

# Machine Learning Dark Matter Halo Formation

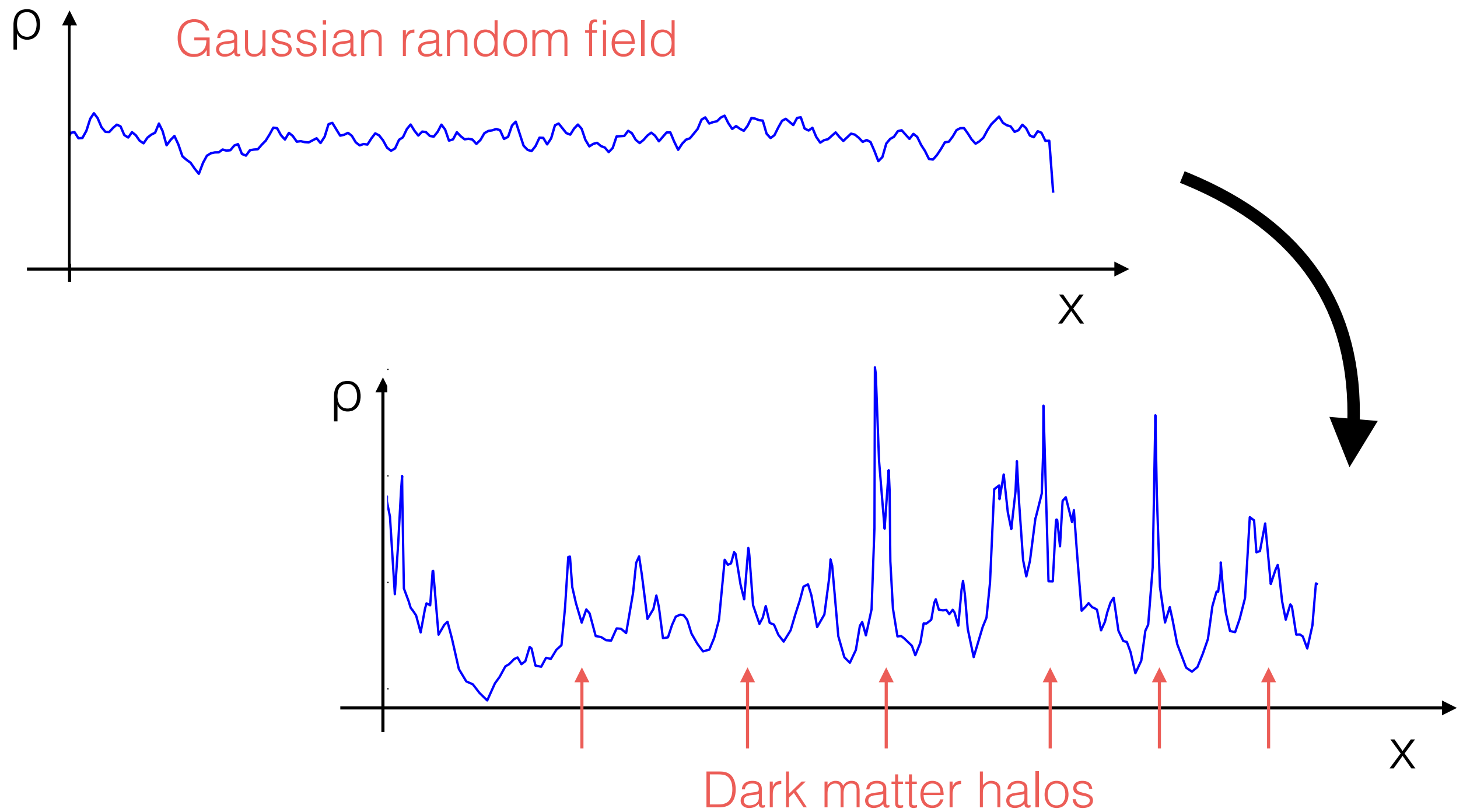
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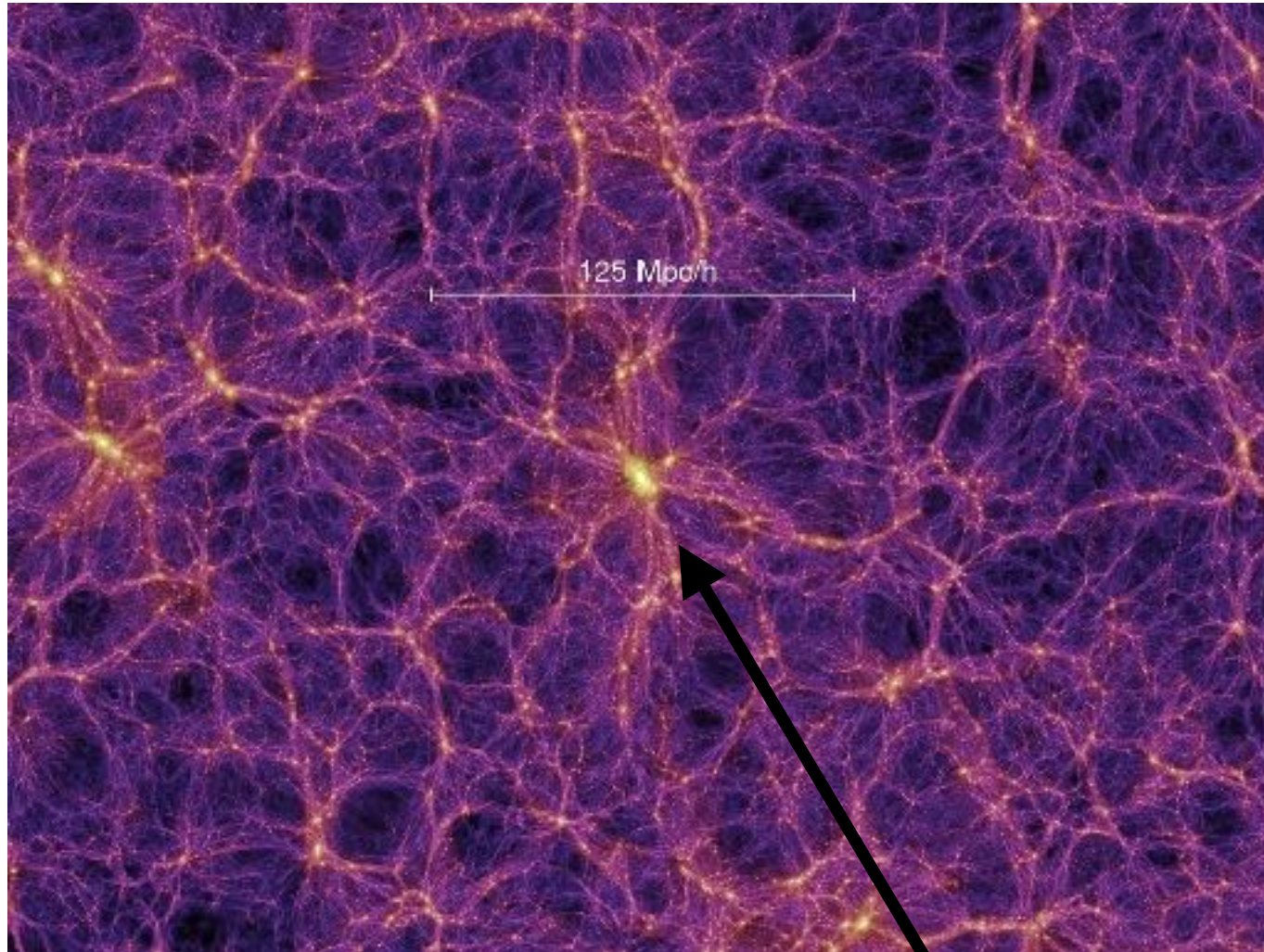
with H.V. Peiris, A. Pontzen, M. Lochner

