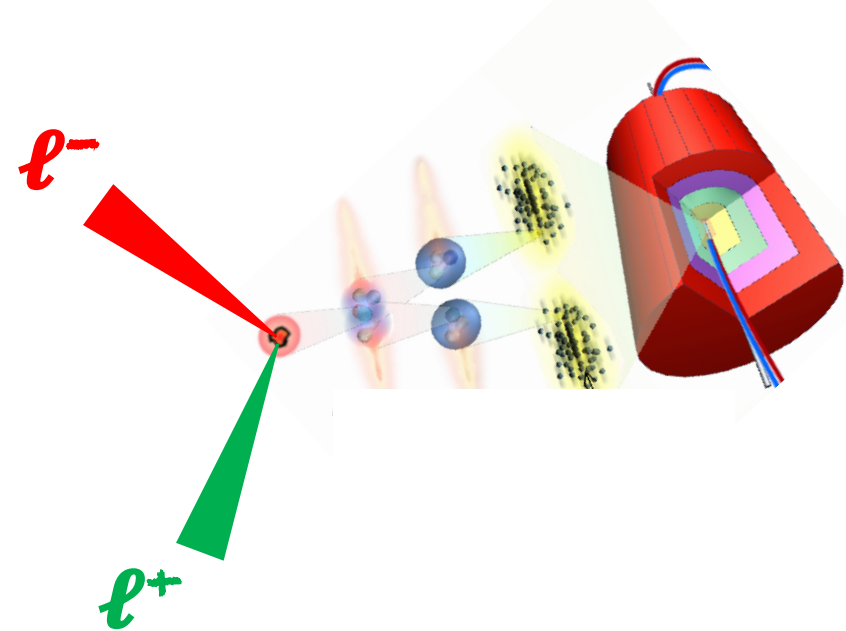


# Searches with Lepton Flavor Violation at the CMS experiment



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Varun sharma

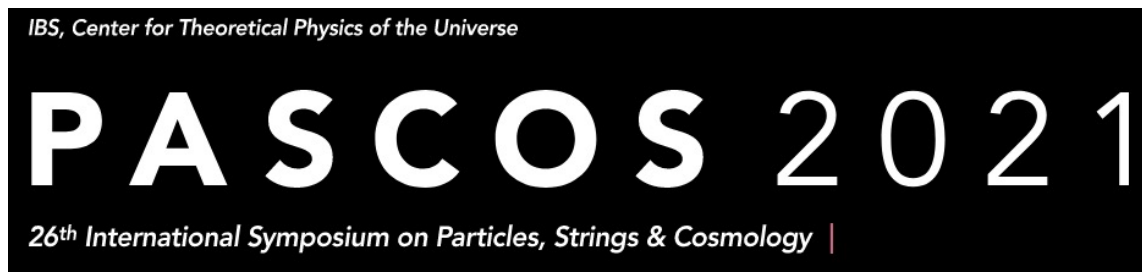
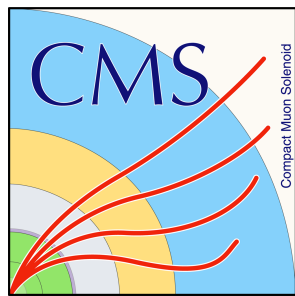
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University of Wisconsin – Madison, USA

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on behalf of the CMS Collaboration

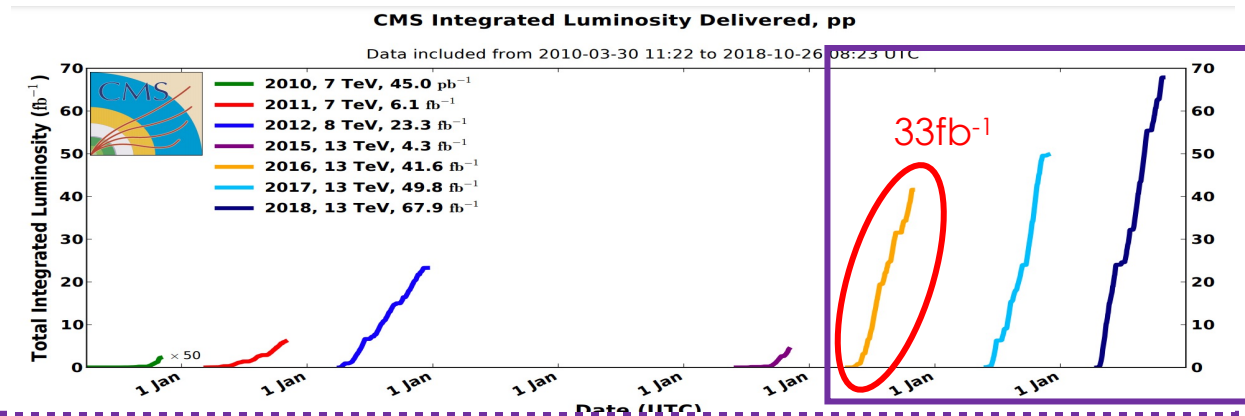
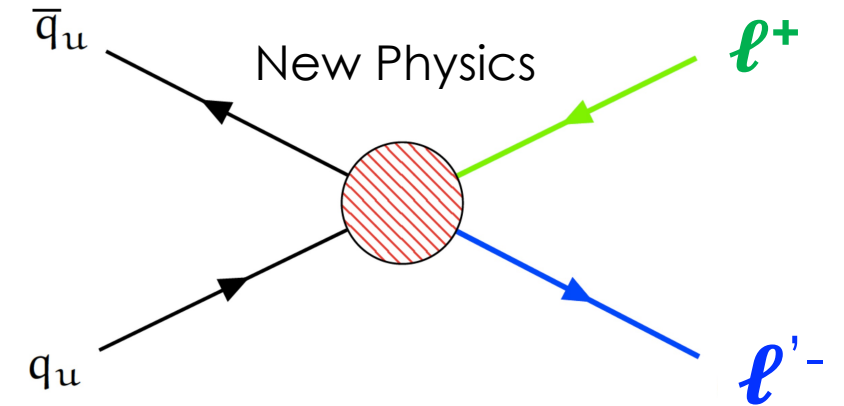
June 14-18, 2021



# Introduction

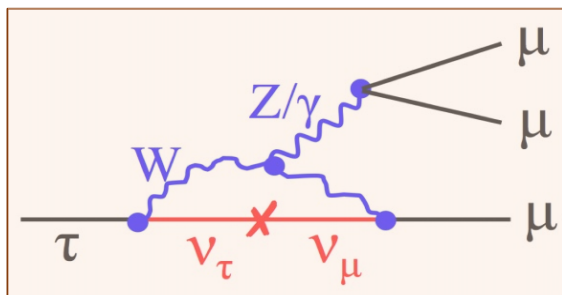
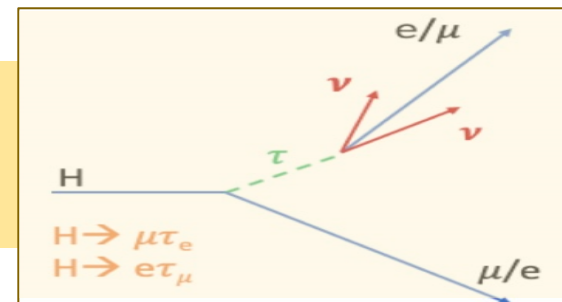


