

# 5D BPS quivers and KK towers

**Dongwook Ghim**

**KIAS School of Physics**

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**via ZOOM**

Based on joint work ([arXiv:2011.04661](https://arxiv.org/abs/2011.04661))  
with Zhihao Duan (KIAS) and Piljin Yi (KIAS)

# 5D BPS quivers and KK towers

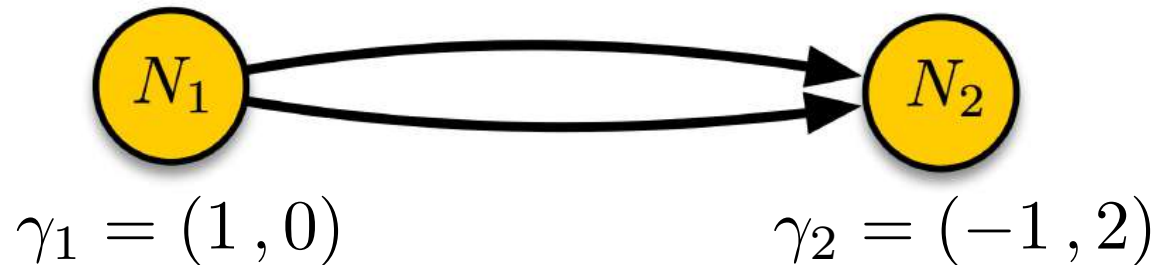
- [1] Construction of D=5 BPS quivers  
(facilitated by brane tiling of toric  $CY_3$ )
- [2] Witten Index of abelian BPS quivers (single KK)  
( $L^2$  BPS states as  $L^2$  cohomology of quiver Higgs moduli)
- [3] Witten Index of non-abelian BPS quivers (higher KK, etc)  
(Coulomb branch formula and weak-coupling chamber)

**What is BPS quiver?**

# (4D) BPS quiver

Effective SUSY QM for BPS objects in D=4 SW theories

e.g. BPS quiver of 4D SU(2) theory



**[1]** Node for each elementary object (basis)

**[2]** Arrows from Schwinger product of basis charges (m, e)

**NB** Stable bound states  $\Leftrightarrow$  non-zero Witten index of SQM

$$\Gamma = \sum_i N_i \gamma_i$$

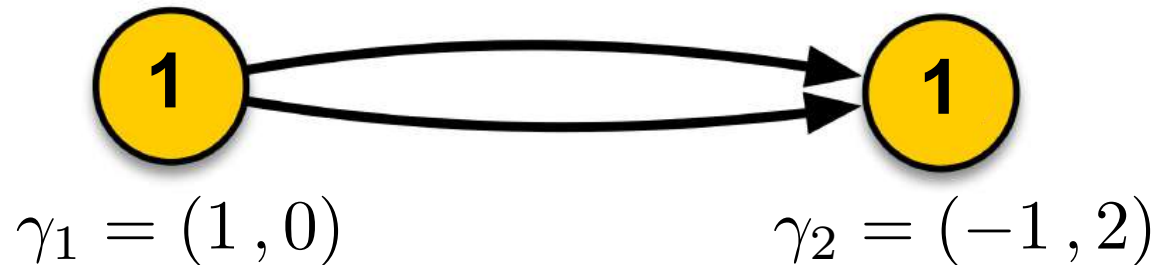
[Seiberg, Witten 94]

[Denef 02]

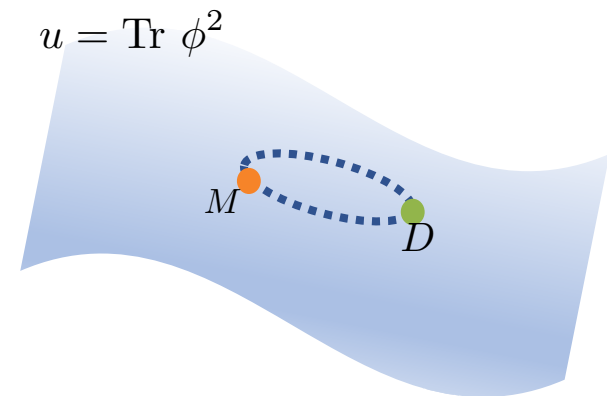
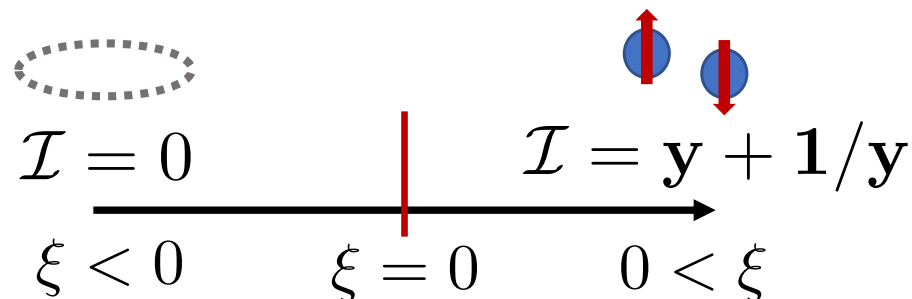
# (4D) BPS quiver