**arXiv:1802.04271**

# The Physics



# N-body simulation



Evolve dark matter (DM)  
through cosmic time

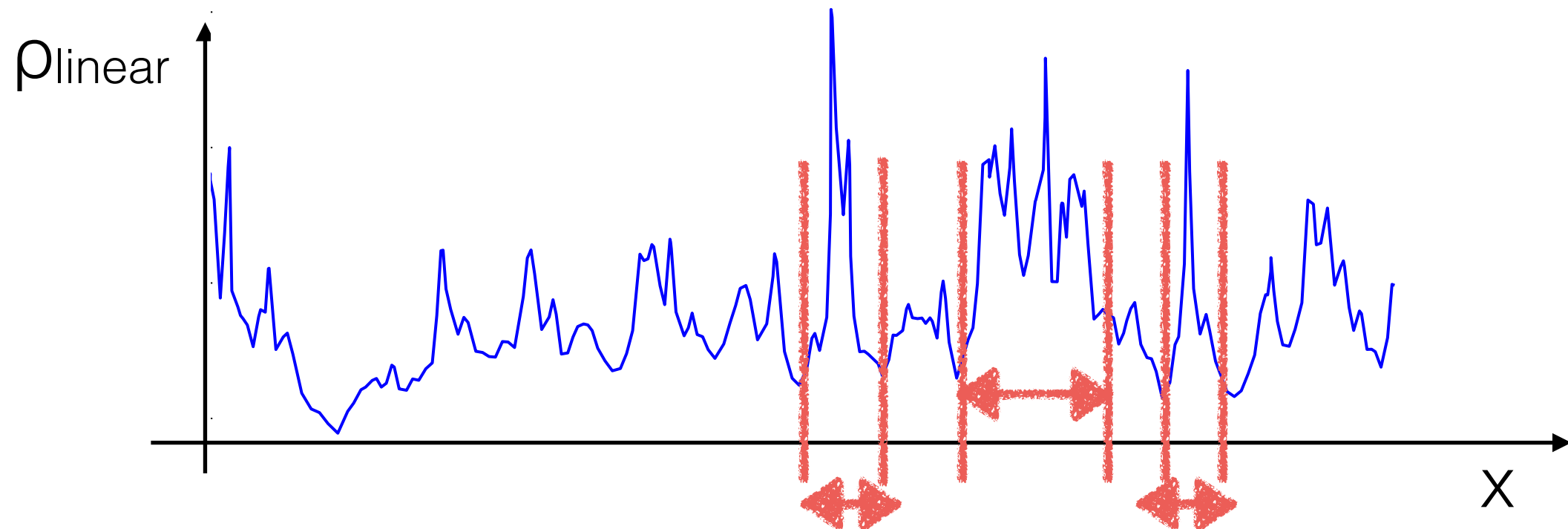
Difficult ***physical***  
interpretation

Dark matter halo

# Outline

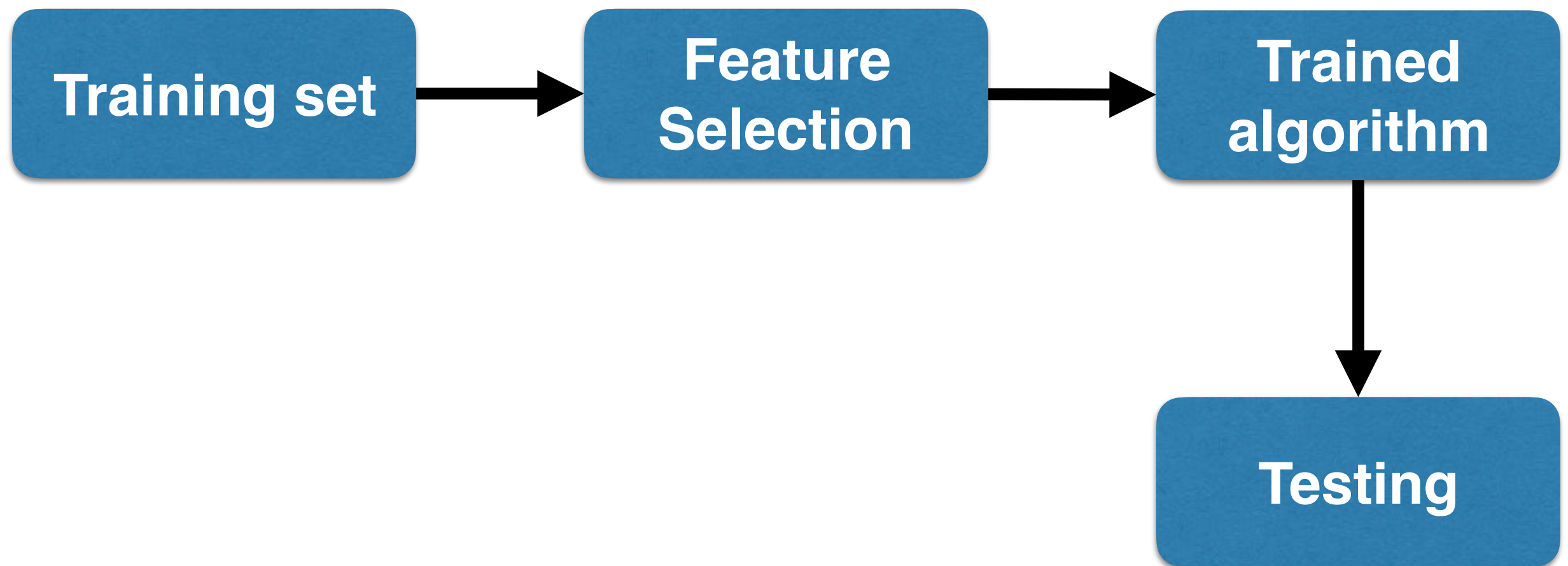
1. Train a machine learning algorithm to learn cosmological structure formation from N-body simulations
2. Investigate what aspects of early-Universe density field contain relevant information on dark matter halo formation
3. How we can go beyond existing analytic approximations of halo collapse

# A machine learning approach



*Can a machine learning algorithm classify whether DM particles in the initial conditions will end up **IN** or **OUT** of halos of a given mass range at the end of a simulation?*