- Three generations of quarks, leptons: quarks change generations, so do neutrinos
- Non-zero Neutrino masses => Flavor exchange
- Though Particle Physics deal with symmetries but No fundamental law to forbid Charge Lepton Flavor Violation
- Will present different searches probing **Lepton Flavor/Number violation** using proton-proton collision data collected by the **CMS experiment** at  $\sqrt{s} = 13$  TeV



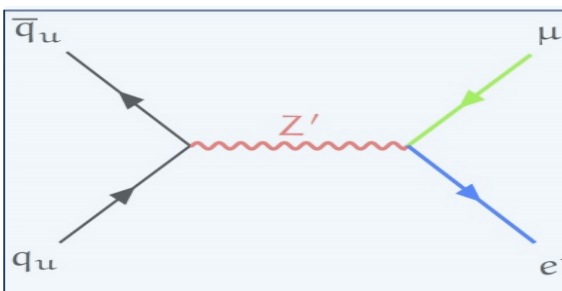
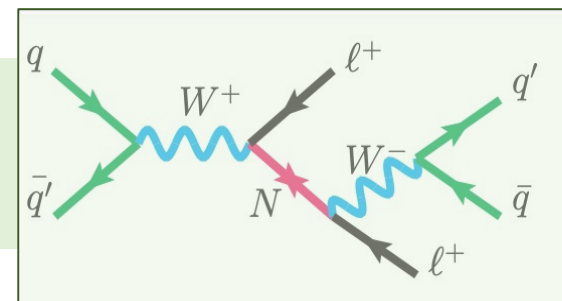


# SM Higgs $\rightarrow e\tau$ & $\mu\tau$

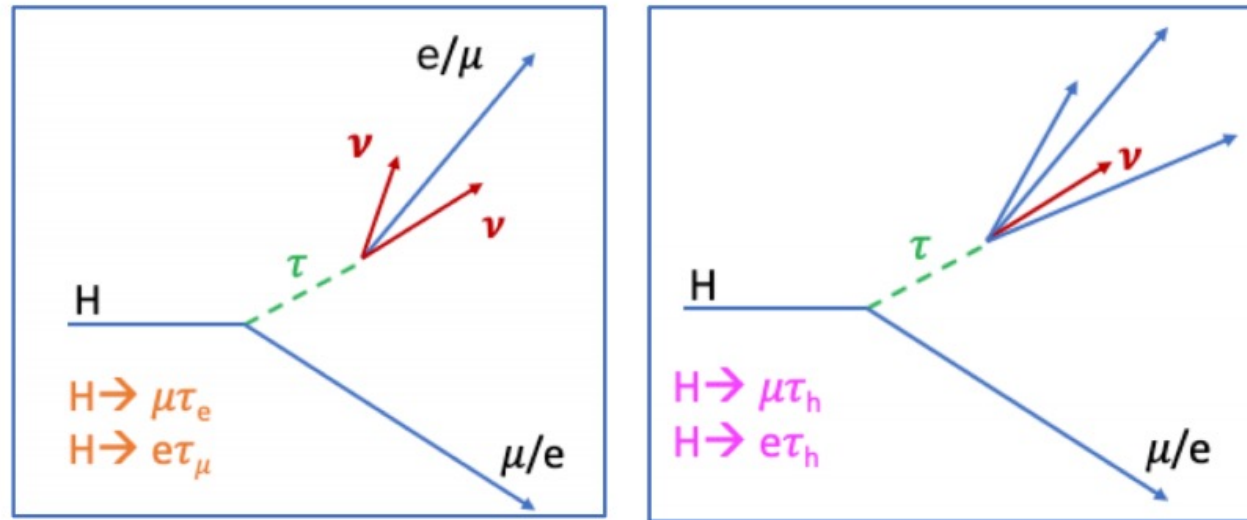


## $\tau \rightarrow \mu\mu\mu$

# Heavy Majorana Neutrinos



## Heavy Resonances to $e\text{-}\mu$



# LFV: SM Higgs $\rightarrow e\tau$ & $\mu\tau$

[CMS-PAS-HIG-20-009](#) [arXiv:2105.03007](#) (Submitted to PRD)

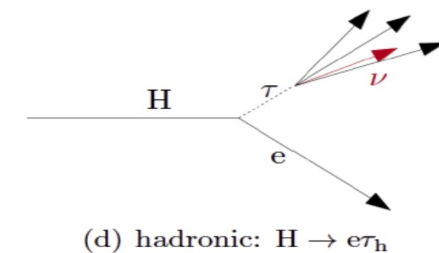
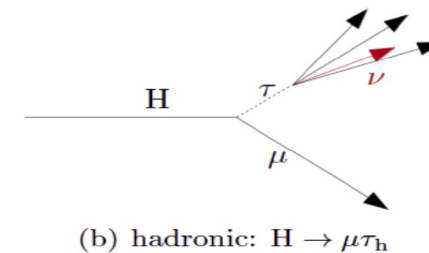
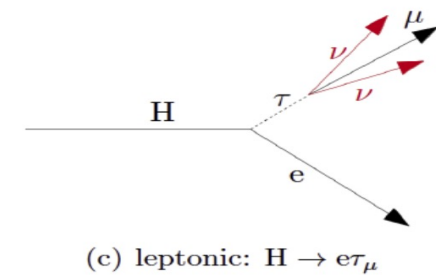
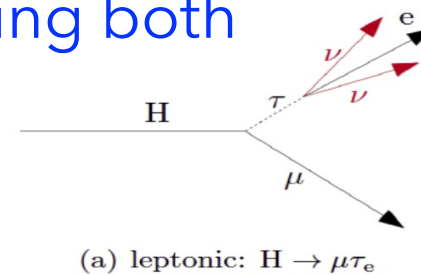
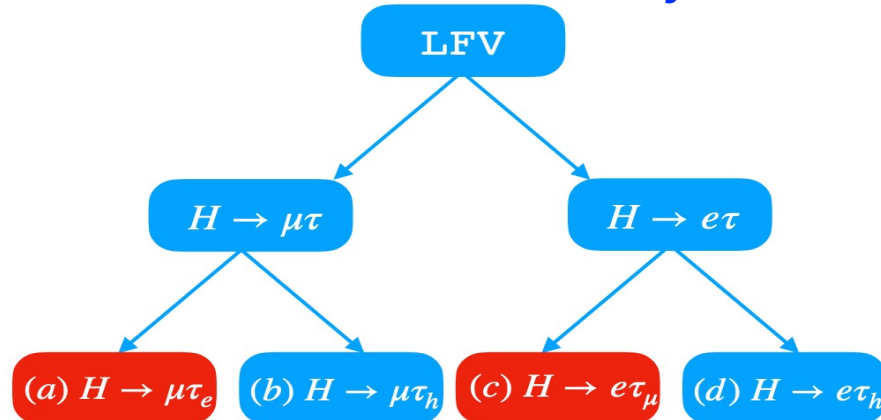
# LFV: $H \rightarrow e/\mu + \tau$

arXiv:2105.03007  
CMS-PAS-HIG-20-009



- Fermion mass in SM  $\propto$  VEV while couplings to Higgs is given by Yukawa coupling
  - SM has only diagonal terms in Yukawa matrix while several BSM theories allow for off-diagonal terms ( $Y_{e\mu}, Y_{e\tau}, Y_{\mu\tau}$ )
- LFV decay of Higgs boson serves as a good probe for physics BSM

