

# SPONTANEOUS FLAVOR VIOLATION

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*Based on 1811.00017 and  
forthcoming.paper*

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IBS-CTPU, April 2019

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The main questions  
in the quest for new physics are:

1. Which new physics?
2. How does it couple to the Standard Model?

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We will discuss our theoretical expectations/assumptions for the couplings of new physics to quarks. This is of great importance for LHC searches.

*Are we missing BSM physics  
because of theory bias?*

# PLAN OF THIS TALK

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1. Review typical expectations on the couplings of new physics to quarks, and the *Minimal Flavor Violation* Ansatz.
2. In MFV new physics couples preferentially to third-generation quarks. We will show how a new flavor Ansatz, “*Spontaneous Flavor Violation*”, overcomes this limitation.
3. We will study the phenomenology of an example SFV theory, a theory of extra Higgs bosons that couple strongly to light quarks.

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# Expectations of the couplings of new physics to quarks and collider search strategies



*Murillo, Mujeres en la Ventana*

# GAUGE SYMMETRIES AND FAMILY-UNIVERSAL COUPLINGS

## I. Gauge symmetries

- Couplings to quarks are fixed by hypercharge, weak, color and other gauge charges of the NP field.
- Kinetic/gauge interactions respect an accidental SM flavor symmetry:

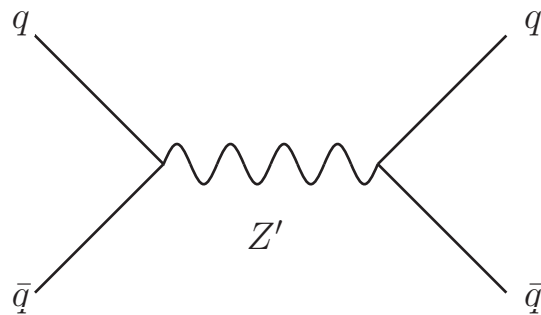
	$U(3)_Q$	$U(3)_{\bar{u}}$	$U(3)_{\bar{d}}$
$Q$	3		
$\bar{u}$		3	
$\bar{d}$			3

*The SM quark flavor group*

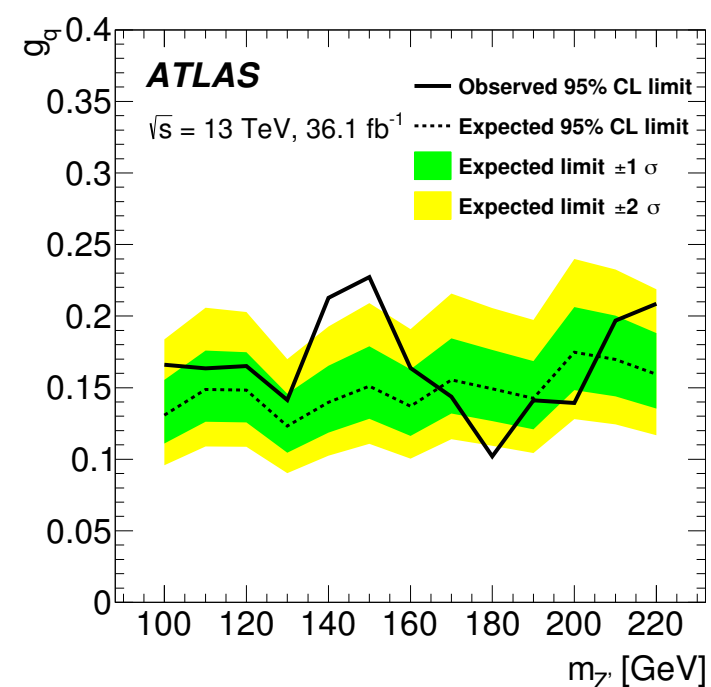
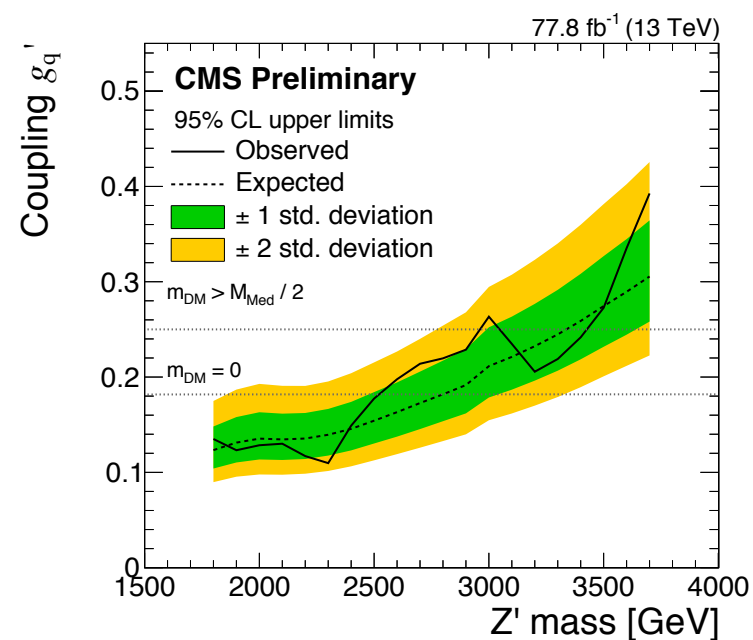
- As a consequence, in this case it is predicted that NP couples universally to the three quark generations.

# GAUGE SYMMETRIES AND FAMILY-UNIVERSAL COUPLINGS

Example: extra gauge bosons  $g(d^\dagger \bar{\sigma}^\mu d) Z'^\mu$



Clear search strategy at LHC



## 2. Flavor breaking

$$\lambda_{ij}^u Q_i H \bar{u}_j - \lambda_{ij}^{d\dagger} Q_i H^c \bar{d}_j$$

$$\lambda^u = V_{\text{CKM}}^T Y^u \quad , \quad \lambda^d = Y^d \quad Y^{u,d} = \frac{\sqrt{2}}{v} \text{diag}(m_{u,d}, m_{c,s}, m_{t,b})$$

Yukawas are responsible for:

1. Breaking of mass degeneracy
2. Family number breaking  
 $t \rightarrow s, b \rightarrow c \dots$



# FLAVOR BREAKING AND FAMILY NON-UNIVERSAL COUPLINGS

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- For new physics, what should we write for the flavored couplings?

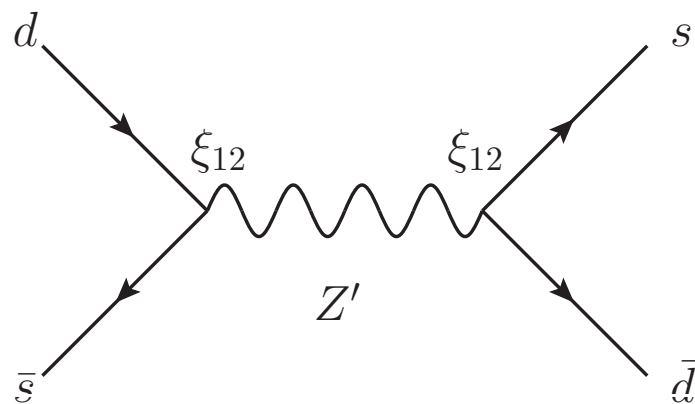
$$\kappa_{ij}^{d\dagger} Q_i \bar{d}_j H_2^c \quad , \quad \xi_{ij} (d_i^\dagger \bar{\sigma}^\mu d_j) Z'_\mu$$

*Assumptions need to be made  
to study the corresponding BSM phenomenology.  
This affects our LHC search strategies!*

