



Lepton Mass and Mixing Patterns from Residual Modular Symmetries

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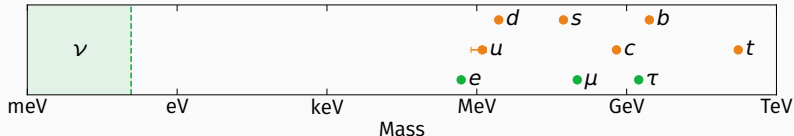
Takeaways/Outline

1. Modular symmetry provides a predictive framework for describing flavor parameters
2. Hierarchical mass patterns arise naturally within this framework
[Penedo, Petcov, PN, 2102.07488]

Modular symmetry as a flavor symmetry

Family problem: three generations living together

3 generations ≥ 20 flavor observables



$$U_{\text{CKM}} = \begin{matrix} & \begin{matrix} d & s & b \end{matrix} \\ \begin{matrix} u \\ c \\ t \end{matrix} & \begin{bmatrix} \text{orange square} & \text{orange square} & \text{orange square} \\ \text{orange square} & \text{orange square} & \text{orange square} \\ \text{orange square} & \text{orange square} & \text{orange square} \end{bmatrix} \end{matrix}$$

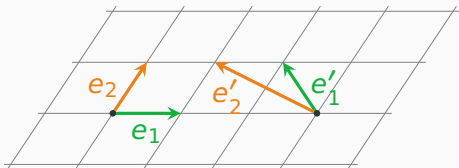
$$U_{\text{PMNS}} = \begin{matrix} & \begin{matrix} \nu_1 & \nu_2 & \nu_3 \end{matrix} \\ \begin{matrix} e \\ \mu \\ \tau \end{matrix} & \begin{bmatrix} \text{green square} & \text{green square} & \text{green square} \\ \text{green square} & \text{green square} & \text{green square} \\ \text{green square} & \text{green square} & \text{green square} \end{bmatrix} \end{matrix}$$

Natural approach: flavor symmetry mixing generations $\phi_i \rightarrow \rho_{ij} \phi_j$

Modular group

Modulus: $\tau = \frac{e_2}{e_1} \in \mathbb{C}$

$$\tau' = \frac{e'_2}{e'_1} = \frac{a\tau + b}{c\tau + d}$$



Modular group: $\Gamma = \text{SL}(2, \mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \begin{array}{l} a, b, c, d \in \mathbb{Z}, \\ ad - bc = 1 \end{array} \right\}$

Fields mix and rescale: $\phi'_i = (c\tau + d)^{-k} \rho \left[\begin{pmatrix} a & b \\ c & d \end{pmatrix} \right]_{ij} \phi_j$

weight
 $k \in \mathbb{Z}$

representation of Γ
"almost trivial"
 $\Gamma/\Gamma(N) \simeq S_n, A_n$

Bottom-up modular invariance

[Feruglio, 1706.08749]

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma: \quad \tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

$$\phi_i \rightarrow (c\tau + d)^{-k} \rho \left[\begin{pmatrix} a & b \\ c & d \end{pmatrix} \right]_{ij} \phi_j$$

$$W \supset Y(\tau) \quad \phi^1 \phi^2 \dots \phi^n$$

$$k = k_1 + k_2 + \dots + k_n \\ \rho \otimes \rho_1 \otimes \rho_2 \otimes \dots \otimes \rho_n \supset \mathbf{1}$$

$$(c\tau + d)^k \rho \quad (c\tau + d)^{-(k_1+k_2+\dots+k_n)} \rho_1 \otimes \rho_2 \otimes \dots \otimes \rho_n \quad \times \text{ itself}$$

$$Y_i \left(\frac{a\tau + b}{c\tau + d} \right) = (c\tau + d)^k \rho \left[\begin{pmatrix} a & b \\ c & d \end{pmatrix} \right]_{ij} Y_j(\tau)$$

couplings are modular forms

Modular invariant models of lepton flavor

$L \sim (k_L, \rho_L)$, $E^c \sim (k_E, \rho_E)$, ... \rightarrow mass matrices:

$$M_\nu = g_1 \begin{pmatrix} 2Y_1(\tau) & 0 & 0 \\ 0 & \sqrt{3}Y_2(\tau) & -Y_1(\tau) \\ 0 & -Y_1(\tau) & \sqrt{3}Y_2(\tau) \end{pmatrix}$$

$$+ g_2 \begin{pmatrix} 0 & -Y_4(\tau) & Y_5(\tau) \\ -Y_4(\tau) & -Y_3(\tau) & 0 \\ Y_5(\tau) & 0 & Y_3(\tau) \end{pmatrix}$$

$$\chi^2(\tau, g_1, g_2, \alpha) \rightarrow \min$$

$$M_e = \alpha \begin{pmatrix} 0 & Y_5(\tau) & -Y_4(\tau) \\ -Y_5(\tau) & 0 & Y_3(\tau) \\ Y_4(\tau) & -Y_3(\tau) & 0 \end{pmatrix}$$

Flavor patterns are unexplained

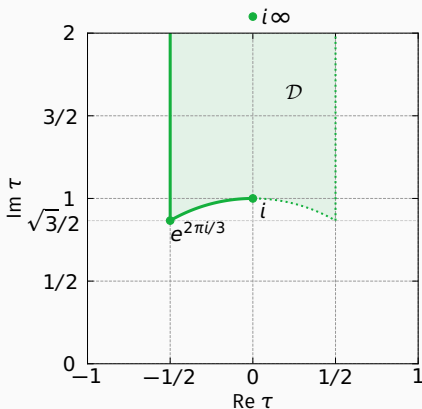
Flavor patterns from residual modular symmetries