# Supervised classification





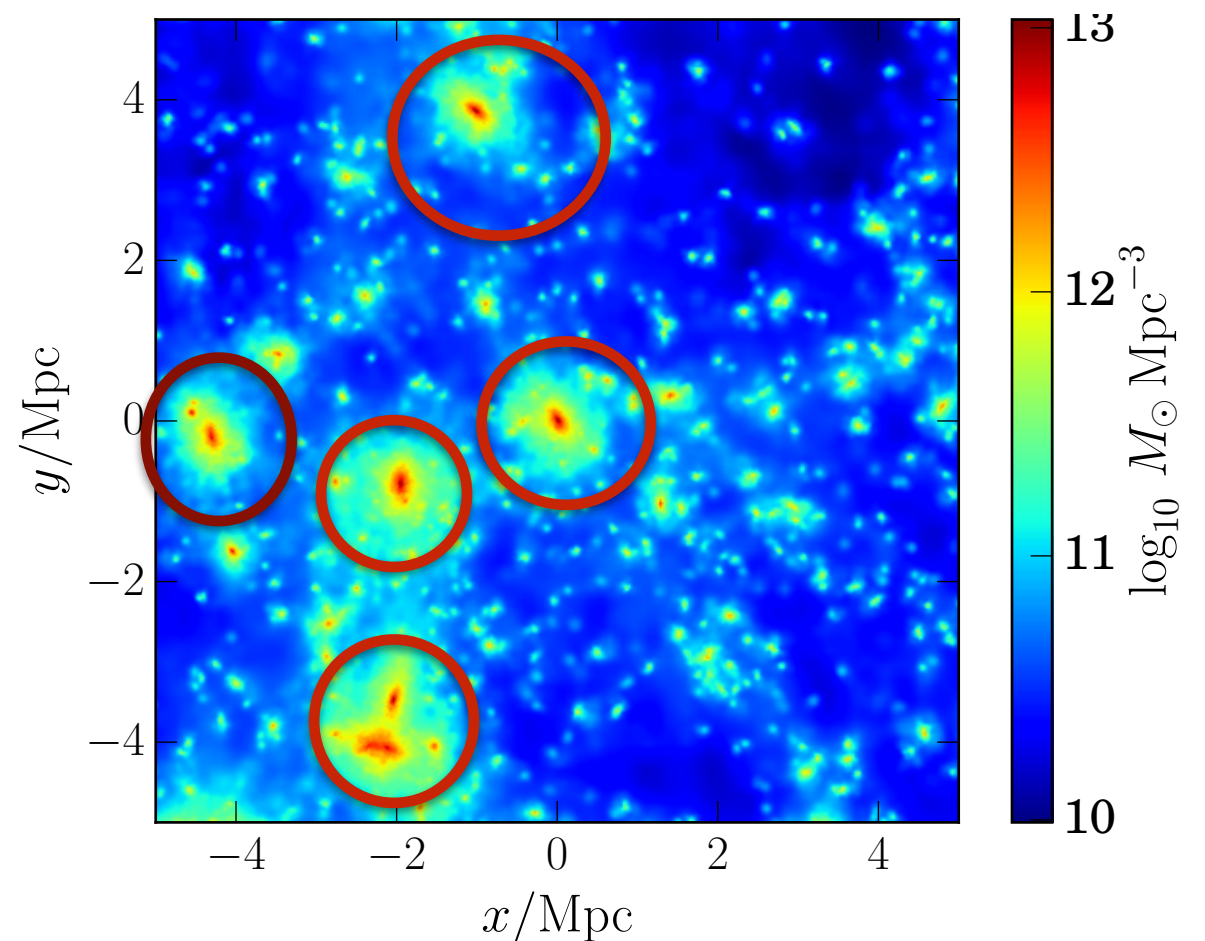
# Training set: N-body simulation

- *Samples*

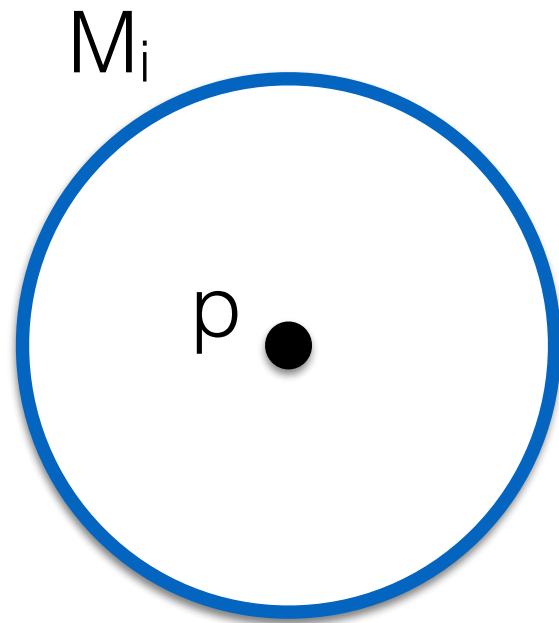
A subsample of the simulation's DM particles

- *Class Labels*

1. **IN** halos of mass  $M$ , s.t.  
 $10^{12} M_{\odot} < M < 10^{14} M_{\odot}$
2. **OUT**, otherwise.



# Density features



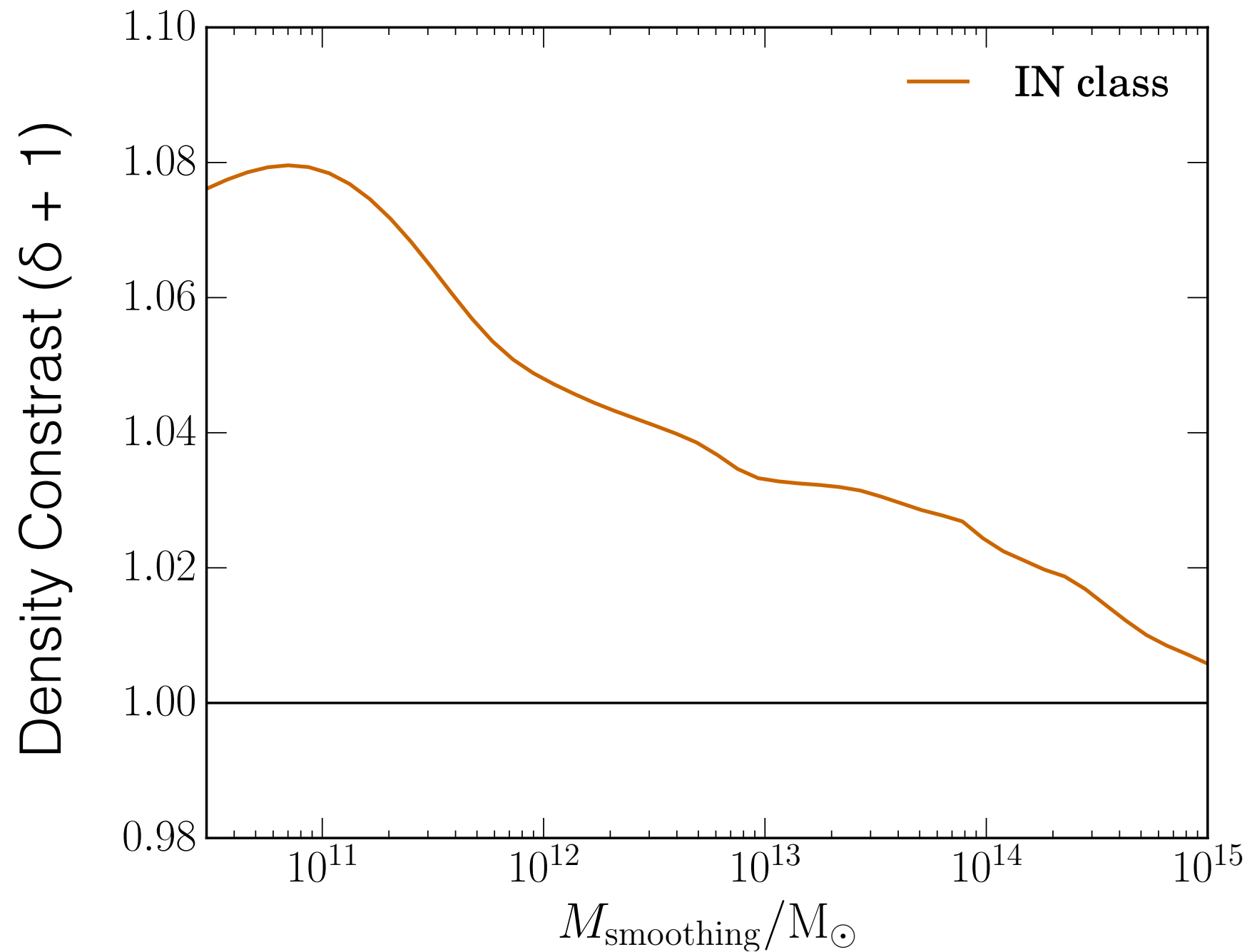
1. Smooth the density field  $\rho_i$  with a top-hat window function at mass scale  $M_i$  centred on particle  $p$
2. Feature = density contrast,

$$\delta_i = \frac{\rho_i - \bar{\rho}}{\bar{\rho}}$$

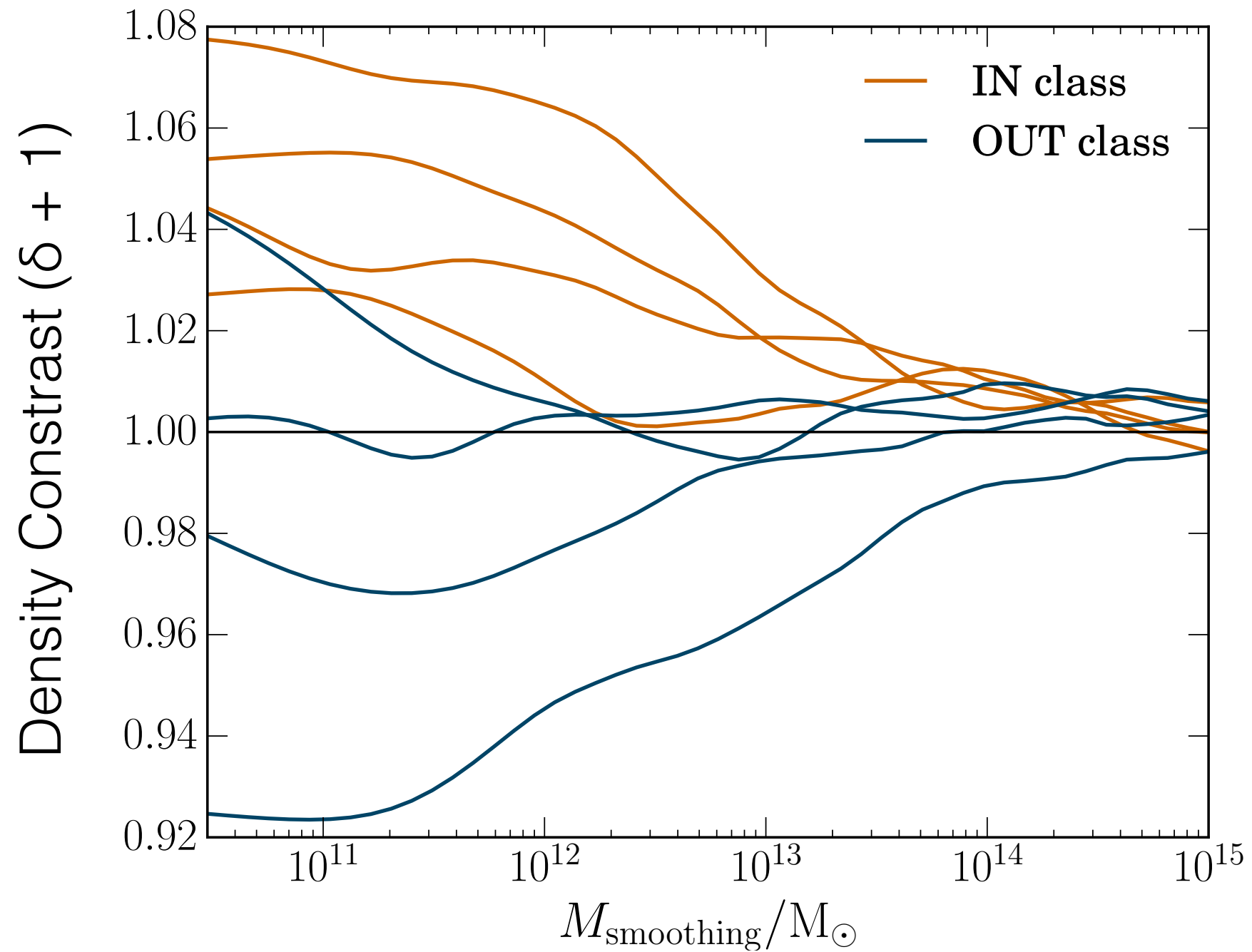
Do the same procedure for 50 mass scales



