
Machine learning for bounce calculation

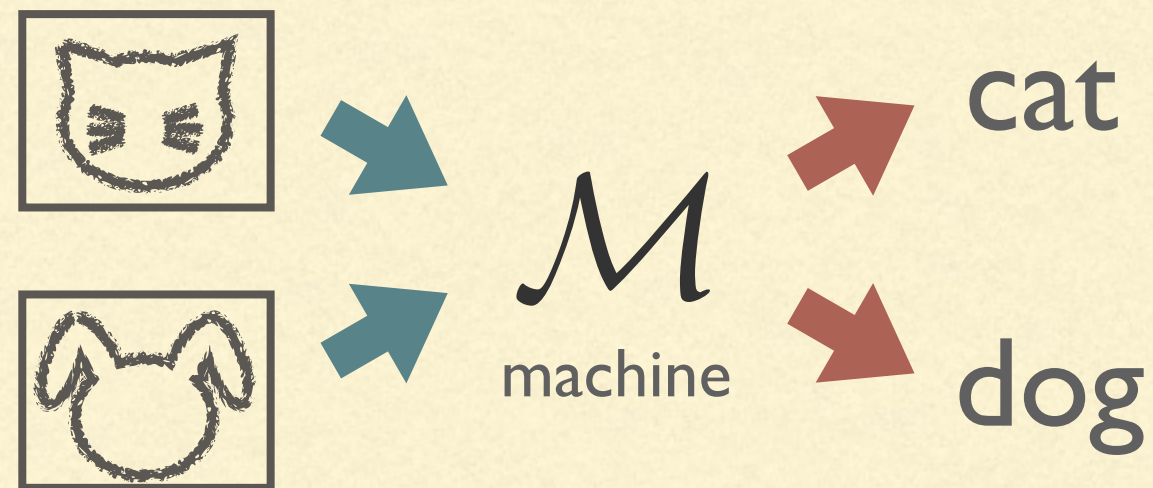
Ryusuke Jinno (IBS-CTPU)



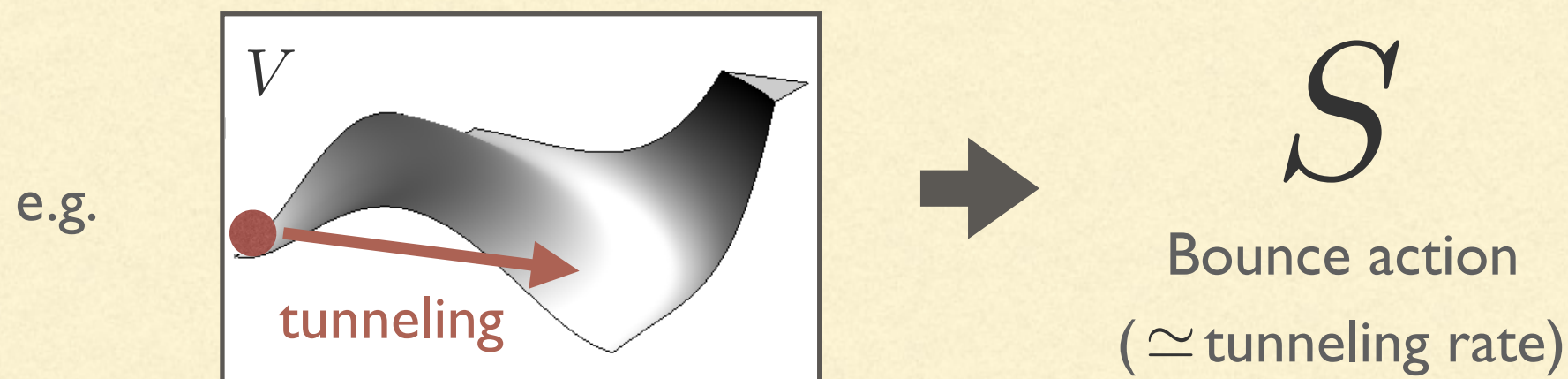
Based on 1805.12153
Aug. 28th, 2018 @ COSMO, Daejeon

MAIN IDEA

- Machine learning (ML) is widely used for image recognition

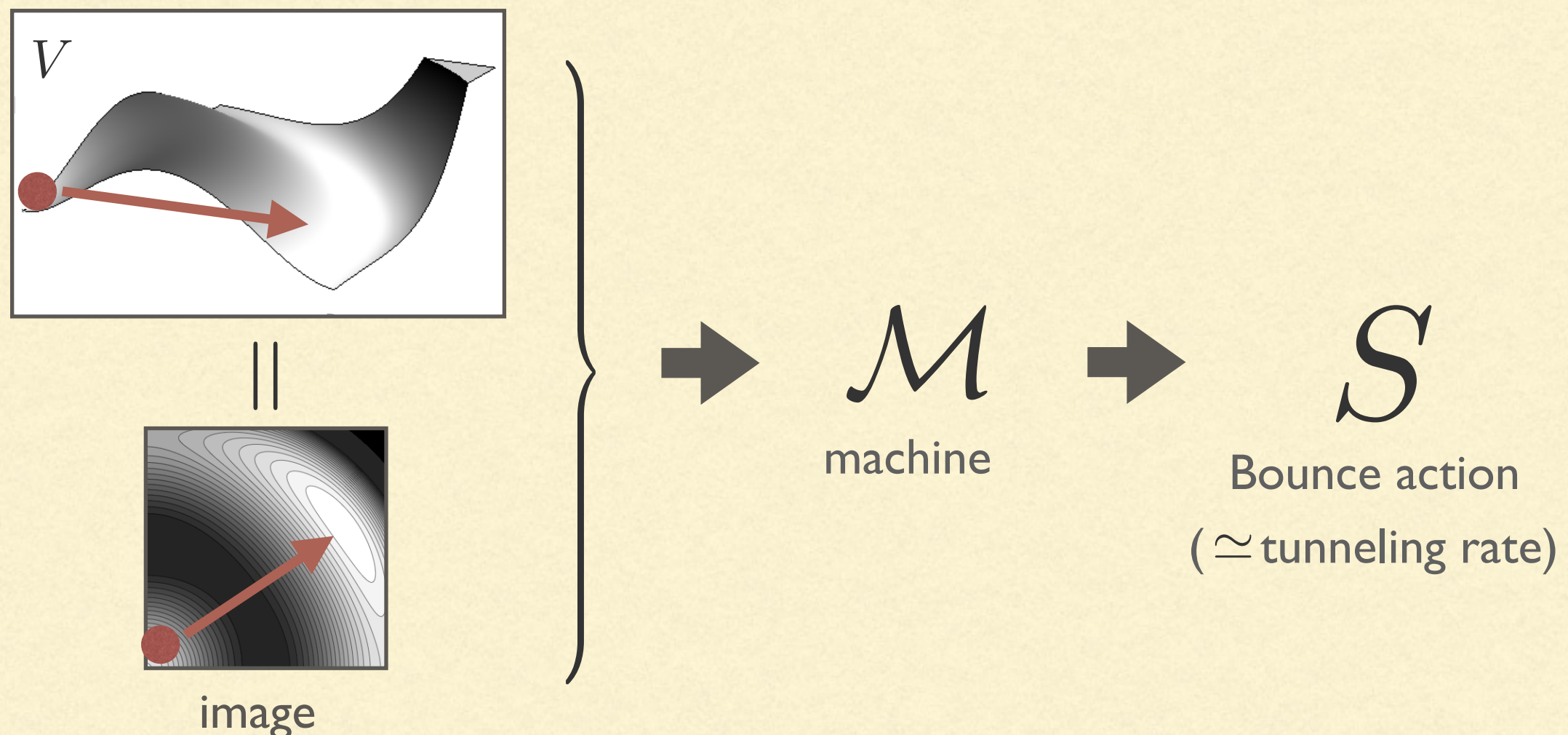


- In particle cosmology, we often calculate quantities from scalar potentials



MAIN IDEA

- Once we regard potentials as images (imagine equal-height contours), we can make machine learning the relation between potentials & quantity

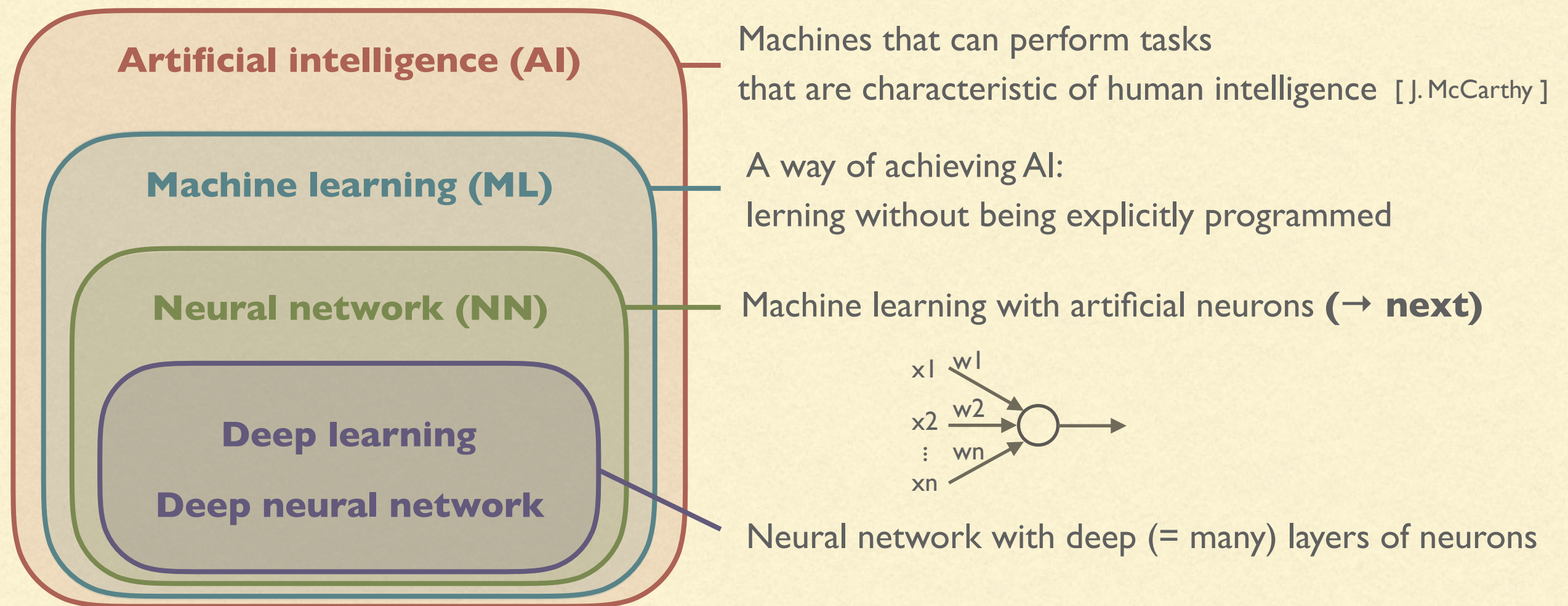


TALK PLAN

1. Machine learning : lightning introduction
2. Machine learning meets tunneling in QFT
3. Summary

MACHINE LEARNING: LIGHTNING INTRODUCTION

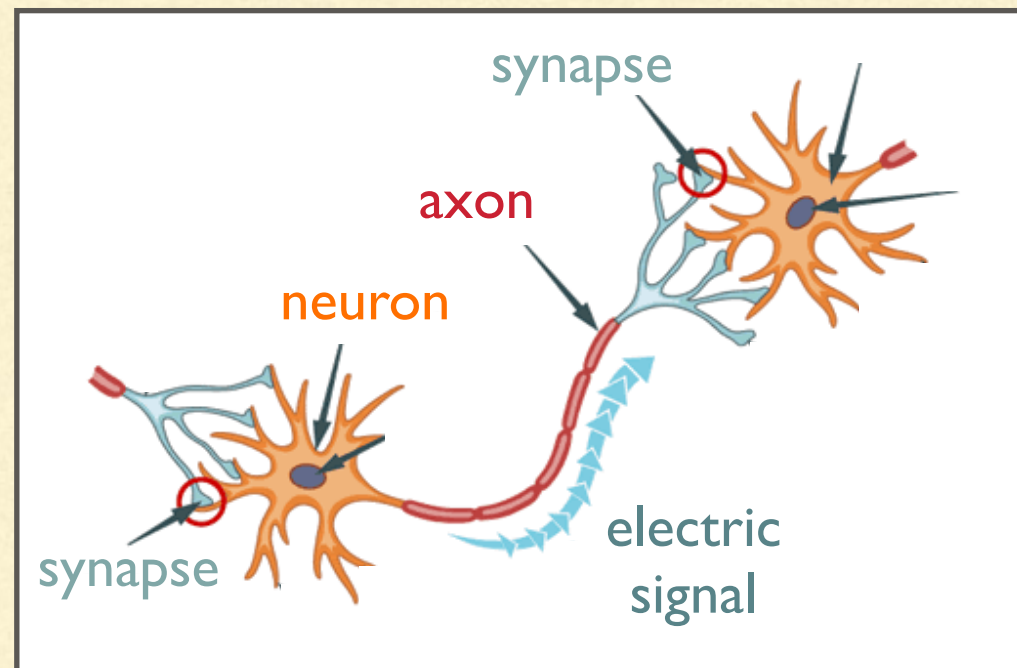
■ Terminology?



NEURAL NETWORK ?