Residual symmetries

τ breaks modular symmetry: $\tau \neq \frac{a\tau + b}{c\tau + d}$

Symmetric points:

τ_{sym}	inv. under	group
i	$\tau \rightarrow -\frac{1}{\tau}$	\mathbb{Z}_2
$e^{2\pi i/3}$	$\tau \rightarrow -\frac{1}{\tau+1}$	\mathbb{Z}_3
$i\infty$	$\tau \rightarrow \tau + 1$	\mathbb{Z}



Key idea: some flavor observables may vanish as $\tau \rightarrow \tau_{\text{sym}}$

Hierarchical mass matrices

$$\epsilon \equiv |\tau - \tau_{\text{sym}}|$$

$$E_i^c M_{ij}(\tau) L_j$$

$$\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}: \quad M(\gamma\tau) = (c\tau + d)^{k_E+k_L} \rho_E(\gamma)^* M(\tau) \rho_L(\gamma)^\dagger$$

$$\left. \begin{array}{l} L_i \sim \mathbf{3} \\ E_i^c \sim \mathbf{3}' \end{array} \right\} \begin{array}{l} \rightsquigarrow \mathbf{1}_0 \oplus \mathbf{1}_2 \oplus \mathbf{1}_3 \\ \rightsquigarrow \mathbf{1}_0 \oplus \mathbf{1}_1 \oplus \mathbf{1}_4 \end{array} \Rightarrow M(\tau) \sim \begin{pmatrix} 1 & \epsilon^4 & \epsilon \\ \epsilon^3 & \epsilon^2 & \epsilon^4 \\ \epsilon^2 & \epsilon & \epsilon^3 \end{pmatrix} \Rightarrow \begin{array}{l} m_e \sim \epsilon^4 \\ m_\mu \sim \epsilon \\ m_\tau \sim 1 \end{array}$$

Key results:

- Hierarchical masses are possible
- List of field representations which yield them

Large mixing in the symmetric limit

$$\begin{array}{c} \nu_1 \quad \nu_2 \quad \nu_3 \\ e \\ \mu \\ \tau \end{array} \begin{bmatrix} \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \\ \blacksquare & \blacksquare & \blacksquare \end{bmatrix} \xrightarrow{\tau \rightarrow \tau_{\text{sym}}} \begin{bmatrix} * & * & 0 \\ * & * & * \\ * & * & * \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} * & * & * \\ * & * & * \\ * & * & * \end{bmatrix}$$

$$1. \begin{cases} L \rightsquigarrow \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \\ E^c \rightsquigarrow \mathbf{1} \oplus \dots \end{cases}$$

$$2. \begin{cases} L \rightsquigarrow \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}^* \\ E^c \rightsquigarrow \mathbf{1}^* \oplus \dots \end{cases}$$

$$3. m_e, m_\mu, m_\tau \rightarrow 0$$

$$4. m_1^\nu, m_2^\nu, m_3^\nu \rightarrow 0$$

[Reyimuaji, Romanino, 1801.10530]

Example model

- hierarchical masses
- large mixing
- predictivity



$$\begin{aligned} E^c &\sim (\hat{\mathbf{3}}, 4) & \epsilon &\simeq \left| \tau - e^{2\pi i/3} \right| \\ N^c &\sim (\mathbf{3}', 1) \\ L &\sim (\hat{\mathbf{1}} \oplus \hat{\mathbf{1}} \oplus \hat{\mathbf{1}}', 2) \end{aligned}$$

$$M_e \propto \begin{pmatrix} 1 & \alpha - 2\beta & 2\sqrt{3}i\gamma \\ \sqrt{3}\epsilon & \sqrt{3}(\alpha + 2\beta)\epsilon & 2i\gamma\epsilon \\ \frac{5}{2}\epsilon^2 & \left(\frac{5}{2}\alpha - \beta\right)\epsilon^2 & -\frac{5}{\sqrt{3}}i\gamma\epsilon^2 \end{pmatrix} \quad \begin{aligned} m_e &= \mathcal{O}(\epsilon^2) \\ m_\mu &= \mathcal{O}(\epsilon) \\ m_\tau &= \mathcal{O}(1) \end{aligned}$$

$$M_\nu \propto \epsilon \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & a \\ 1 & a & 2i\sqrt{\frac{2}{3}}b \end{pmatrix} \quad \begin{aligned} \epsilon &\simeq 0.02 & \alpha &= 2.45 \pm 0.44 \\ \alpha &= 1.5 \pm 0.15 & \beta &= 2.14 \pm 0.32 \\ b &= 2.22 \pm 0.17 & \gamma &= 0.91 \pm 0.05 \end{aligned}$$

$$\Sigma m^\nu \approx 0.059 \text{ eV} \quad \delta = \pi + \mathcal{O}(10^{-6}) \quad |\langle m \rangle| = (1.44 \pm 0.33) \text{ meV}$$

Takeaways/Summary

1. Modular symmetry provides a predictive framework for describing flavor parameters: $M(\tau, \alpha_i)$
2. Hierarchical mass patterns arise naturally within this framework: $\tau \approx \tau_{\text{sym}}$

Thank you!