Search for  $H \rightarrow \mu\tau$  &  $H \rightarrow e\tau$  decays considering both leptonic and hadronic decays of  $\tau$



# LFV: $H \rightarrow e/\mu + \tau$ | Strategy

arXiv:2105.03007  
CMS-PAS-HIG-20-009

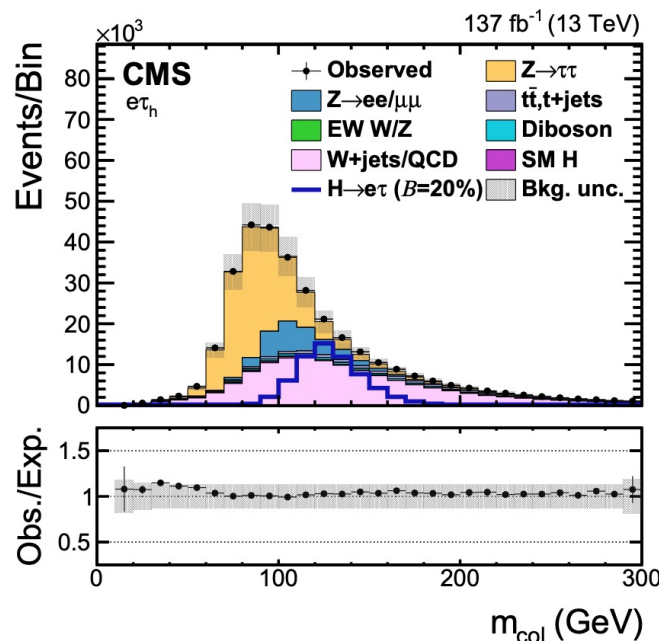


Data set: 137 fb<sup>-1</sup> of pp collision data (2016-2018)

**Signal:** ggH and VBF Higgs

## Backgrounds

- Z+jets
- Mis-identified leptons (W+jets/QCD)
- ttbar and Single top
- Dibosons
- SM Higgs



Events categorization : 0 jets, 1 jet, 2 jets (ggH & VBF)

## Signal extraction:

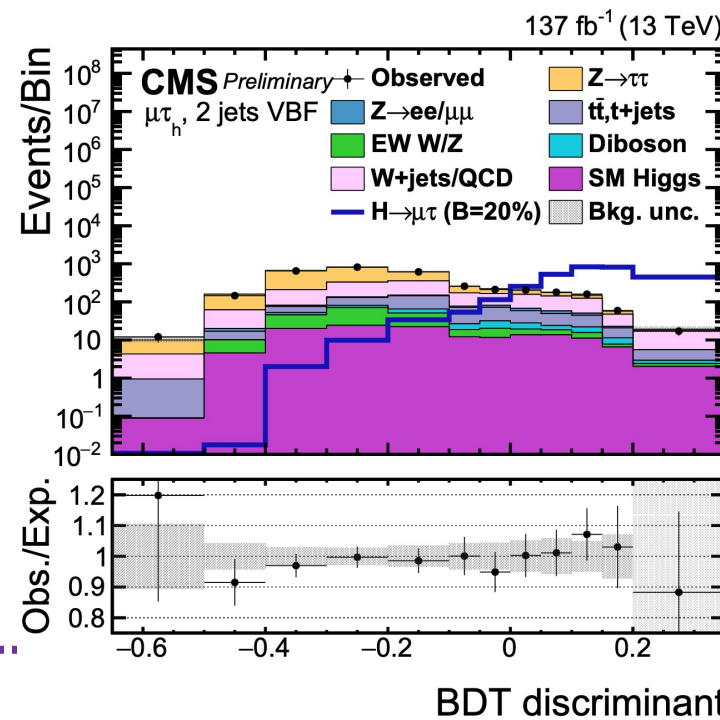
- BDT trained with adaptive boosting in TMVA to discriminate signal from background
- Max. likelihood fit of BDT discriminator distributions

