

D-brane gaugino actions and their role in moduli stabilization

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with Y. Hamada, A. Hebecker & G. Shiu - 1812.06097, 1902.01410, 2105.11467

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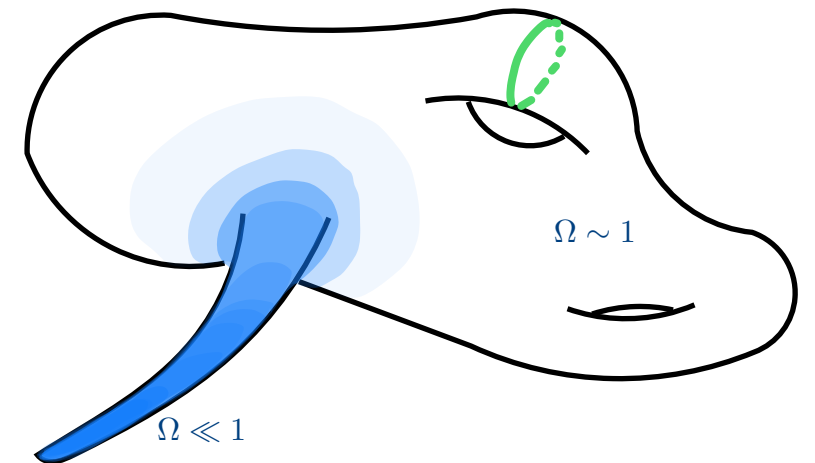
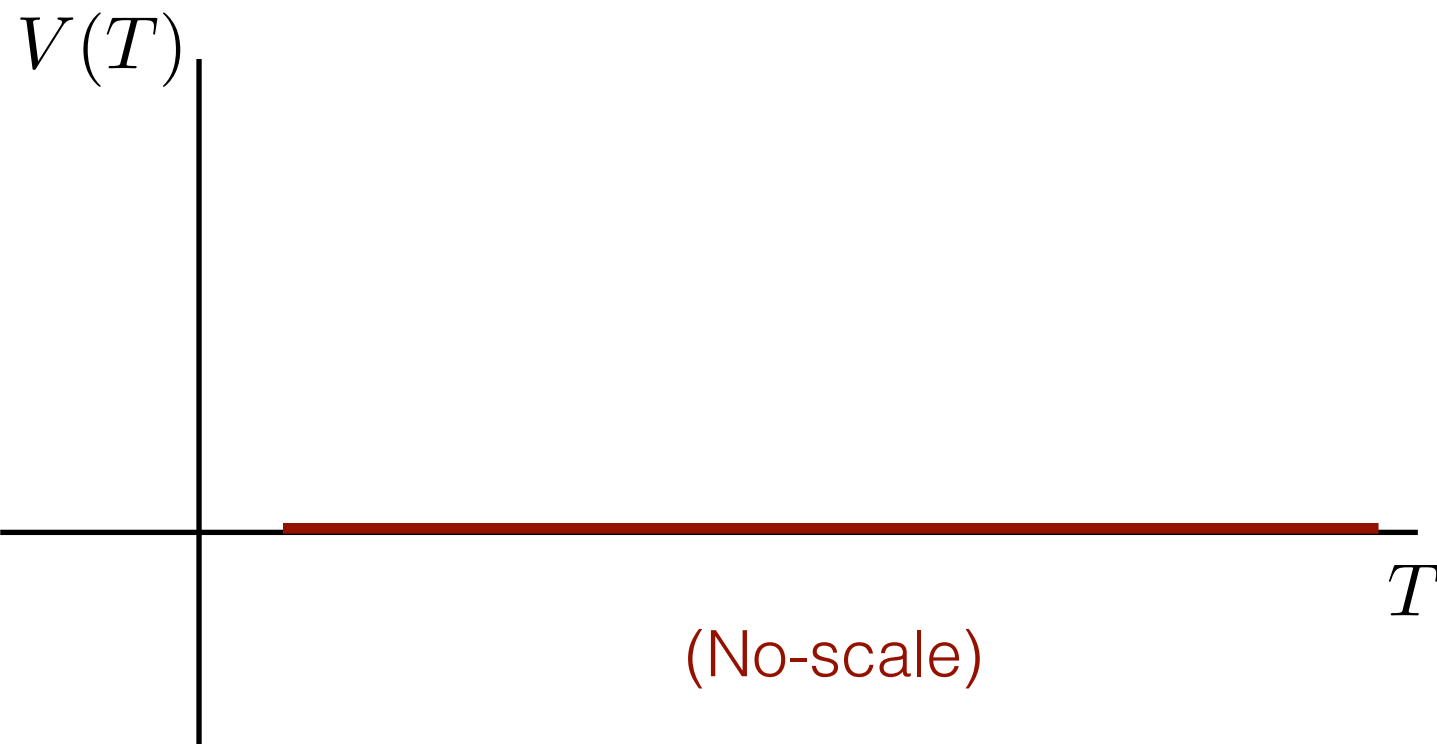
KKLT review