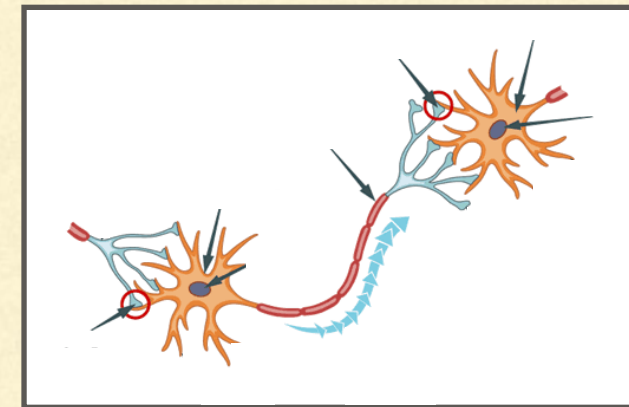
■ Biological neuron

[<https://medium.com/autonomous-agents/mathematical-foundation-for-activation-functions-in-artificial-neural-networks-a51c9dd7c089>]



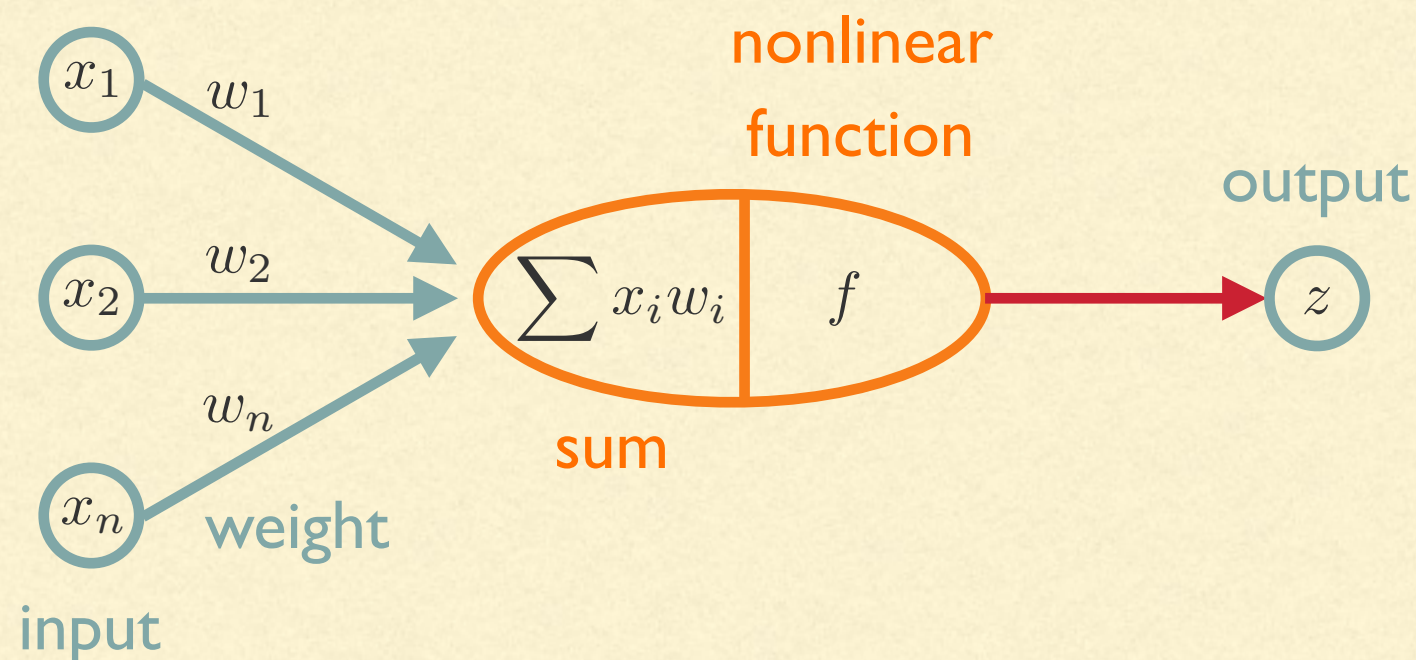
1. Each **neuron** collects electric signals through **synapses**
2. When the total signal exceeds a threshold,
electric signal is sent to next **neuron** through **axon**

NEURAL NETWORK ?

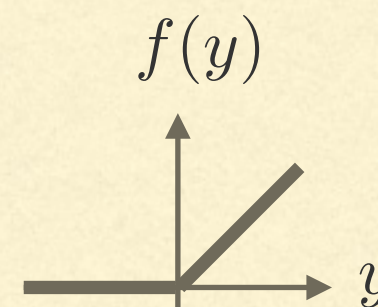


- Artificial neuron mimics biological neuron

Diagrammatic notation

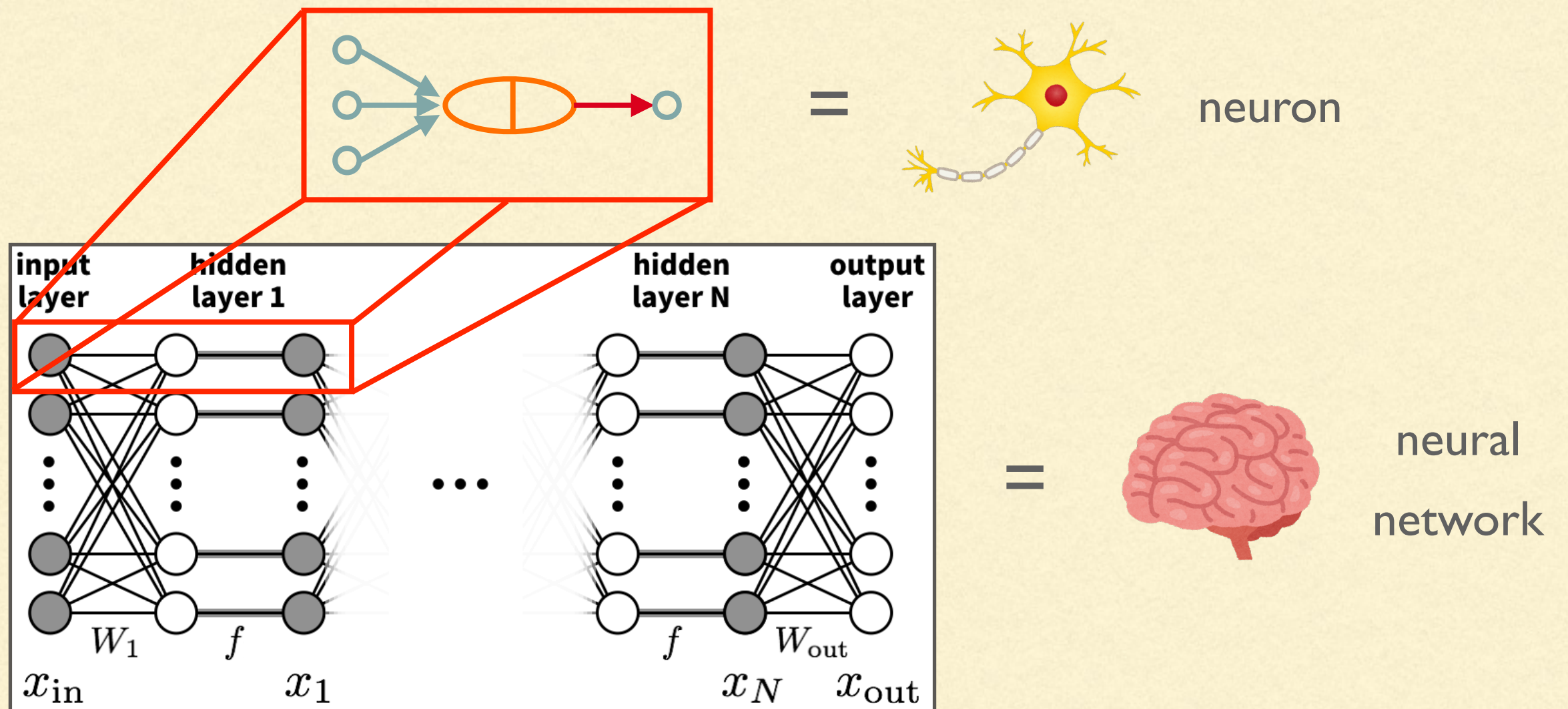


Equation $z = f \left(\sum x_i w_i + b \right)$ $\begin{cases} w_i : \text{weight} \\ b : \text{bias} \\ f : \text{ReLU (rectified linear unit)} \end{cases}$

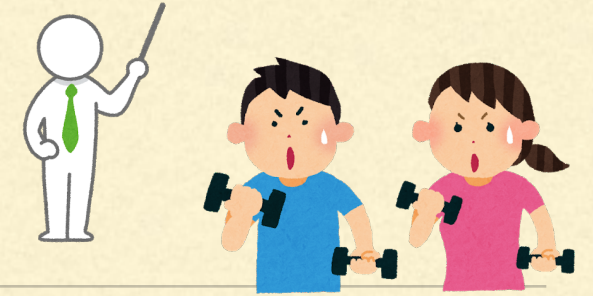


NEURAL NETWORK ?

- Neural network = network of artificial neurons



NEURAL NETWORK: SUPERVISED LEARNING



- How to train the neural network with "supervised learning"

- Suppose we have many data of $(x_{\text{in}}, x_{\text{out}}^{(\text{true})})$
- Then we can define "how poorly the machine predicts"

Error function $E \stackrel{\text{e.g.}}{=} \sum_{\text{data}} \sum_{i:\text{component}} \left| (x_{\text{out}})_i - (x_{\text{out}}^{(\text{true})})_i \right|$

- Training of neural network = update of weights W and biases b using E

$$W \rightarrow W - \alpha \frac{\partial E}{\partial W} \quad b \rightarrow b - \alpha \frac{\partial E}{\partial b} \quad \alpha : \text{constant}$$

Note : there are more sophisticated algorithms, e.g. AdaGrad, Adam, ...

TALK PLAN

- ✓ 1. Machine learning : lightning introduction
2. Machine learning meets tunneling in QFT
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TUNNELING PROBLEM IN QFT

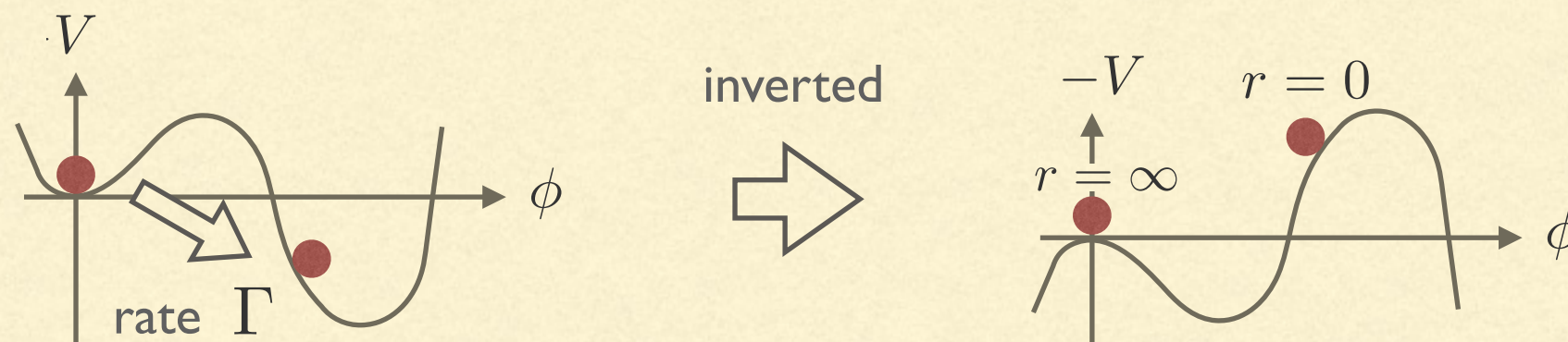
■ Quantum tunneling in vacuum in 1+3 dim. [Coleman '77]

- Nucleation rate Γ is dominantly determined by "bounce configuration" $\bar{\phi}$

$$\Gamma \propto e^{-S_E[\bar{\phi}]}, \quad S_E[\bar{\phi}] = \int dt_E \int d^3x \left[\frac{1}{2} (\partial_E \bar{\phi})^2 + V(\bar{\phi}) \right]$$

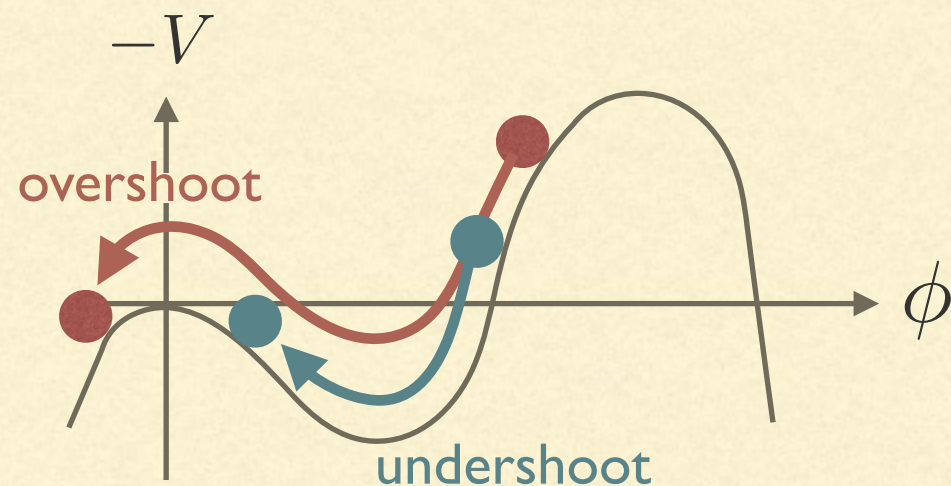
- Bounce configuration $\bar{\phi}$: solution of EOM with inverted potential $-V$

$$\frac{d^2 \bar{\phi}}{dr^2} + \frac{3}{r} \frac{d\bar{\phi}}{dr} - \frac{dV}{d\bar{\phi}} = 0 \quad \text{w/ boundary conditions} \quad \frac{d\bar{\phi}}{dr}(r=0) = 0, \quad \bar{\phi}(r=\infty) = 0$$



MACHINE LEARNING MEETS TUNNELING IN QFT

- Calculation of $\bar{\phi}$ requires many times of iterations



Note : there are many approaches, e.g.

[Duncan et al. '92, Dutta et al. '12, Guada et al. '18]

[Kusenko '95, Moreno et al. '98] [Cline et al. '99, Wainwright '11]

[Konstandin et al. '06] [Masoumi et al. '16] [Espinosa '18]

- Many researchers have calculated $S_E[\bar{\phi}]$ for similar potentials...

