

# Baryogenesis and dark matter in ALPic Higgs portal

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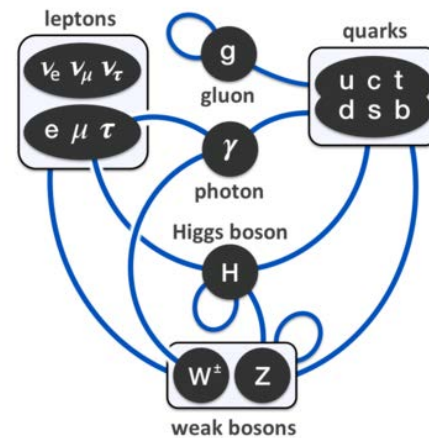
8 Nov 2019

# I. Beyond the SM

- Standard Model

- Successful


up to energy scales around TeV



- But need a more fundamental theory to explain

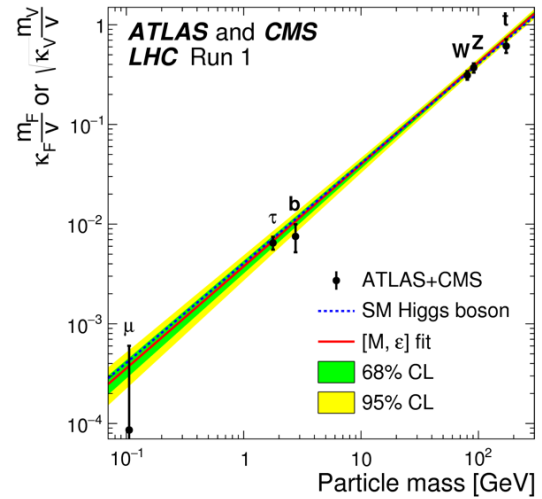
- Baryon asymmetry, dark matter, neutrino oscillations, ...
- Natural EWSB, strong CP problem, flavor structure, unification, cosmic inflation, quantum gravity, ...

- Higgs boson as a window to BSM
  - Higgs mass is sensitive to unknown UV physics

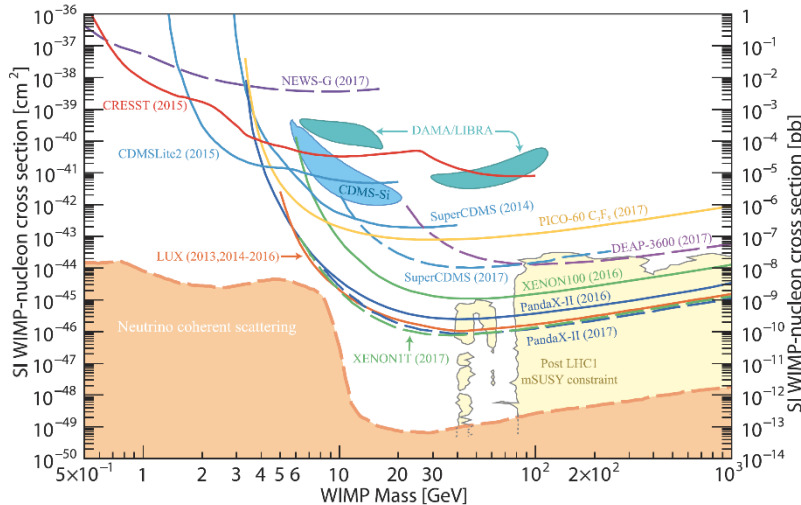

$$\delta m_H^2 \sim \frac{(\text{cutoff scale})^2}{16 \pi^2}$$

- New physics around TeV
  - Supersymmetry, extra dimension, composite Higgs, ...
  - Solution to other problems of the SM
    - e.g. WIMP as dark matter, unification, ...

- LHC results so far
  - No clear signals for BSM
  - **SM-like** Higgs boson at 125 GeV



- Direct and indirect dark matter searches so far
  - No evidence of WIMPs



- Hints for BSM
  - SM extension by a particle feebly coupled to it?
  - If then, how to resolve the puzzles of the SM?

# I. Beyond the SM

## Axion-like Particle

- ALP

- Pseudo Nambu-Goldstone boson associated with spontaneously broken U(1)
- Periodic
  - $\phi \equiv \phi + 2\pi f$
  - Generally,  $f =$  U(1) breaking scale
- Mass and couplings
  - Controlled by perturbative shift symmetry  $\phi \rightarrow \phi + \text{constant}$
  - **Feebly interacting light particle for large  $f$**

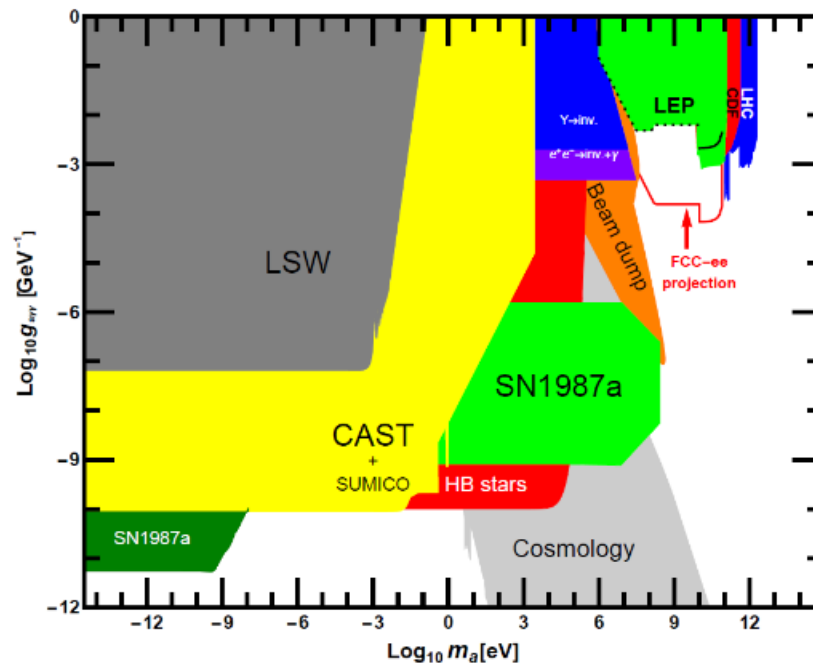


- SM extension with an ALP
  - Perturbative shift symmetry
    - 3 types of interaction

$$m_\psi e^{i c_1 \frac{\phi}{f}} \bar{\psi} \psi + c_2 \frac{\partial_\mu \phi}{f} \bar{\psi} \gamma^\mu \gamma_5 \psi + \frac{c_3}{16\pi^2} \frac{\phi}{f} F \tilde{F}$$