Effective SUSY QM for BPS objects in D=4 SW theories

e.g. stability of W-boson SU(2) SW theory;  $\gamma_W = (0, 2)$



**NB** Wall-crossing in moduli space is captured by jump of index under the variation of FI constant.



[Seiberg, Witten 94]  
 [Denef 02]

# 5D BPS quivers and KK towers

**[1]** Construction of **D=5 BPS quivers** [Closset, Del Zotto 19]  
(facilitated by **brane tiling** of toric  $CY_3$ )  
e.g. [Franco, Hanany, Kennaway, Vegh, Wecht 96]

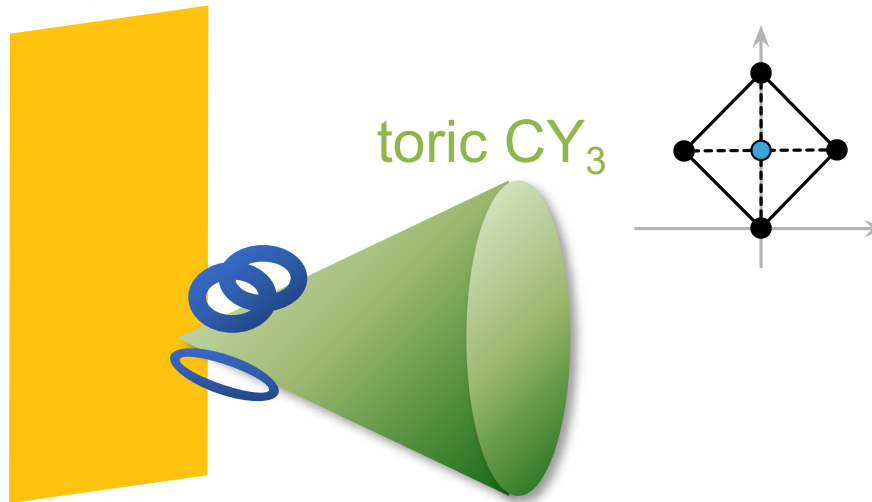
**[2]** Witten Index of abelian BPS quivers (single KK)  
( $L^2$  BPS states as  $L^2$  cohomology of quiver Higgs moduli)

**[3]** Witten Index of non-abelian BPS quivers (higher KK, etc)  
(Coulomb branch formula and weak-coupling chamber)

# Geometric engineering

D=5 gauge theory can be engineered by  
**M-theory ‘compactified’ over toric Calabi-Yau 3-folds.**

5D gauge theory



**NB** M-branes wrapping on internal **2-** and **4-cycles** of CY3  
give **BPS objects in 5D gauge theory.**

[Klemm, Lerche, Mayr, Vafa, Warner 96]

[Katz, Klemm, Vafa 96]

# 5D BPS quiver

Effective SUSY QM for BPS objects  
in **circle-compactified** D=5 gauge theories

[1] Node for each elementary object ( ... + **instanton** + **KK** )



[2] Arrows from Schwinger products of charges (m, e)

**NB** Circle compactification is essential in that we have spatially extended BPS objects like monopole strings in D=5.

[Banerjee, Longhi, Romo 18, 19]

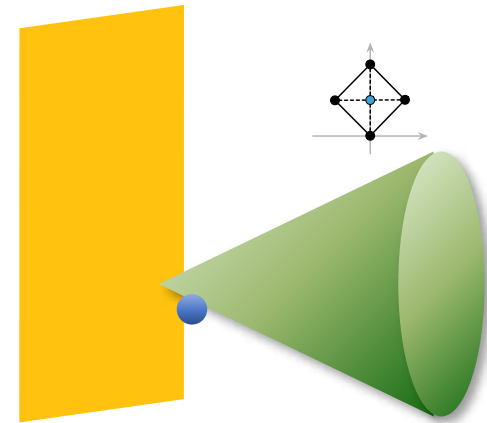
[Closset, Del Zotto 19]



# BPS quiver from Probe theory

Upon the reduction to D=4 KK theory,  
there is a canonical way to draw 5D BPS quiver due to  
states attributed to **D0 branes (without D2 or D4)**  
 $\Leftrightarrow$  pure **KK** modes of D=4 KK theory

$$\Gamma = \cancel{n_m} \hat{m} + \cancel{n_e} \hat{e} + \cancel{n_I} \hat{I} + n_K \hat{K} = \sum_i N_i \gamma_i$$