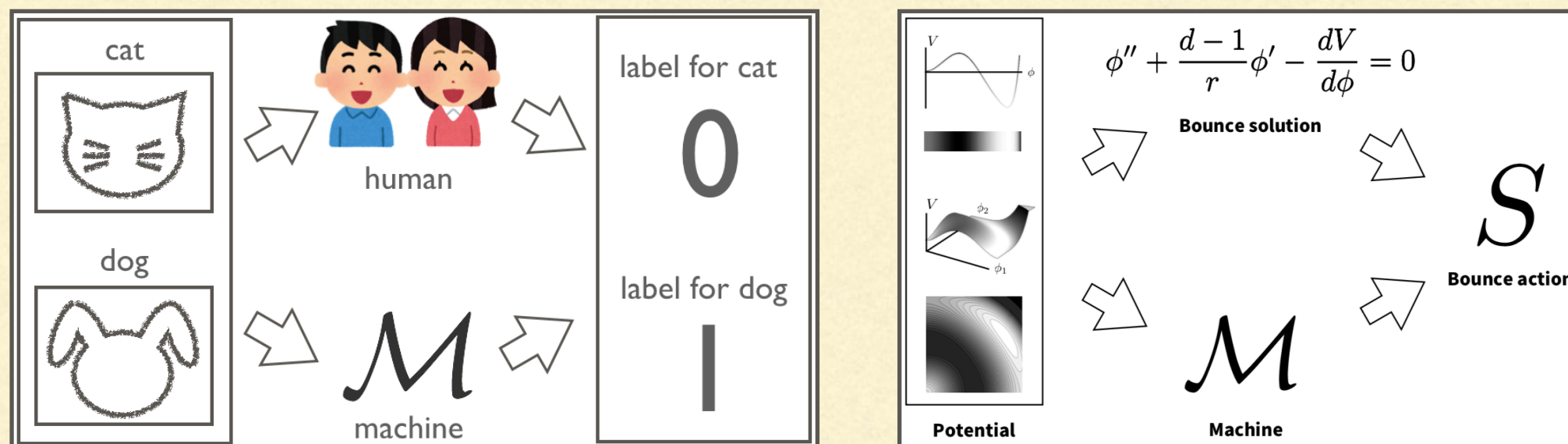
Can we avoid re-calculating it again and again?

MACHINE LEARNING MEETS TUNNELING IN QFT

■ Machine-learning approach

- Can we construct a machine which gives S_E for input potential V ?
- Advantages: 1. faster than any other method / 2. we can share the trained machine
- Such a machine does not have to solve EOM:

cat-dog classifier does not have to recognize them as humans do

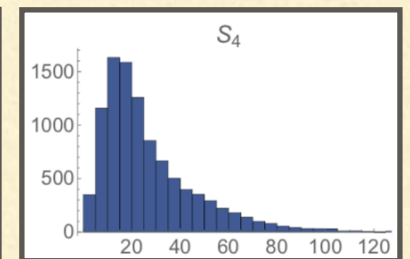
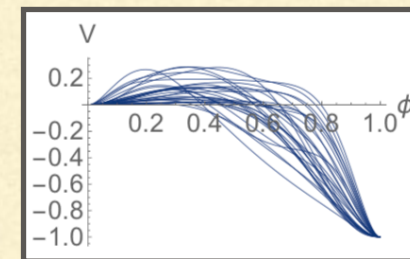


DATA TAKING

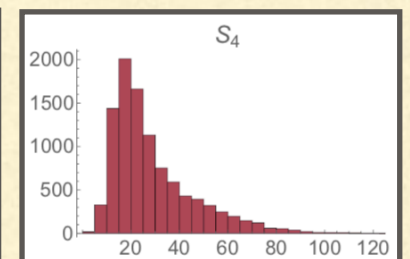
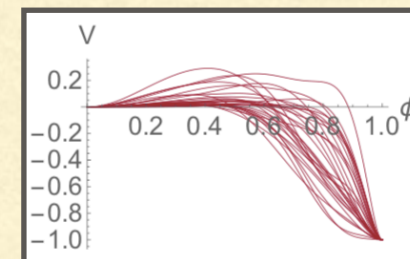
- We use 3 classes of potentials C1-C3:

$$\left\{ \begin{array}{l} \text{Class 1 (C1)} : V(\phi) = \sum_{n=1}^7 a_n^{(1)} \phi^{n+1} \\ \text{Class 2 (C2)} : V(\phi) = \sum_{n=1}^7 a_n^{(2)} \phi^{2n} \\ \text{Class 3 (C3)} : V(\phi) = a_1^{(3)} \phi^2 + \sum_{n=2}^7 a_n^{(3)} \phi^{2n-1} \end{array} \right.$$

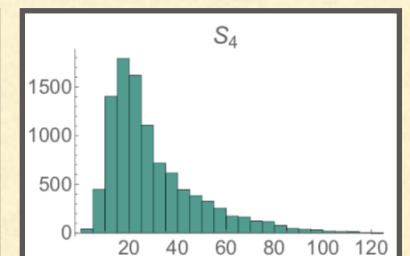
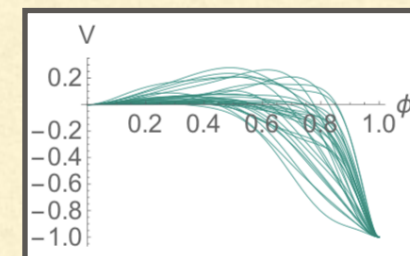
C1



C2



C3

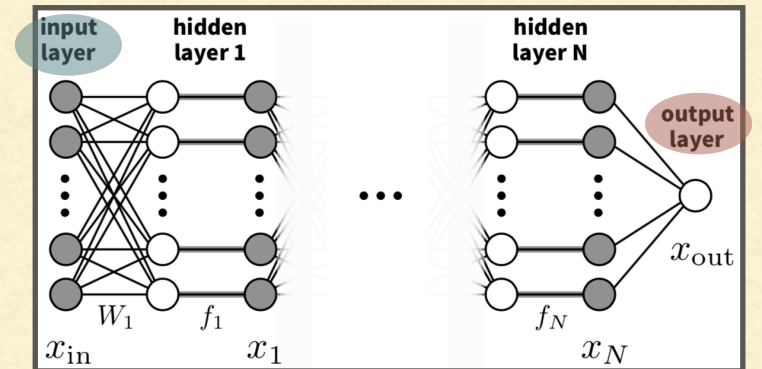


Potential

Bounce action

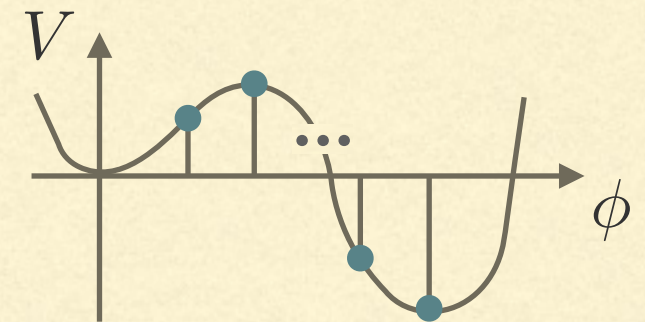
- Coefficients $a_n^{(i)}$ are generated "randomly"
- Each class contains 10,000 sets of potential and bounce action $x_{\text{out}}^{(\text{true})} = \ln S_4^{(\text{true})}$
- Bounce action is calculated with traditional overshoot/undershoot

MACHINE SETUP



- Input : sampled values of potential & its derivatives

$$x_{\text{in}} = \left\{ V(\phi_{\text{sample}}) \middle| \phi_{\text{sample}} = \frac{1}{16}, \dots, \frac{15}{16} \right\} \\ \oplus \left\{ V'(\phi_{\text{sample}}) \middle| \phi_{\text{sample}} = \frac{1}{16}, \dots, \frac{15}{16} \right\} \oplus \left\{ V''(\phi_{\text{sample}}) \middle| \phi_{\text{sample}} = \frac{0}{16}, \dots, \frac{16}{16} \right\}$$



- Output : logarithmic bounce action $x_{\text{out}} = \ln S_4$

- Number of hidden layers : $N = 2$

- Implementation: TensorFlow (r1.17)



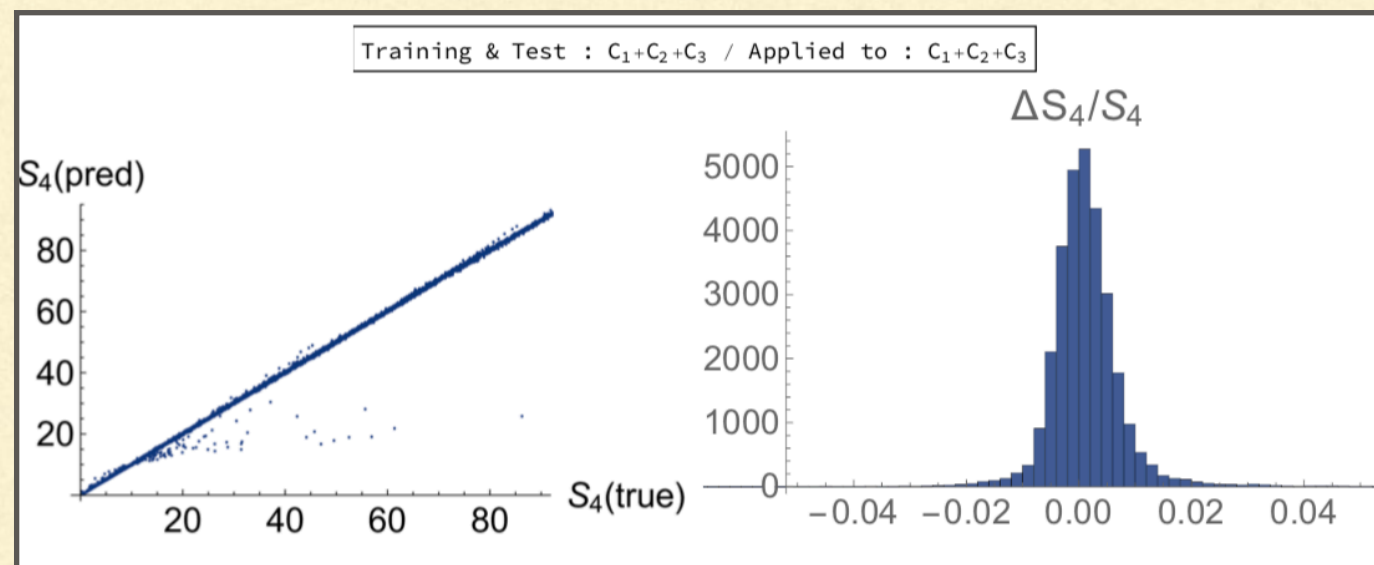
RESULTS

- Result: works with sub-% even for < 1min training

Training : 24,000 data from $C1+C2+C3$ / Test : 6,000 data from $C1+C2+C3$

Application : 30,000 data from $C1+C2+C3$

Scatter plot for machine's performance

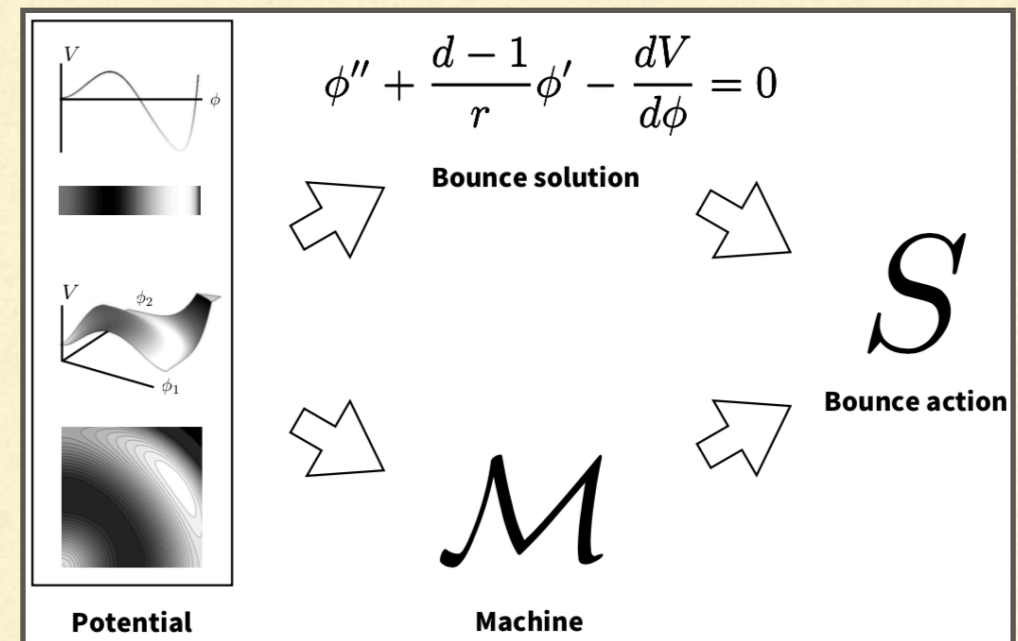


Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
$C_1 + C_2 + C_3$	$C_1 + C_2 + C_3$	0.00503

SUMMARY

- Calculation of quantities from scalar potential can be regarded as image recognition process



- We proposed using machine learning technique for such calculations, and demonstrated its usefulness in one-dimensional transition

Backup

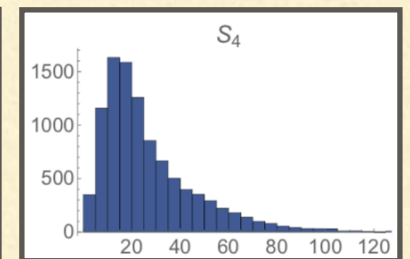
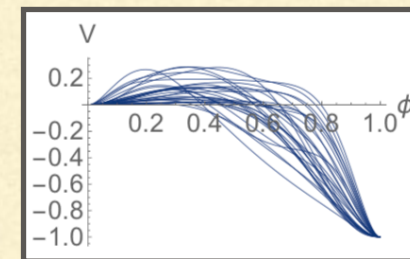
Data taking & Training

DATA TAKING

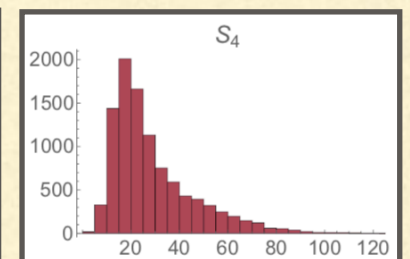
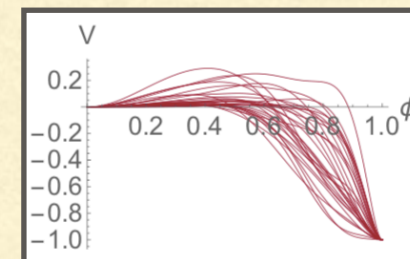
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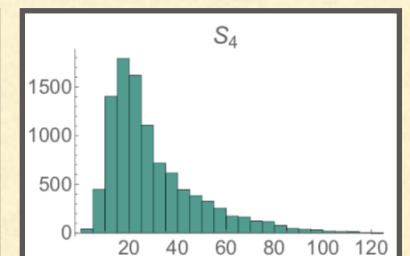
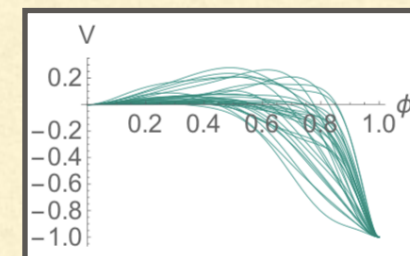
C1