## Variables of Interest:

- Transverse Mass of Lepton & MET
- Collinear mass

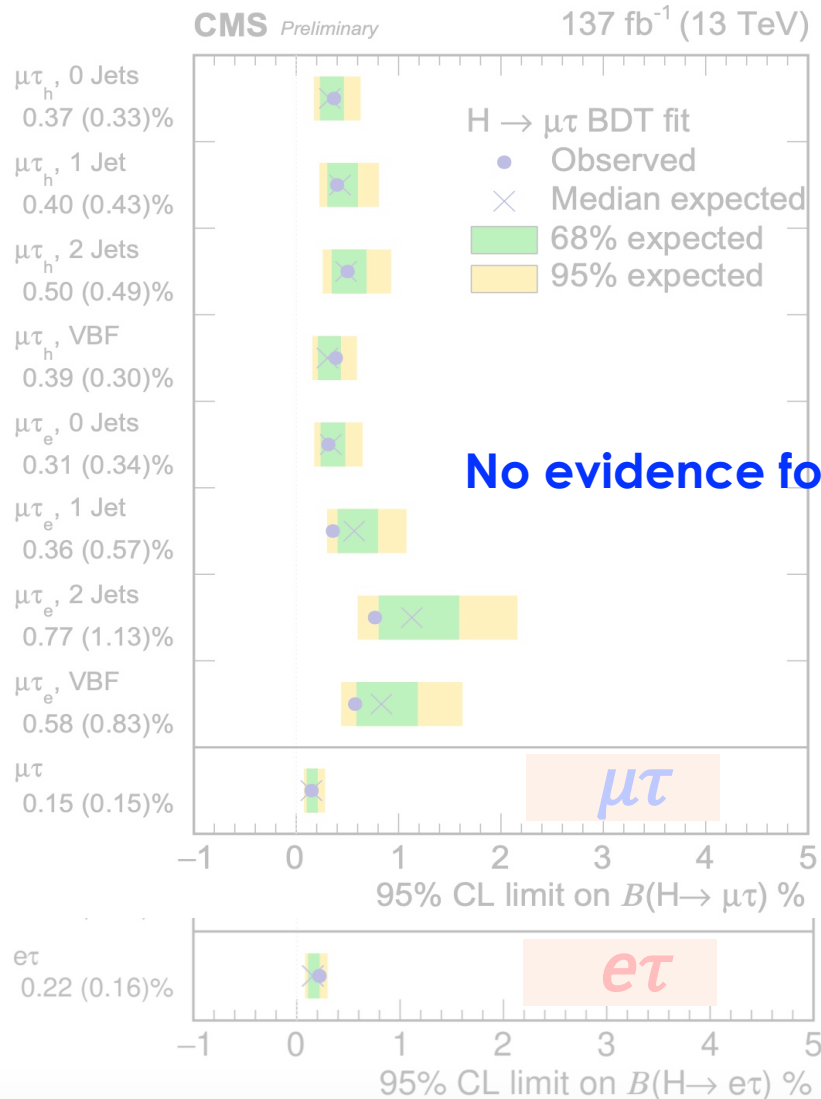
## BDT Inputs

$H \rightarrow \mu\tau_h$	$H \rightarrow \mu\tau_e$	$H \rightarrow e\tau_h$	$H \rightarrow e\tau_\mu$
$M_{\text{col}}(\mu, \tau)$	$M_{\text{col}}(\mu, e)$	$M_{\text{vis}}(e, \tau)$	$M_{\text{vis}}(e, \mu)$
$p_T^\mu$	$p_T^\mu$	$\Delta\phi(\tau, \text{MET})$	$M_{\text{col}}(e, \mu)$
$\Delta\phi(\tau, \text{MET})$	$\Delta\phi(e, \text{MET})$	$\Delta\eta(e, \tau)$	$p_T^e$
$E_T^{\text{mis}}$	$\Delta\phi(\mu, \text{MET})$	$\Delta\phi(e, \tau)$	$\Delta\phi(\mu, \text{MET})$
$\Delta\phi(\mu, \tau)$	$\Delta\phi(\mu, e)$	$M_{\text{col}}(e, \tau)$	$\Delta\phi(e, \text{MET})$
$\Delta\eta(\mu, \tau)$	$M_T(e, \text{MET})$	$p_T^e$	$\Delta\phi(e, \mu)$
$p_T^\tau$	$M_T(\mu, \text{MET})$	$M_T(\tau, \text{MET})$	$p_T^\mu$
$M_T(\tau, \text{MET})$	$p_T^e$	$p_T^\tau$	$M_T(\mu, \text{MET})$

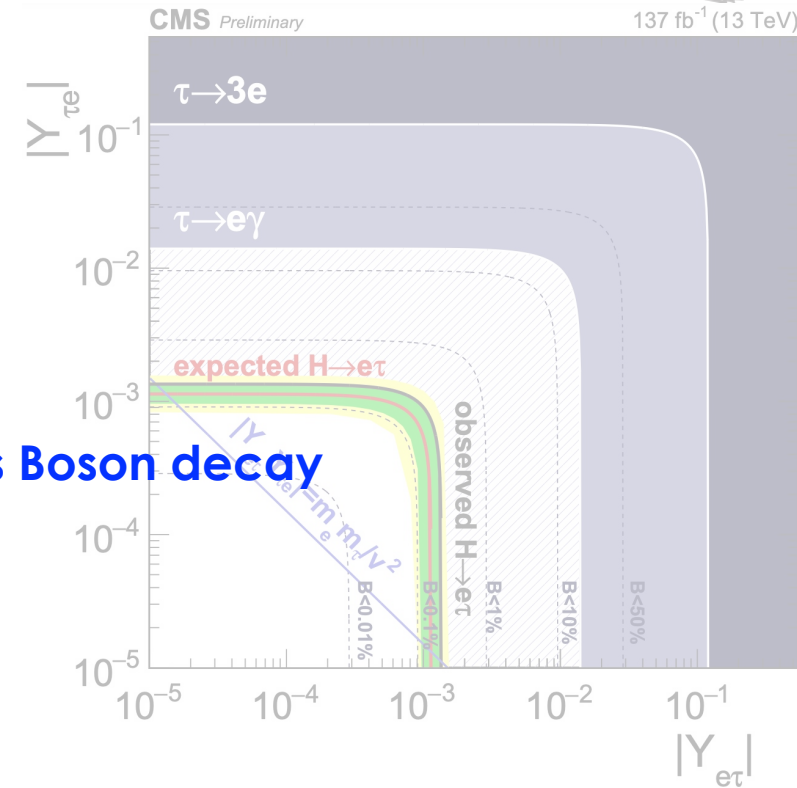
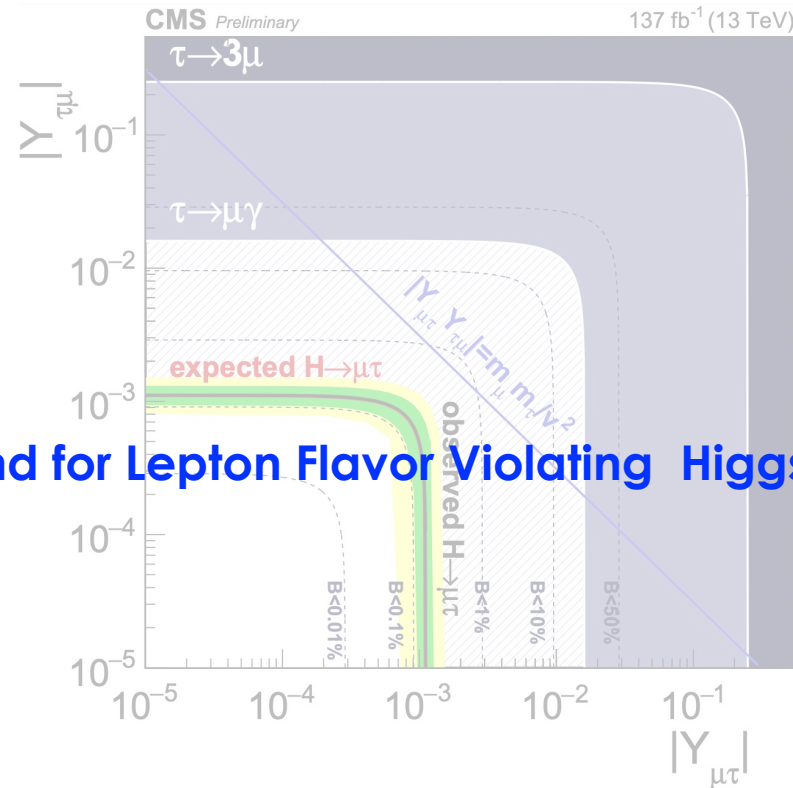