- Combinations of  $c_i$  invariant under chiral field redefinitions

- SM extension with an ALP
  - Potential to be probed by cosmological, astrophysical and laboratory observations
    - e.g. anomalous coupling to photons

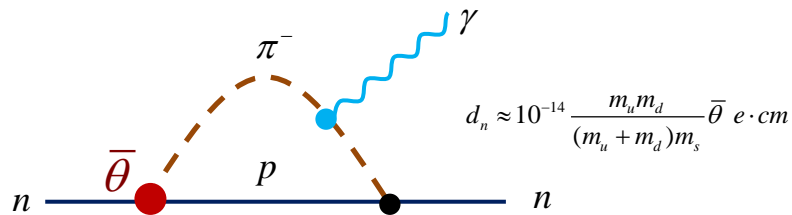


Jaeckel, Spannowski 2015

- Examples

- QCD axion

- Neutron EDM bound



$$d_n \approx 10^{-14} \frac{m_u m_d}{(m_u + m_d) m_s} \bar{\theta} \text{ e} \cdot \text{cm}$$

$$\frac{\bar{\theta}}{32\pi^2} G\tilde{G} \text{ with } \bar{\theta} < 10^{-10}$$

- $\bar{\theta} \propto \langle \phi \rangle = 0$  if anomalously coupled to gluons

- natural solution to the strong CP problem

- Dark matter: misalignment, topological defects

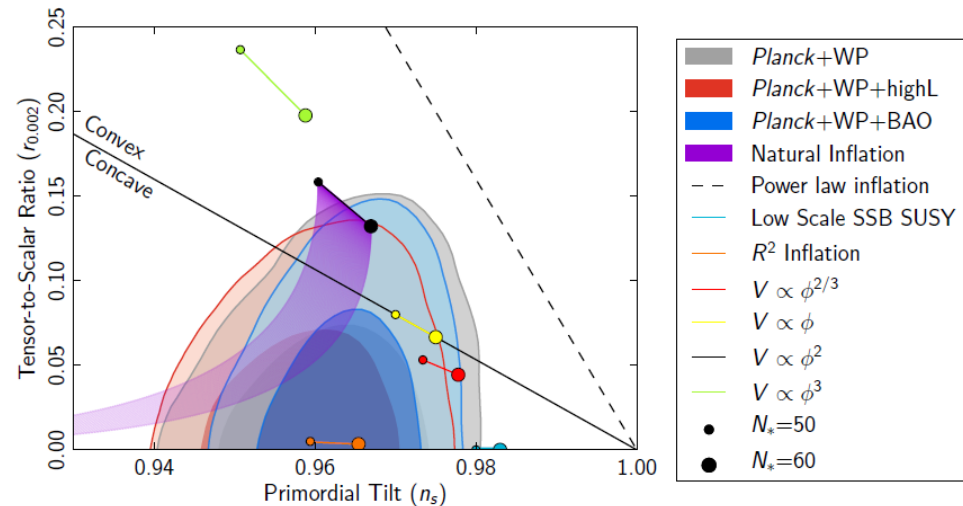
## ■ Examples

Freese, Frieman, Olinto 1990

### • Natural inflation

- Inflation: initial conditions for the Big Bang cosmology
- Very flat potential from an ALP

$$V = \Lambda^4 \left( 1 \pm \cos \left( \frac{\phi}{f} \right) \right) \text{ with } f \geq M_{Pl}$$



## II. ALPic Higgs portal

- Higgs portal
  - New type of ALP interaction

$$\mu_H^2 (\phi/f) |H|^2$$

- Feeble interaction with the SM via the Higgs field
  - Growing interest since 2015
- 'cosmological relaxation of the Higgs mass'

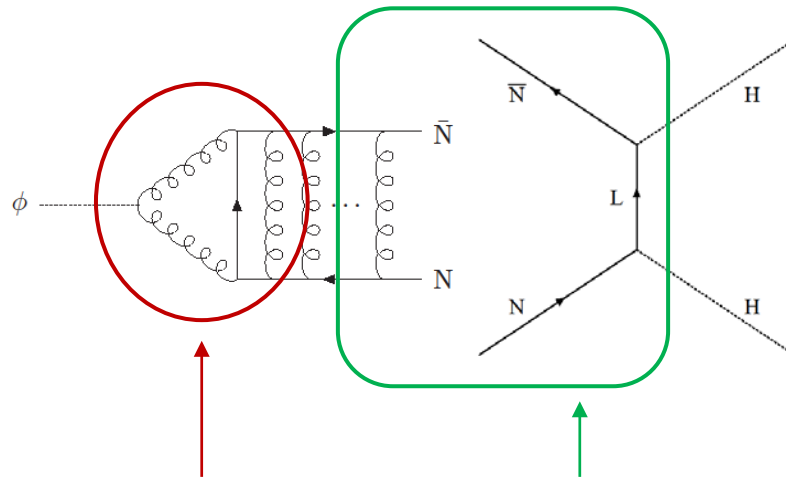
Graham, Kaplan, Rajendran 2015

- Higgs portal

- UV completion with perturbative shift symmetry

- Hidden QCD

- Vector-like lepton doublets  $L + L^c$  and singlets  $N + N^c$



$$\Rightarrow M^2 \cos\left(\frac{\phi}{f}\right) |H|^2$$

anomalous coupling to hidden gluons  
 $\rightarrow$  mixing between  $\phi$  and  $NN^c$  meson