# THE MOST NAIVE ASSUMPTION: FLAVOR ANARCHY

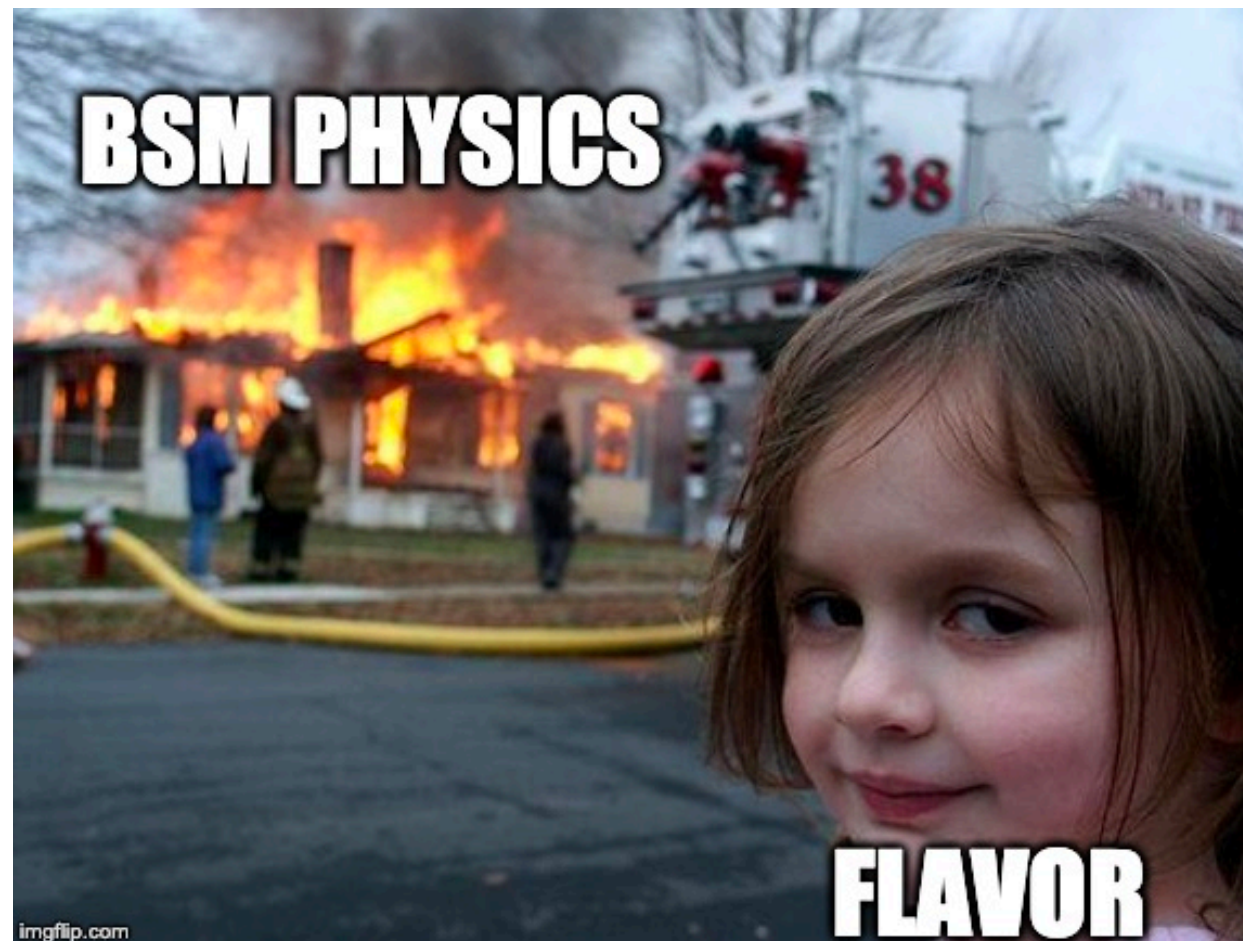
- Example:  $Z'$  with flavor-changing couplings

$$\xi_{12} (d_1^\dagger \bar{\sigma}^\mu d_2) Z'_\mu$$



*Mixing of  $K - \bar{K}$  mesons*

- For  $O(1)$  couplings, limits on  $K - \bar{K}$  mixing require  $m_{Z'} \geq 10^4 \text{ TeV}$
- Naive flavor assumption generically problematic for BSM physics!



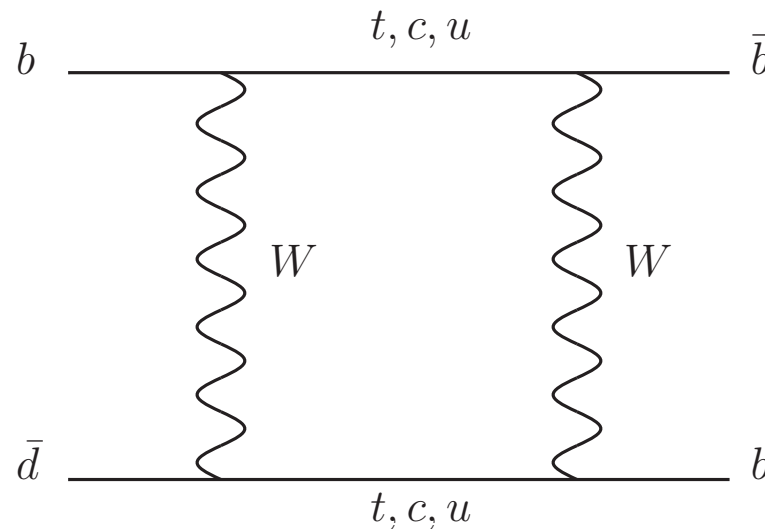
*We must give up on new physics at the EW scale,  
or we need to work on our flavor assumptions.*

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The simplest assumption:  
Minimal Flavor Violation

# FCNC'S ARE STRONGLY SUPPRESSED IN THE SM

- Example: mixing of B-mesons in the Standard Model

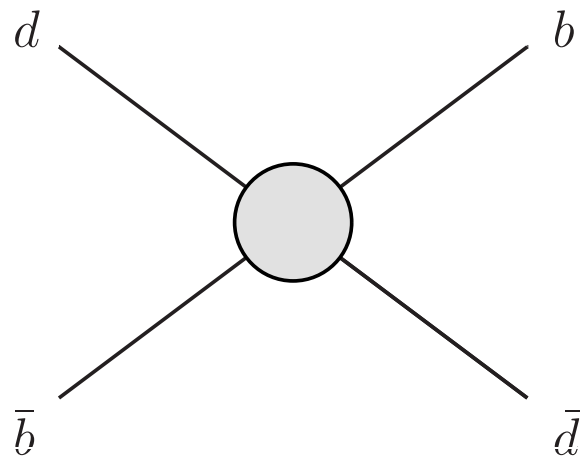


$$\langle B_d | H^{\Delta F=2} | \bar{B}_d \rangle \sim y_t^2 (V_{33}^* V_{31})^2 + y_c^2 V_{33}^* V_{31} V_{23}^* V_{21} + \dots$$

*Strongly suppressed by Yukawas and CKM elements*

# FCNC SUPPRESSION DUE TO PATTERN OF FLAVOR BREAKING

- Such suppression can be easily seen from symmetries



$$(d_i \ c_{ij} \ d_j^\dagger)^2 \quad i = 1, j = 3$$



$$d_i \ (\lambda_u \lambda_u^\dagger)_{ij} \ d_j^\dagger$$

$$\begin{aligned} d_1 \ (\lambda_u \lambda_u^\dagger)_{12} \ d_2^\dagger &= d_1 \ (V^T Y_u^2 V^*)_{12} \ d_2^\dagger \\ &= d_1 \ (y_t^2 V_{31} V_{32}^* + y_c^2 V_{21} V_{22}^* + \dots) \ d_2^\dagger \end{aligned}$$

*Yukawa, GIM and CKM suppression of FCNCs!*

*MFV: assume that NP couples to quarks  
via the SM Yukawa matrices.  
Thus, Yukawa, GIM and CKM suppression of  
FCNCs are all retained.*