# LFV: $H \rightarrow e/\mu + \tau$ | Results

arXiv:2105.03007  
CMS-PAS-HIG-20-009



No evidence found for Lepton Flavor Violating Higgs Boson decay



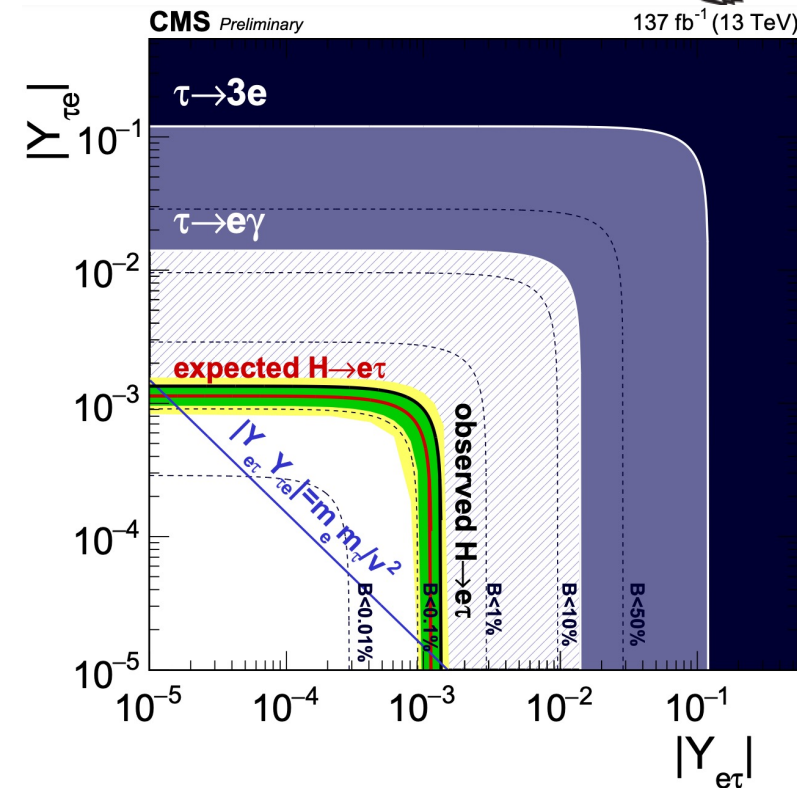
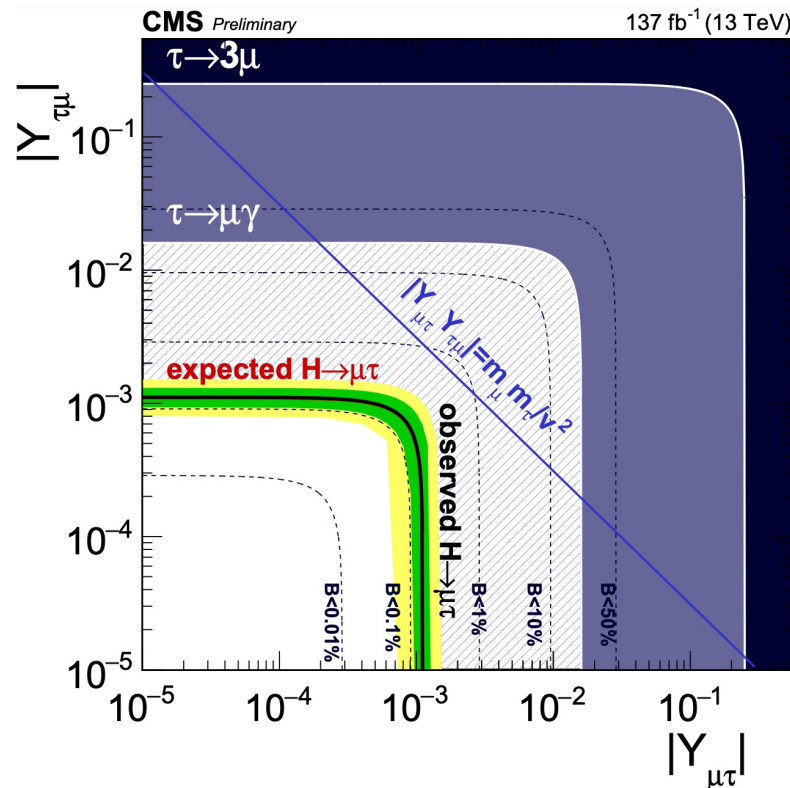
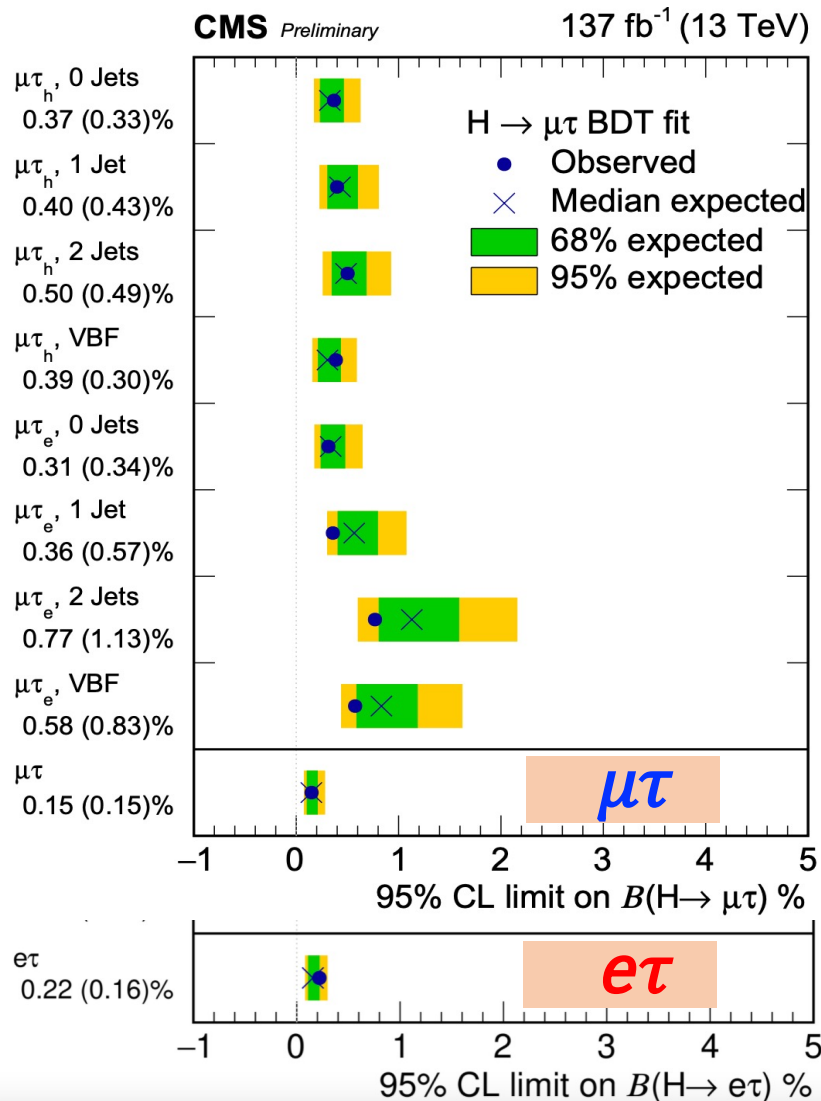
Expected (red line) and observed (black solid line) 95% CL upper limits on the LFV Yukawa couplings