C2



C3



Potential

Bounce action

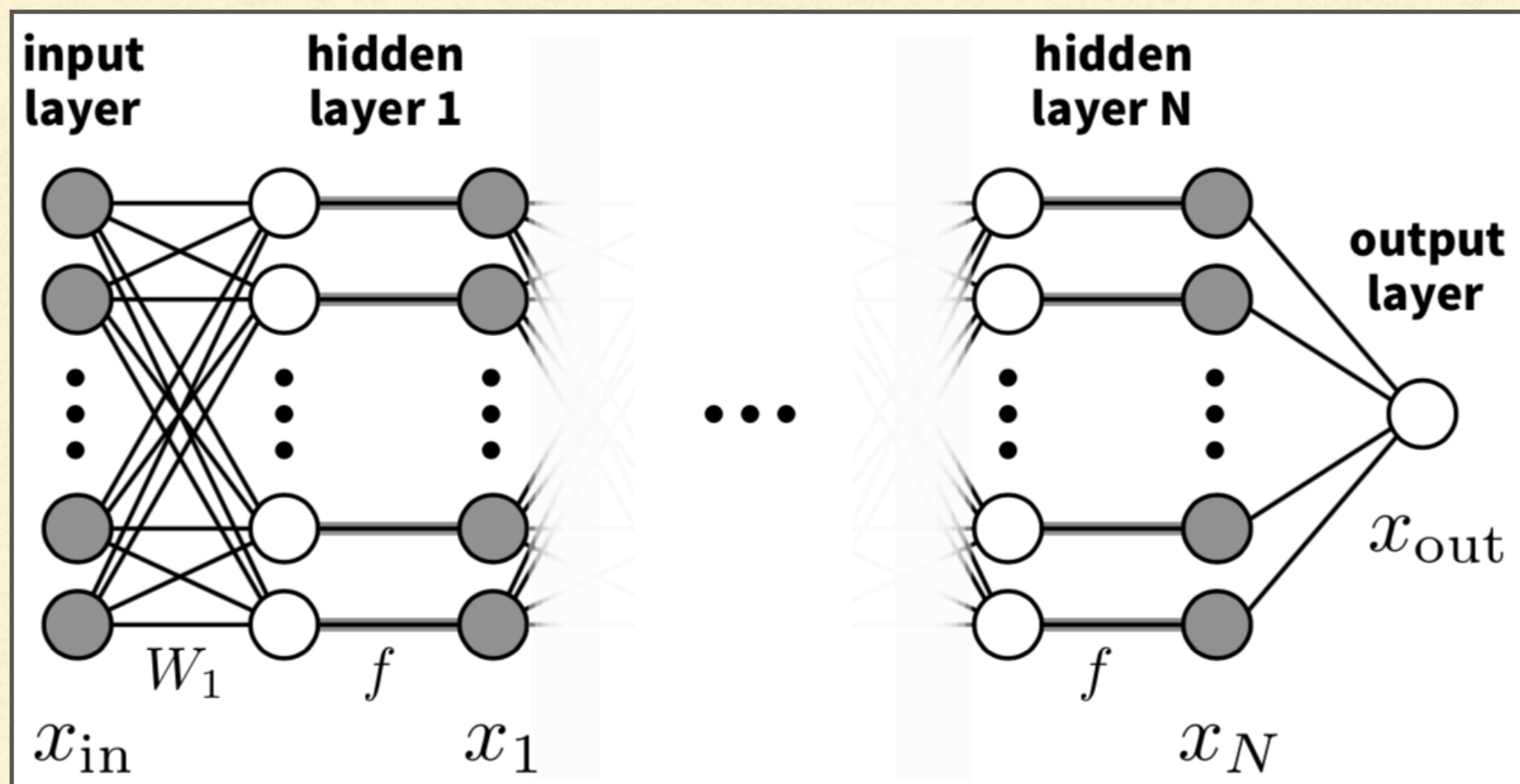
- Coefficients $a_n^{(i)}$ are generated "randomly"
- Each class contains 10,000 sets of potential and bounce action $x_{\text{out}}^{(\text{true})} = \ln S_4^{(\text{true})}$
- Bounce action is calculated with traditional overshoot/undershoot

DETAILS ABOUT POTENTIAL GENERATING PROCESS

- Random seeds generation ($V_{\max}, \phi_0, \phi_{1-}, \phi_{1+}, \phi_2$)
 - 4 numbers are generated in $[0, 1]$, and identified with
$$\phi_{1+} < \phi_0 < \phi_2 < \phi_{1-} \quad \text{or} \quad \phi_{1+} < \phi_2 < \phi_0 < \phi_{1-} \quad (\text{probability } 0.5 \text{ for each})$$
 - V_{\max} is sampled from $10^{-2} \leq V_{\max} \leq 10^{-0.5}$ (flat distribution in log space)
- Coefficients $a_n^{(i)}$ are determined so that
 - V takes local $\left\{ \begin{array}{l} \text{maximum } V_{\max} \\ \text{minimum } 0 \text{ or } -1 \end{array} \right\} @ \left\{ \begin{array}{l} \phi = \phi_0 \\ \phi = 0 \text{ or } \phi = 1 \end{array} \right\}$
 - V' takes local $\left\{ \begin{array}{l} \text{maximum} \\ \text{minimum} \end{array} \right\} @ \left\{ \begin{array}{l} \phi = \phi_{1+} \\ \phi = \phi_{1-} \end{array} \right\}$
 - V'' takes local minimum @ $\phi = \phi_2$
- Added to data if there is no local maximum/minimum other than $\phi = \phi_0, 0, 1$

MACHINE SETUP

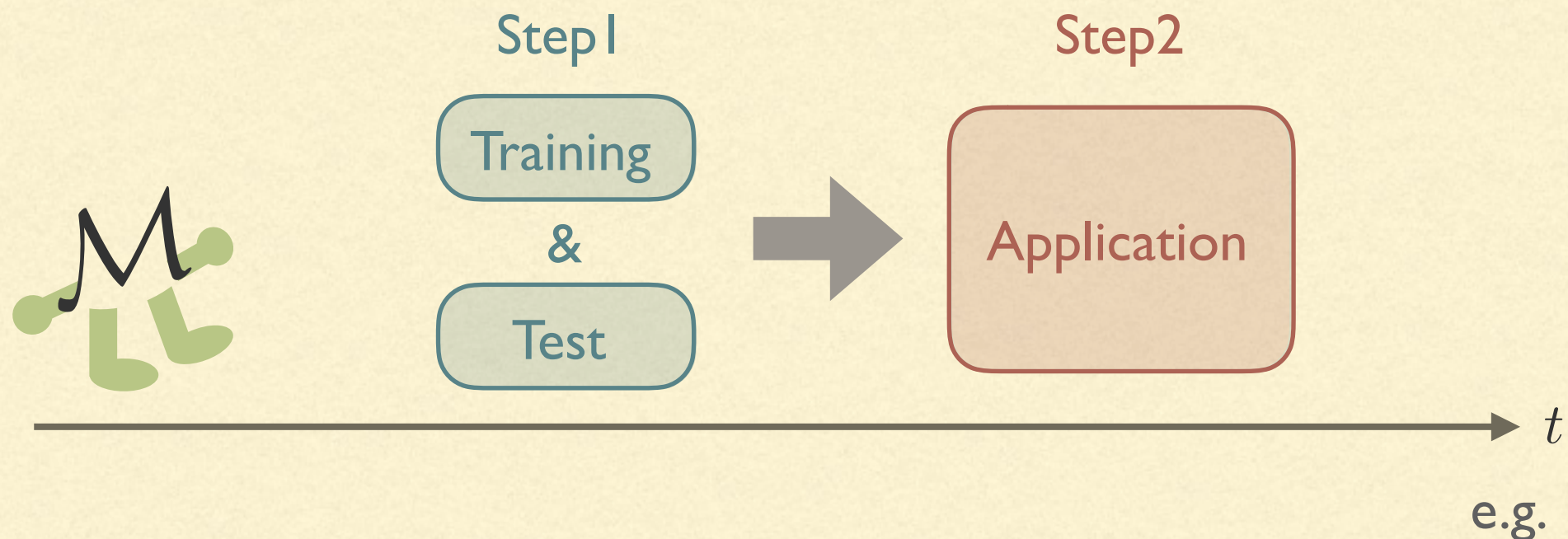
\mathcal{M}



We use a simple machine : $N = 2$

TRAINING & TEST & APPLICATION DATASET

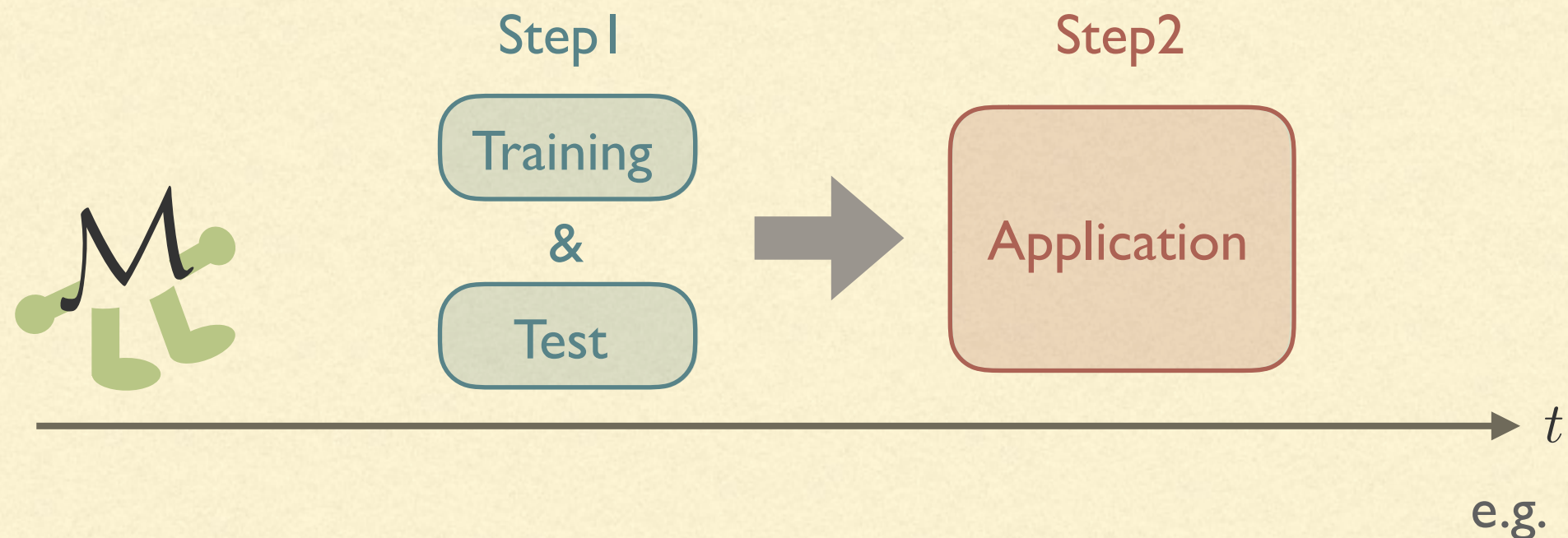
- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 8,000 data from CI
- Test dataset : used to check that there is no overfitting 2,000 data from CI
- Application dataset : machine is finally applied to this 10,000 data from CI

TRAINING & TEST & APPLICATION DATASET

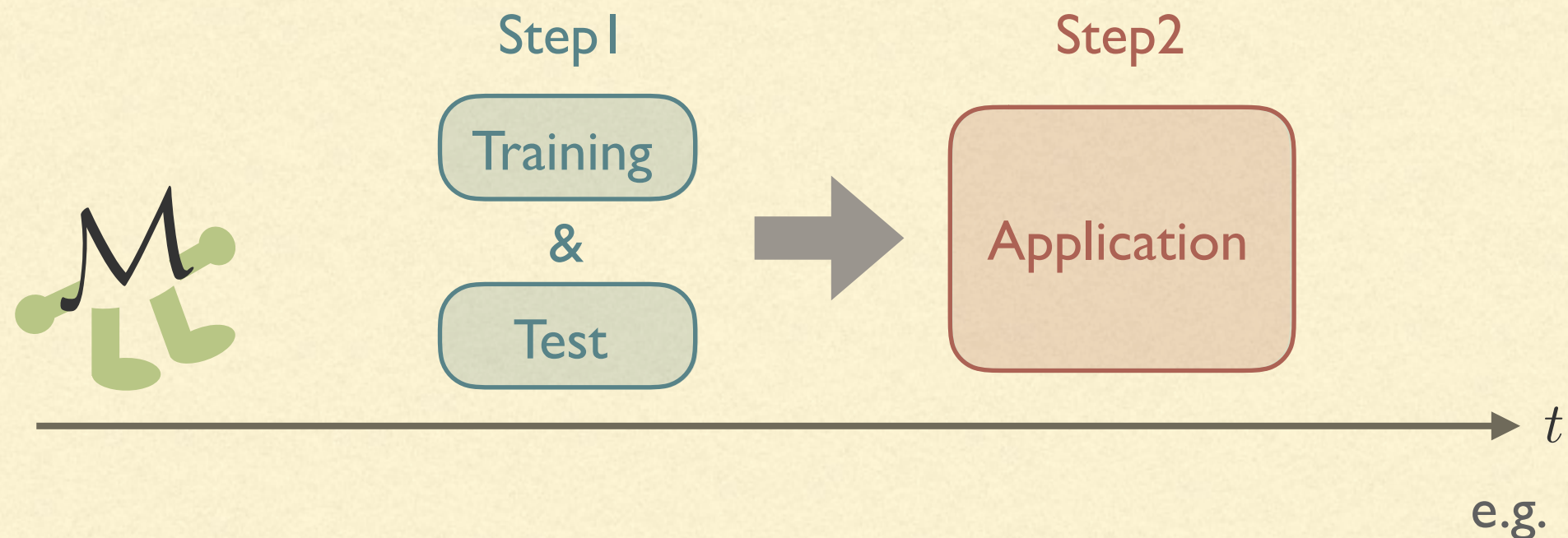
- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 8,000 data from C2
- Test dataset : used to check that there is no overfitting 2,000 data from C2
- Application dataset : machine is finally applied to this 10,000 data from C2