4D KK gauge theory

[Closset, Del Zotto 19]

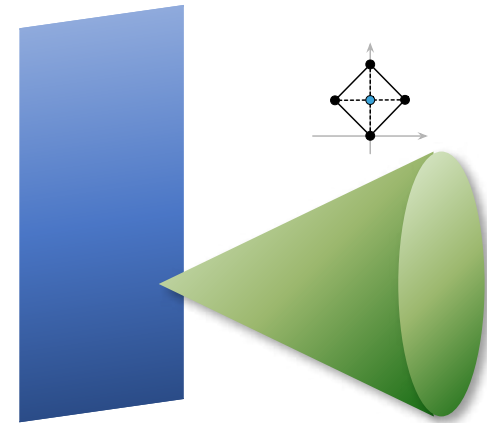
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$\Leftrightarrow$  pure **KK** modes of D=4 KK theory

$\Leftrightarrow$  T-dual<sup>3</sup> of D3 brane probing CY3

$$\Gamma = \cancel{n_m} \hat{m} + \cancel{n_e} \hat{e} + \cancel{n_1} \hat{1} + n_K \hat{K} = \sum_i N_i \gamma_i$$

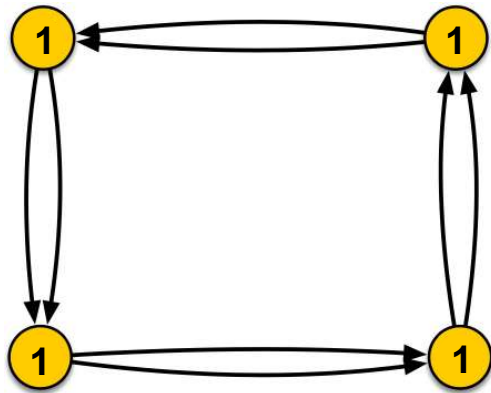


4d gauge theory on D3

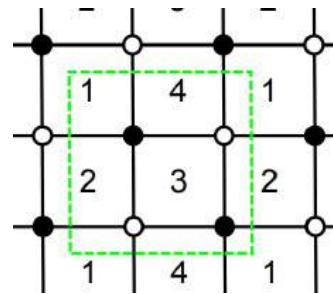
[Closset, Del Zotto 19]

# Brane Tiling

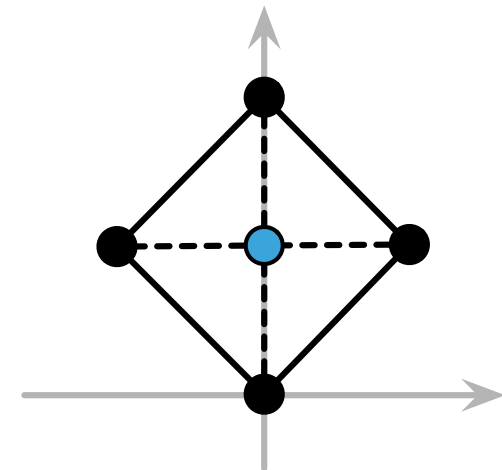
A combinatorial tool exists to engineer 4d  $N=1$  quiver gauge theories supported by D3 probing toric CY 3-folds.



quiver gauge theory



brane tiling



toric diagram

**NB** BPS quiver of **pure KK** = single D0  
 $\Leftrightarrow$  single D3 = abelian quiver of **brane tiling**

e.g. [Franco, Hanany, Kennaway, Vegh, Wecht 96]

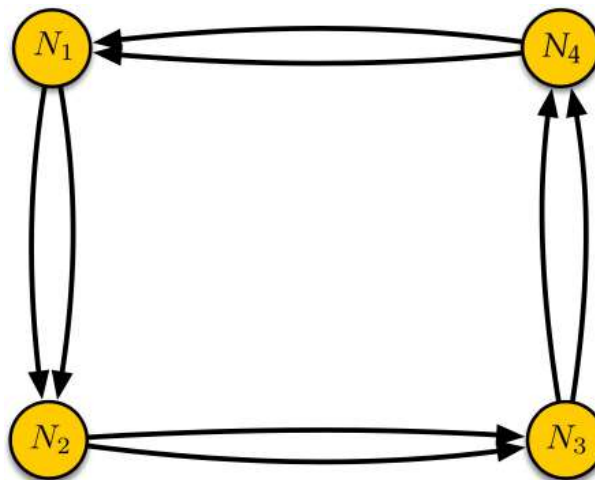
# 5D BPS quiver

Effective SUSY QM for BPS objects  
in **circle-compactified** D=5 gauge theories