*This allows for new physics at the electroweak  
scale consistent with bounds from FCNCs*

Operator	$\Lambda_{\text{NP}}^{\text{MFV}} [\text{TeV}]$
$(Q_1^\dagger \bar{\sigma}^\mu Q_2)^2$	5.1
$(Q_1 \bar{d}_3)(Q_3^\dagger \bar{d}_1^\dagger)$	—
$(Q_1 \bar{d}_2)(Q_2^\dagger \bar{d}_1^\dagger)$	—
$2eH\sigma^{\mu\nu} Q_2 \bar{d}_3 F_{\mu\nu}$	7.0
$2eH\sigma^{\mu\nu} Q_3 \bar{d}_2 F_{\mu\nu}$	7.0
$2eH\sigma^{\mu\nu} Q_3 \bar{d}_1 F_{\mu\nu}$	7.0

# MFV INTRODUCES STRONG LIMITATIONS ON BSM PHYSICS

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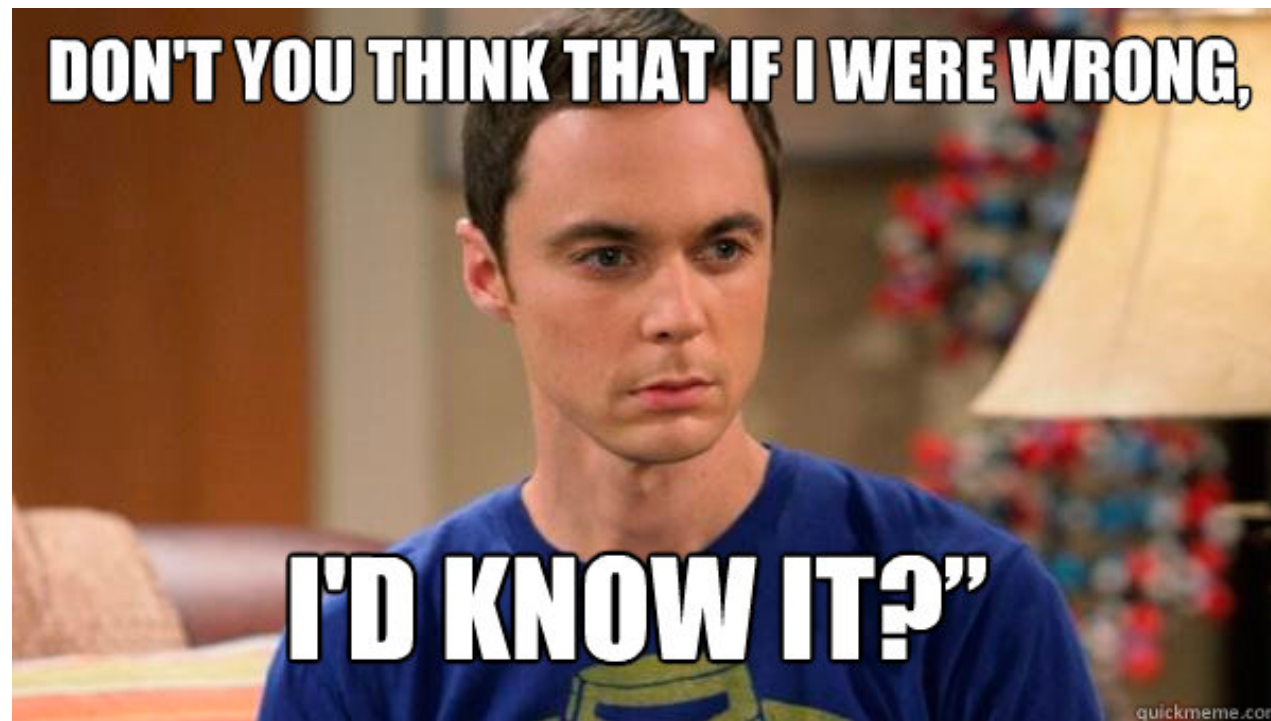
- ▶ MFV new physics couples preferentially to 3rd generation quarks.
- ▶ Example: a second Higgs doublet

$$\sim y_u H_2 u \bar{u} + y_c H_2 c \bar{c} + y_t H_2 t \bar{t}$$

- ▶ Is such expectation generic? Most (if not all) reasonable existing models of new flavored physics, couple only to third generation quarks.

*Examples: the MSSM, 2HDM types I-IV,  
U(2) theories and NMFV, flavored Z-prime models,  
leptoquarks, composite models...*





*Could new physics couple instead  
to the light quark generations preferentially?*

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New physics with generation  
specific couplings:

Flavor alignment

# FLAVOR ALIGNMENT

- ▶ A different, and much broader approach is to require *flavor alignment*
- ▶ Let's illustrate flavor alignment with a 2HDM

$$\lambda_{ij}^u Q_i H \bar{u}_j - \lambda_{ij}^{d\dagger} Q_i H^c \bar{d}_j - \kappa_{ij}^{d\dagger} Q_i H_2^c \bar{d}_j$$

$$\lambda^u = V_{\text{CKM}}^T Y^u = V_{\text{CKM}}^T \text{diag}(y_u, y_c, y_t)$$

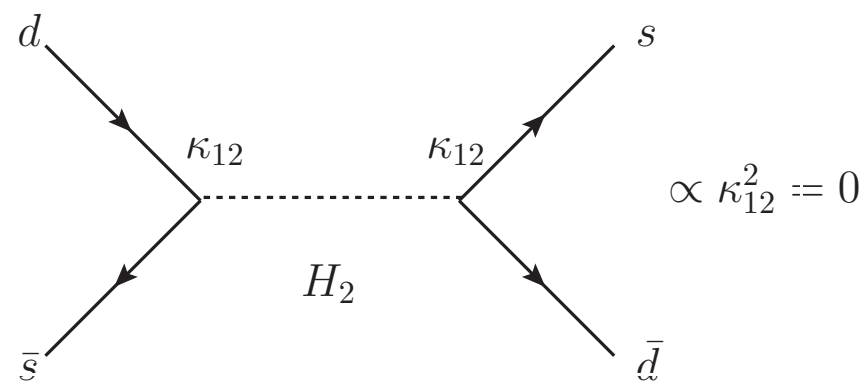
$$\lambda^d = \text{diag}(y_d, y_s, y_b)$$

$$\kappa^d = \text{diag}(\kappa_d, \kappa_s, \kappa_b)$$

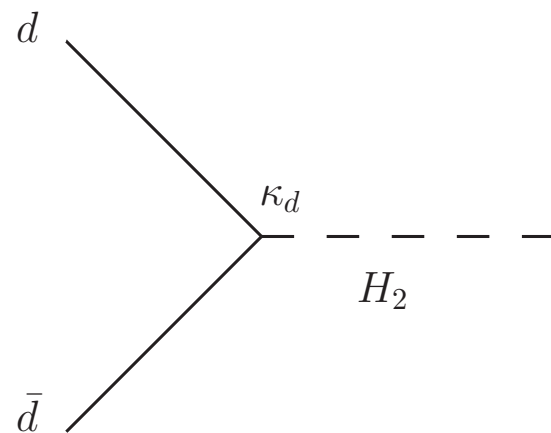
Flavor alignment:  
the only source of  
Flavor mixing is the  
CKM matrix

# ALIGNMENT SUPPRESSES FCNC'S

- Moreover, in such a theory there would be no tree-level FCNCs



- However, in such a theory, *strong and preferential couplings to light quarks are allowed.*



*Copious production  
of extra Higgses at LHC!*

# BSM PHYSICS COUPLING PREFERENTIALLY TO LIGHT QUARKS

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- Why should the Yukawa of the second doublet be aligned with the SM Yukawa?
- No symmetry reason to suppress the off-diagonal elements of such Yukawa, since the quark family symmetry is already broken!