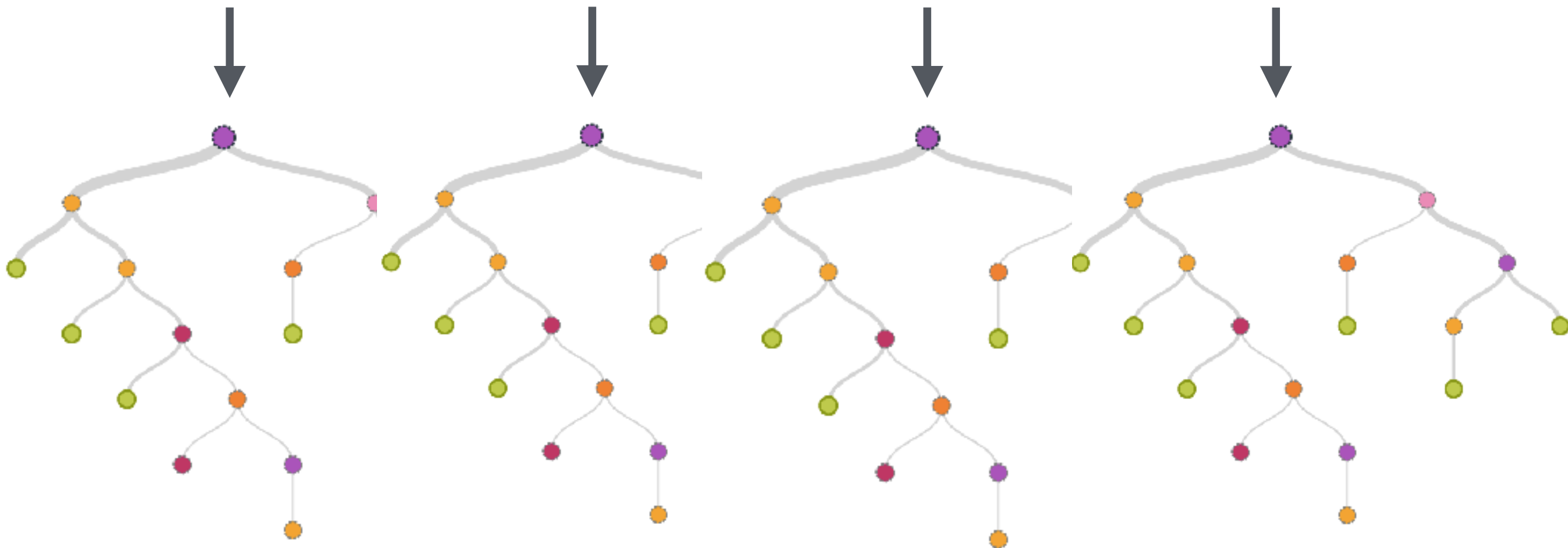
# Density features



# Density features



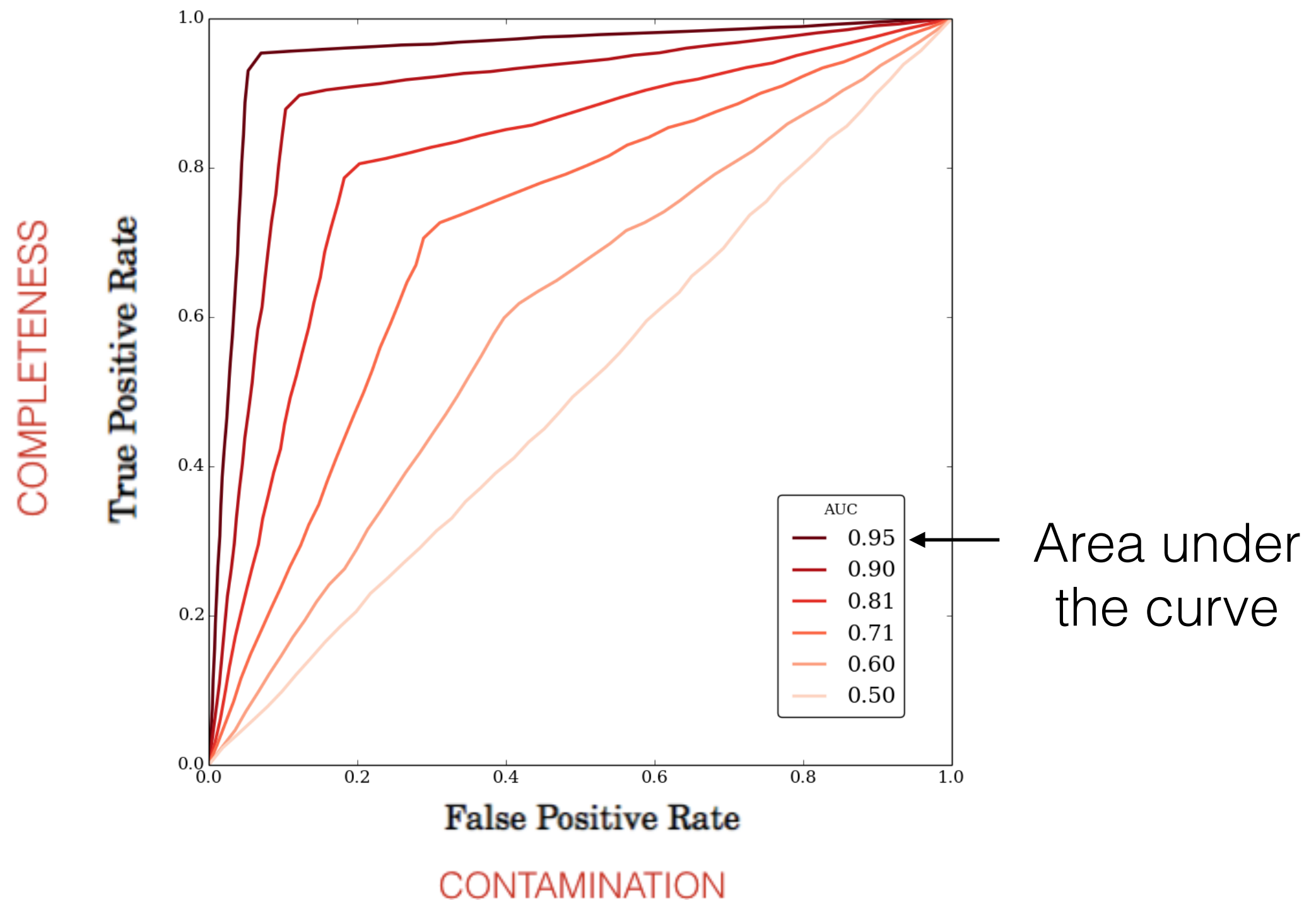
# Random Forests



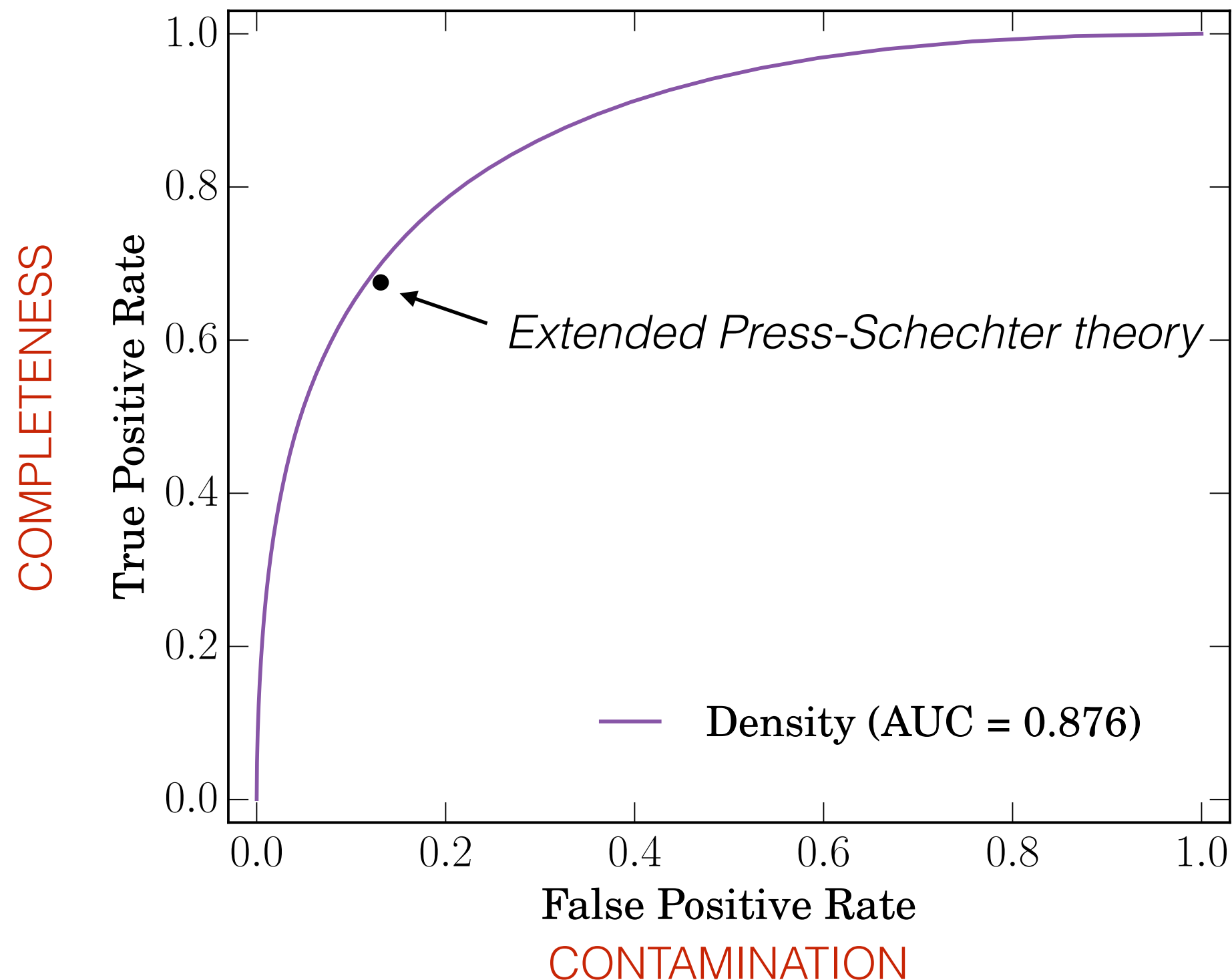
# Decision Tree

Final prediction =  
average probabilistic predictions

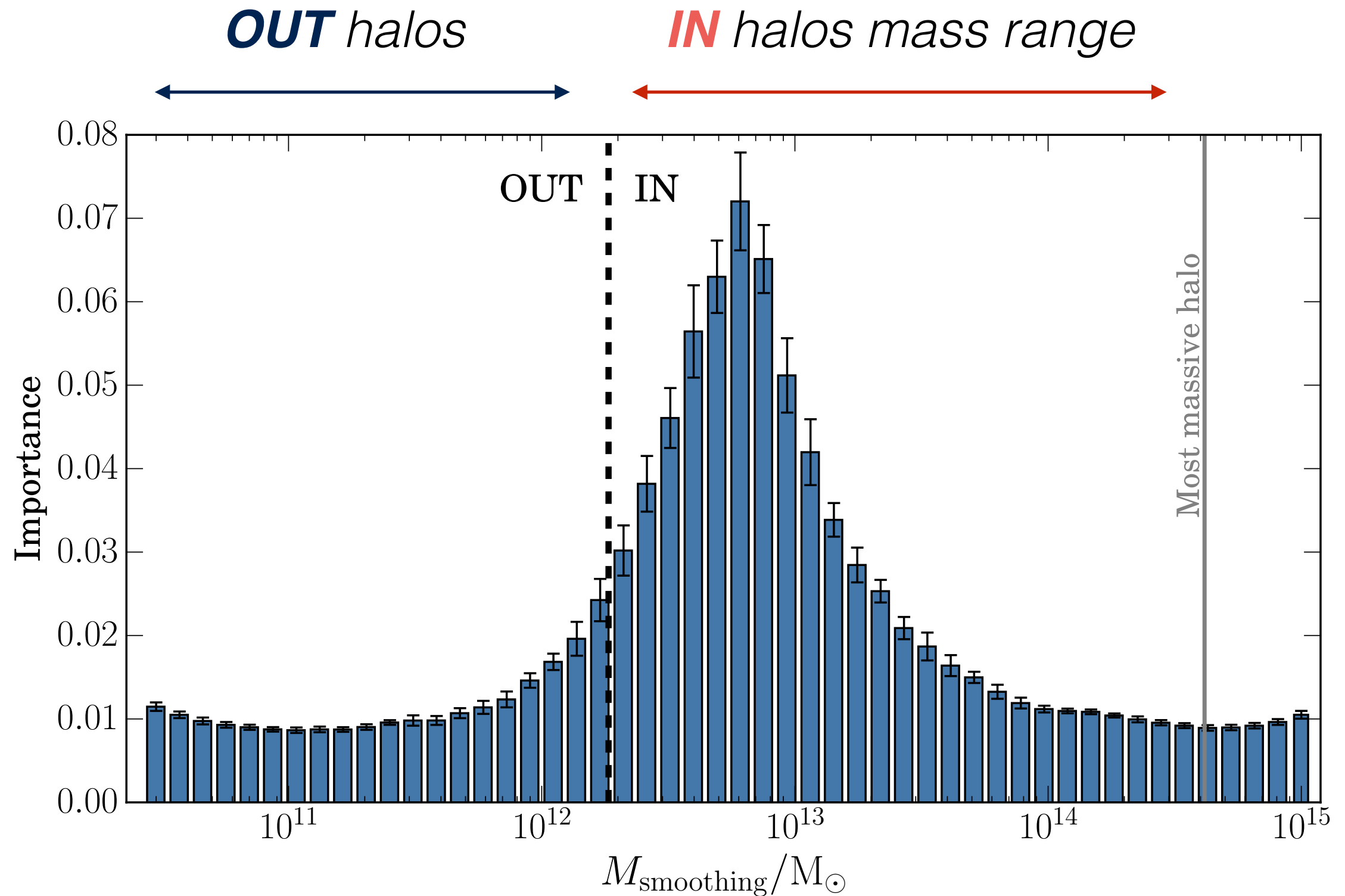
# Receiver Operating Characteristic (ROC) curves



# Machine learning