4 \pm 5.4)\times10^{-3}$	$(0.6 \pm 6.2)\times10^{-3}$	$(1.5 \pm 6.3)\times10^{-3}$	$(7.2 \pm 8.6)\times10^{-3}$
Radon (Bq/m^3)	(0.015 ± 0.034)	-(0.002 ± 0.050)	-(0.009 ± 0.028)	-(0.044 ± 0.050)	(0.082 ± 0.086)	(0.06 ± 0.11)
Hardware rate above single ph.e. (Hz)	$-(0.12 \pm 0.16)\times10^{-2}$	$(0.00 \pm 0.12)\times10^{-2}$	$-(0.14 \pm 0.22)\times10^{-2}$	$-(0.05 \pm 0.22)\times10^{-2}$	$-(0.06 \pm 0.16)\times10^{-2}$	$-(0.08 \pm 0.17)\times10^{-2}$

All the measured amplitudes well compatible with zero
+ none can account for the observed effect
(to mimic such signature, spurious effects and side reactions must not only be able to account for the whole observed modulation amplitude, but also simultaneously satisfy all the 6 requirements)

Summary of the results obtained in the additional investigations of possible systematics or side reactions – DAMA/LIBRA

NIMA592(2008)297, EPJC56(2008)333, J. Phys. Conf. ser. 203(2010)012040, arXiv:0912.0660, S.I.F.Atti Conf.103(211), Can. J. Phys. 89 (2011) 11, Phys.Proc.37(2012)1095, EPJC72(2012)2064, arxiv:1210.6199 & 1211.6346, IJMPA28(2013)1330022, EPJC74(2014)3196, IJMPA31(2017)issue31

Source	Main comment	Cautious upper limit (90%C.L.)
RADON	Sealed Cu box in HP Nitrogen atmosphere, 3-level of sealing, etc.	**<2.5×10^{-6} cpd/kg/keV**
TEMPERATURE	Installation is air conditioned+ detectors in Cu housings directly in contact with multi-ton shield→ huge heat capacity + T continuously recorded	**<10^{-4} cpd/kg/keV**
NOISE	Effective full noise rejection near threshold	**<10^{-4} cpd/kg/keV**
ENERGY SCALE	Routine + intrinsic calibrations	**<1-2 ×10^{-4} cpd/kg/keV**
EFFICIENCIES	Regularly measured by dedicated calibrations	**<10^{-4} cpd/kg/keV**
BACKGROUND	No modulation above 6 keV; no modulation in the (2-6) keV *multiple-hits* events; this limit includes all possible sources of background	**<10^{-4} cpd/kg/keV**
SIDE REACTIONS	Muon flux variation measured at LNGS	**<3×10^{-5} cpd/kg/keV**