$$\lambda_{ij}^u = \begin{pmatrix} 10^{-5} & 10^{-3} & 10^{-2} \\ 10^{-6} & 10^{-3} & 10^{-2} \\ 10^{-8} & 10^{-4} & 1 \end{pmatrix} \quad \kappa_{ij}^d = \begin{pmatrix} \kappa_d & \times & \times \\ \times & \kappa_s & \times \\ \times & \times & \kappa_b \end{pmatrix}$$

## *Flavor alignment*



*Philippe Petit crossing the Twin Towers, 1974*



*Officer t'Hooft*

*Flavor alignment leads to interesting pheno in the first and second generations, but requires either strong tuning... or a UV completion*

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Spontaneous flavor violation:  
a UV realization of flavor alignment

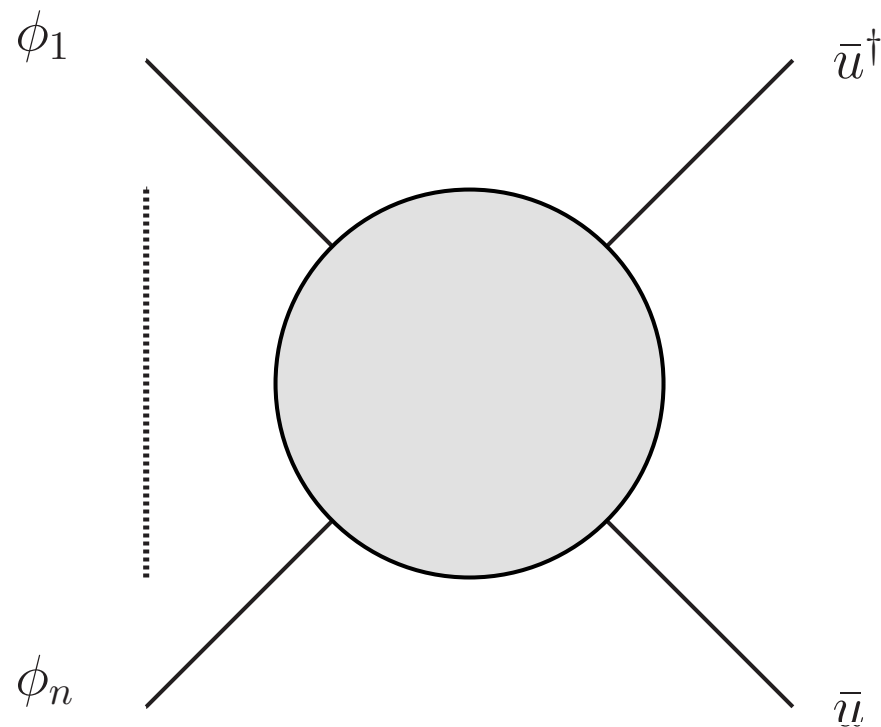
# SPONTANEOUS FLAVOR VIOLATION (SFV)

*CP/family number  
breaking sector*



*Flavor preserving SM  
and BSM sector*

*CP/family number breaking communicated through  
renormalization of RH up-quarks (or RH down-quarks)*



$$Z_{ij}^u \bar{u}_i^\dagger \bar{\sigma}^\mu D_\mu \bar{u}_j$$

or

$$Z_{ij}^d \bar{d}_i^\dagger \bar{\sigma}^\mu D_\mu \bar{d}_j$$



# AN EXAMPLE UP-TYPE SFV LAGRANGIAN

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- Let's go back to our two-Higgs doublet model example

$$Z_{ij}^u \bar{u}_i^\dagger \bar{\sigma}^\mu D_\mu \bar{u}_j \\ + [\tilde{Y}_{ij}^u Q_i H \bar{u}_j - Y_{ij}^d Q_i H \bar{d}_j - \kappa_{ij}^d Q_i H_2 \bar{d}_j]$$

*All Yukawas are real diagonal by definition of SFV*

- Go to the canonically normalized basis

$$\bar{u} \rightarrow \sqrt{Z^u}^{-1} \bar{u}$$

# CKM MATRIX FROM WAVE-FUNCTION RENORMALIZATION

$$\bar{u}_i^\dagger \sigma^\mu D_\mu \bar{u}_i$$

$$+ [Y_{ij}^u Q_i H \bar{u}_j - Y_{ij}^d Q_i H \bar{d}_j - \kappa_{ij}^d Q_i H_2 \bar{d}_j]$$

.....

$$\tilde{Y}^u \rightarrow \tilde{Y}^u \sqrt{Z}^{-1} = \lambda^u = V_{\text{CKM}}^T Y^u$$

$Y^d$  ,  $\kappa^d$  Remain real-diagonal and aligned

*A new type of 2HDM: the up-type SFV 2HDM*

# THE LIMITATION OF SFV: ONE-SECTOR ALIGNMENT

- Assume we also introduce a generic Yukawa for the up-sector

$$Z_{ij}^u \bar{u}_i^\dagger \bar{\sigma}^\mu D_\mu \bar{u}_j + [\tilde{Y}_{ij}^u Q_i H \bar{u}_j - Y_{ij}^d Q_i H \bar{d}_j + \underbrace{\kappa_{ij}^u Q_i H_2 \bar{u}_j}_{\text{Real-diagonal}} - \kappa_{ij}^d Q_i H_2 \bar{d}_j]$$

- After WF renormalization, large misalignment is introduced

$$\tilde{Y}^u \rightarrow \tilde{Y}^u \sqrt{Z}^{-1} = \lambda^u = V_{\text{CKM}}^T Y^u$$

$$\kappa^u \rightarrow \kappa^u \sqrt{Z}^{-1} \quad \text{Not simultaneously diagonalizable, unless } \kappa^u \propto \tilde{Y}^u$$

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*The smoking gun signature of SFV  
is aligned couplings in the up or down quark sector,  
and MFV-like couplings in the opposite sector*

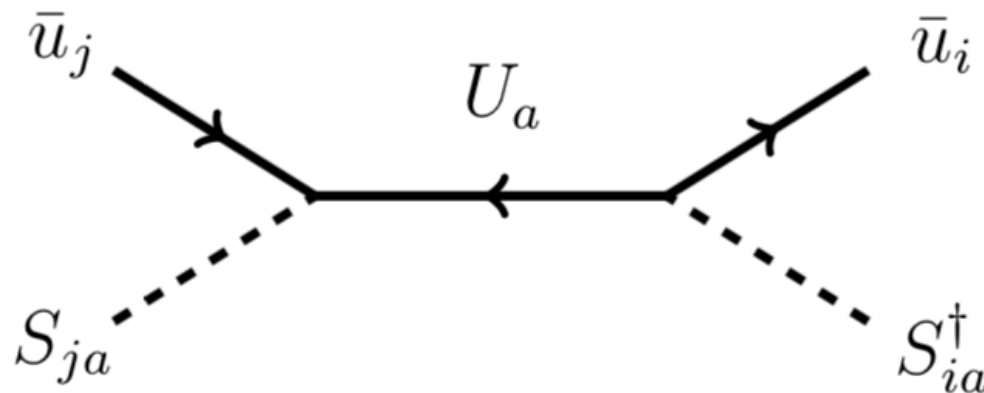
*To the best of my knowledge,  
SFV is the only mechanism that ensures  
alignment in generic BSM theories.*