KKLT: Step 0

- **Step 0 (GKP)**: type IIB warped compactification with O7-planes, D3/D7-branes and 3-form fluxes (cmplx. str. stabilized, one Kahler mod. T).

$$V(T) = e^K \left(|D_T W_0|^2 - 3 |W_0|^2 \right) = 0$$

$$\begin{cases} K = -3 \log(T + \bar{T}) \\ W_0 = \int G_3 \wedge \Omega \end{cases}$$



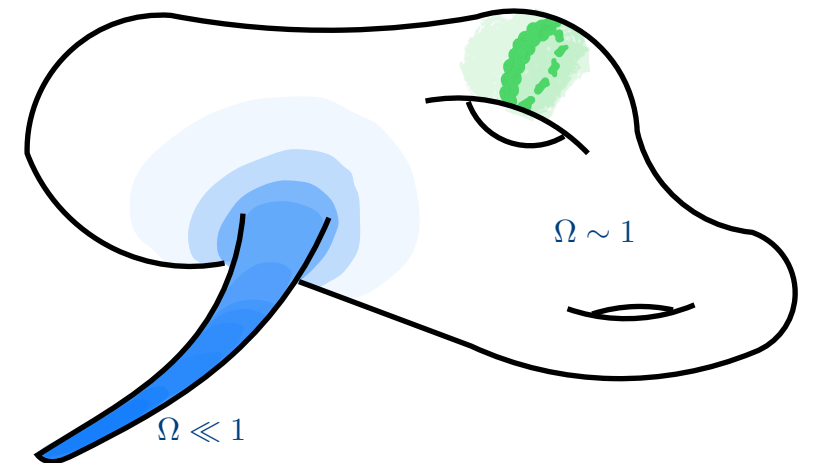
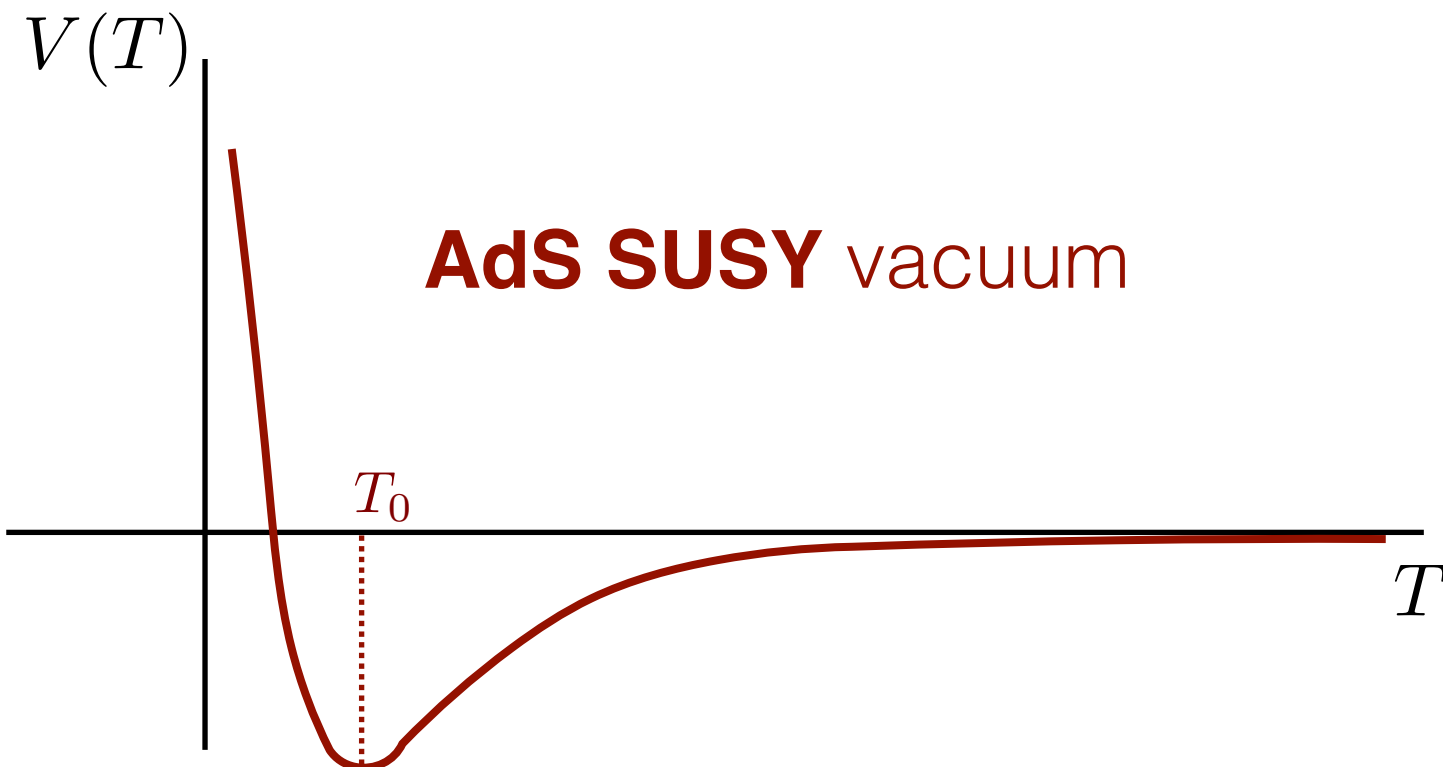
$$ds_{10}^2 = \Omega^2(y) \left(\eta_{\mu\nu} dx^\mu dx^\nu + g_{mn} dy^m dy^n \right)$$

KKLT: Step 1

- **Step 1:** non-perturbative effects, e.g. gaugino condensation of $\mathcal{N} = 1$ SYM on a D7-brane: $\langle \lambda\lambda \rangle \sim e^{-T}$

$$\begin{aligned}
 V(T) &= e^K \left(|D_T W_0 + e^{-K/2} \lambda\lambda|^2 - 3|W_0|^2 \right) \\
 &\xrightarrow{\langle \lambda\lambda \rangle \sim e^{-T}} e^K \left(|D_T W_0 - e^{-T}|^2 - 3|W_0|^2 \right) \\
 &\sim \left(-\frac{2}{T^2} W_0 e^{-T} + \frac{1}{T} e^{-2T} \right)
 \end{aligned}$$

$$\begin{cases} K = -3 \log(T + \bar{T}) \\ W \rightarrow W_0 + e^{-T} \end{cases}$$