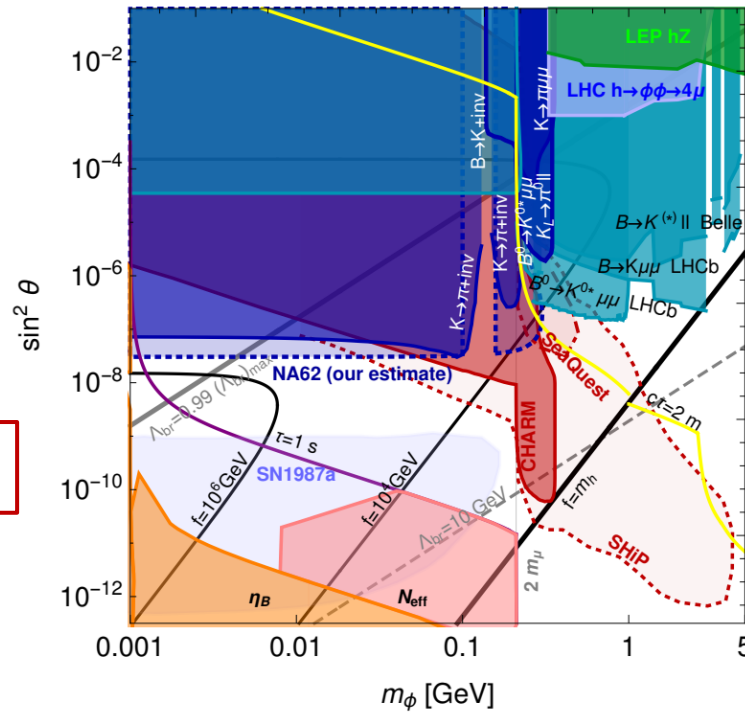
Effective coupling of  $NN^c$  to Higgs  
 due to heavy doublet leptons

- Experimental constraints
  - ALP-Higgs mixing after EWSB
    - Stringent constraints for ALP at sub-MeV to multi-GeV
      - rare K and B meson decays
      - beam-dump experiments
  - Further constraints
    - if anomalously couples to SM gauge bosons



- Experimental constraints
  - ALP-Higgs mixing

Flacke, Frugiuele, Fuchs, Gupta, Perez 2016  
Choi, Im 2016



$$10^{-9} \leq \sin^2 \theta \leq 3 \times 10^{-7}$$

$$\sin^2 \theta \leq \frac{6 \times 10^{-7}}{\text{Br}(\phi \rightarrow \mu^+ \mu^-)}$$

- Why ALPic Higgs portal?

- $\phi$  can play an important role in electroweak phase transition!

Graham, Kaplan, Rajendran 2015  
Lots of works

- New approach to the electroweak hierarchy problem
  - Cosmological relaxation of the Higgs boson mass
- Other roles?
  - First order EWPT for baryogenesis
  - Dark matter

See also, Abel, Gupta, Scholtz 2018  
Gupta, Reiness, Spannowsky 2019

# II. ALPic Higgs portal

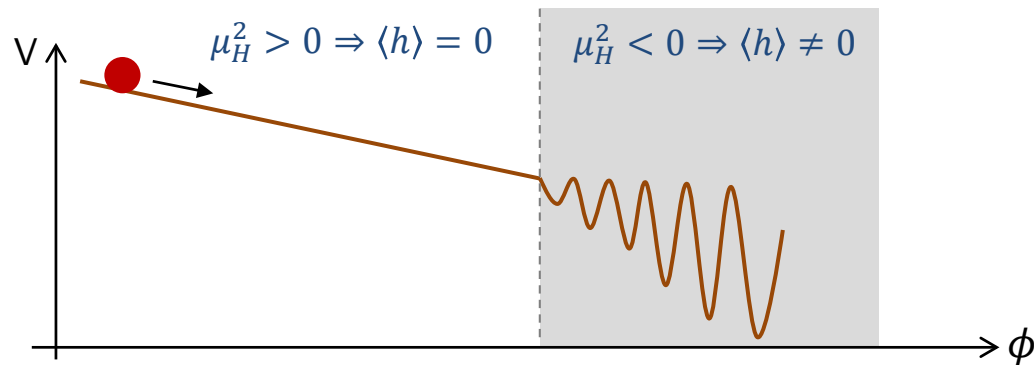
EW scale

- Relaxation mechanism

- Cosmological ALP evolution to select the Higgs mass

$$V = V_0(\phi) - \mu_H^2(\phi)|H|^2 + V_{\text{br}}(\phi, H) + \dots$$

- $V_0$ : slow-rolling of ALP to scan  $\mu_H^2$
- $V_{\text{br}}$ : barriers formed by EWSB to stop ALP rolling



- Simple model
  - Two periodicities with hierarchy,  $F \gg f$ 
    - $V_0 = V_0(\phi/F)$  and  $V_{\text{br}} = V_{\text{br}}(\phi/f, H)$
  - Barrier potential
    - QCD anomaly:  $V_{\text{br}} \propto h$ 
      - c.f. strong CP problem
    - Hidden QCD anomaly:  $V_{\text{br}} \propto h^2$  due to gauge invariance
      - c.f. coincidence problem

- Conditions

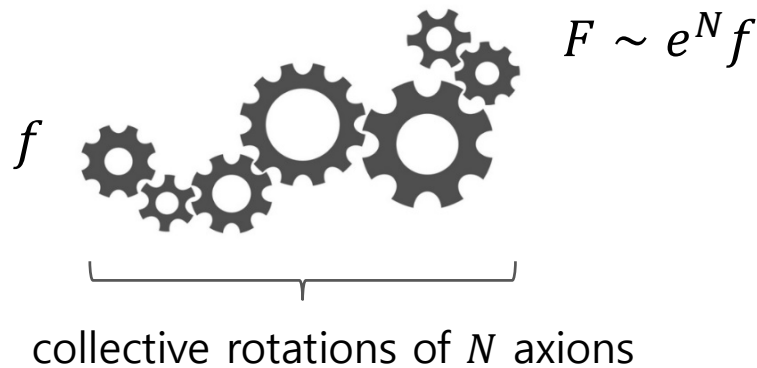
- High enough barriers to stop the ALP

$$\rightarrow \frac{F}{f} \sim \frac{(\text{cutoff scale})^4}{\langle V_{\text{br}} \rangle} \gg 1$$

Choi, Im 2016

- Clockwork mechanism

Kaplan, Rattazzi, 2016



- Conditions
  - Evolution dominated by classical rolling
    - Hubble scale  $\leq$  GeV during inflation
  - Scanning of  $\mu_H^2$  from large positive to negative
    - Large number of  $e$ -folds

Need progress to construct a viable inflation model and clarify issues related with the barrier potential and low reheating temperature

See e.g. Choi, Kim, Sekiguchi 2016,  
Evans, Gherghetta, Nagata, Peloso 2017  
Son, Ye, You 2018