[1] (equal-ranked) quivers from brane tiling  $\rightarrow$  pure KK sector

[2] (unequal-ranked) extension  $\rightarrow$  non-trivial charged sector

e.g. BPS quiver of **5D SU(2)** gauge theory on circle

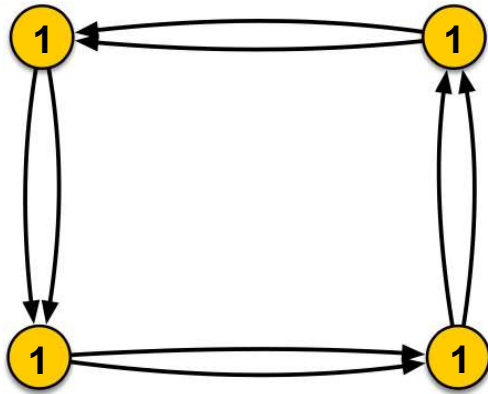


$$\Gamma = n_m \hat{m} + n_e \hat{e} + n_I \hat{I} + n_K \hat{K} = \sum_i N_i \gamma_i$$

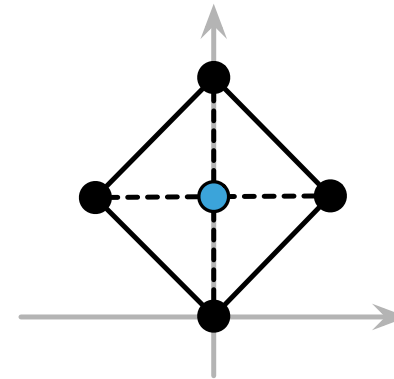
[Banerjee, Longhi, Romo 18, 19]  
[Closset, Del Zotto 19]

# Remark

The **Higgs branch** of 4d N=1 **abelian** quiver corresponds to the probed **toric Calabi-Yau 3-fold**.



$$\mathcal{W} = X_{12}X_{23}Y_{34}Y_{41} + Y_{12}Y_{23}X_{34}X_{41} \\ - X_{12}Y_{23}Y_{34}X_{41} - Y_{12}X_{23}X_{34}Y_{41}$$



$$\mathcal{M}_{\text{Higgs}}^{(4d)} = (\mathbb{C}[X\text{'s}]/\langle \partial\mathcal{W} = 0 \rangle) // U(1)^{N-1}$$

**NB** The quiver always involves **fine-tuned superpotential**.

**NB** **Coulomb** moduli pops up in 1d;

$$\mathcal{M}^{(1d)} = \mathbb{R}^3 \times (\mathbb{C}[X\text{'s}]/\langle \partial\mathcal{W} = 0 \rangle) // U(1)^{N-1}$$

e.g. [Franco, Hanany, Kennaway, Vegh, Wecht 96]

# 5D BPS quivers and KK towers

- [1] Construction of D=5 BPS quivers  
(facilitated by brane tiling of toric  $CY_3$ )
- [2] Witten Index of **abelian BPS quivers (single KK)**  
( $L^2$  BPS states as  $L^2$  cohomology of quiver Higgs moduli)  
[\[Witten 82\]](#), [\[Alvarez-Gaume 83\]](#)
- [3] Witten Index of non-abelian BPS quivers (higher KK, etc)  
(Coulomb branch formula and weak-coupling chamber)

# Witten Index

## Definition

Twisted partition function with fermionic parity weight

[Witten 82]

$$\text{Tr } (-1)^{\mathcal{F}} e^{-\beta \mathcal{H}} \left\{ \begin{array}{l} \mathcal{I} = \lim_{\beta \rightarrow +\infty} \text{Tr } (-1)^{\mathcal{F}} e^{-\beta \mathcal{H}} \\ \Omega = \lim_{\beta \rightarrow 0^+} \text{Tr } (-1)^{\mathcal{F}} e^{-\beta \mathcal{H}} \end{array} \right.$$

reduction to matrix integral or  
localization leaving JK residue.

**NB** JK-residue formula ~~gives~~ Witten index of N=4 SQM !

**NOT ALWAYS**

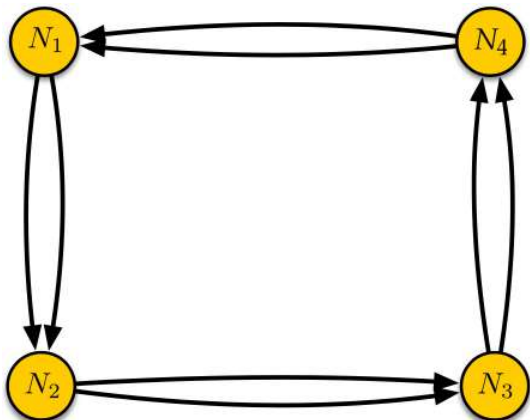
[Hori, Kim, Yi 14]

# Failure of JK-residue formula

To be precise, Witten index counts  $L^2$ -normalizable states. Contamination from continuous spectra must not be counted.

$$\mathrm{Tr}_{\mathcal{H}_{L^2}} (-1)^{\mathcal{F}} e^{-\beta \mathcal{H}}$$

Furthermore, 5D BPS quivers entail **fine-tuned super-potentials** whereas localization formula assumes generic one.