+ they cannot satisfy all the requirements of annual modulation signature

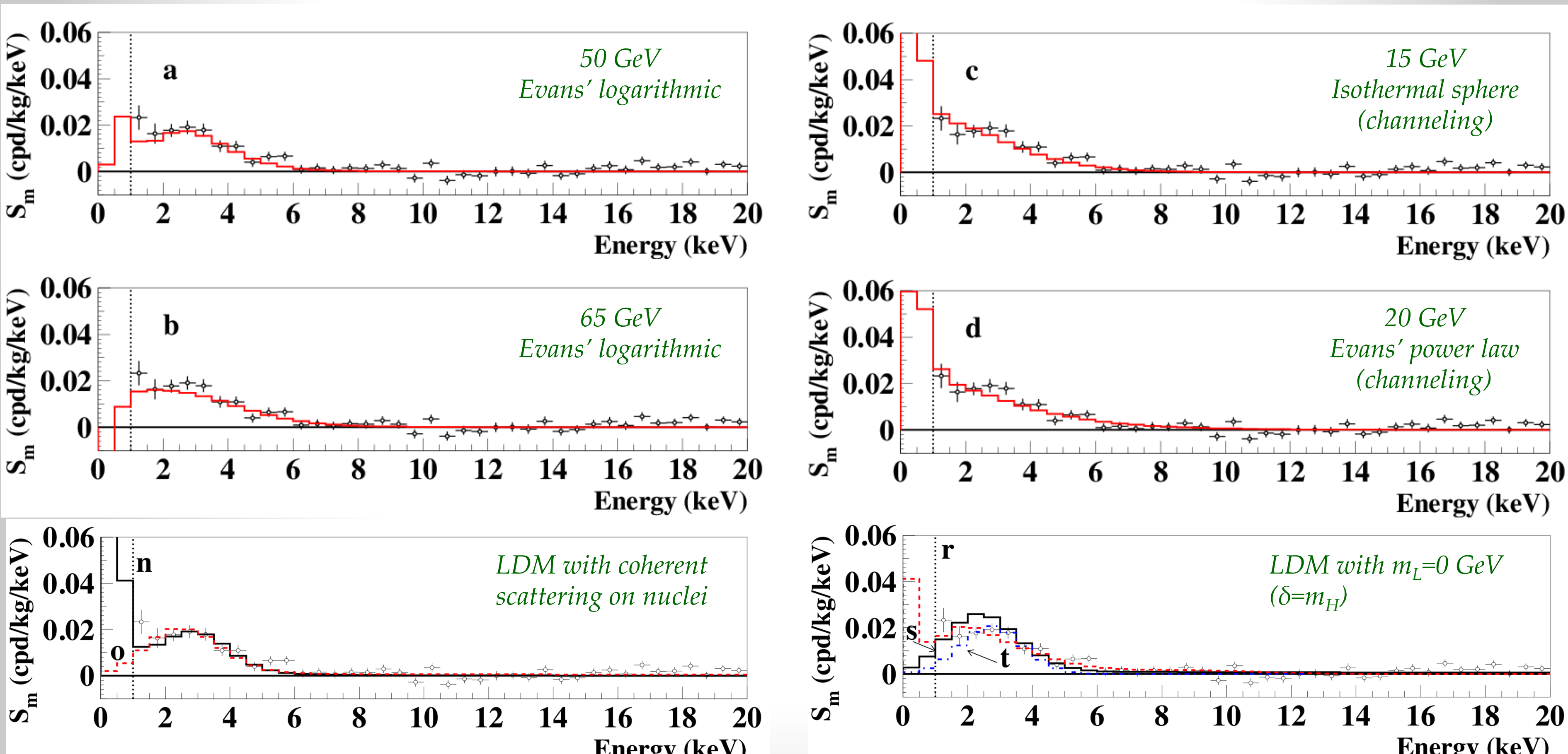
→

Thus, they cannot mimic the observed annual modulation effect

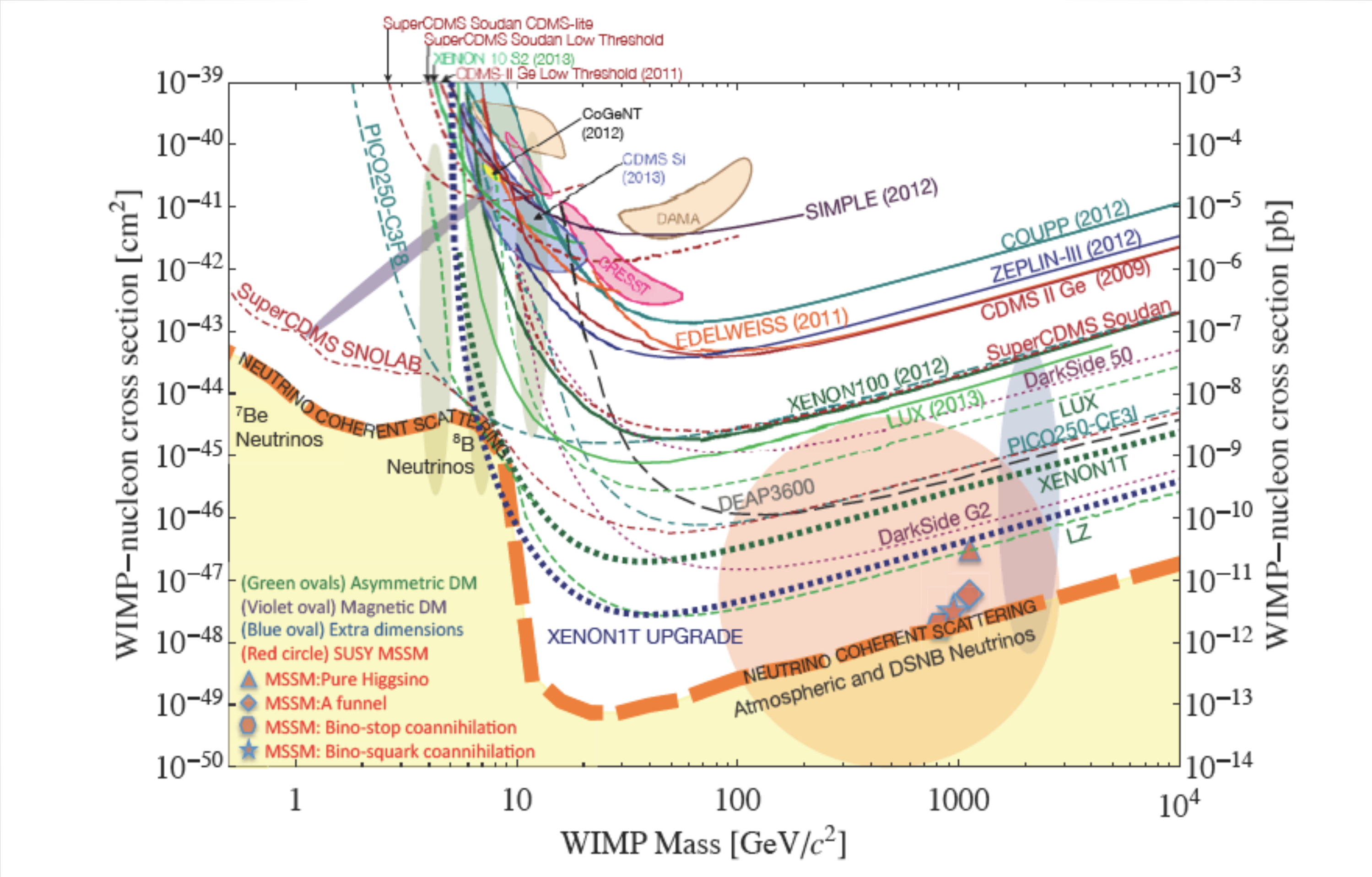
Model-independent evidence by DAMA/NaI and DAMA/LIBRA-ph1, -ph2