TRAINING & TEST & APPLICATION DATASET

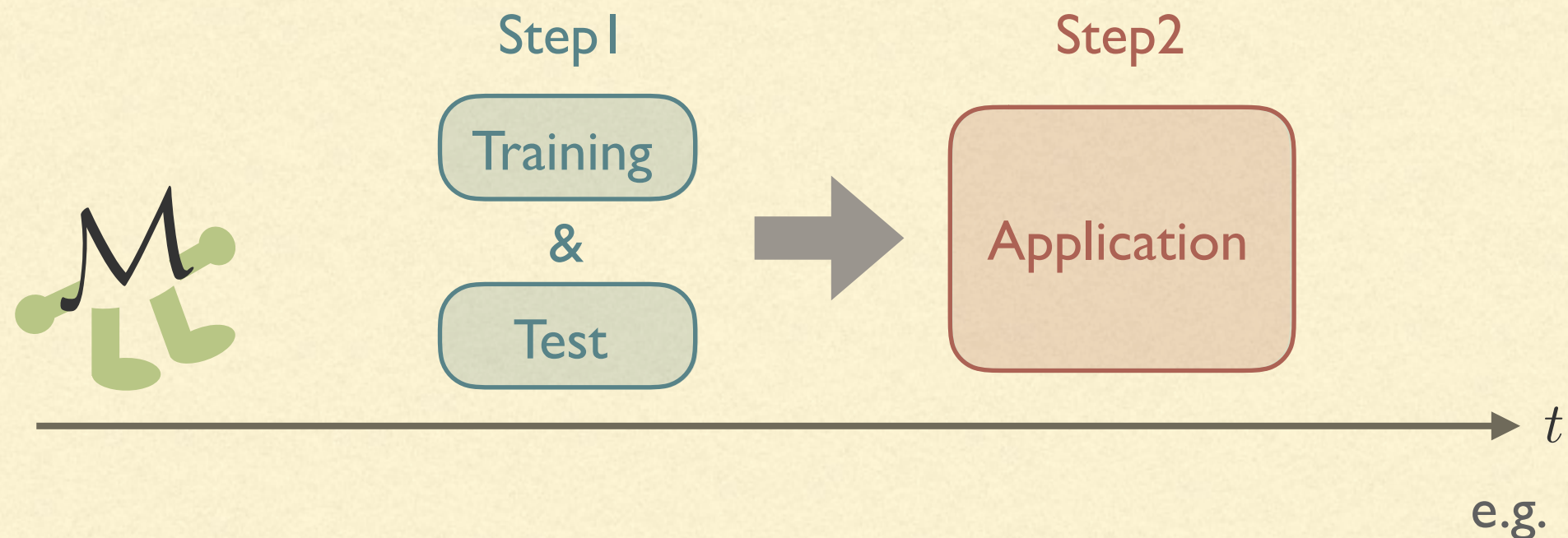
- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 8,000 data from C3
- Test dataset : used to check that there is no overfitting 2,000 data from C3
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TRAINING & TEST & APPLICATION DATASET

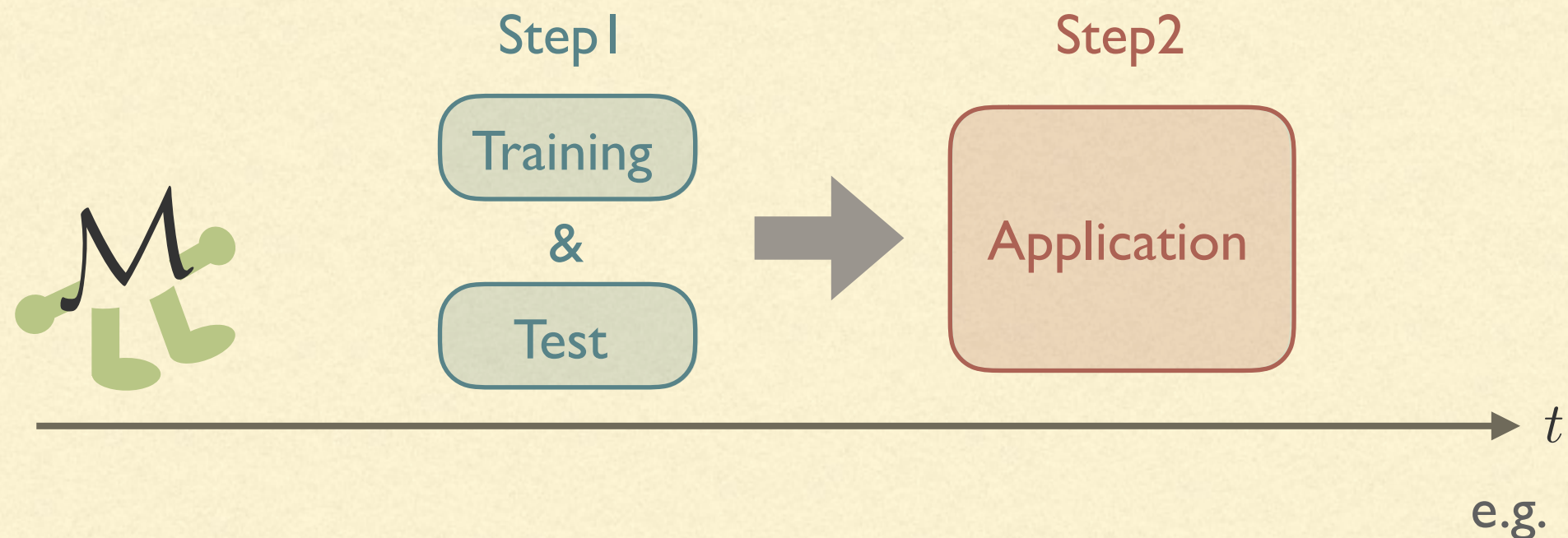
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- Training dataset : used for training (→ next slide) 24,000 data from $C1+C2+C3$
- Test dataset : used to check that there is no overfitting 6,000 data from $C1+C2+C3$
- Application dataset : machine is finally applied to this 30,000 data from $C1+C2+C3$

TRAINING & TEST & APPLICATION DATASET

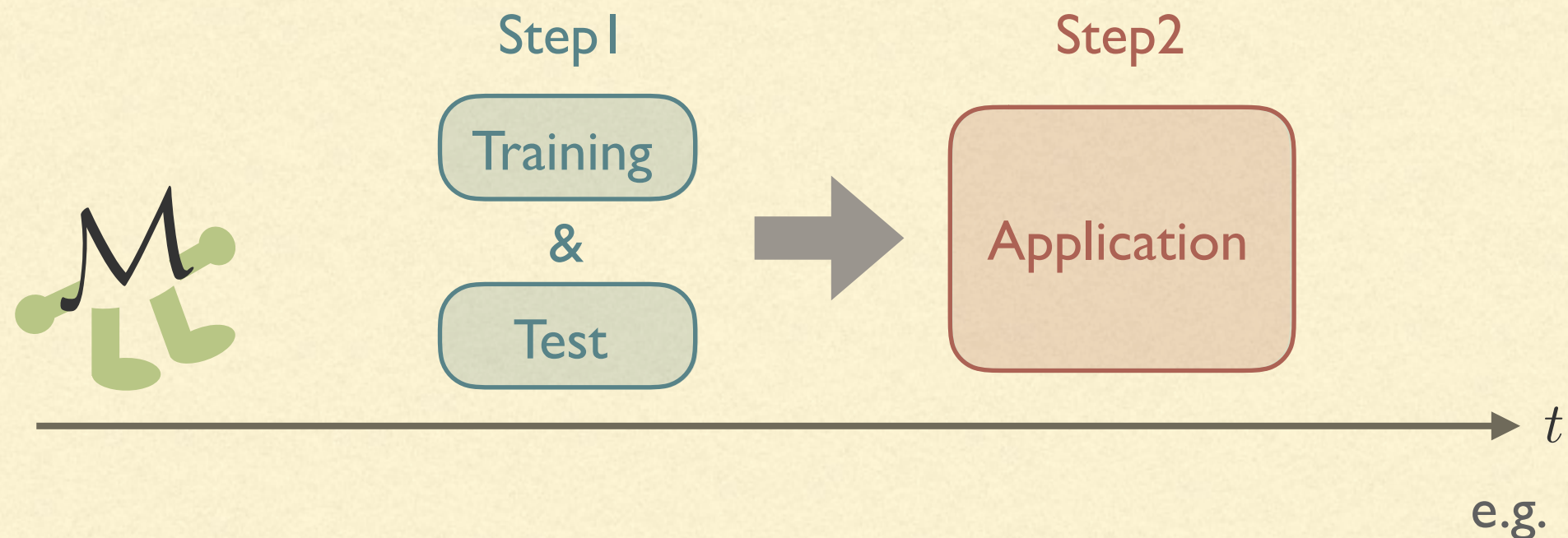
- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 16,000 data from C2+C3
- Test dataset : used to check that there is no overfitting 4,000 data from C2+C3
- Application dataset : machine is finally applied to this 10,000 data from C1

TRAINING & TEST & APPLICATION DATASET

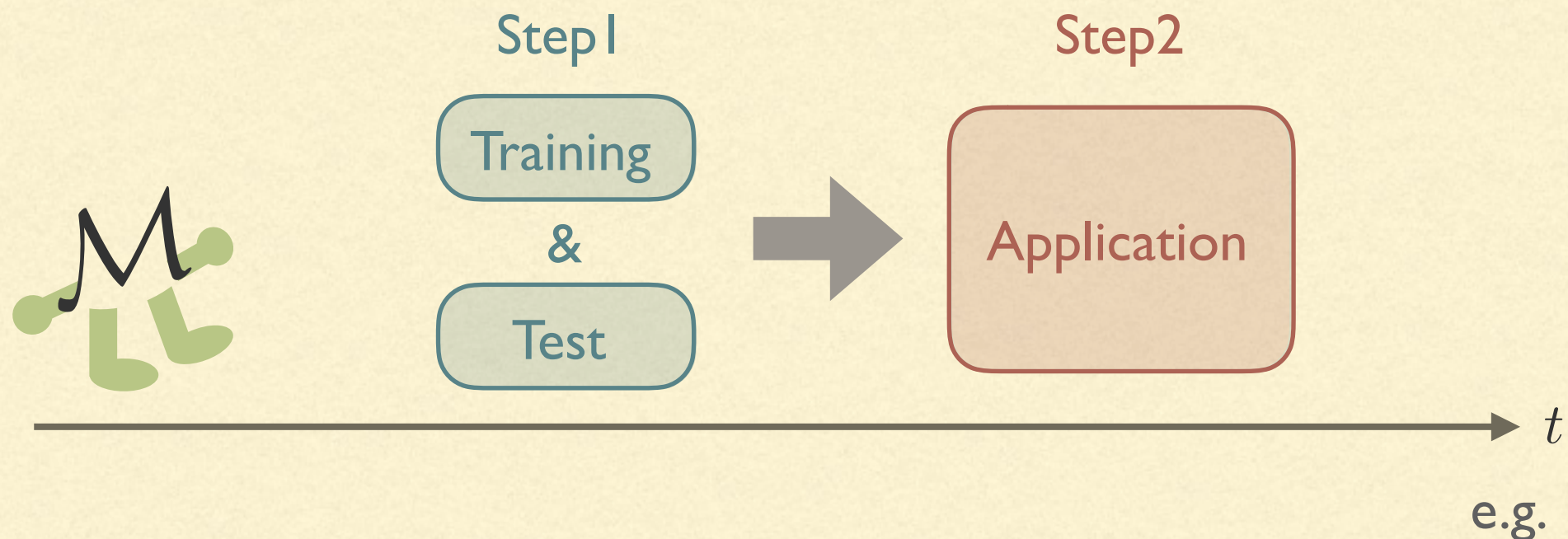
- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 16,000 data from C3+C1
- Test dataset : used to check that there is no overfitting 4,000 data from C3+C1
- Application dataset : machine is finally applied to this 10,000 data from C2

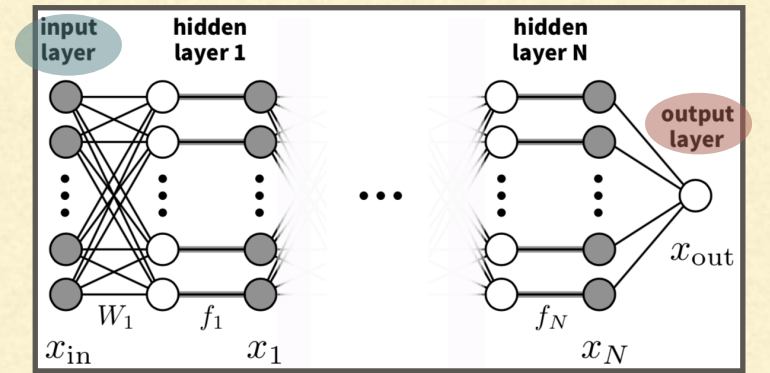
TRAINING & TEST & APPLICATION DATASET

- We construct training & test & application dataset



- Training dataset : used for training (\rightarrow next slide) 16,000 data from C1+C2
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- Application dataset : machine is finally applied to this 10,000 data from C3

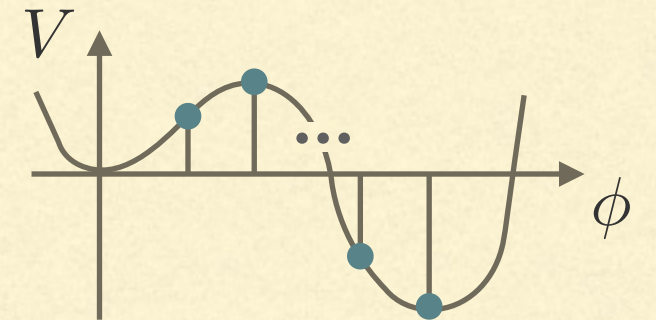
MACHINE SETUP



- Input : sampled values of potential & its derivatives

$$x_{\text{in}} = \left\{ V(\phi_{\text{sample}}) \middle| \phi_{\text{sample}} = \frac{1}{16}, \dots, \frac{15}{16} \right\}$$