# II. ALPic Higgs Portal

EW phase transition



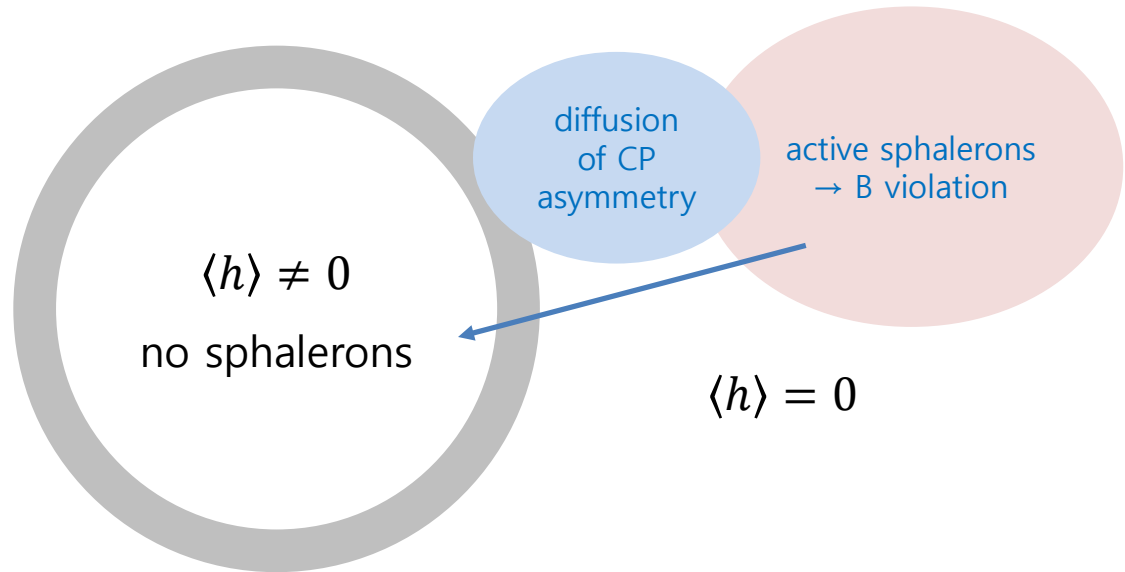
## ■ EWPT

- Last period affecting baryon asymmetry
  - Rapid EW sphaleron transition in symmetric phase  
→ B+L violation
- Baryogenesis
  - Nonzero B-L above EW scale: Leptogenesis, Affleck-Dine, ...
  - B+L generation at EW scale and sphaleron decoupling  
→ EWBG

Lots of works since 1985

- EWBG

- EW bubbles



- Requirements

- Strong first-order phase transition to avoid washout

PT in SM: Higgs cubic and quartic couplings

$\rightarrow$  crossover if  $m_h > 75$  GeV

- Sufficient CP violation beyond SM

- Conventional scenarios
  - Strong first-order PT
    - e.g. thermal or effective Higgs cubic term, log potential
    - higher dim operator with low cutoff
    - New particles coupled to  $H$  or sizable modification of Higgs sector
  - Non-local baryogenesis
    - CP violation in front of wall, B violation away from wall
  - Probe of EWBG
    - LHC (direct searches) and EDM experiments
    - c.f. ACME II constraint on electron EDM

# II. ALPic Higgs Portal

ALP-induced EWPT

- ALPic Higgs portal

- Scalar potential

- Function of  $\phi/f$  due to the periodic nature

$$V = \lambda|H|^4 + \mu_H^2(\phi/f)|H|^2 + V_0(\phi/f)$$

- ALP dependent Higgs mass squared

- ALP can play an important role in EWPT!

- ALPic Higgs portal
  - Distinctive features
    - Small thermal and quantum corrections to  $V$  from ALP interactions for large  $f$ 
      - Potential  $V(h, \phi/f)$  is insensitive to  $f$
    - $\mu_H^2$  is bounded both from below and above
    - Certain relations between ALP-Higgs couplings

- ALPic Higgs portal

- Scalar potential

- $V = \lambda |H|^4 + \left[ \mu^2 - M^2 \cos\left(\frac{\phi}{f} + \alpha\right) \right] |H|^2 - \Lambda^4 \cos\left(\frac{\phi}{f}\right)$

- Thermal corrections:  $\Delta V = c_H T^2 |H|^2$

- In terms of 3 positive parameters

$$\alpha, \epsilon \equiv \frac{\sqrt{2\lambda}\Lambda^2}{M^2}, \quad r \equiv \frac{\sqrt{2}\Lambda^2}{\sqrt{\lambda}v_0^2}$$

with  $\lambda$  and  $\mu^2$  fixed by  $m_h = 125\text{GeV}$  and  $v_0 = 246\text{GeV}$

- EWPT

- Amplitude of ALP coherent oscillation

$$- \frac{\phi_{osc}}{f} = \frac{\phi_{ini}}{f} \left( \frac{m_{\phi}(T_{osc})}{m_{\phi}} \right)^{1/2} \left( \frac{T}{T_{osc}} \right)^{3/2}$$

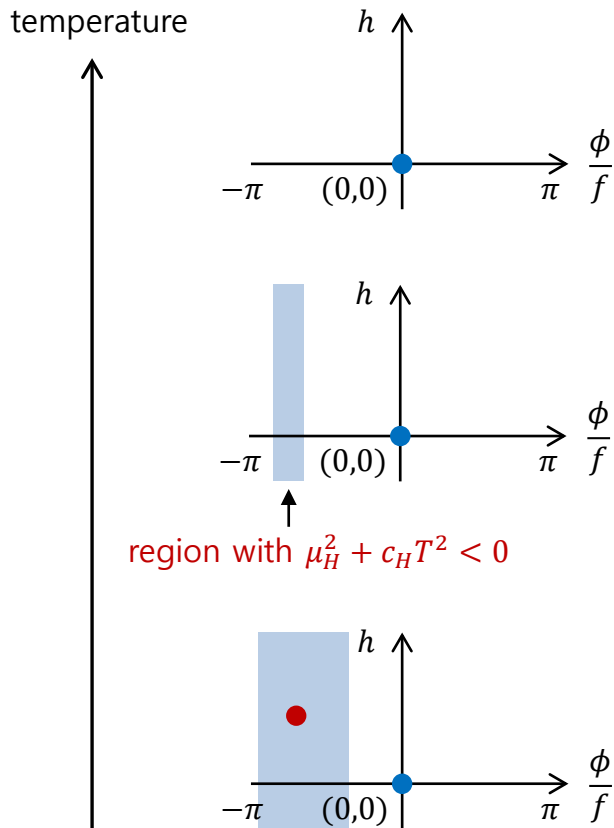
$$\text{with } T_{osc} \sim \sqrt{m_{\phi}(T_{osc}) M_{Pl}}$$