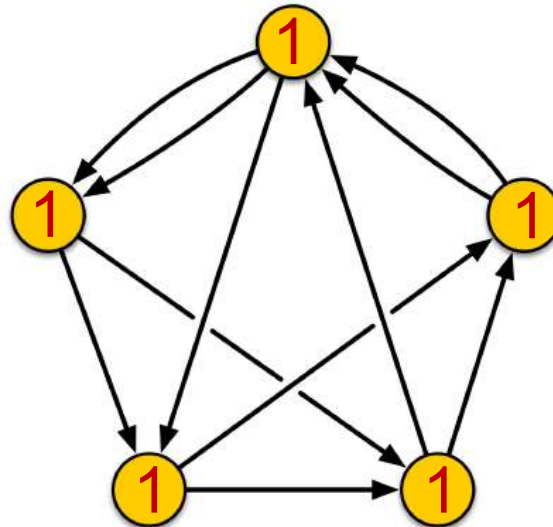
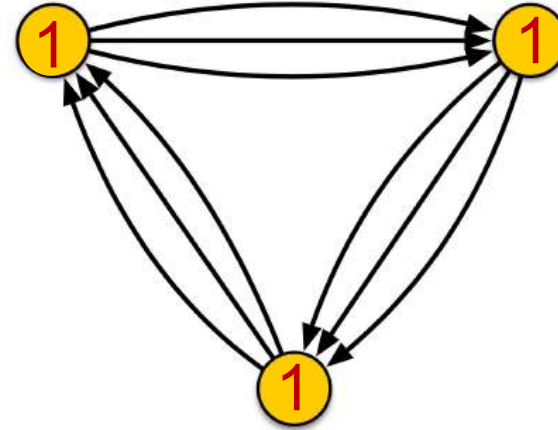
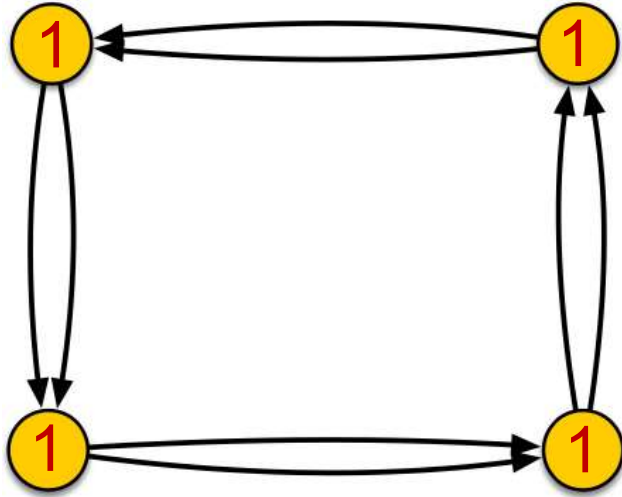


$$\begin{aligned} \mathcal{W} = & X_{12}X_{23}Y_{34}Y_{41} + Y_{12}Y_{23}X_{34}X_{41} \\ & - X_{12}Y_{23}Y_{34}X_{41} - Y_{12}X_{23}X_{34}Y_{41} \end{aligned}$$



**What to count ?**

# Abelian quivers



$$\Gamma = \sum_i \gamma_i = 1 \cdot \hat{K}$$

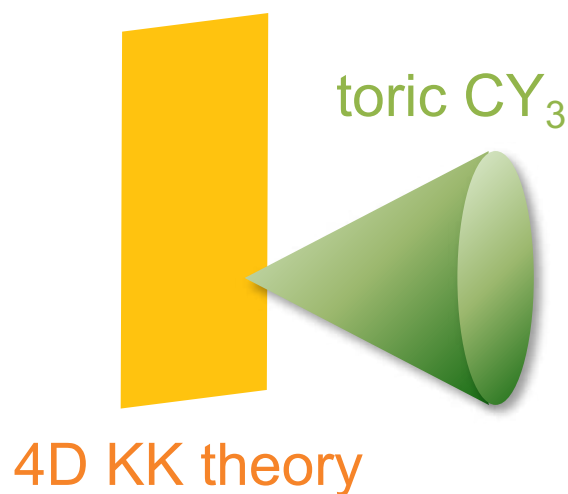
# Witten index and $L^2$ cohomology

**Witten index counts  $L^2$ -normalizable states.**

Contamination from continuous spectra must not be counted.

$$\mathrm{Tr}_{\mathcal{H}_{L^2}} (-1)^{\mathcal{F}} e^{-\beta \mathcal{H}}$$

Wave function of corresponding particles correspond to entries of  $L^2$  cohomology on target space.