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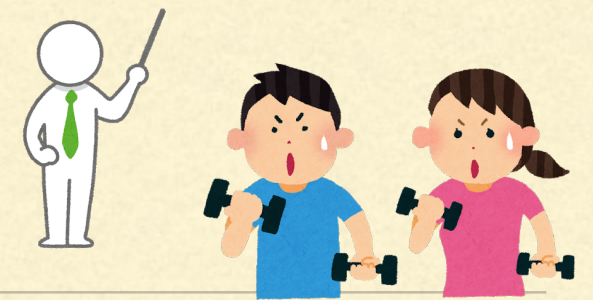
- Output : predicted value of logarithmic bounce action $x_{\text{out}} = \ln S_4^{(\text{pred})}$
- Note : implicit rescaling of input & output

- In the following, x_{in} & x_{out} are understood as rescaled

$$(x_{\text{in}})_i \rightarrow \frac{(x_{\text{in}})_i - \langle (x_{\text{in}})_i \rangle}{\sigma_{(x_{\text{in}})_i}} \quad x_{\text{out}} \rightarrow \frac{x_{\text{out}} - \langle x_{\text{out}} \rangle}{\sigma_{x_{\text{out}}}}$$

- $\langle \rangle$ & σ : mean & variance calculated over training & test dataset

TRAINING PROCESS



- Error function = how poorly the machine predicts

$$E = \frac{1}{(\# \text{ of data passed to the machine})} \sum_{\text{data}} \left| x_{\text{out}} - x_{\text{out}}^{(\text{true})} \right|$$

$x_{\text{out}} = \ln S_4^{(\text{pred})}$: predicted value of logarithmic bounce action

$x_{\text{out}}^{(\text{true})} = \ln S_4^{(\text{true})}$: true value of logarithmic bounce action

- Training = update of weights and biases using error function

$$W \rightarrow W - \alpha \frac{\partial E}{\partial W} \quad b \rightarrow b - \alpha \frac{\partial E}{\partial b}$$

Note : In the actual training we use a slightly more sophisticated algorithm Adam

DETAILS OF TRAINING PROCESS

■ Mini-batch training

- We feed the machine with 1/10 of the training data (= mini-batch) for one time
- 10 times of this process use the whole training data = 1 epoch
- We train the machine for 10,000 epochs



■ Implementation

- Above process is implemented with TensorFlow (r1.17)



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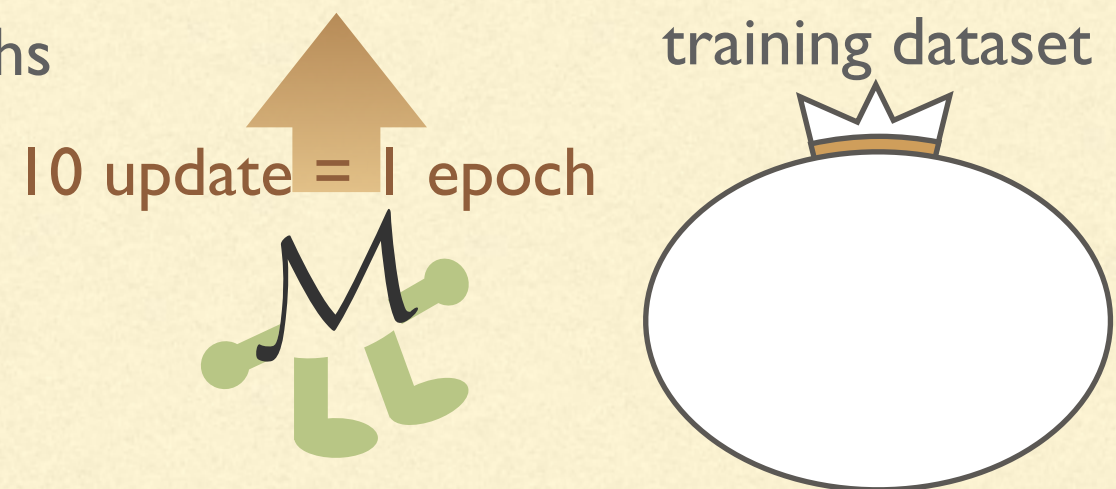
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Results

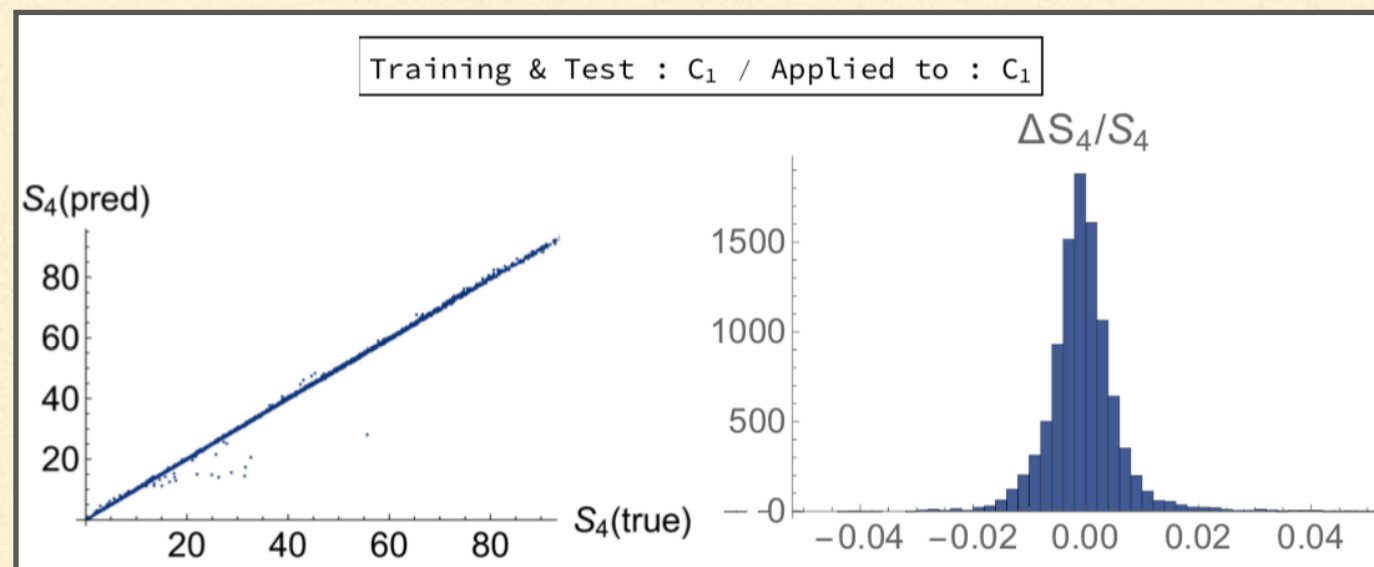
RESULTS

- Case A : 1 class for training & test & application

Training : 8,000 data from C1 / Test : 2,000 data from C1

Application : 10,000 data from C1

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
C ₁	C ₁	0.00607

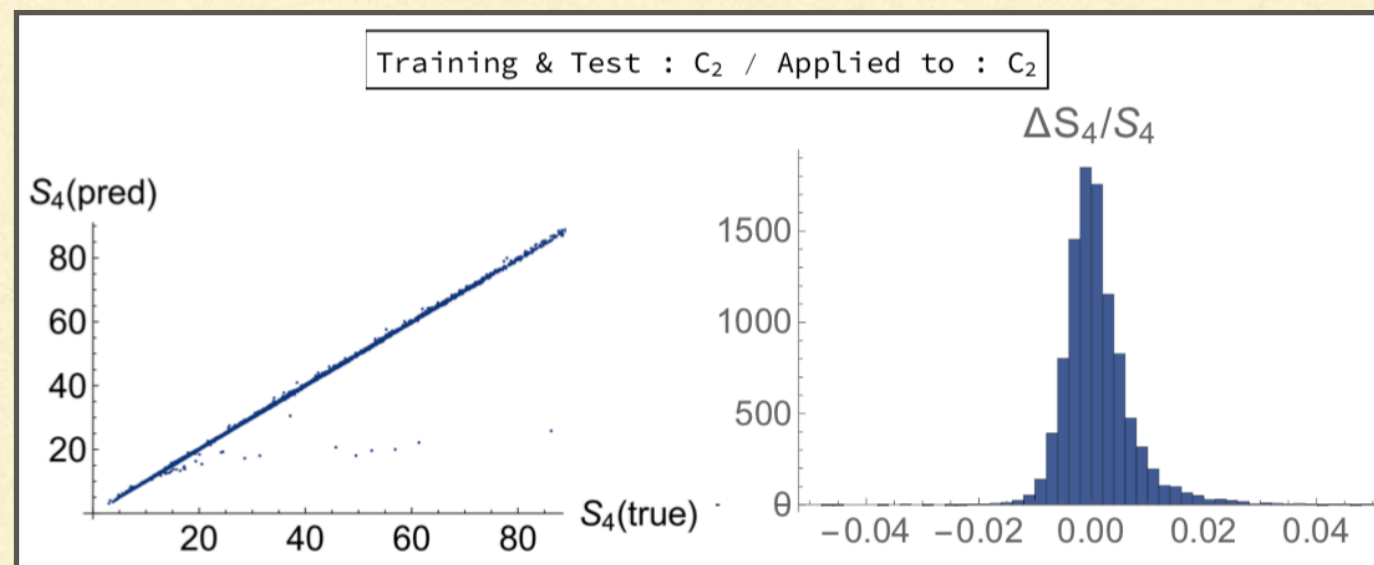
RESULTS

- Case A : 1 class for training & test & application

Training : 8,000 data from C2 / Test : 2,000 data from C2

Application : 10,000 data from C2

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
C ₂	C ₂	0.00423

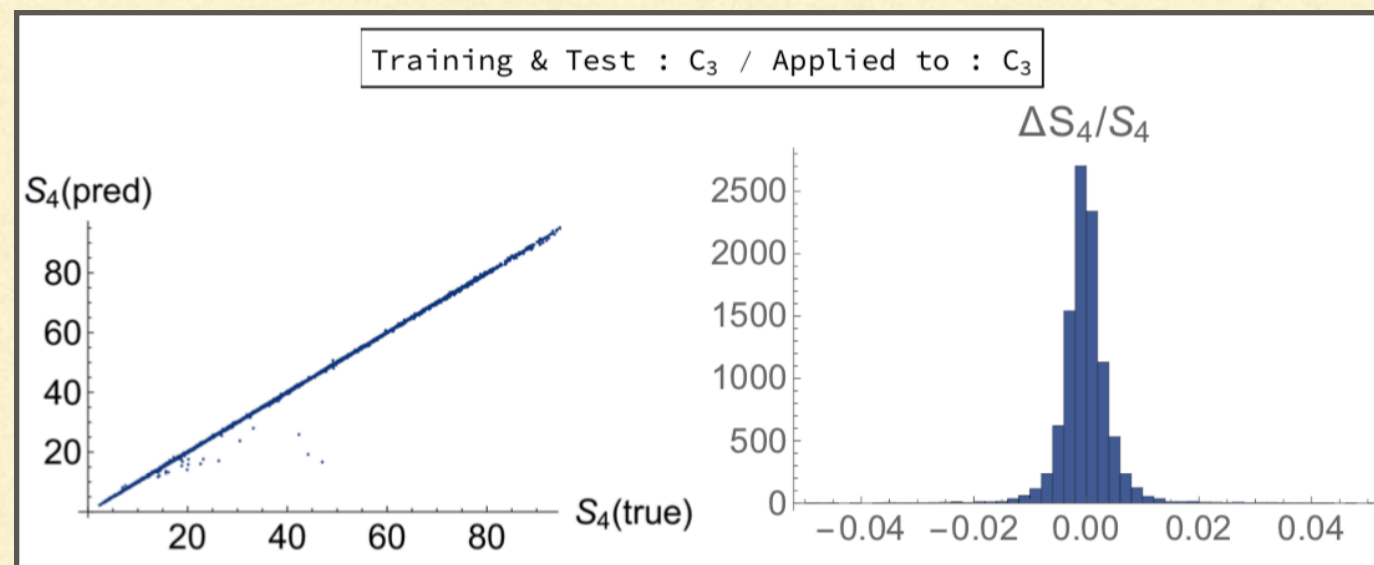
RESULTS

- Case A : 1 class for training & test & application

Training : 8,000 data from C3 / Test : 2,000 data from C3

Application : 10,000 data from C3

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
C_3	C_3	0.00418

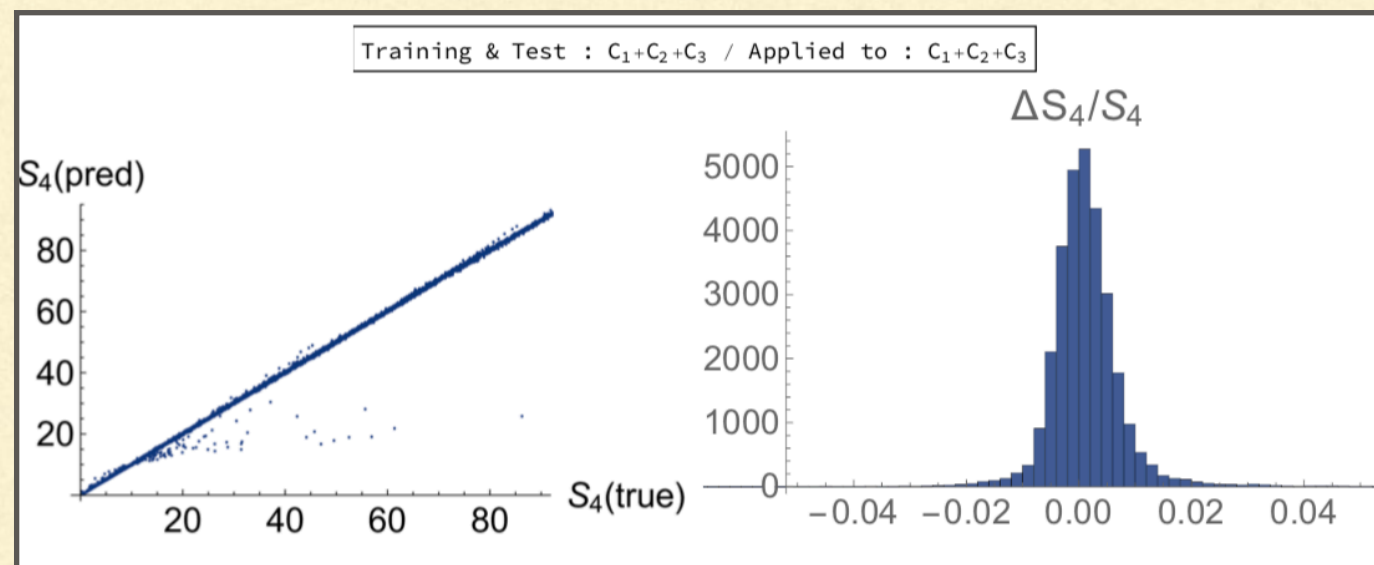
RESULTS

- Case B : mixture of 3 classes

Training : 24,000 data from $C_1+C_2+C_3$ / Test : 6,000 data from $C_1+C_2+C_3$

Application : 30,000 data from $C_1+C_2+C_3$

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
$C_1 + C_2 + C_3$	$C_1 + C_2 + C_3$	0.00503