→ negligibly small at PT if Higgs portal is generated much above the weak scale



- EWPT

- Phase transition



- only a symmetric minimum at  $(\phi, h) = (0,0)$
- $\mu_H^2 + c_H T^2 > 0$  in the whole range of  $\phi$   
because  $\mu_H^2$  is bounded from above and below

- minimum at  $(\phi, h) = (0,0)$
- $\mu_H^2 + c_H T^2 < 0$  in a finite range of  $\phi$

- another minimum at  $\phi \neq 0$  and  $h \neq 0$
- $\mu_H^2 + c_H T^2 < 0$  in a finite but wider range of  $\phi$
- phase transition when EW minimum gets deeper

- EWPT
  - Tunneling mainly along the light ALP direction for  $f \gg \text{TeV}$ 
    - Higgs field can be replaced by solving  $\partial_h V = 0$
  - Phase transition
    - Two degenerate minima at  $T_c$ : lower than in the SM
    - Bubble nucleation at  $T_n$
    - Barrier disappears at  $T_2$

- EWPT

- Approximate scaling behaviors

- Euclidean action of O(3) symmetric critical bubble

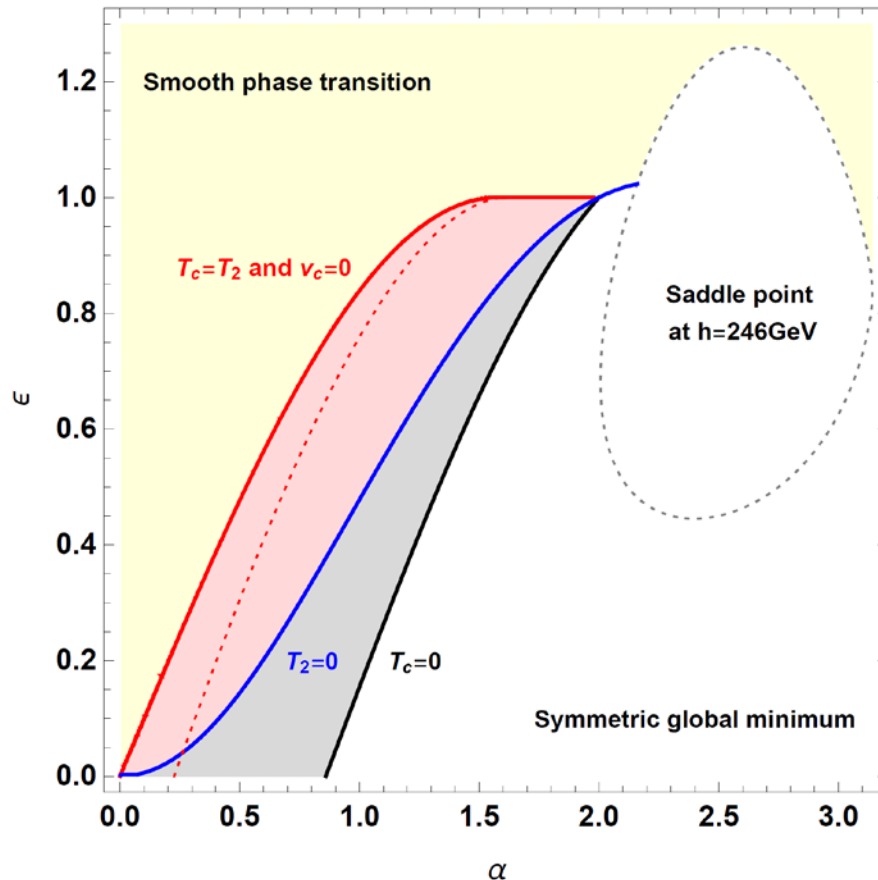
$$S_3 \propto f^3$$

- ⇒ Bubble radius  $\propto f$ , and  $T_n$  close to  $T_2$

- Smooth phase transition, but rapid ALP evolution

- Thick wall for large  $f$

- EWPT along ALP direction
  - Case with  $r = 1.2$



- First-order PT in red region (wider at small  $r$ )
- Red region close to blue line  $\rightarrow$  EWPT at very low  $T$
- PT pattern: insensitive to  $f$

- EWPT along ALP direction

- Delayed EWPT

- late-time entropy production to dilute preexisting relics

$$\Delta = 10^4 \left( \frac{T_{reh}}{40\text{GeV}} \right)^3 \left( \frac{T_n}{2\text{GeV}} \right)^{-3}$$

temperature after PT

$$T_{reh} = 40\text{GeV} \left( \frac{\Delta V^{1/4}}{100\text{GeV}} \right)$$

- Implications for dark matter, e.g. WIMP

# III. ALPic Baryogenesis

EW baryogenesis

- EWBG

- Strong first-order PT driven by an ALP with

$$\mu_H^2(\phi/f)|H|^2$$

- New direction in EWBG

- Free from EDM and LHC constraints for  $f \gg \text{TeV}$
- ALP searches to reveal the connection  
between EWPT and baryogenesis

## ■ EWBG

- CP violation for EWBG
  - ALP dependent top quark Yukawa
  - ALP anomalous coupling to EW gauge bosons