$$H \rightarrow \mu\tau < 1.11 (1.10) \times 10^{-3}$$

$$H \rightarrow e\tau < 1.35 (1.14) \times 10^{-3}$$

# LFV: $H \rightarrow e/\mu + \tau$ | Results

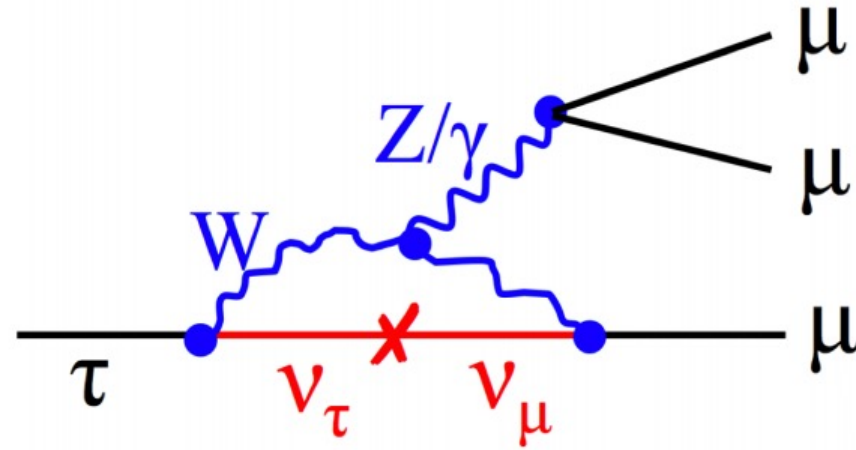
arXiv:2105.03007  
CMS-PAS-HIG-20-009



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LFV:  $\tau \rightarrow \mu\mu\mu$

BPH-17-004

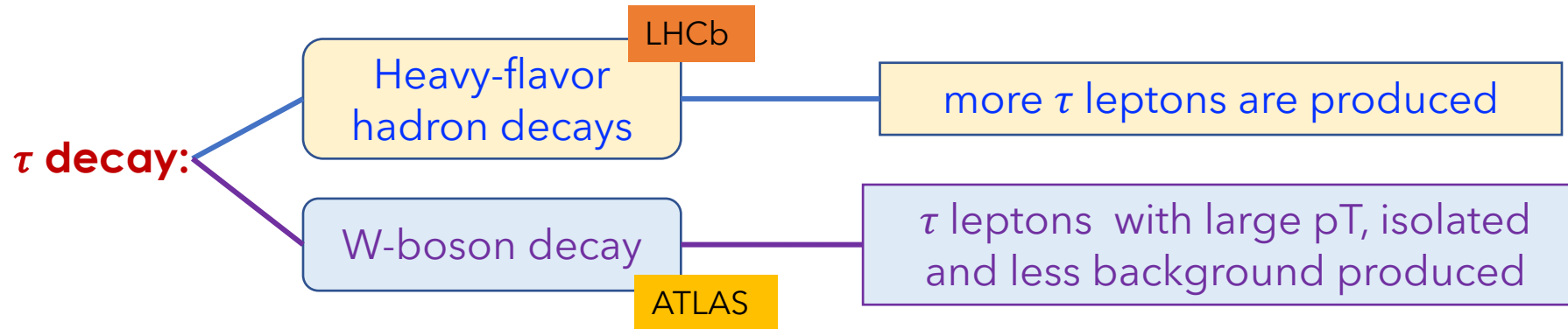
JHEP 01 (2021) 163

# LFV: $\tau \rightarrow 3\mu$

JHEP 01 (2021) 163  
BPH-17-004



- Neutrino Oscillations  $\Rightarrow$  Lepton Flavor is not conserved
- Provides mechanism, through neutrino loops, for LFV decays (extra ordinarily small branching fraction)



33 fb<sup>-1</sup> of pp collision data triggered with at least 3 muons

## Signal region

3- $\mu$  invariant mass: 1.75-1.80 GeV

Select 3- $\mu$  candidate events  
Train BDT to separate signal from background in muon mass sidebands

## Event categorization (Heavy flavor):

- 3 categories – based on per-event trimuon mass resolution
- Further into 2-categories based on BDT score
- Total of 6 categories

# LFV: $\tau \rightarrow 3\mu$

JHEP 01 (2021) 163  
BPH-17-004

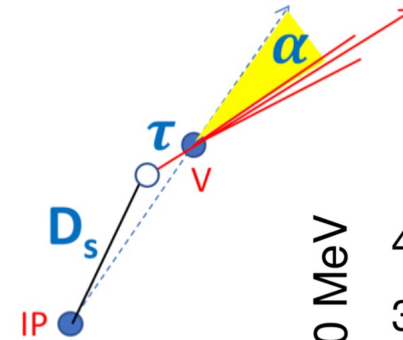


## Important inputs to BDT:

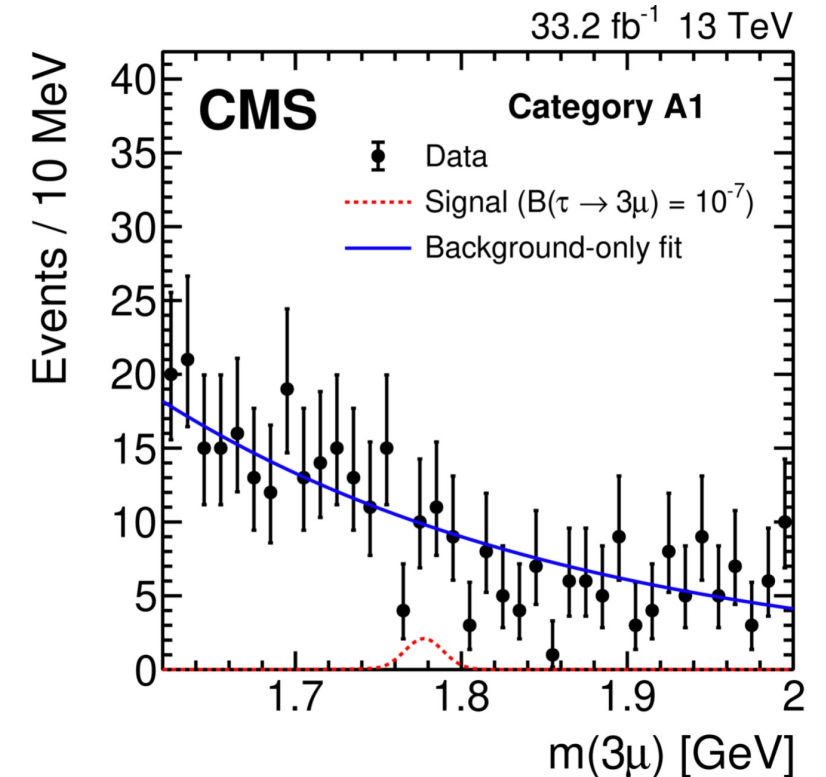
- $\chi^2$  of the trimuon vertex fit,
- Angle b/w the trimuon momentum vector and the vector connecting the primary and trimuon vertices
- PV divided by the uncertainty in that distance
- Smallest transverse impact parameter of the muons w.r.t the PV
- Isolation variables