well compatible with several candidates in many astrophysical, nuclear and particle physics scenarios

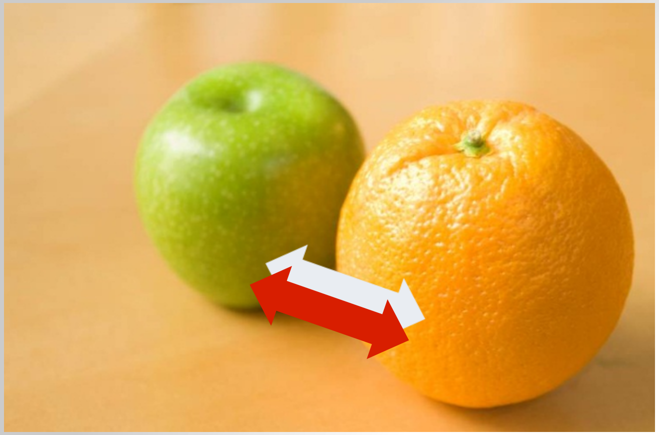
Just few <u>examples</u> of interpretation of the annual modulation in terms of candidate particles in <u>some</u> <u>scenarios</u>



Is it an "universal" and "correct" way to approach the problem of DM and comparisons?



No, it isn't. This is just a largely arbitrary/partial/incorrect exercise



About interpretations and comparisons

See e.g.: Riv.N.Cim.26 n.1(2003)1, IJMPD13(2004)2127, EPJC47(2006)263, IJMPA21(2006)1445, EPJC56(2008)333, PRD84(2011)055014, IJMPA28(2013)1330022

…models…

- Which particle?
- Which interaction coupling?
- Which Form Factors for each target-material?
- Which Spin Factor?
- Which nuclear model framework?
- Which scaling law?
- Which halo model, profile and related parameters?
- Streams?
- …

…and experimental aspects…

- Exposures
- Energy threshold
- Detector response (phe/keV)
- Energy scale and energy resolution
- Calibrations
- Stability of all the operating conditions.
- Selections of detectors and of data.
- Subtraction/rejection procedures and stability in time of all the selected windows and related quantities
- Efficiencies
- Definition of fiducial volume and non-uniformity
- Quenching factors, channeling, …
- …

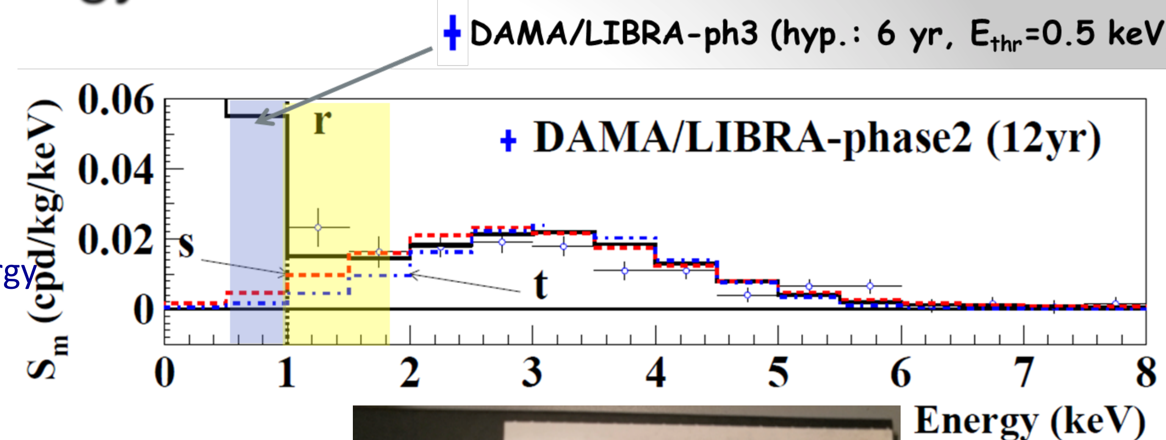
Uncertainty in experimental parameters, as well as necessary assumptions on various related astrophysical, nuclear and particle-physics aspects, affect all the results at various extent, both in terms of exclusion plots and in terms of allowed regions/volumes. Thus comparisons with a fixed set of assumptions and parameters' values are intrinsically strongly uncertain.