A geometric diagram of a tetrahedron in a 3D coordinate system. The tetrahedron is formed by solid black lines connecting its vertices. A central blue dot is located at the intersection of dashed lines representing the internal structure. The vertices are marked with black dots. The diagram is positioned above a set of equations.

$$\mathcal{M}_{\text{Higgs}}^{(4d)} = (\mathbb{C}[X\text{'s}]/\langle \partial \mathcal{W} = 0 \rangle) // U(1)^{N-1}$$

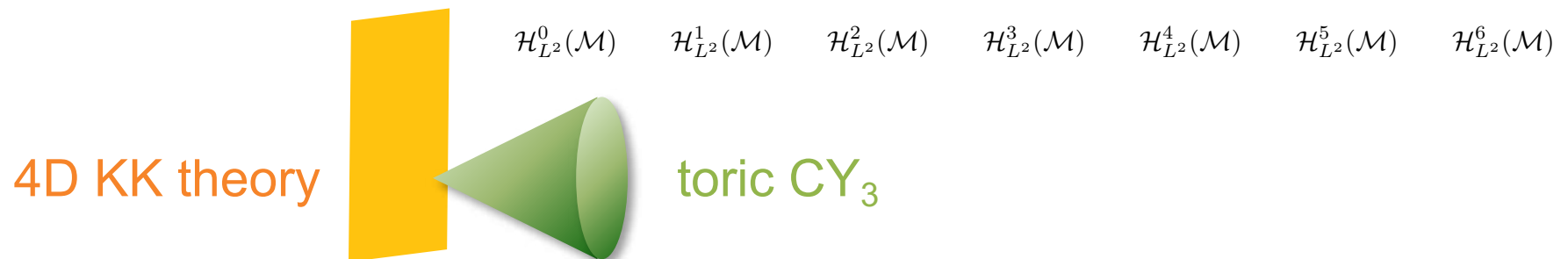
$$\mathcal{M}^{(1d)} = \mathbb{R}^3 \times (\mathbb{C}[X\text{'s}]/\langle \partial \mathcal{W} = 0 \rangle) // U(1)^{N-1}$$

# Witten index and $L^2$ cohomology

Relevant state of abelian  $(1,1,\dots,1)$  quiver of IIA frame

= **Scattering state along  $\mathbb{R}^3$**   $\otimes$   **$L^2$  normalizable state on  $CY_3$**

$$\mathcal{M}^{(1d)} = \mathbb{R}^3 \times (\mathbb{C}[X\text{'s}] / \langle \partial\mathcal{W} = 0 \rangle) // U(1)^{N-1}$$



**NB** There exists well-known machinery and mathematical theorem for counting  $L^2$  cohomology of toric  $CY$ 's.

# $L^2$ cohomology counting

$$\mathcal{H}_{L^2}^0(\mathcal{M}) \quad \mathcal{H}_{L^2}^1(\mathcal{M}) \quad \mathcal{H}_{L^2}^2(\mathcal{M}) \quad \mathcal{H}_{L^2}^3(\mathcal{M}) \quad \mathcal{H}_{L^2}^4(\mathcal{M}) \quad \mathcal{H}_{L^2}^5(\mathcal{M}) \quad \mathcal{H}_{L^2}^6(\mathcal{M})$$

# L<sup>2</sup> cohomology counting

[1] Pairing btw. L<sup>2</sup> cohomology  $\int_{\mathcal{M}} \omega_{(k)} \wedge \omega_{(2d-k)}$



$$\mathcal{H}_{L^2}^0(\mathcal{M}) \quad \mathcal{H}_{L^2}^1(\mathcal{M}) \quad \mathcal{H}_{L^2}^2(\mathcal{M}) \quad \mathcal{H}_{L^2}^3(\mathcal{M}) \quad \mathcal{H}_{L^2}^4(\mathcal{M}) \quad \mathcal{H}_{L^2}^5(\mathcal{M}) \quad \mathcal{H}_{L^2}^6(\mathcal{M})$$