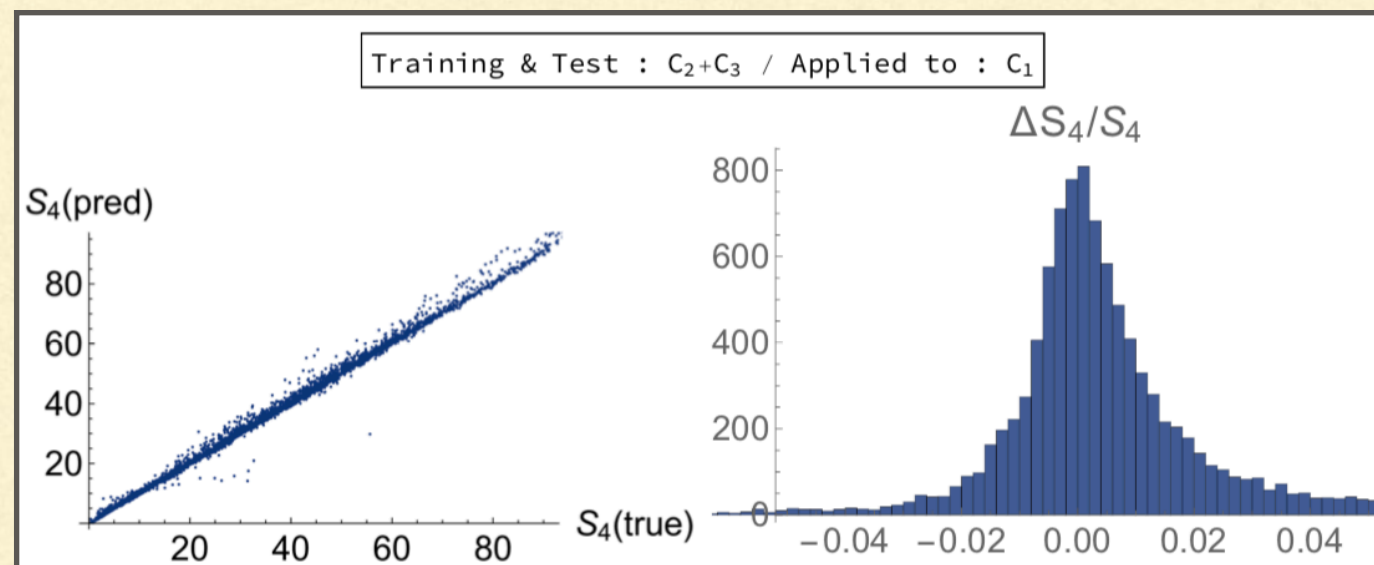
RESULTS

- Case C : training & test over 1 class / application to other 2 classes

Training : 16,000 data from C2+C3 / Test : 4,000 data from C2+C3

Application : 10,000 data from C1

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
C ₂ + C ₃	C ₁	0.0248

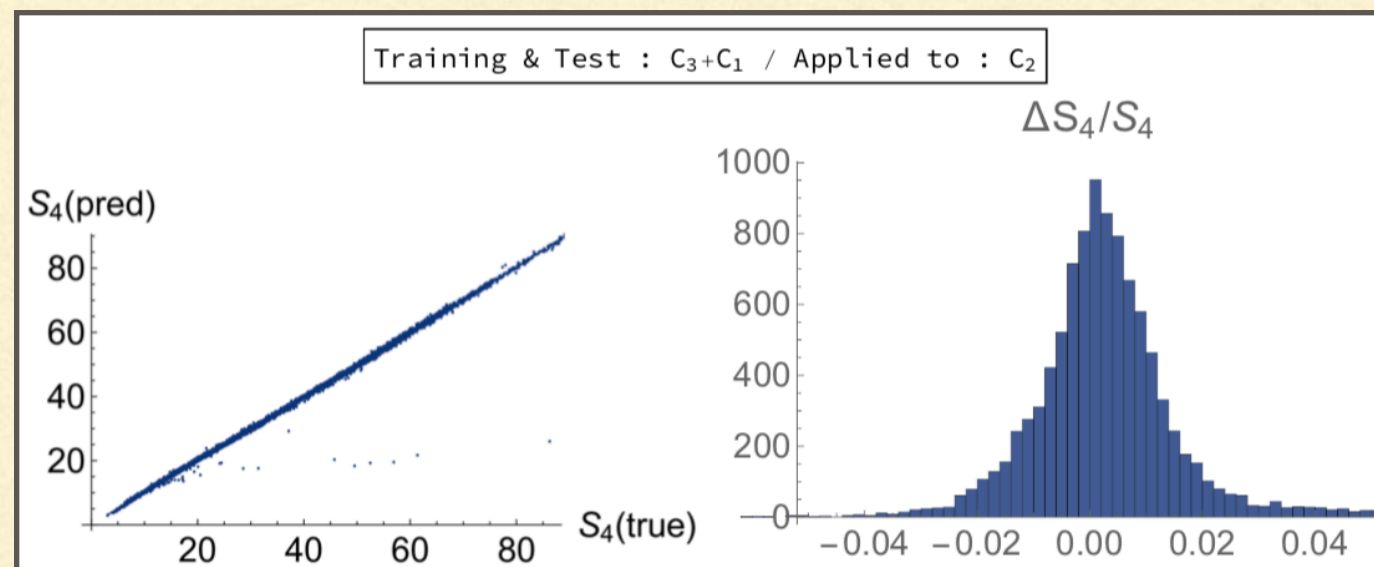
RESULTS

- Case C : training & test over 1 class / application to other 2 classes

Training : 16,000 data from C_3+C_1 / Test : 4,000 data from C_3+C_1

Application : 10,000 data from C_2

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
$C_3 + C_1$	C_2	0.0128

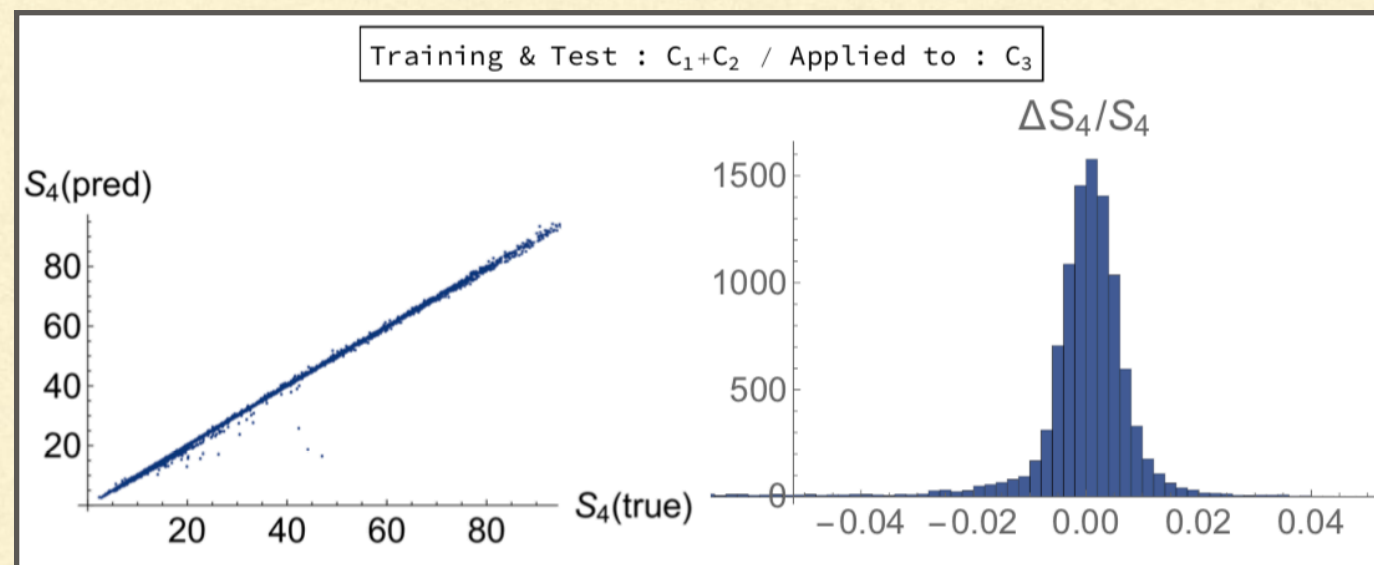
RESULTS

- Case C : training & test over 1 class / application to other 2 classes

Training : 16,000 data from C_1+C_2 / Test : 4,000 data from C_1+C_2

Application : 10,000 data from C_3

Scatter plot for machine's performance



Average of 10 times trial

Training & Test	Applied to	$\langle\langle \Delta S_4/S_4 \rangle\rangle$
$C_1 + C_2$	C_3	0.00903

Discussion

DISCUSSION

- How much precision can we expect in practical use?

Potential shapes in particle physics are not that many

→ If we train with such potentials, the resulting precision will be $C1+C2+C3$ or better

- How much is the speedup?

- Overshoot/undershoot typically takes $O(1-10)$ sec in my code

- Other approaches take e.g. $O(10^{-2})$ sec [Guada et al. '18, "Polygonal bounces" (private communication)]

- Our machine takes $O(10)$ sec for training,

while after training it takes $O(10^{-4})$ sec to calculate the bounce

DISCUSSION

- Generalizations?

- Different spacetime dimensions → trivial
- Multidimensional transitions → needs good ideas

e.g. 1) 2 dim.: convolutional neural network (CNN) may help

2) ML may be used for 1 dim. part in existing multidimensional public codes

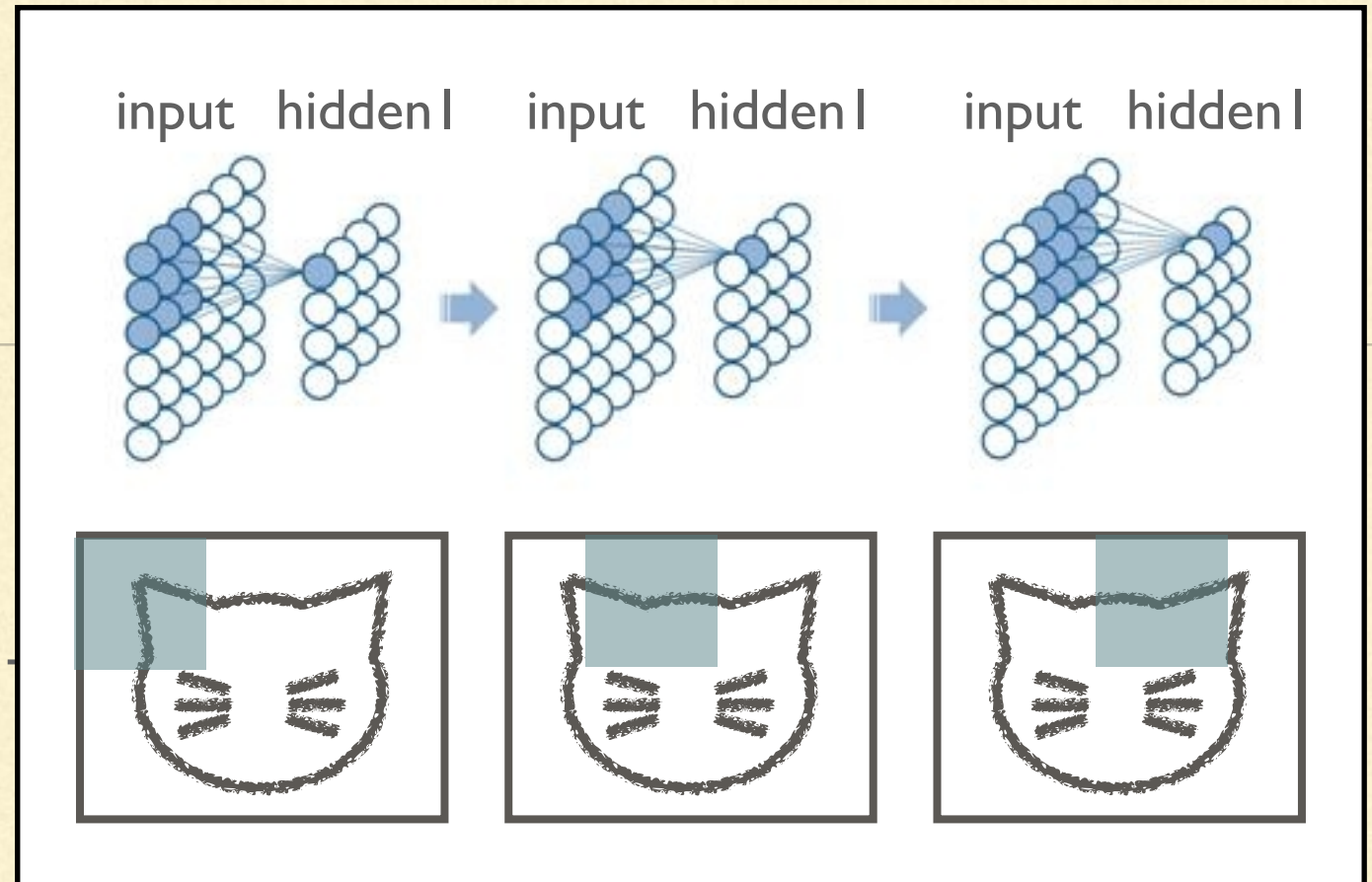
3) ML may also be used for "initial position suggestor" in such public codes

by identifying the output as the initial position

DISCUSSION

■ Generalizations?