- Conditions for EWBG

(time for ALP settle-down)  $\sim 1/m_\phi$

$<$  (time for B generation)  $\sim 1/\Gamma_{\text{sph}}$

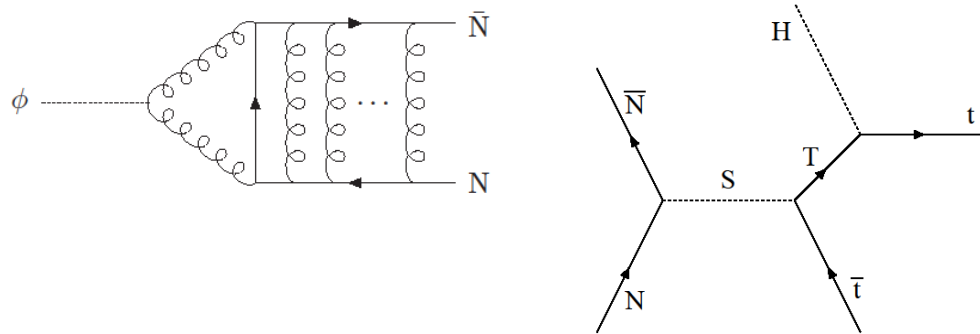
$<$  (duration of PT)  $\sim dS_3/dt$



- Non-local EWBG KSJ, Jung, Shin 1806.02591

- CP violation from ALP-dependent top quark mass

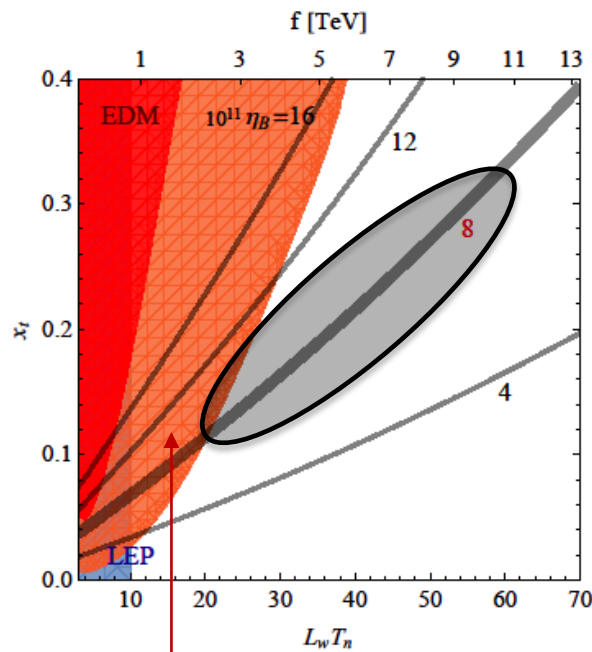
$$Y_t = y_t + x_t e^{i\phi/f}$$



- Baryon asymmetry
  - CP violation  $x_t$ , wall width  $L_w$ , wall velocity  $v_w$
  - Sizable diffusion effect for  $L_w T_n \leq 100$
  - upper bound on  $f$

- Non-local EWBG

- Correct baryon asymmetry for  $3\text{TeV} \leq f \leq 10\text{TeV}$



GeV to 20 GeV ALP

ACME II: about 10 times stronger than ACME I

■ Local EWBG KSJ, Jung, Shin 1811.03294

- CP violation from ALP-dependent EW  $\Theta$ -term

$$\frac{\phi}{f} W \tilde{W} \rightarrow \frac{d\phi}{dt} = \text{chemical potential for Chern-Simons number}$$

- Simultaneous B and CP violations across thick walls

→ B generation through EW anomaly

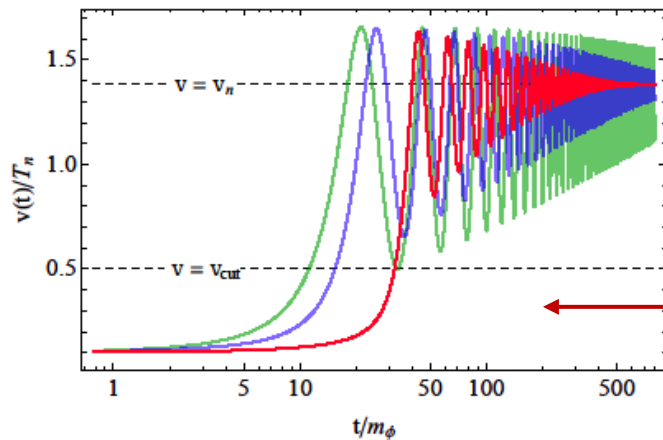
$$\frac{dn_B}{dt} = \boxed{\frac{3}{2} \frac{\Gamma_{\text{sph}}}{T} \frac{d\phi}{dt} \frac{1}{f}} - \frac{39}{4} \frac{\Gamma_{\text{sph}}}{T^3} n_B$$

↑  
sphaleron-induced washout

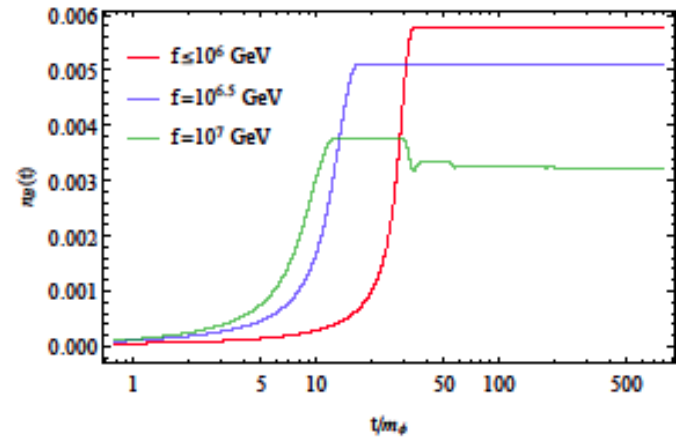
- Local EWBG

- ALP evolution after tunneling

- Strong washout by ALP oscillations with  $h(\phi) > v_{\text{cut}} \sim 0.5T_n$