vs extended Press-Schechter



# Density Importances





# Additional physics

- **Tidal shear effects** affect the formation of dark matter halos. Motivated by *Sheth-Tormen theory* on ellipsoidal collapse

Difficult analytically ✗

Straightforward with machine learning ✓



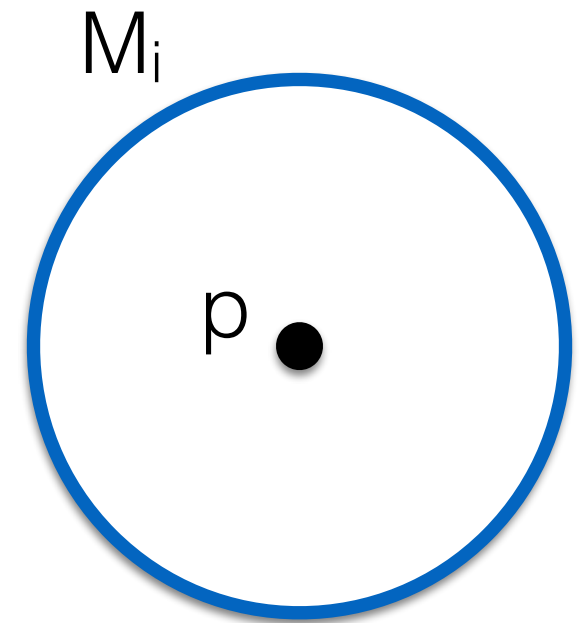
Translate the shear field into new features!