Dine-Seiberg problem

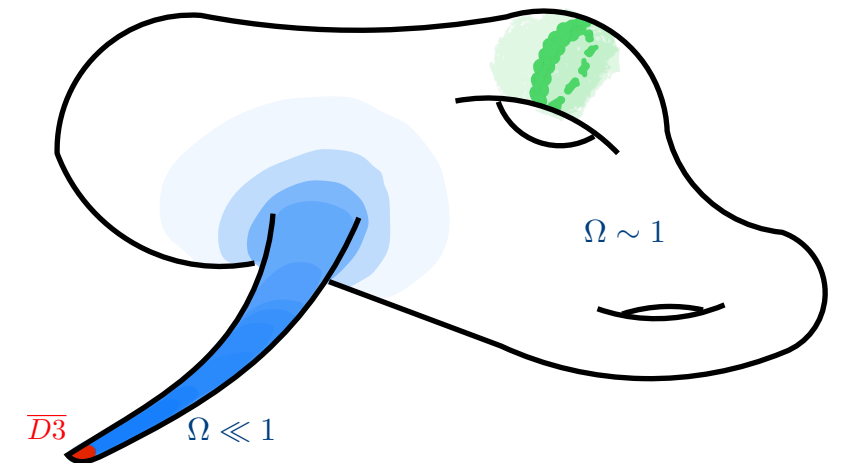
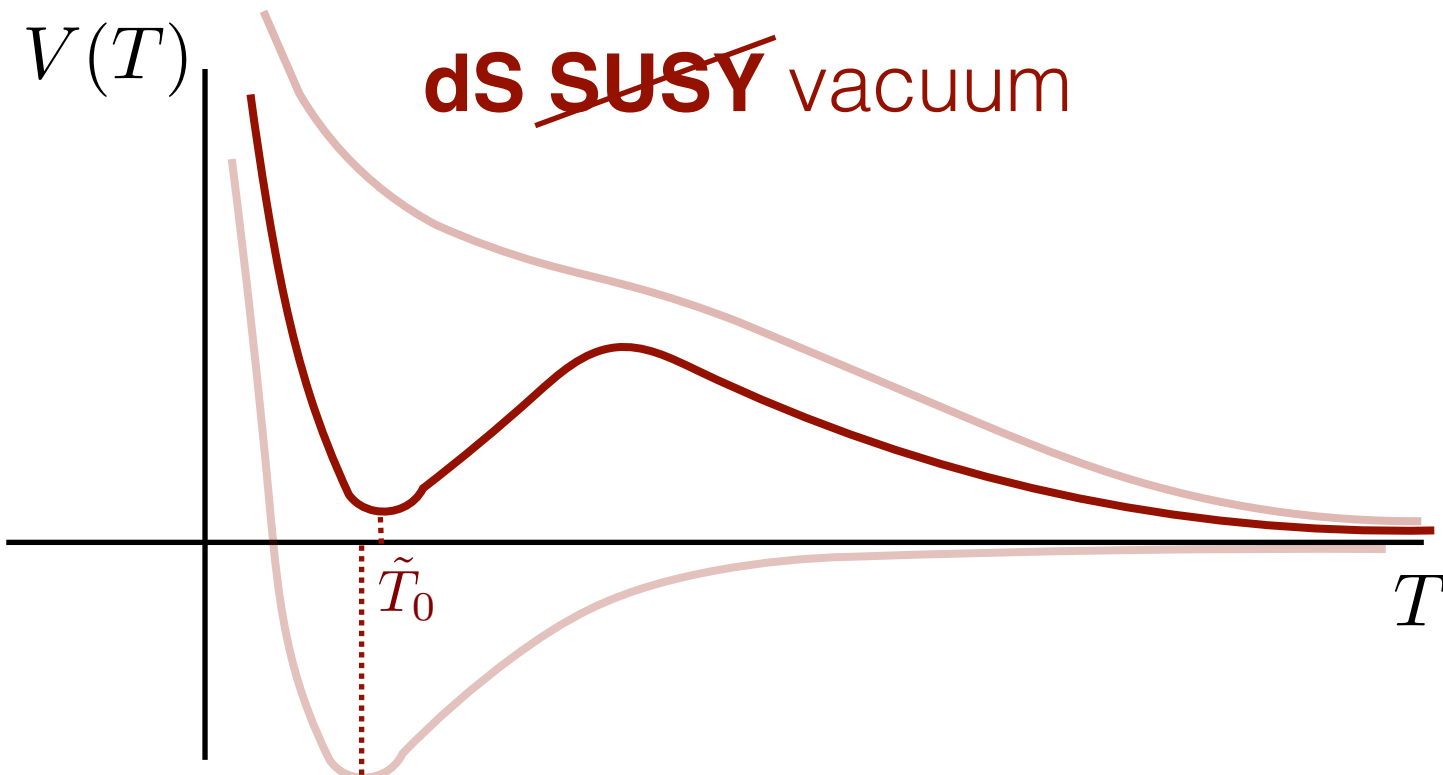
$$W_0 \sim e^{-T_0} \ll 1$$