# AN EXAMPLE UV COMPLETION OF SFV

- Such a setup is easily realized by mixing right-handed up quark with heavy vector-like quarks

$$M_{AB}U_A\bar{U}_B + \zeta S_{iA}U_A\bar{u}_i$$

$$M_{AB} > 100 \text{ TeV}$$



$$\sim Z_{ij}^u \bar{u}_i^\dagger \bar{\sigma}^\mu D_\mu \bar{u}_j$$

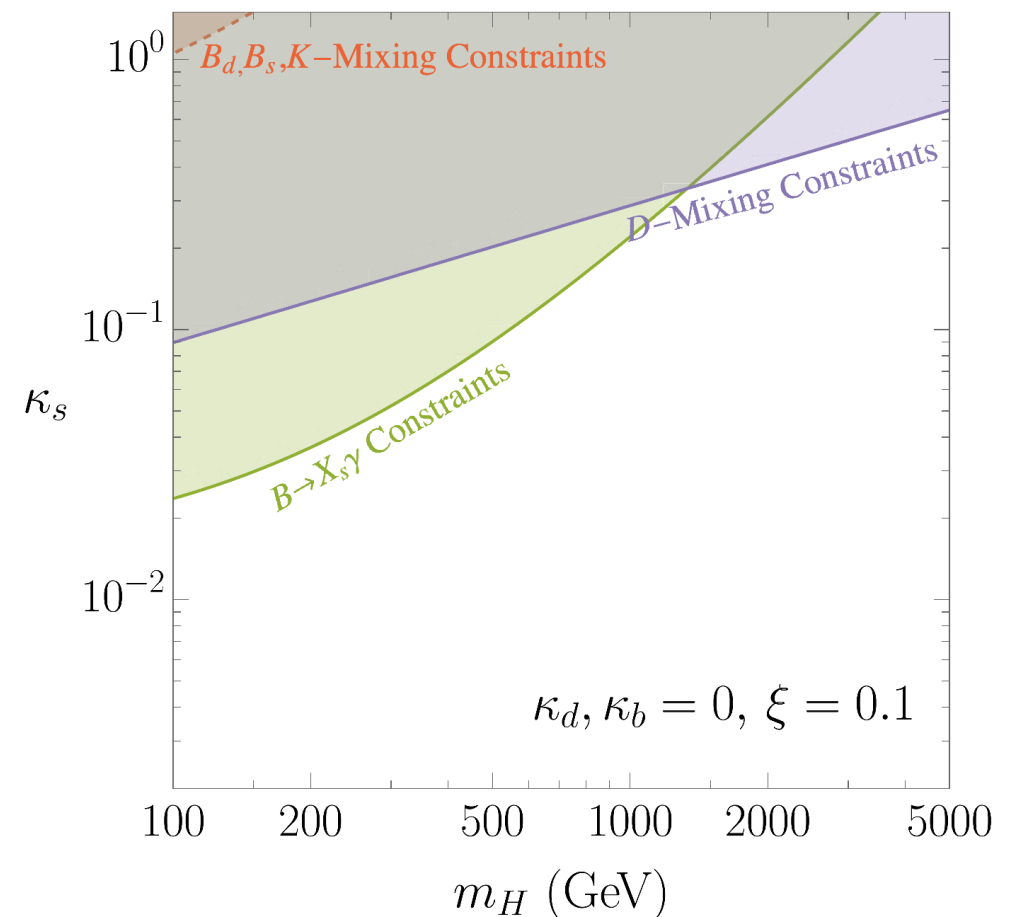
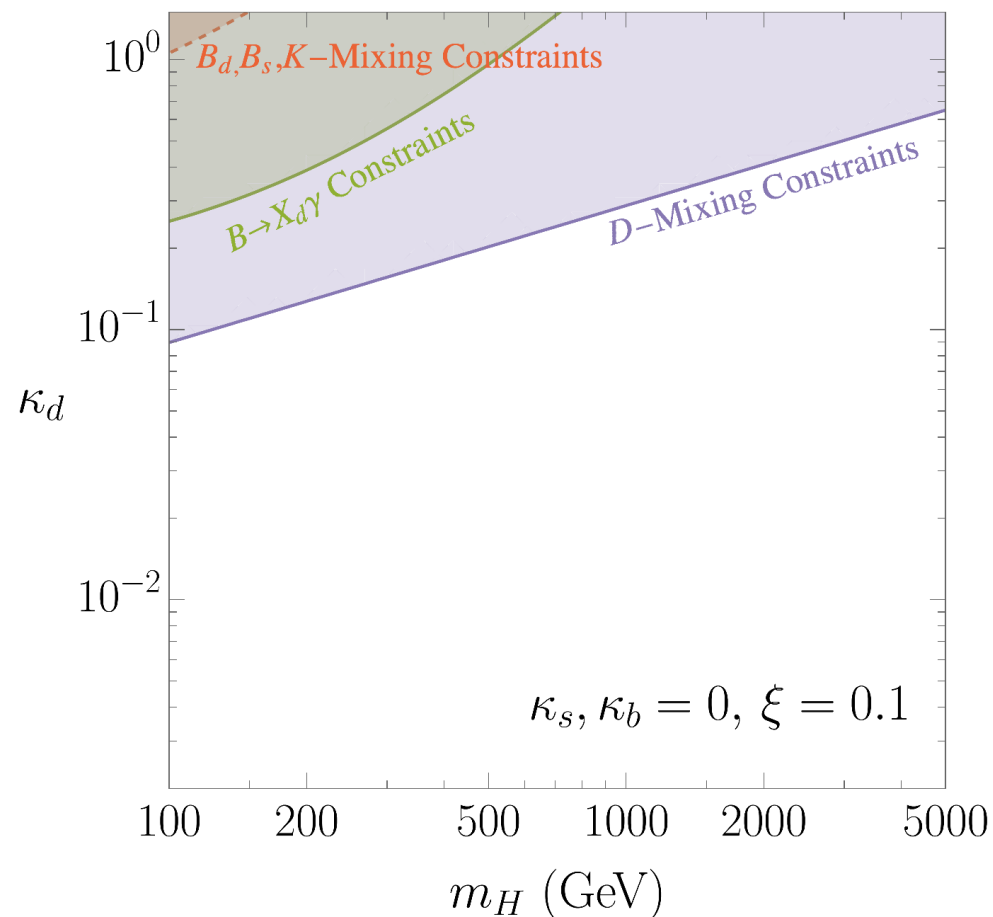
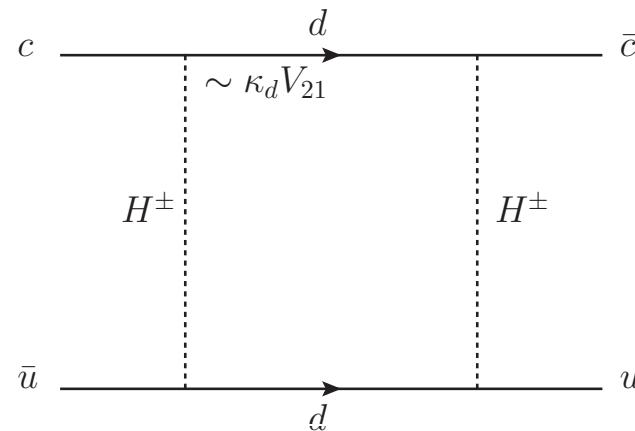
- This is nothing else than a Nelson-Barr model. In fact the strong CP problem is automatically solved in all SFV realizations.