Results from simulation indicate that the  $\tau$  leptons in the data sample overwhelmingly come from:

- 75% from D decays (mostly  $D_s$ )
- 25% from direct B decays

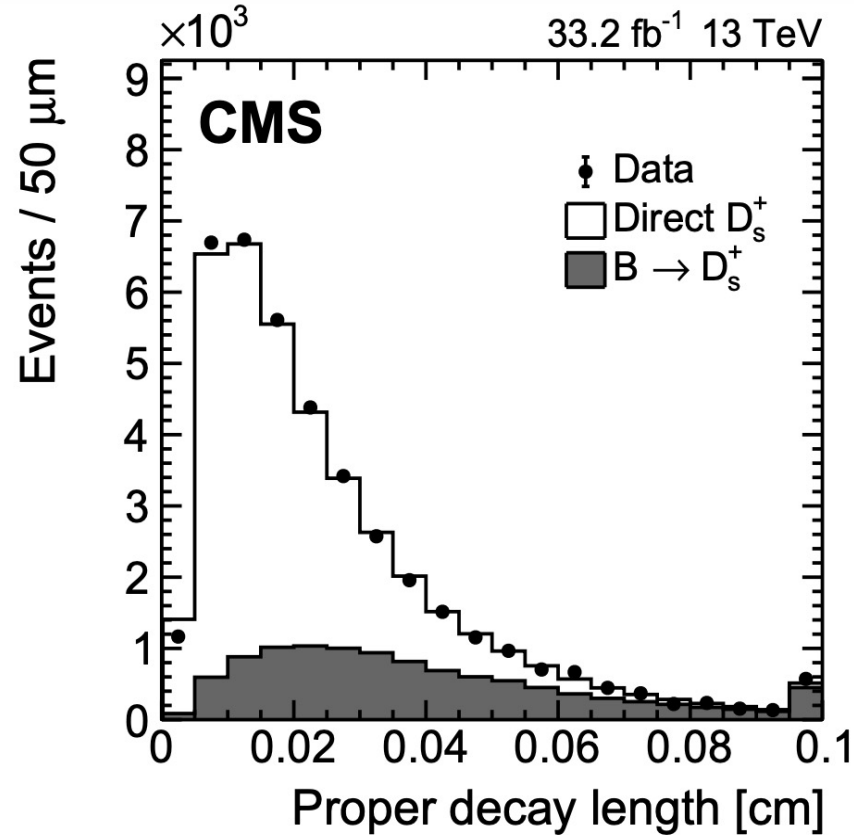
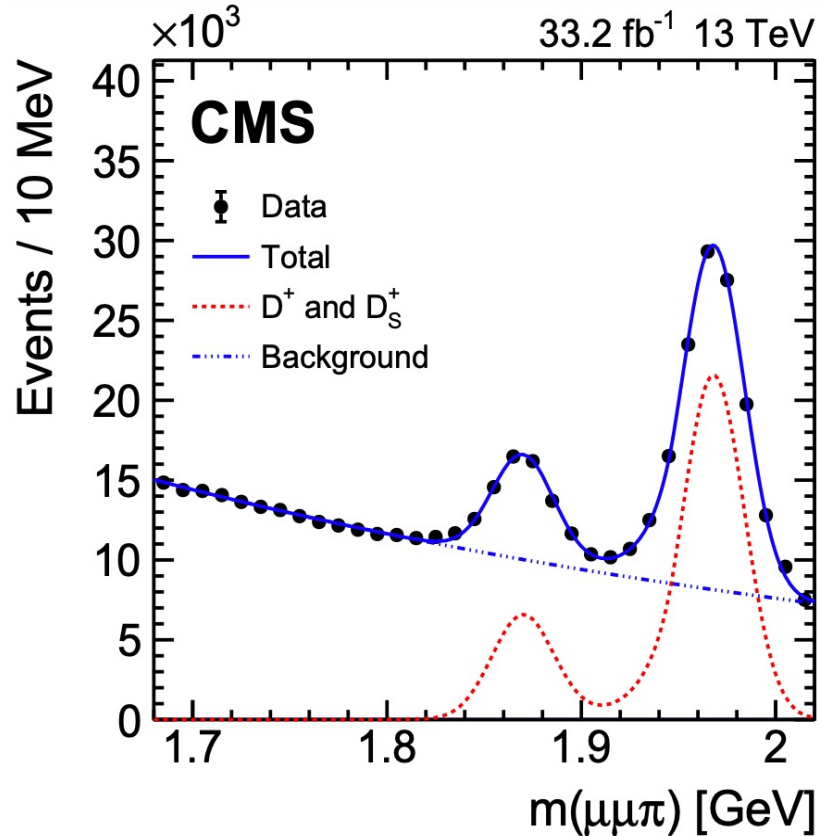


Tri-muon invariant mass  
for Heavy Flavor decay



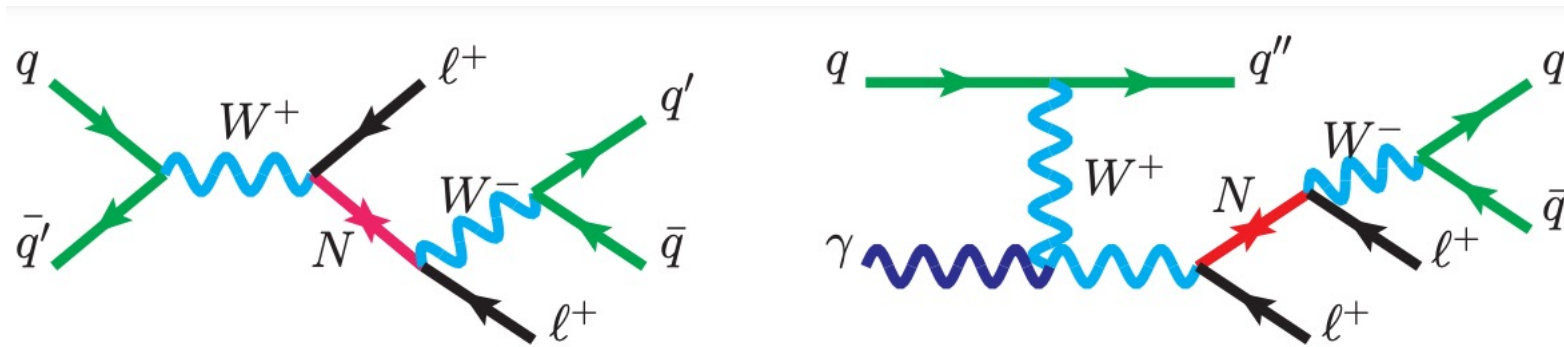
# LFV: $\tau \rightarrow 3\mu$ | Results