[2] Theorem for manifolds with conical metric  
[Hausel, Hunsicker, Mazzeo 02]

$$H^{p<3}(\mathcal{M}, \partial\mathcal{M}) \quad \text{Im}(H^3(\mathcal{M}, \partial\mathcal{M}) \rightarrow H^3(\mathcal{M})) \quad H^{3<p}(\mathcal{M})$$

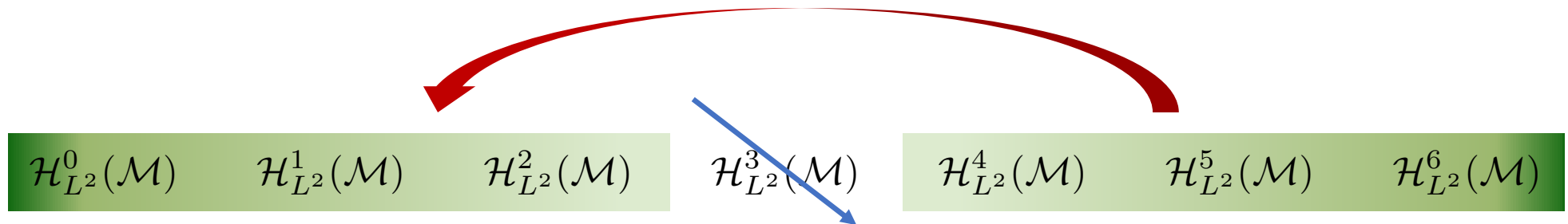
[3] Pairing btw. singular homology  
and de Rham cohomology  $\int_{\Sigma(p)} \omega_{(p)}$

$$H_{3<p}(\mathcal{M})$$



# $L^2$ cohomology counting

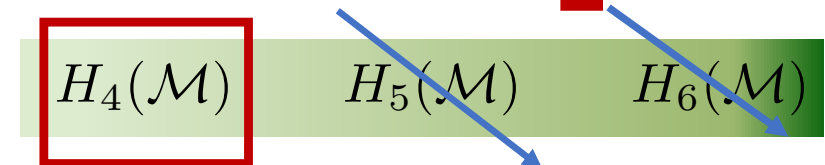
[1] Pairing btw.  $L^2$  cohomology



[2] Theorem for manifolds with conical metric  
[Hausel, Hunsicker, Mazzeo 02]

[3] Pairing btw. singular homology  
and de Rham cohomology

[4] Algebraicness & noncompactness



# Remark

$L^2$  Witten index of BPS quiver shares the following features:

**[1]** It counts the number of **4-cycles** of toric  $CY_3$  of interest.

$$\mathcal{I} = -2 \cdot \dim H_4(\mathcal{M})$$

**[2] symmetric** Laurent polynomial in  $SU(2)_R$  R-sym. fugacity  $\mathbf{y}$

$$\mathcal{I}(\mathbf{y}) = \dim H_4(\mathcal{M}) \cdot \left( -\mathbf{y} - \frac{1}{\mathbf{y}} \right)$$

**NB** grading by  $\mathbf{y}$  : (p-d) for  $\mathcal{H}_{L^2}^p$  cohomology and  $d = \dim_{\mathbb{C}} \mathcal{M}$

**[3]  $SU(2)$  spin** interpretation (Kähler 2-form  $J$  on  $CY_3$ )

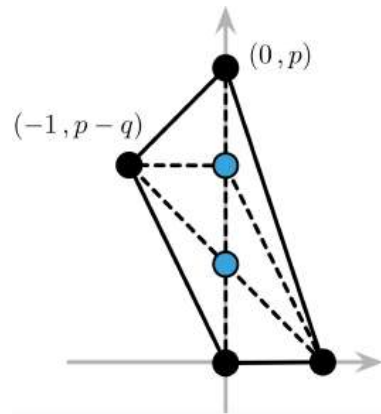
$$L_+[\omega_{(n)}] = J \wedge \omega_{(n)} \ , \quad L_-[\omega_{(n)}] = i_J \omega_{(n)} \ , \quad L_3[\omega_{(n)}] = \frac{(n-3)}{2} \omega_{(n)}$$



# Examples

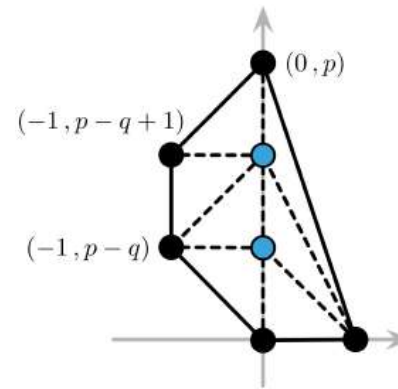
Two families of toric CY3, engineering 5D  $SU(p)_q$  theory

$$\mathcal{I}(\mathbf{y}) = \dim H_4(\mathcal{M}) \cdot \left( -\mathbf{y} - \frac{1}{\mathbf{y}} \right)$$