# The tidal shear

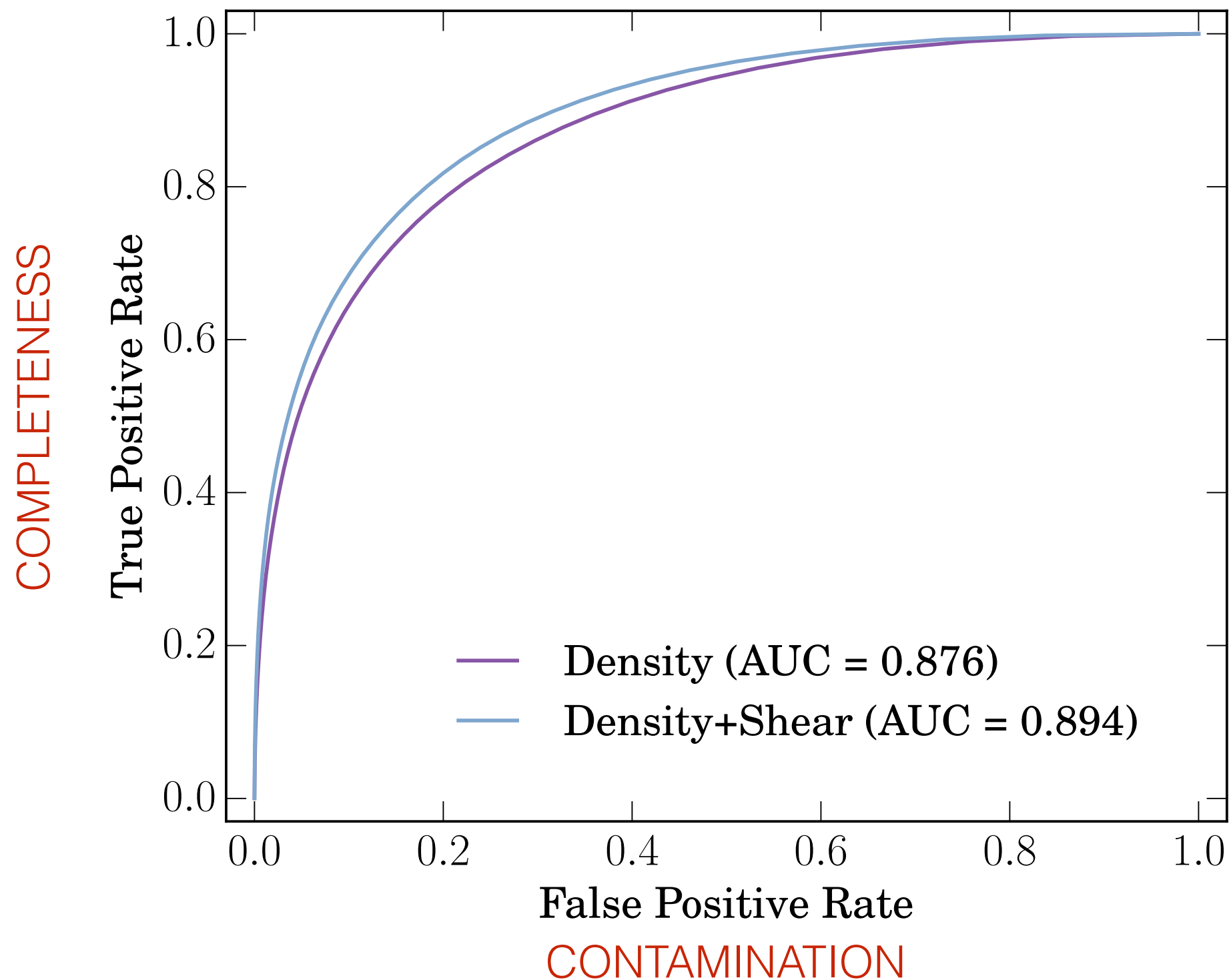
1. Smoothed density contrast  $\delta_i$  at mass scale  $M_i$  centred on particle  $p$
2. Solve Poisson's equation  $\nabla^2 \Phi_i = \delta_i$
3. The tidal shear tensor

$$T_i^{\alpha\beta} = \frac{\partial^2 \Phi_i}{\partial x^\alpha \partial x^\beta}, \text{ with eigenvalues } \lambda_{i,1}, \lambda_{i,2}, \lambda_{i,3}$$

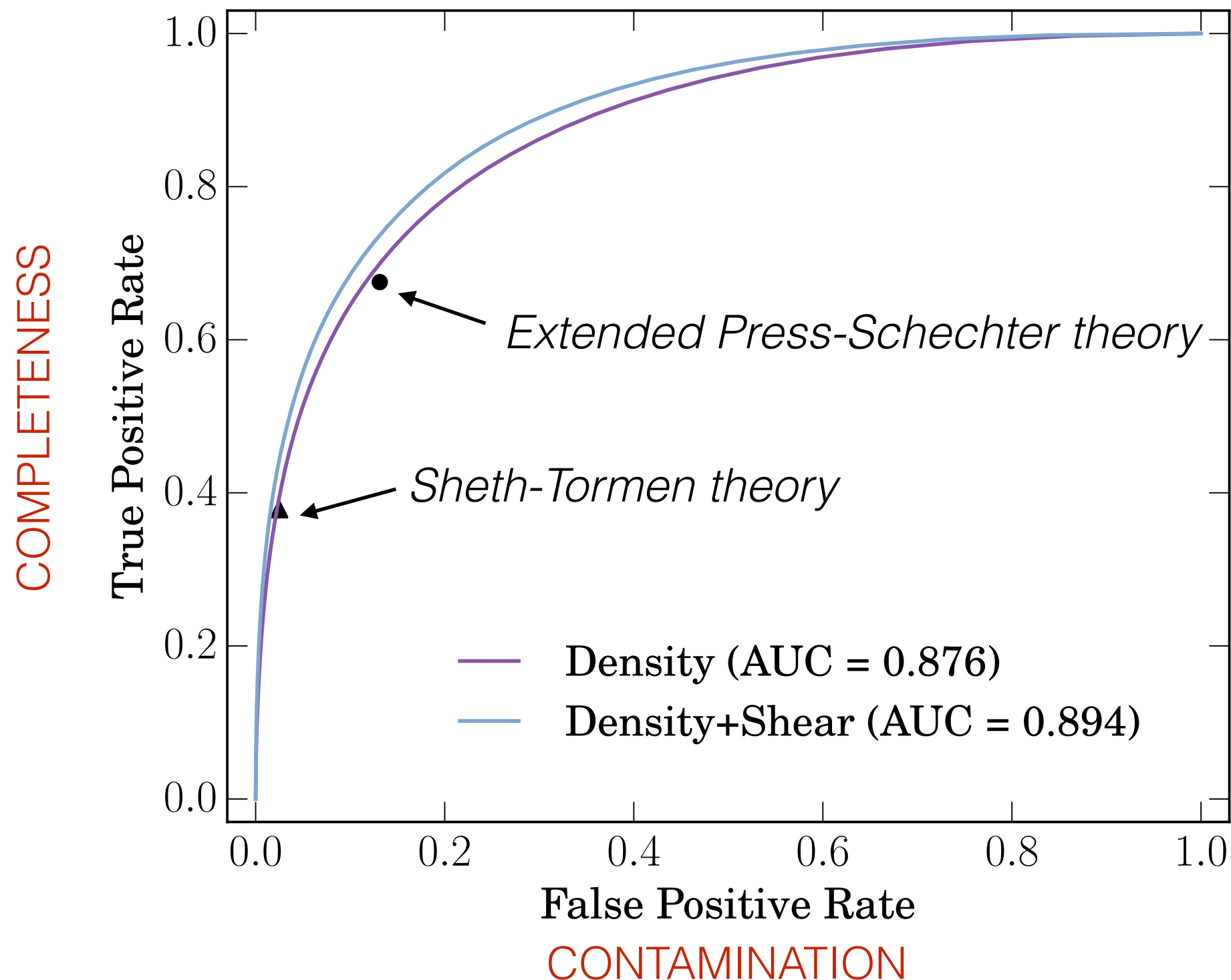
4. Features = two independent linear combinations of the **eigenvalues** (*ellipticity* and *prolateness*)