active sphalerons

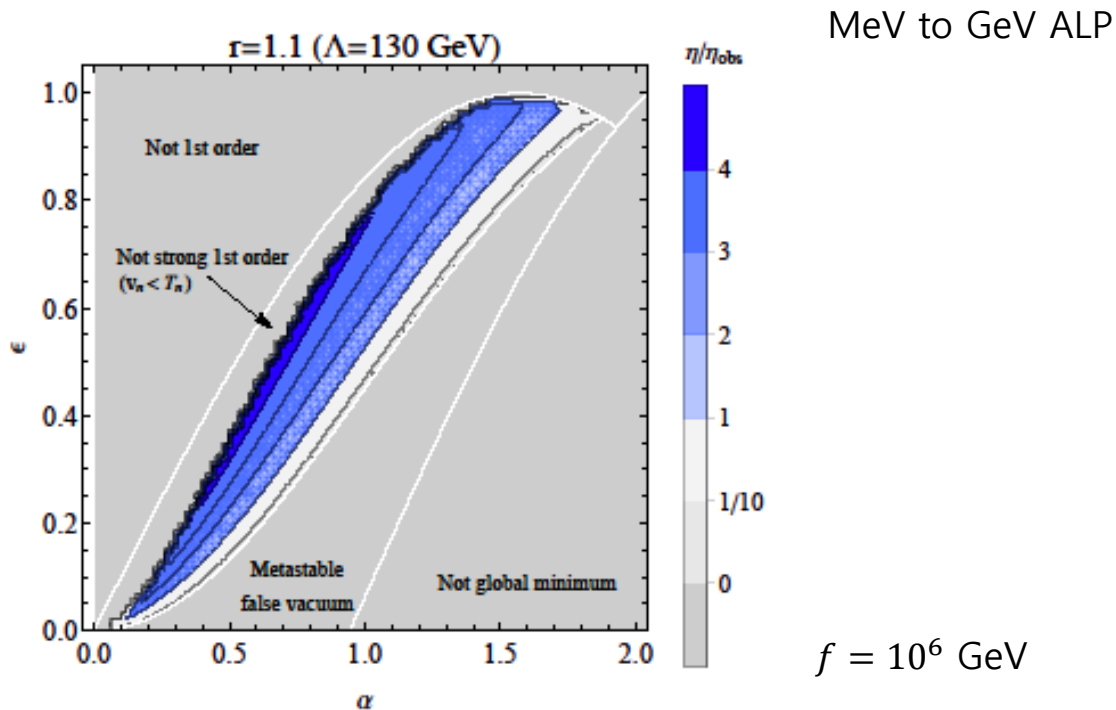


- Thermal dissipation due to  $\phi$ - $h$  mixing

- reduce the oscillating amplitude

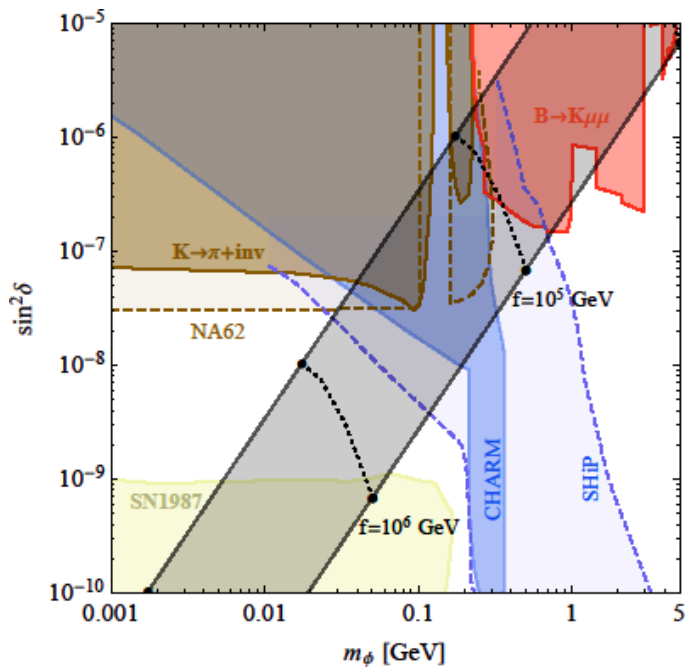
- Local EWBG

- Correct baryon asymmetry for  $10^5 \text{ GeV} \leq f \leq 10^7 \text{ GeV}$

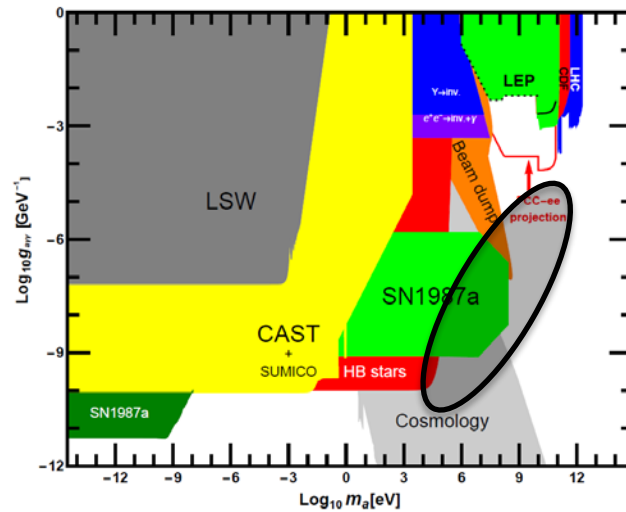


- How to probe ALP driven EWBG
  - ALP at MeV-GeV (local) or GeV-20GeV (non-local)
    - ALP window without strong theoretical interests so far

ALP-Higgs mixing: rare B-meson decays, beam dump



Jaeckel, Spannowski 2015



anomalous ALP couplings to gauge bosons: optional

# IV. ALP Dark Matter

## Freeze-in

- WIMP dark matter

- Relic abundance from freeze-out

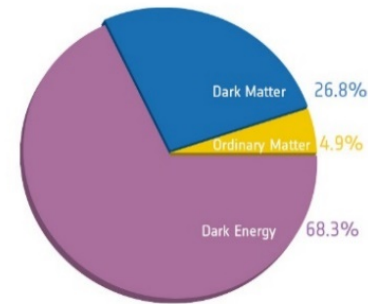
$$\Omega_\chi \propto \frac{m_\chi}{T_f} \frac{1}{\langle\sigma v\rangle} \text{ with } \langle\sigma v\rangle \sim \frac{\lambda^2}{m_\chi^2}$$

⇒ Observed DM density if  $\lambda \sim 0.1$  and  $m_\chi \sim 100\text{GeV}$

- Well-motivated, natural, experimentally testable, ...
- No signals for new physics at LHC

Null results from direct & indirect DM detection searches

- May need to go beyond WIMP

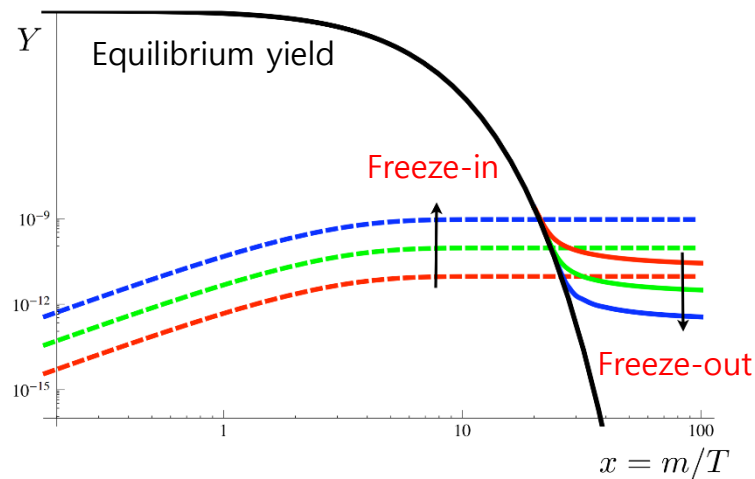




McDonald 2001, Choi, Roszkowski 2005, Petraki, Kusenko 2007

■ Freeze-in DM Hall, Jedamzik, March-Russell, West 2009

- Alternative to freeze-out
- Never in thermal equilibrium: feeble coupled to SM
- Produced via thermal freeze-in



2-2 scattering, decay of thermal particles

- Freeze-in DM

- Relic abundance assuming negligible initial density

$$\Omega_\chi \propto m_\chi \frac{\lambda^2}{m}$$

$m$ : mass of thermal particle responsible for production

⇒ Observed DM abundance if  $\lambda \sim 10^{-12}$  and  $m_\chi \sim 100\text{GeV}$

- Need an explanation for  $\lambda \ll 1$ !