No experiment can be directly compared in model independent way with DAMA

Running phase2 and towards future DAMA/LIBRA–phase3 with software energy threshold below 1 keV

Enhancing sensitivities for DM corollary aspects, other DM features, second order effects and other rare processes:

- Improving light collection of the detectors
- Improving light yields and lowering the energy thresholds
- Improving the electronics



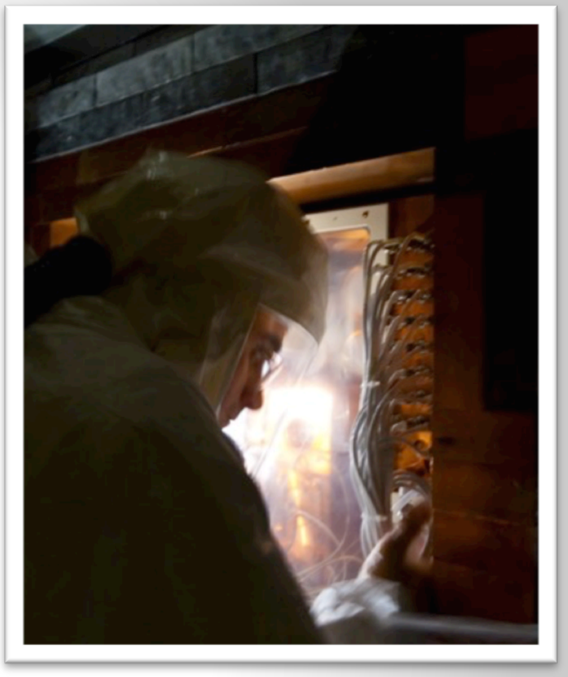
- R&D towards possible DAMA/LIBRA-phase3 continuing:
  1. new development of high Q.E. PMTs with increased radio-purity to directly couple them to the crystals.
  2. new protocols for possible modifications of the detectors;
  3. alternative strategies under investigation.
  4. **Other possible option**: new ULB crystal scintillators (e.g. $ZnWO_4$) placed in between the DAMA/LIBRA detectors to add also a high sensitivity directionality measurement.

The presently-reached metallic PMTs features:

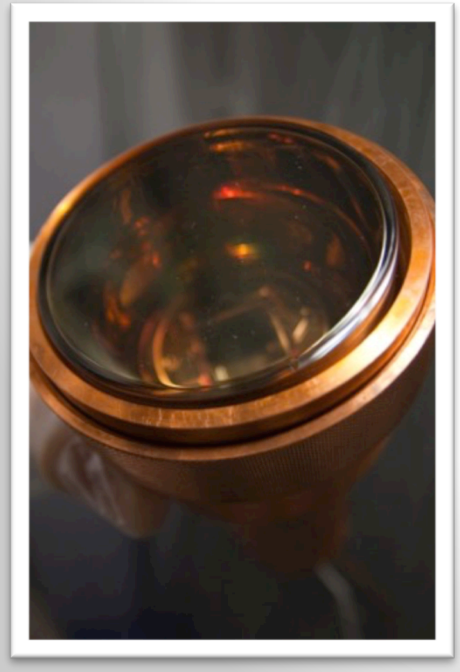
- Q.E. around 35-40% @ 420 nm (NaI(Tl) light)
- Radio-purity at level of 5 mBq/PMT (^{40}K), 3-4 mBq/PMT (^{232}Th), 3-4 mBq/PMT (^{238}U), 1 mBq/PMT (^{226}Ra), 2 mBq/PMT (^{60}Co).

4 prototypes from a dedicated R&D with HAMAMATSU at hand

Conclusions



- Model-independent evidence for the presence of DM particles in the galactic halo at **12.9σ** C.L. (20 independent annual cycles with 3 different set-ups: 2.46 ton × yr)
- Modulation parameters determined with increasing precision
- Investigations on different peculiarities of the DM signal in progress
- Full sensitivity to many kinds of DM candidates and interactions types (both inducing recoils and/or e.m. radiation), **full sensitivity to low and high mass candidates**



- DAMA/LIBRA–phase2 **continuing data taking**
- DAMA/LIBRA–phase3 **R&D in progress**
- New corollary analyses **in progress**
- Continuing investigations of **rare processes** other than DM