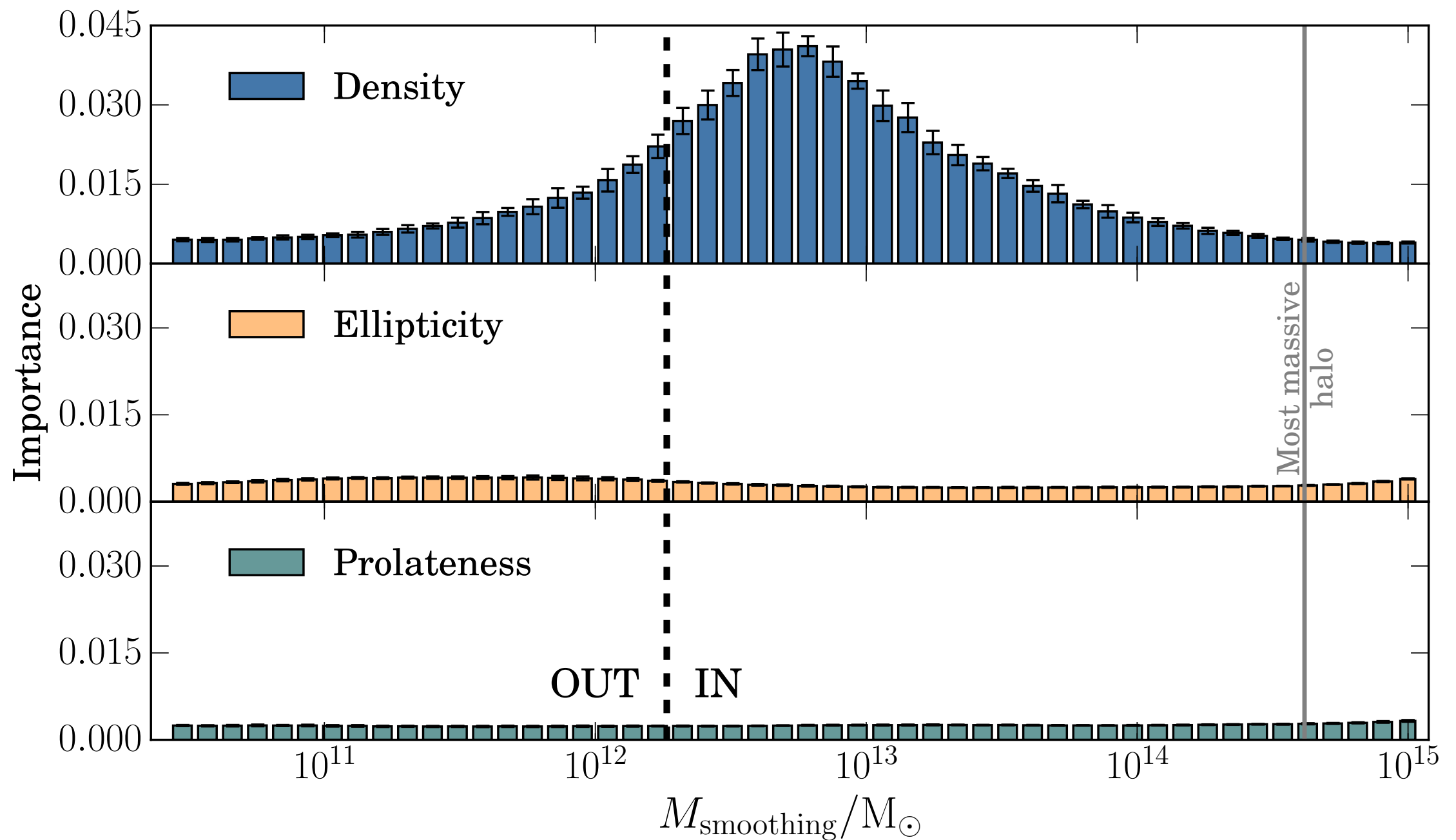
# Adding the shear shows little improvement



# What is the difference between ST and EPS?



# Density + Shear importances



# Conclusions

- Achieve comparable predictions to spherical and ellipsoidal approximations given only the linear density field
- Importance ranking shows which information improves predictions or not
- Ongoing work involves extending to regression and incorporating extra physical information which should allow better understanding of link between linear and non-linear universe