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# Phenomenology of Higgs bosons with large couplings to light quarks

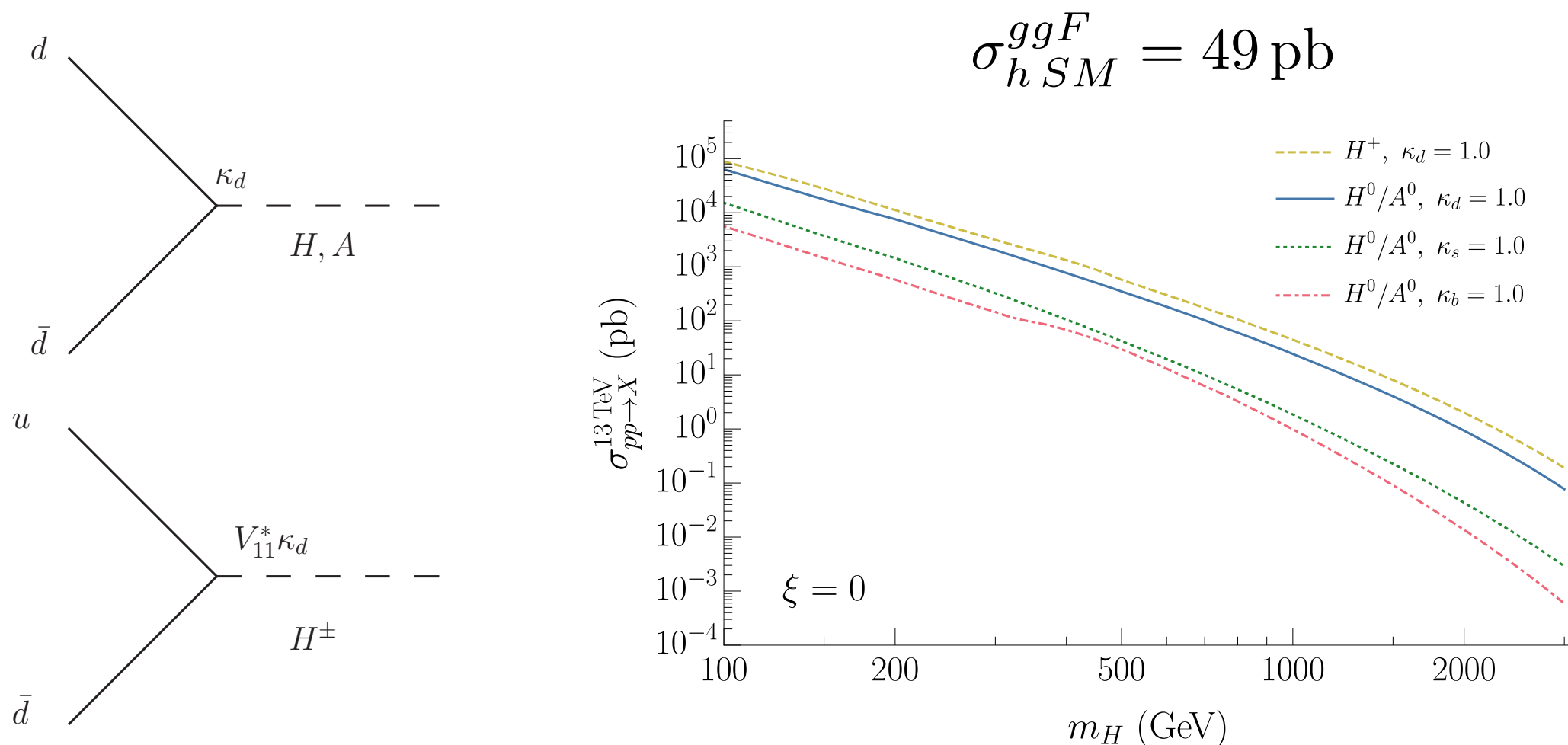
# FLAVOR CONSTRAINTS ON THE UP TYPE SFV 2HDM

- FCNCs do not arise at tree level, but at one-loop level we must be careful.



# HIGGSES WITH LARGE COUPLINGS TO PROTONS!

- A second Higgs doublet contains three Higgs bosons:  $H, A, H^\pm$

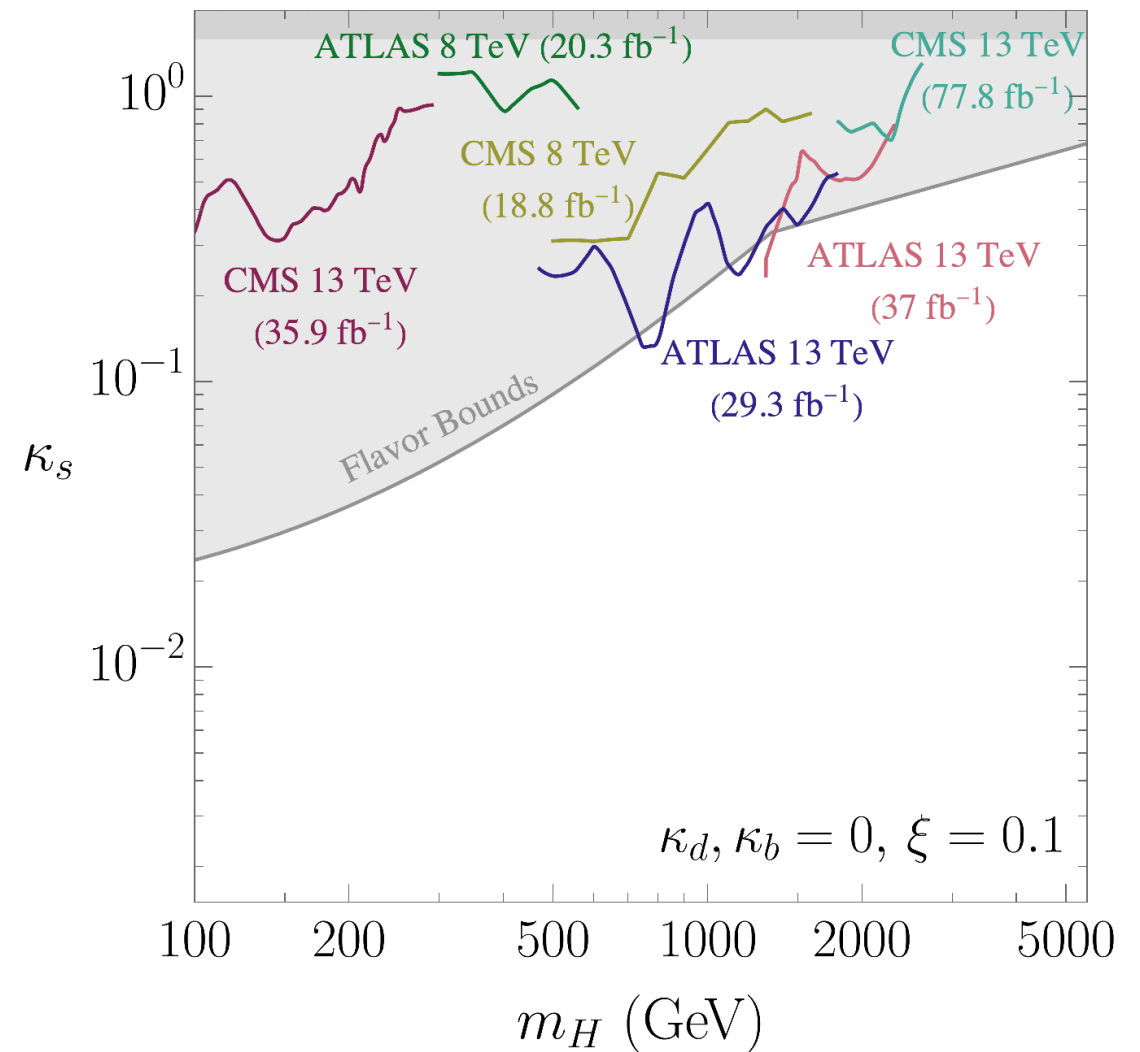
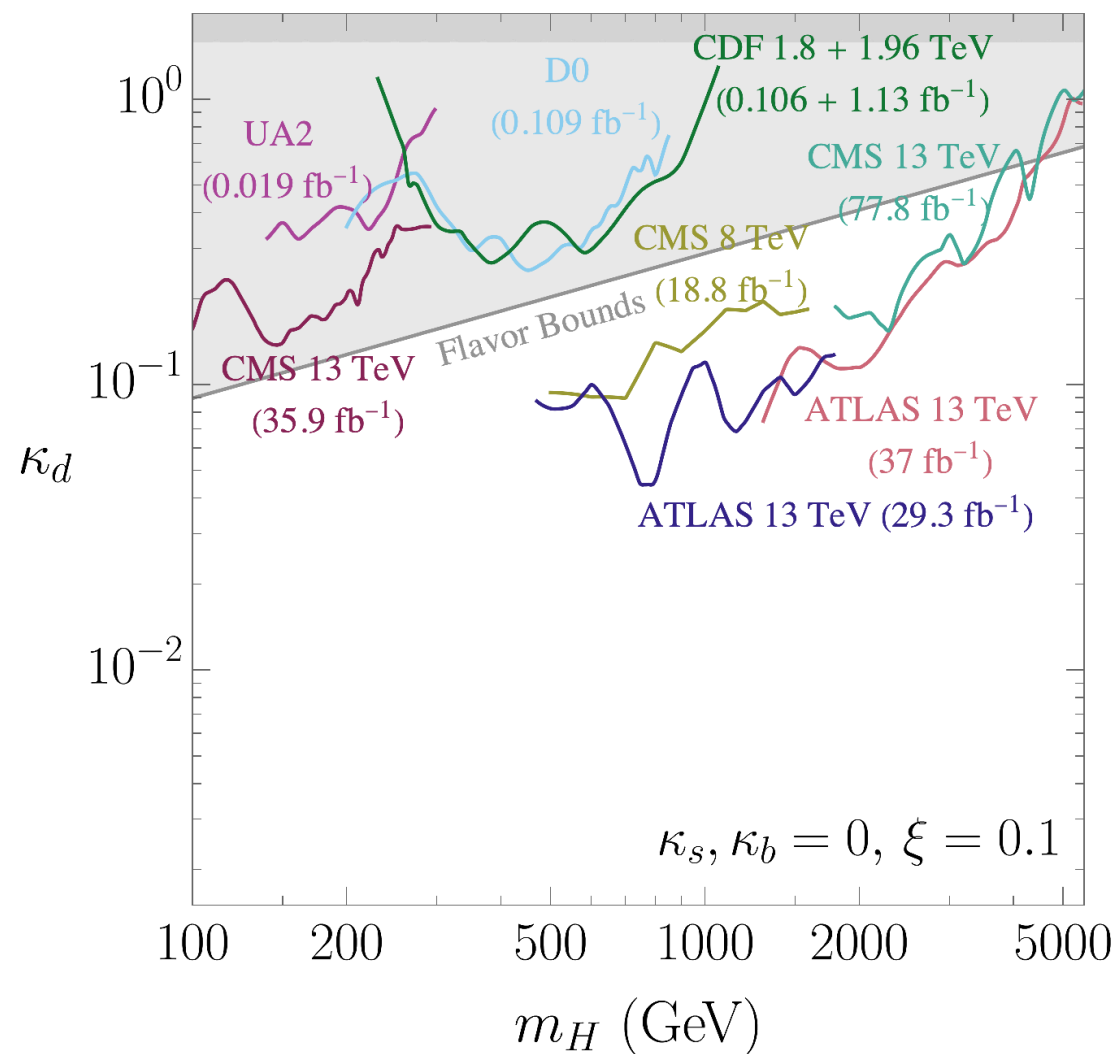