KKLT: Step 2

- **Step 2:** introduce a SUSY-breaking element, e.g. anti-D3 branes

$$V(T) \sim \left(-\frac{2}{T^2} W_0 e^{-T} + \frac{1}{T} e^{-2T} \right) + \frac{\Omega^4 \mu_3}{T^2}$$

$$\begin{cases} K = -3 \log(T + \bar{T}) \\ W \rightarrow W_0 + e^{-T} \end{cases}$$



Dine-Seiberg problem

$$\Omega^4 \sim W_0 \sim e^{-\tilde{T}_0} \ll 1$$

KKLT from a 10d perspective

10d no-go theorems

- Consider Einstein equation in 10d and its trace over 4d indices:

$$\mathcal{R}_{MN} = T_{MN} - \frac{1}{8} g_{MN} T^L_L \implies \mathcal{R}^\mu_\mu = \frac{1}{2} \left(T^\mu_\mu - T^m_m \right) \equiv -\Delta$$

- For a warped ansatz: $ds_{10}^2 = \Omega^2(y) \left(\eta_{\mu\nu} dx^\mu dx^\nu + g_{mn} dy^m dy^n \right)$

$$\mathcal{V}_6 \mathcal{R}(\eta) = \int d^6y \sqrt{g} \Omega^8(y) \mathcal{R}(\eta) = - \int d^6y \sqrt{g} \Omega^{10}(y) \Delta$$

Useful for no-go theorems: most sources have $\Delta > 0$!

$$\Delta \sim (T^m_m - T^\mu_\mu)$$

10d no-go theorems

- **KKLT**: uplift from gaugino condensate and anti D3-branes

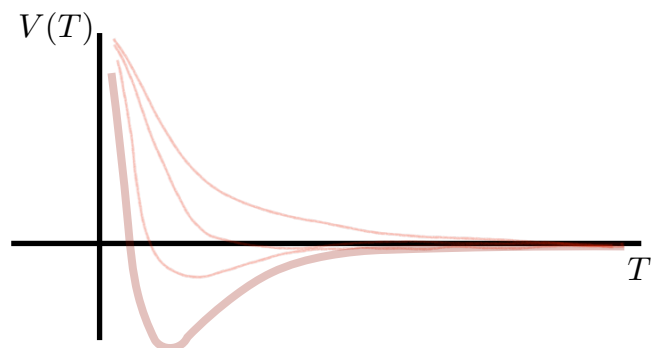
$$\mathcal{V}_6 \mathcal{R}(\eta) \approx - \int d^6 y \sqrt{g} \Omega^{10}(y) \left(\Delta^{\langle \lambda \lambda \rangle} + \Delta^{\overline{D3}} \right)$$

$\Delta^{\overline{D3}}$: easily computed from the $\overline{D3}$ -brane world-volume action

$\Delta^{\langle \lambda \lambda \rangle}$: inferred from the D7-brane action with $\lambda \lambda \rightarrow \langle \lambda \lambda \rangle \sim e^{-T}$

Claim: both $\Delta^{\langle \lambda \lambda \rangle}$ and $\Delta^{\overline{D3}}$ are strictly positive!? “Flattening”??

Problem: calculations of $\Delta^{\langle \lambda \lambda \rangle}$ were UV divergent and regularization dependent!!