$$\begin{aligned} \dim H_0 &= 1, \\ \dim H_2 &= p, \\ \dim H_4 &= p-1, \\ \dim H_6 &= 0. \end{aligned}$$

$$\mathcal{I}_{Y^{p,q}} = (p-1) \times \left( -\mathbf{y} - \mathbf{y}^{-1} \right)$$



$$\begin{aligned} \dim H_0 &= 1, \\ \dim H_2 &= p+1, \\ \dim H_4 &= p-1, \\ \dim H_6 &= 0, \end{aligned}$$

$$\mathcal{I}_{X^{p,q}} = (p-1) \times \left( -\mathbf{y} - \mathbf{y}^{-1} \right)$$

- $\dim H_4(\mathcal{M}_3)$  = **number of 4-cycles** = **rank of 5d SCFTs**

$$\mathcal{I}_{(1,1,\dots,1)}(\mathbf{y}) = \text{rank} \times \left( -\mathbf{y} - \mathbf{y}^{-1} \right)$$

**NB**      **neutral KK modes = Cartan part of vector multiplets**

# 5D BPS quivers and KK towers

More information @ following QR links  
60-min talks @ Fudan University and Imperial Quiver meeting

[1] Construction of D=5 BPS quivers  
(facilitated by brane tiling of toric varieties)



[2] Witten Index of abelian BPS quivers  
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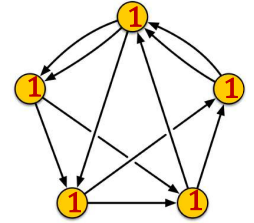
[Yi 97], [Sethi, Stern 97], [Manschot, Pioline, Sen 10-14]

# Summary

## - Single KK mode

$L^2$  cohomology counting on Coulomb / Higgs branch of quiver

→ Scattering state along  $\mathbb{R}^3 \otimes L^2$  normalizable state on  $CY_3$



$$\begin{array}{ccccccc} \mathcal{H}_{L^2}^0(\mathcal{M}) & \mathcal{H}_{L^2}^1(\mathcal{M}) & \boxed{\mathcal{H}_{L^2}^2(\mathcal{M})} & \mathcal{H}_{L^2}^3(\mathcal{M}) & \boxed{\mathcal{H}_{L^2}^4(\mathcal{M})} & \mathcal{H}_{L^2}^5(\mathcal{M}) & \mathcal{H}_{L^2}^6(\mathcal{M}) \\ & & & \searrow & \uparrow & & \\ & & & & \boxed{H_4(\mathcal{M})} & \searrow & \searrow \\ & & & & & H_5(\mathcal{M}) & H_6(\mathcal{M}) \end{array}$$

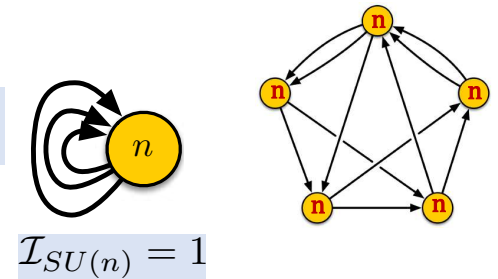
FI-independent!  $\mathcal{I}_{(1,1,\dots,1)}(\mathbf{y}) = \boxed{\text{rank}} \times (-\mathbf{y} - \mathbf{y}^{-1})$

## - Higher KK modes

$$\begin{aligned} \mathcal{N}_n &\equiv [(\mathcal{M}_3)^n \times (\mathbb{R}^3)^n] / S_n = \mathbb{R}^3 \times [(\mathcal{M}_3)^n \times (\mathbb{R}^3)^{n-1}] / S_n \\ &\simeq [\mathbb{R}^3 \times \mathcal{M}_3] \times [(\mathbb{R}^6)^{n-1} \times (\mathbb{R}^3)^{n-1}] / S_n \end{aligned}$$

$$\Psi \simeq \Psi_{\text{geometric}} \otimes \Psi_{SU(n)_*}$$

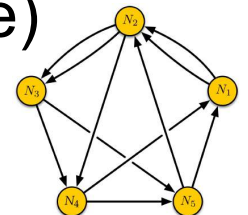
FI-independent!  $\mathcal{I}_{(n,n,\dots,n)}(\mathbf{y}) = \text{rank} \times (-\mathbf{y} - \mathbf{y}^{-1})$



## - Electric / flavor particles (NO magnetic/instanton charge)

MPS wall-crossing formula with quiver invariant hypothesis

FI-dependent!  $\mathcal{I}_{\text{inv}}(\gamma_i) = 1$  for single-node quiver



**Thank you**