Gravitino, axino in SUSY (many works)

Clockwork FIMP, Mohan and Sengupta 2018

- Higgs portal KSJ, Im 1907.07383

- ALP interacting with the SM ONLY via Higgs portal

$$V = \lambda |H|^4 + \left( \mu^2 - M^2 \cos\left(\frac{\phi}{f}\right) \right) |H|^2 - \frac{1}{16\pi^2} M^2 \Lambda^2 \cos\left(\frac{\phi}{f}\right)$$

↑  
closing Higgs loops

- CP conserving minimum  $\phi = 0$  (no ALP-Higgs mixing)

Stable due to  $Z_2$  symmetry  $\phi \rightarrow -\phi$

Feebly coupled to SM thermal bath for large  $f$

→ Natural framework for freeze-in DM

- ALP DM

- If thermalized, it overcloses the universe in most of parameter space satisfying the bound on DM scattering with nuclei

- ALP properties

- Mass mainly from closing Higgs loops:  $m_\phi \simeq \frac{1}{4\pi} \frac{M}{f} \Lambda$

- Interactions with the SM

$$\frac{\lambda_{h\phi}}{4} h^2 \phi^2 + \frac{\lambda_{h\phi v}}{2} h \phi^2 \text{ with } \lambda_{h\phi} = \left(\frac{M}{f}\right)^2$$

- Never in equilibrium for  $\lambda_{h\phi} < 10^{-7}$

- ALP DM

- ALP production via freeze-in

- Higgs decay  $h \rightarrow \phi\phi$ : dominant if open

- Higgs annihilation  $hh \rightarrow \phi\phi$

- ALP heavier than MeV for  $\Lambda$  above TeV

- No BBN constraint

- Coherent oscillations: negligible if  $T_{\text{osc}} \gg 10^6 \times m_\phi$

- ALP DM

- Correct DM density

- Higgs decay

- $$\lambda_{h\phi} \simeq 10^{-10} \times \left(\frac{m_\phi}{3\text{MeV}}\right)^{-\frac{1}{2}} \text{ and } m_\phi \simeq 1\text{MeV} \times \left(\frac{\Lambda}{10^3\text{GeV}}\right)^{\frac{4}{5}}$$

- Higgs annihilation

- $$\lambda_{h\phi} \simeq 10^{-11} \text{ and } m_\phi \simeq 380\text{GeV} \times \left(\frac{\Lambda}{10^9\text{GeV}}\right)$$

- UV completion

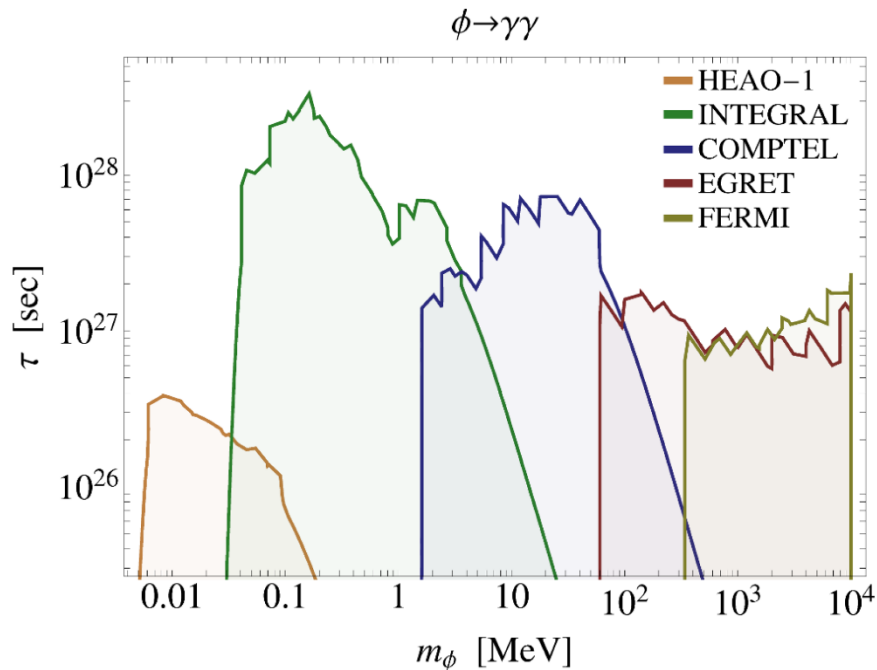
- Non-perturbative Higgs portal from hidden QCD

$$V_{\text{eff}} \ni -M^2 \cos\left(\frac{\phi}{f}\right) |H|^2 - \frac{1}{16\pi^2} M^2 \Lambda^2 \cos\left(\frac{\phi}{f}\right) - \mu_N \Lambda_C^3 \cos\left(\frac{\phi}{f} + \alpha\right)$$



ALP-Higgs mixing for  $\alpha \neq 0$

ALP= decaying DM



Strong constraints  
from gamma ray observations

Essig et al 2013

- UV completion
  - Viable model
    - supersymmetry + spontaneously broken  $U(1)_X$
    - $m_L$  from superpotential, while  $\mu_N$  from Kaehler potential

$$\mu_N = \frac{m_{\text{susy}}}{M_{Pl}} m_L$$

- ALP-Higgs mixing
  - Upper bound on  $m_{\text{susy}}$  to make it cosmologically viable  
e.g.  $m_{\text{susy}} \leq 10\text{TeV}$  if Yukawa couplings are order unity



# V. Summary

- Axion-like particle
  - Controlled by perturbative shift symmetry
  - Strong CP problem, dark matter, inflation, ...
- ALP coupled to the SM via Higgs portal
  - May give information on the origin of EWSB while explaining
    - electroweak hierarchy: cosmological relaxation
    - matter-antimatter asymmetry: EWBG
    - dark matter: misalignment, freeze-in

Thank you