Moritz, Retolaza, Westphal '17, '18
Moritz, Van Riet, '18
Gautason, Van Hemelryck, Van Riet '18

KKLT from 10d revisited

- We set to compute $\Delta^{\langle\lambda\lambda\rangle} \sim (T^m_m - T^\mu_\mu)$ from the D7-action which (should) give rise to the 4d $\mathcal{N} = 1$ SYM

The action was known up to order $\lambda\lambda$ in gauginos

Camara, Ibanez, Uranga '04
c.f. Grana, Kovensky, Retolaza '20

$$S_{\lambda\lambda} \sim - \int |G_3|^2 - \bar{\lambda}\lambda \delta_{D7} (G_3 \cdot \Omega_3) + \text{c.c.} + \dots$$

Problem: this action diverges if used to order $|\lambda\lambda|^2$

$$G_3 \sim \lambda\lambda \delta_{D7} + \dots \quad \implies \quad S_{\lambda\lambda} \sim \int |\lambda\lambda|^2 \delta_{D7}^2 \rightarrow \infty$$

KKLT from 10d revisited

- Regularizing the D7 action turns out to be very challenging and has been completed only recently

$$\begin{aligned} \mathcal{L}_{\lambda\lambda} &= -\frac{1}{2} |G_3|^2 + \sum_{i \in D7} \bar{\lambda}_i \lambda_i \delta_i (G_3 \cdot \Omega_3) + \text{c.c.} - \frac{i}{2} G_3 \wedge \bar{G}_3 \\ &= - \left| G_+ - \sum_{i \in D7} \lambda \lambda_i \delta_i \bar{\Omega}_3 \right|^2 - |G_-^{(0)}|^2 + |G_+^{(0)}|^2 + \sum_{ij} \delta_i \delta_j \lambda \lambda_i \bar{\lambda}_j |\Omega|^2 \end{aligned}$$

- Only the last term diverges (for $i=j$), but it also contains crucial finite contributions from (self) intersections of D7-stacks

$$\int \delta_i \delta_j |\Omega|^2 \rightarrow \int \delta_i \delta_j J \wedge J \wedge J = 3! \int \delta_i^{(2)} \wedge \delta_j^{(2)} \wedge J = 3! \mathcal{K}_{ij}$$

- CY intersection numbers: $\mathcal{K}_{ij} = \int \omega_i \wedge \omega_j \wedge J$, $[\omega_i] \in H_+^{(1,1)}$

KKLT from 10d revisited

- With this insight, we can write a local finite action:

$$S = - \int_{10d} \left| G_+ - \sum_i \lambda \lambda_i \delta_i \bar{\Omega}_3 \right|^2 - \int_{10d} \left(|G_-^{(0)}|^2 - |G_+^{(0)}|^2 \right) + 3! \sum_{i,j} \lambda \lambda_i \bar{\lambda} \lambda_j \mathcal{K}_{ij}$$

KKLT from 10d revisited

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1. Compute $\Delta^{\langle \lambda \lambda \rangle}$ and check that: $\mathcal{R}_\eta \sim \int \Delta = \mathcal{R}_{KKLT}$

TO DO

2. Check that S reduces to $S_{4d, sugra}$ upon CY compactification

3. Write S in a 10d covariant way: $\{\lambda, \Omega_3, J\} \rightarrow \Psi_8$

$$\Delta \sim (T_m^m - T_\mu^\mu)$$

KKLT from 10d revisited

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Hamada, Hebecker, Shiu, PS, '18, '19, '21
c.f. Kallosh '19; Carta, Moritz, Westphal '19;
Gautason, Van Hemelryck, Van Riet, Venken '19;

Kachru, Kim, McAllister, Zimet '19; Retolaza, Rogers, Tatar, Tonioni '21

Conclusions

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- Lack of a D7-brane action to quartic gaugino order posed an obstacle to address some fundamental questions about string-dS
- We constructed such an action and dispelled some criticisms

The EFT approach is valid **within the assumptions made**

No-go claims fail because of a subtle interplay between $\Delta^{\langle\lambda\lambda\rangle}$ and $\Delta^{\overline{D3}}$.

- Our construction should be a useful tool to address other questions involving fermions on localized branes

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Thanks!