- Different spacetime dimensions
- Multidimensional transitions →



e.g. 1) 2 dim.: **convolutional neural network (CNN)** may help

2) ML may be used for 1 dim. part in existing multidimensional public codes

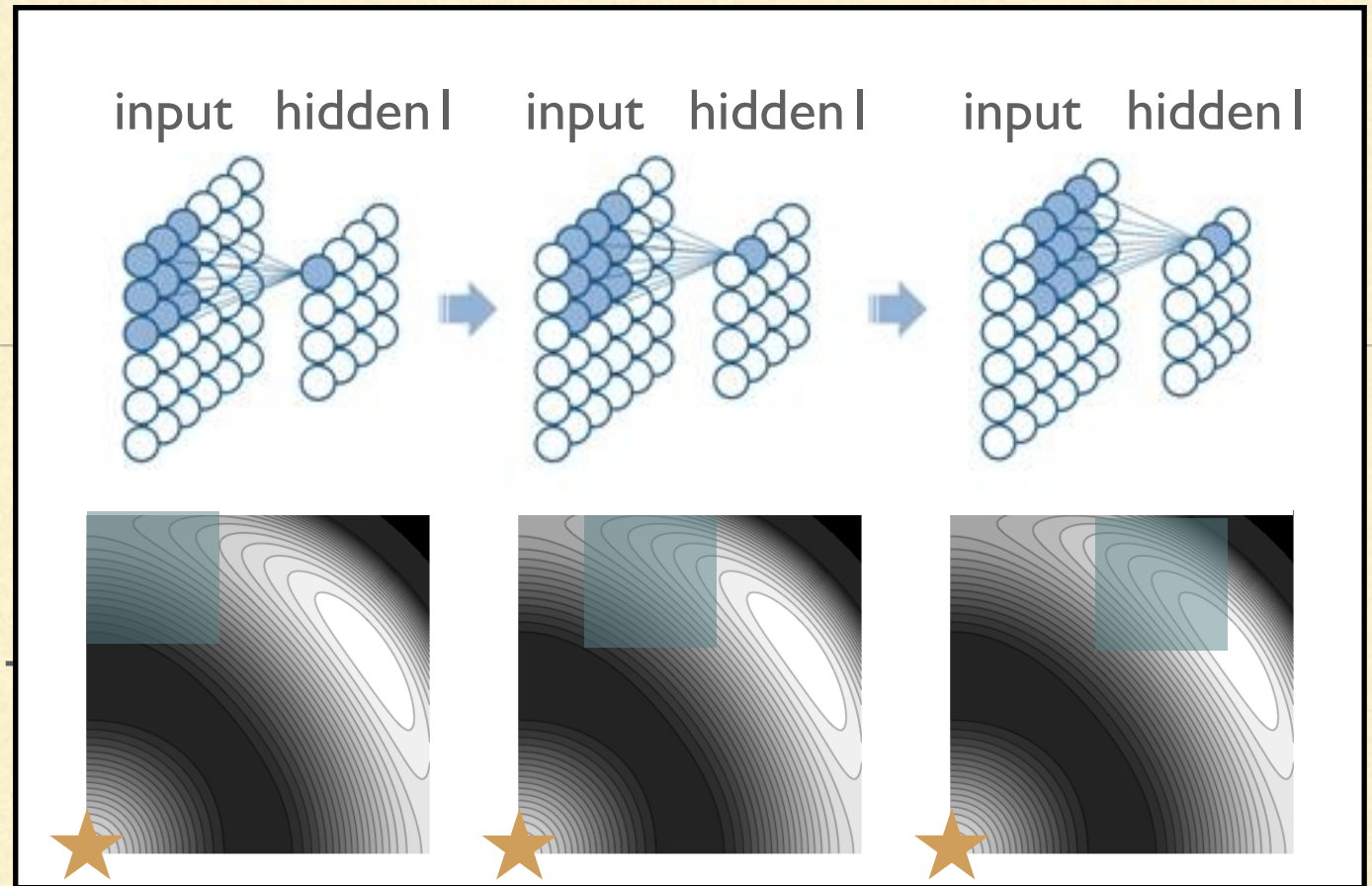
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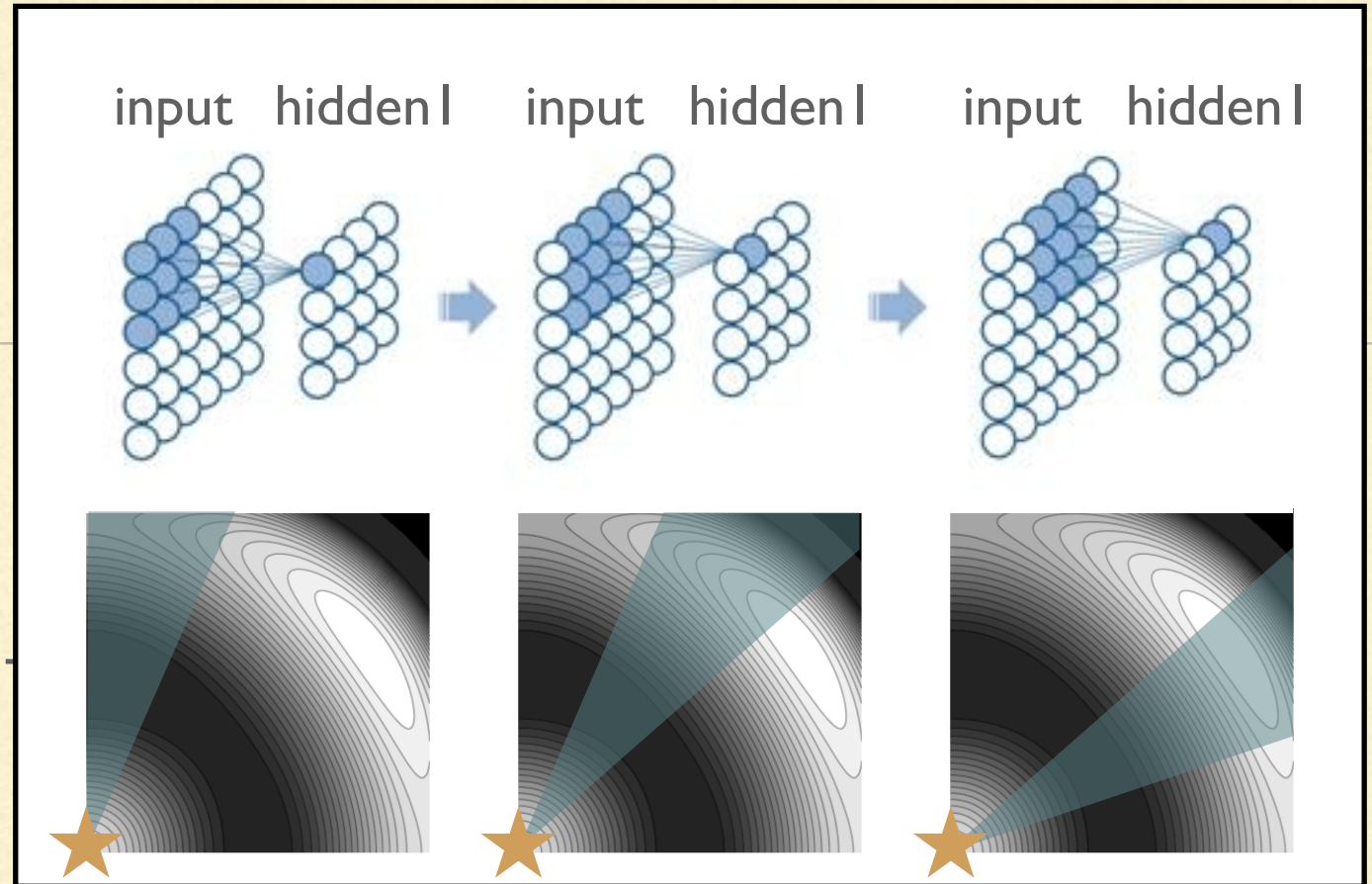
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DISCUSSION

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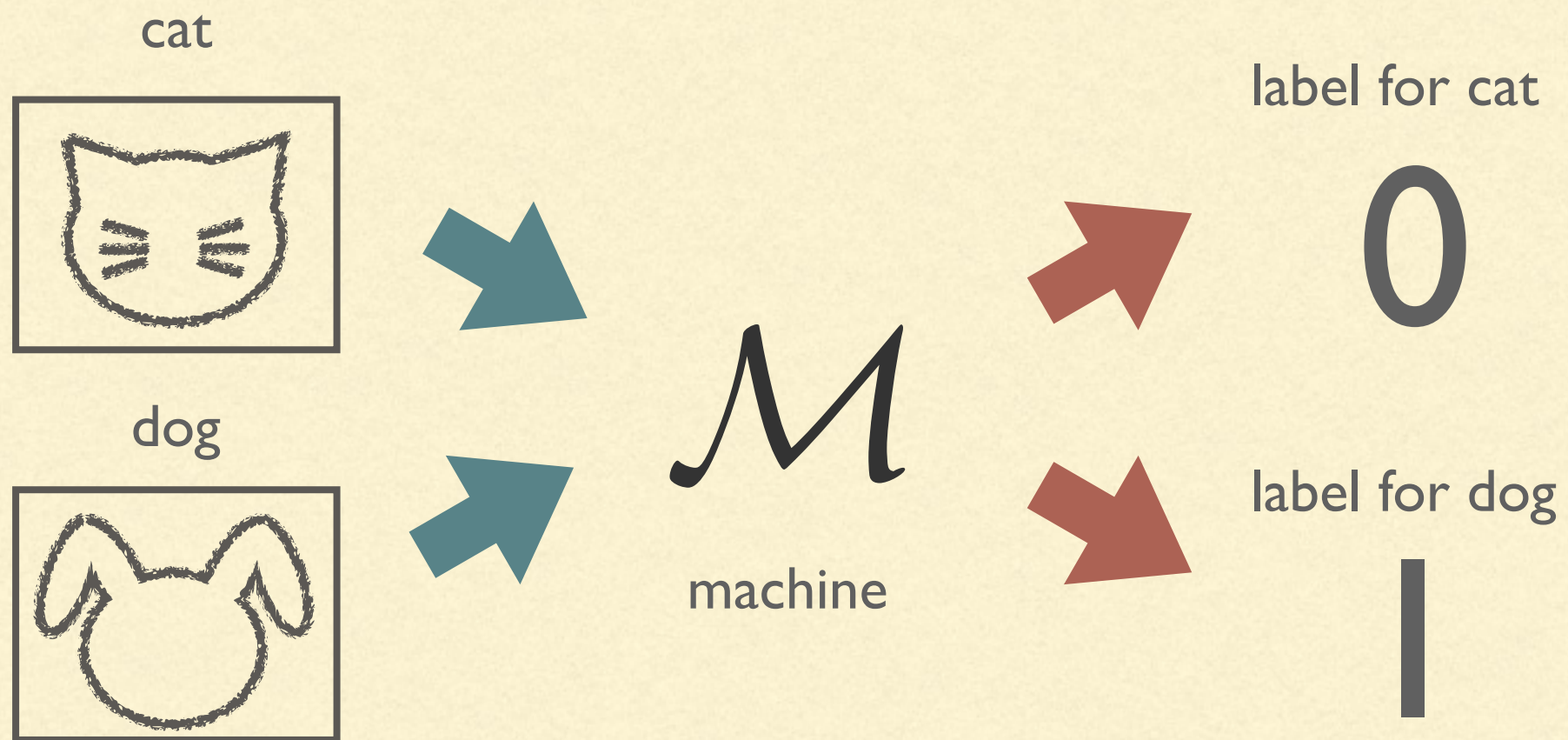
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Others

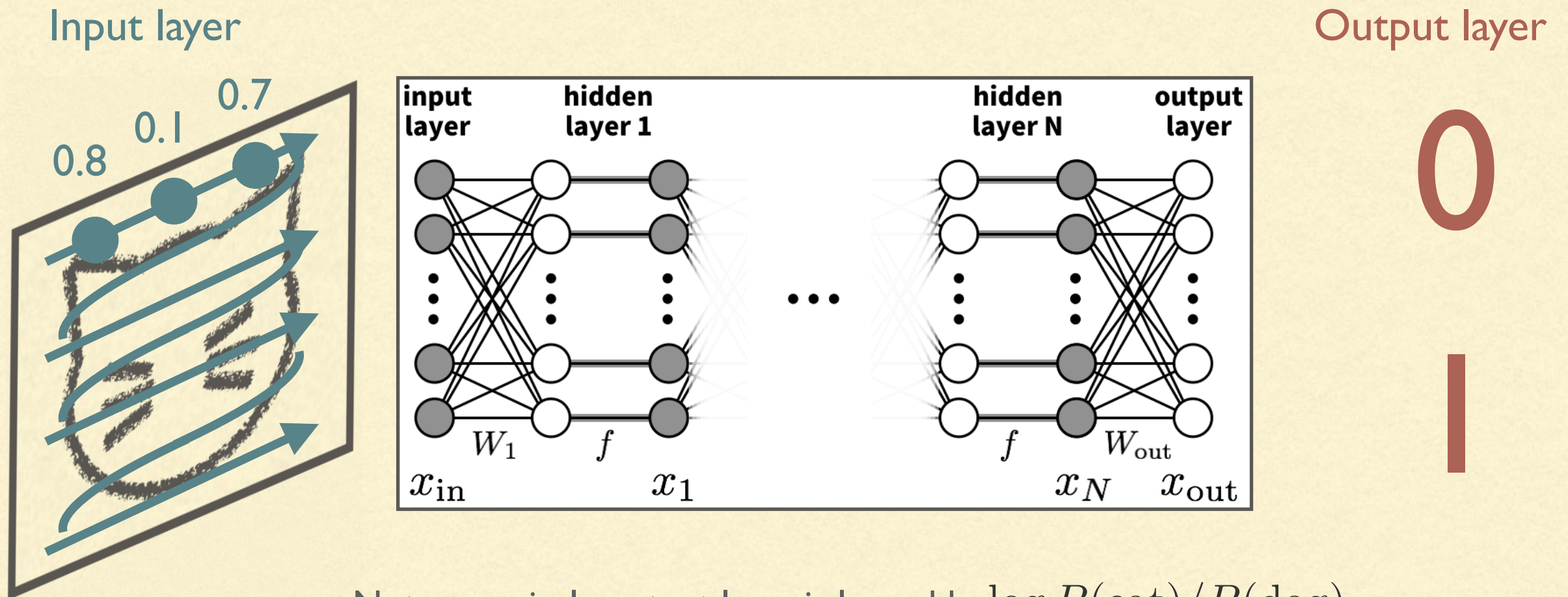
NEURAL NETWORK: IMAGE RECOGNITION

- Image classifier



NEURAL NETWORK: IMAGE RECOGNITION

- Image classifier : input = image / output = label



Note : precisely, output layer is log-odds $\log P(\text{cat})/P(\text{dog})$

Note : actual image recognition is not that simple, e.g. CNN