*Typical production cross sections are much larger than for the SM Higgs*



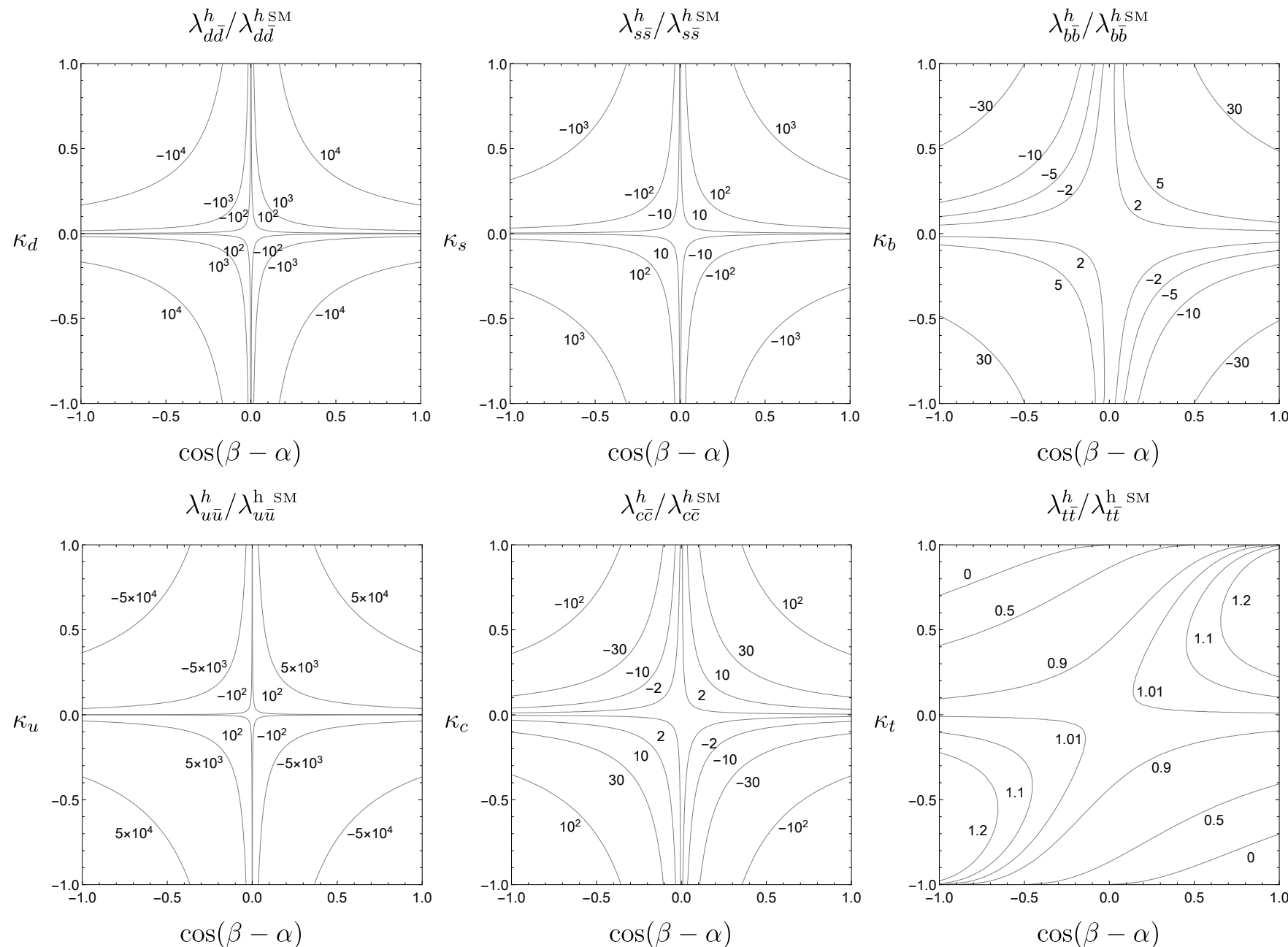
# LIMITS FROM DIJET SEARCHES

- Up-type SFV Higgses are copiously produced and decay to dijets



*10<sup>8</sup> new Higgses at 100 GeV hiding at LHC!*

# ENHANCEMENT OF THE SM HIGGS YUKAWAS



*SFV may lead to dramatic enhancements of the SM Higgs Yukawas*

	$ c_u $	$ c_d $	$ c_s $	$ c_c $
Perturbation	$< 1.1 \times 10^5$	$< 5.1 \times 10^4$	$< 2600$	$< 190$
$\Gamma_H < 1.7 \text{ GeV}$	$\lesssim 4.9 \times 10^4$	$\lesssim 2.4 \times 10^4$	$\lesssim 1200$	$\lesssim 88$
Ref. [19]	2100 – 2800	930 – 1400	35 – 70	