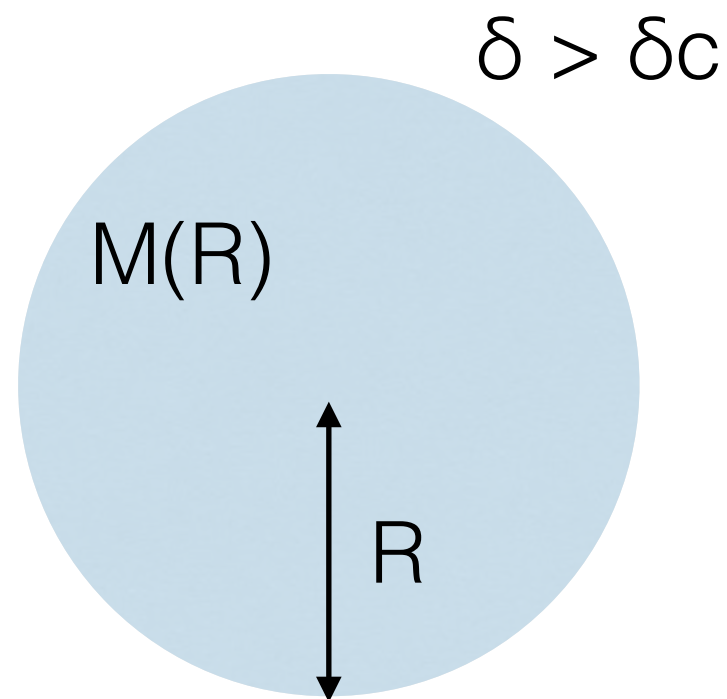


For more information see  
**arXiv:1802.04271**

Extra Slides

# The density field

## ***Spherical collapse:***



Regions where  
density contrast is above some  
threshold,  $\delta_c$

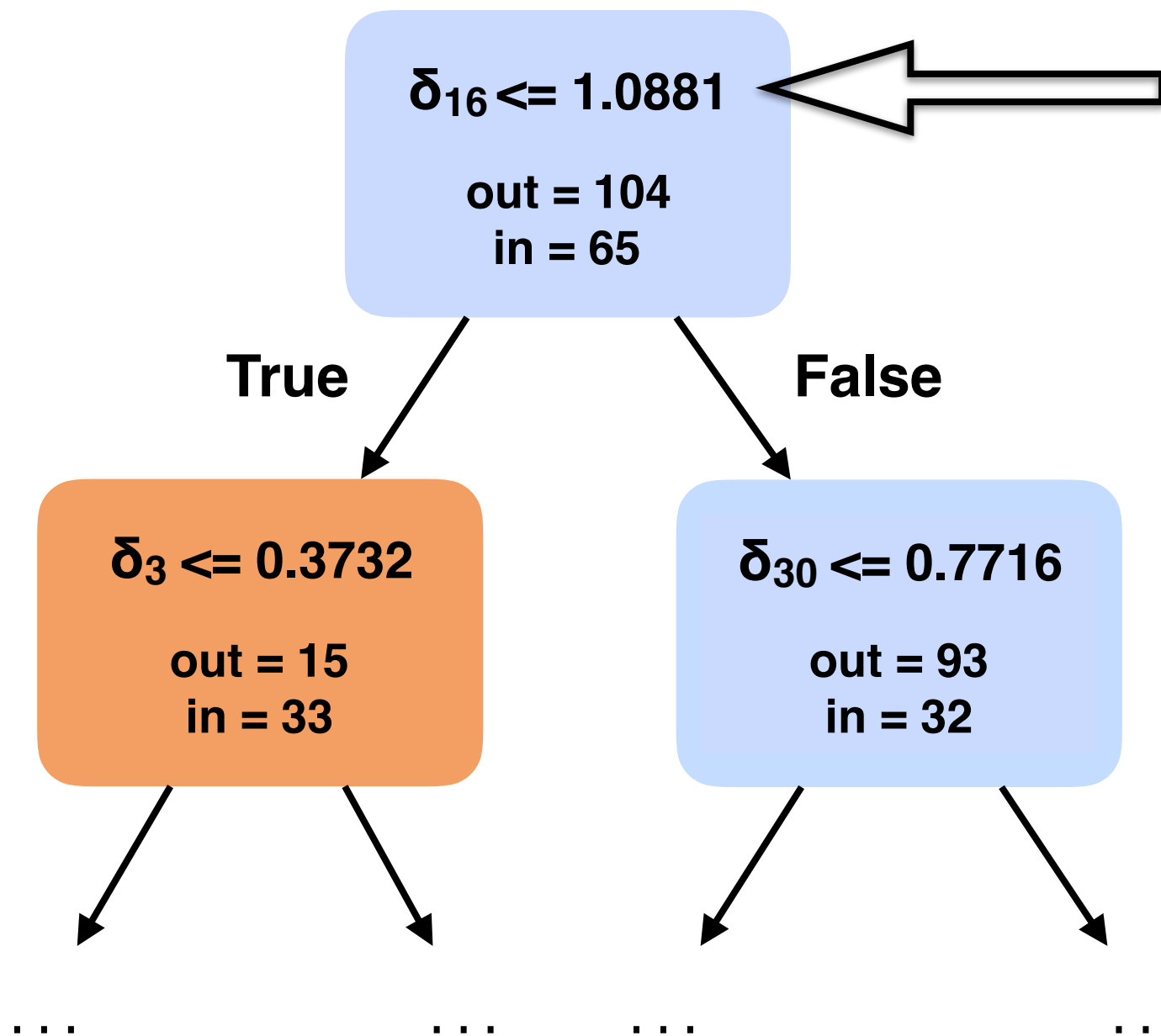


Dark matter halo of mass  $M(R)$

***Extended Press-Schechter theory:*** *analytic* solution tested  
against simulations

# Decision Trees

*How to construct  
decision rules?*



# The decision rule at a node

***Feature's split***

***Impurity Decrease  $\Delta i$***   
(Entropy or Gini impurity)

$$\delta_1 \leq -0.234$$

$$\Delta i = 0.321$$

$$\delta_2 \leq 0.7863$$

$$\Delta i = 0.87$$

$$\delta_3 \leq 0.0012$$

$$\Delta i = 0.56$$

...

...

...

...

Highest impurity  
decrease

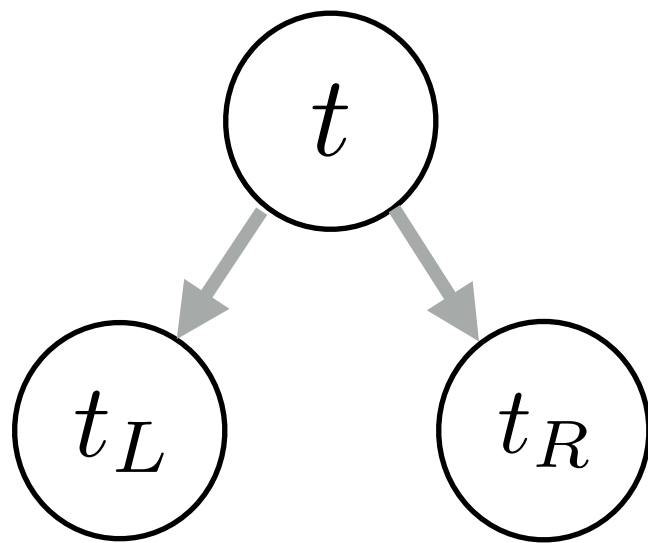


Choose feature 2!

# The best split feature

*Maximise impurity decrease*

$$\Delta i = i(t) - p_L i(t_L) - p_R i(t_R)$$



Entropy

$$i_E(t) = - \sum_{j=1}^c p(j, t) \log_2 p(j, t)$$

Gini Impurity

$$i_G(t) = 1 - \sum_{j=1}^c p(j, t)^2$$



# The tidal shear features

Define  $t_{i,j} = \lambda_{i,j} - \delta_i/3$  , where  $\lambda$  are the tidal shear eigenvalues.

Two new features per particle at mass scale  $M_i$  :

- ***Ellipticity***

$$e_i = 3(t_{i,1} - t_{i,3})$$

- ***Prolateness***

$$p_i = 3(t_{i,1} + t_{i,3})$$

Do the same procedure for 50 mass scales

# Feature Importance

$$\text{Imp}(X) = \frac{1}{N_T} \sum_T \sum_{t \in T: s_t = X} p(t) \Delta i(t)$$

Diagram illustrating the formula for Feature Importance ( $\text{Imp}(X)$ ).

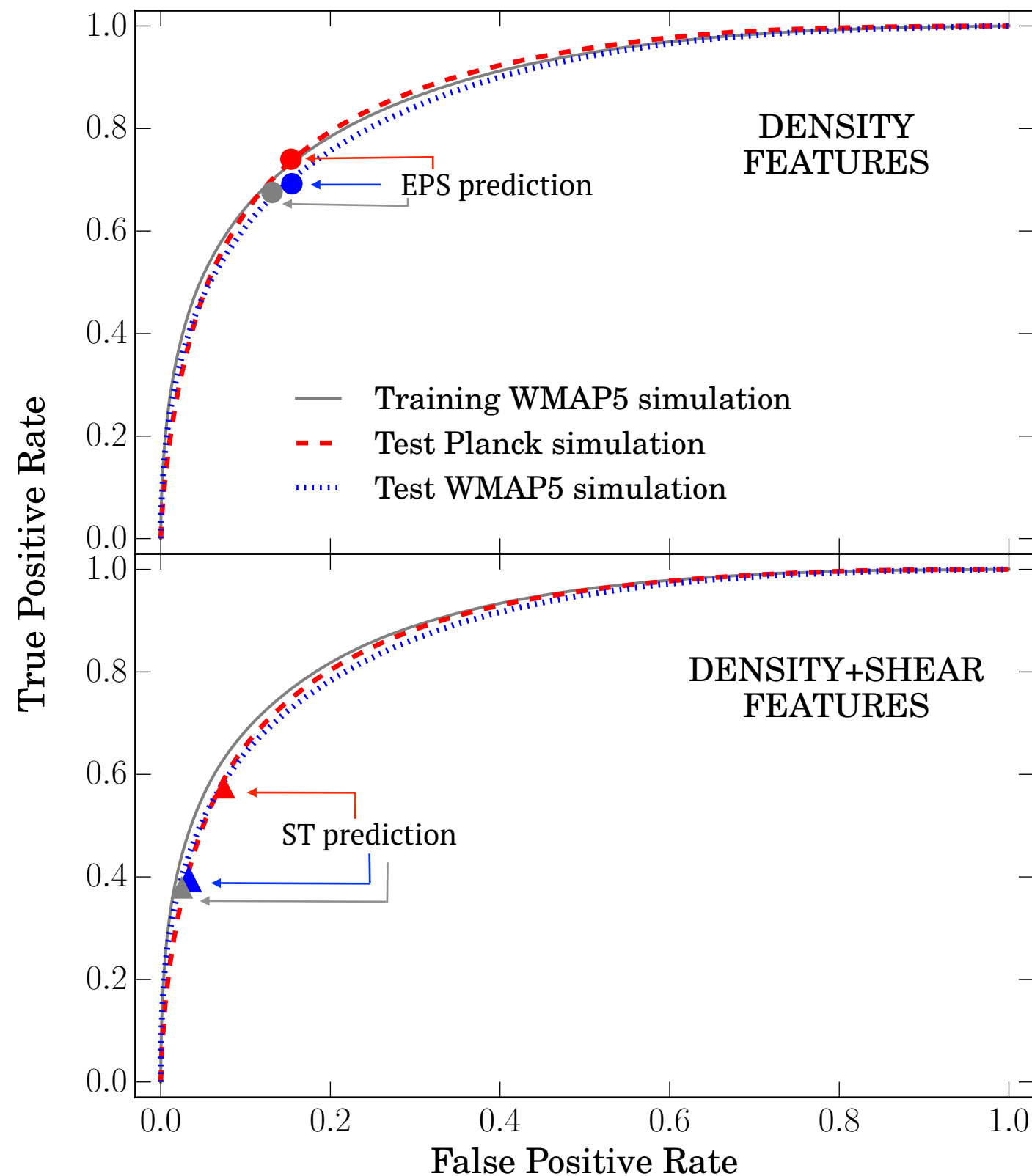
The formula is:

$$\text{Imp}(X) = \frac{1}{N_T} \sum_T \sum_{t \in T: s_t = X} p(t) \Delta i(t)$$

Annotations:

- $N_T$ : Number of trees
- $p(t)$ : fraction of samples
- $\Delta i(t)$ : Impurity decrease (Entropy or Gini impurity)

# Test on independent simulations



# Supervised classification