1406.1722 Kagan et.al.  
1505.06369 Zhou

# CONCLUSIONS

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- ▶ Usual assumptions on the couplings severely limit the phenomenology of BSM models to third-generation physics.
- ▶ A new flavor Ansatz, Spontaneous Flavor Violation, overcomes this limitation.
- ▶ SFV can be applied to pretty much any BSM physics, allowing for large couplings to light quarks while reducing flavor constraints,

*The MSSM, the Standard Model EFT, two Higgs doublet models,  $Z'$  models, leptoquarks, vector-like quarks, pseudo-Goldstones (axions), colored scalars...*

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*SFV strongly motivates  
developing searches for each specific  
quark generation, which require jet taggers  
for each single quark of the Standard Model.*

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# SFV in the Standard Model EFT

# SFV CAN BE APPLIED TO ANY BSM MODEL

- The SFV flavor Ansatz can be applied to any of your favorite BSM models, or even to the Standard Model EFT.
- The results is a strong suppression of flavor bounds.
- It can be shown that in the SFV Ansatz, all FCNCs are CKM and Yukawa suppressed.

*Example:*

*A theory with any BSM field and only one new flavor breaking spurion*

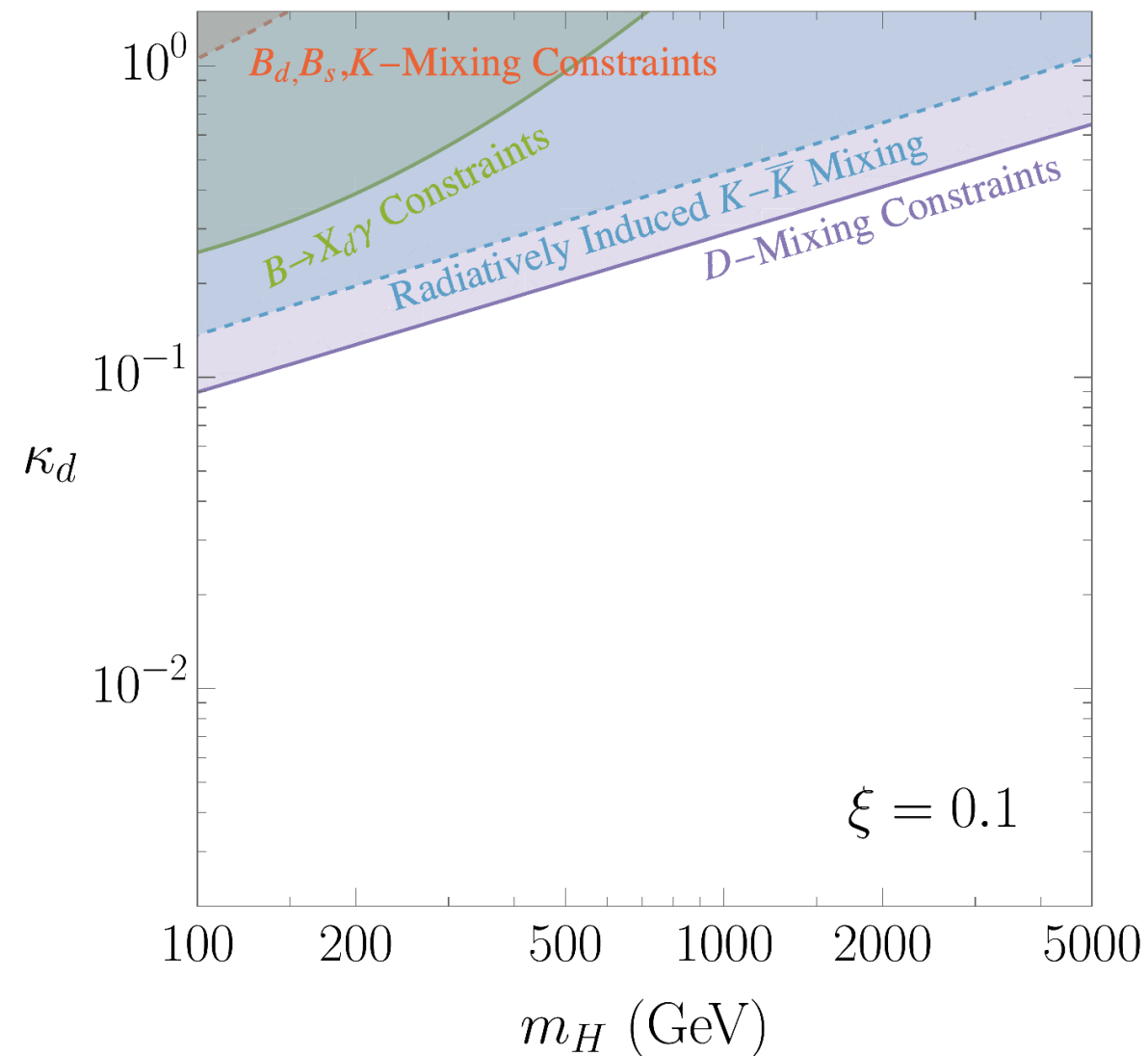
Operator	SFV factor
$(Q_1^\dagger \bar{\sigma}^\mu Q_2)^2$	$C_D^1 = (V^* K_d^2 V^T)_{12}^2$ $C_K^1 = (V^T Y_u^2 V^*)_{12}^2$
$(Q_1 \bar{d}_2)(Q_2^\dagger \bar{d}_1^\dagger)$	$\left[ (V^T Y_u^2 V^* K^d)_{12} \right.$ $\left. (V^T Y_u^2 V^* K^d)_{21}^* \right]$
$Q_2 H^c \sigma^{\mu\nu} \bar{d}_3 F_{\mu\nu}$	$\left[ (V^T Y_u^2 V^*) K^d \right]_{23}$

# SFV STRONGLY SUPPRESSES FCNCS

- Even if you allow for *any imaginable FCNC operator*, new physics close to the EW scale may preferentially couple to light quarks without being excluded by flavor bounds

Operator	$\Lambda_{\text{NP}}^{\text{anarchic}}$ [TeV]	$\Lambda_{\text{NP}}^{\text{SFV}}$ [TeV]	$\Lambda_{\text{NP}}^{\text{MFV}}$ [TeV]
$(Q_1^\dagger \bar{\sigma}^\mu Q_2)^2$	$1.5 \times 10^4_{(\text{Im})}$	$262.7  \kappa_d^2 - \kappa_s^2 $	5.1
$(Q_1 \bar{d}_3)(Q_3^\dagger \bar{d}_1^\dagger)$	$2.1 \times 10^3_{(\text{Abs})}$	$19.3 \sqrt{ \kappa_d \kappa_b }$	—
$(Q_1 \bar{d}_2)(Q_2^\dagger \bar{d}_1^\dagger)$	$2.4 \times 10^5_{(\text{Im})}$	$72.7 \sqrt{ \kappa_d \kappa_s }$	—
$2eH\sigma^{\mu\nu} Q_2 \bar{d}_3 F_{\mu\nu}$	$276.3_{(\text{Re})}$	$54.3 \sqrt{ \kappa_b }$	7.0
$2eH\sigma^{\mu\nu} Q_3 \bar{d}_2 F_{\mu\nu}$	$276.3_{(\text{Re})}$	$54.3 \sqrt{ \kappa_s }$	7.0
$2eH\sigma^{\mu\nu} Q_3 \bar{d}_1 F_{\mu\nu}$	$140.5_{(\text{Abs})}$	$13.2 \sqrt{ \kappa_d }$	7.0

# RADIATIVELY INDUCED FCNCS



*UV SFV scale  
set at 100 TeV*