JHEP 01 (2021) 163  
BPH-17-004



Combining two channels gives

- An **observed** upper limit on the  $B(\tau \rightarrow 3\mu)$  of  **$8 \times 10^{-8}$**  at 90% CL with an **expected** upper limit of  **$6.9 \times 10^{-8}$**
- Sensitivity comparable to [BaBar](#) and [LHCb](#) but still a factor of four away from current best by [Belle experiment](#)



# Heavy Majorana Neutrinos

[EXO-17-028](#)

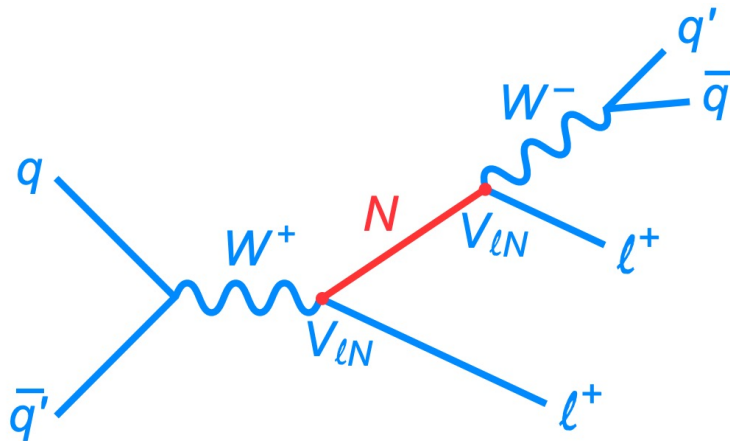
[JHEP 01 \(2019\) 122](#)

# Majorana Neutrino

JHEP 01 (2019) 122  
[EXO-17-028](#)



- $\nu^{\text{mass}} \neq 0 \Rightarrow$  Physics BSM
  - Explanation: Type-I "Seesaw" mechanism
  - Heavy Majorana 'N' neutrino (Model probed:  $\nu\text{MSM}$ )
    - New heavy-neutrino states w/o additional vector bosons



$$m_\nu \sim y_\nu^2 v^2 / m_N.$$

Limit on  $|V_{\ell N}|^2$  using cut & count method

Majorana neutrino  $\Rightarrow$  Same-sign lepton pair possible

Lepton number violation

# Majorana $\nu$ | Selection

JHEP 01 (2019) 122  
EXO-17-028

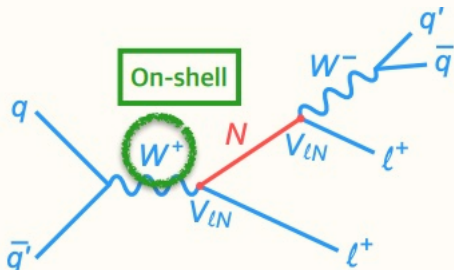


Preselection

- $SS2\ell$  ( $ee$  :  $|m(ee)-m(Z)| > 10$  GeV)
- $m(\ell\ell) > 10$  GeV
- Veto additional leptons
- Require at least one jet

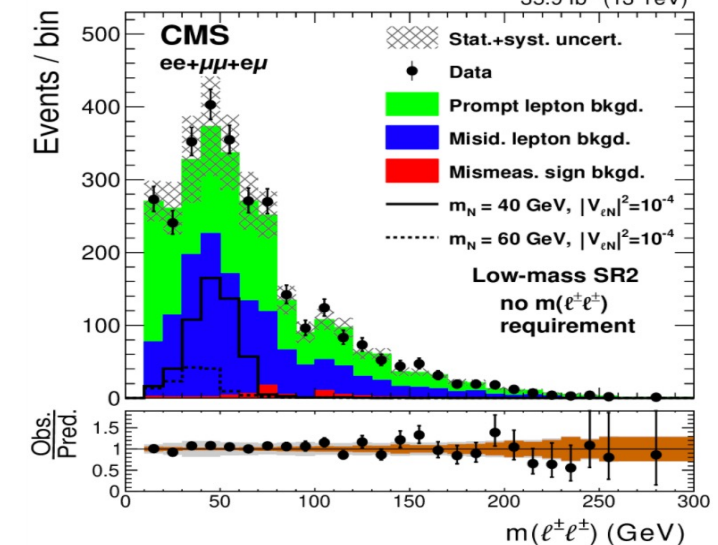
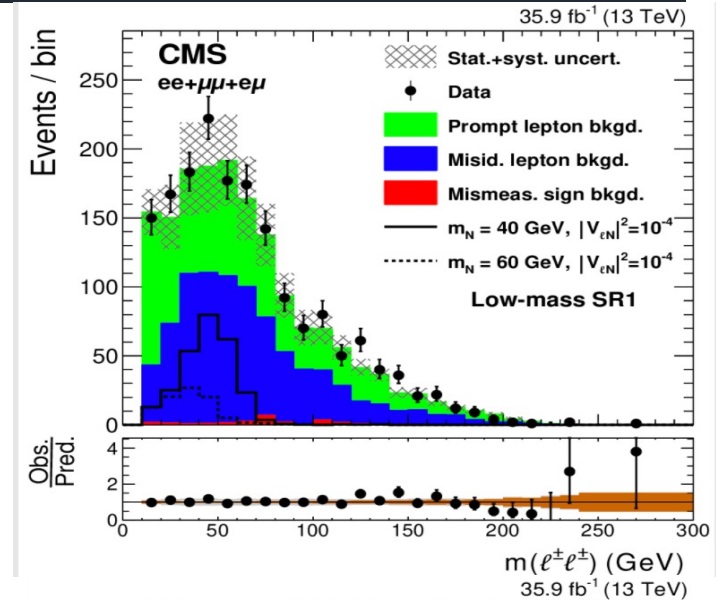
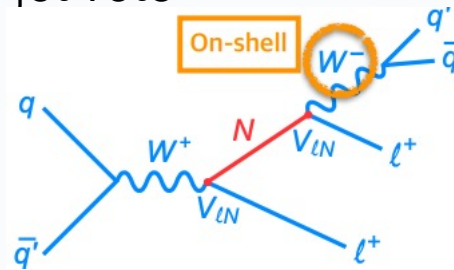
## Low Mass Region: $m(N) < m(W)$

- $W_{\text{jet}}$ 
  - (SR1) AK4 jet  $\geq 2$   $m(\ell\ell_{jj})$  closest to  $m(W)$  are picked
  - (SR2) AK4 jet = 1: proxy of  $W_{\text{jet}}$
- $m(\ell\ell W_{\text{jet}}) < 300$  GeV
- $\text{MET} < 80$  GeV
- b-jet veto



## High Mass Region: $m(N) > m(W)$

- $W_{\text{jet}}$ 
  - (SR1) AK4 jet  $\geq 2$   $m(\ell\ell_{jj})$  closest to  $m(W)$  are picked
  - (SR2) AK8 jet  $\geq 1$ :  $m(J)$  closest to  $m(W)$  are picked
- $m(W_{\text{jet}}) < 150$  GeV
- $\text{MET}^2/S_T < 15$  GeV
- b-jet veto

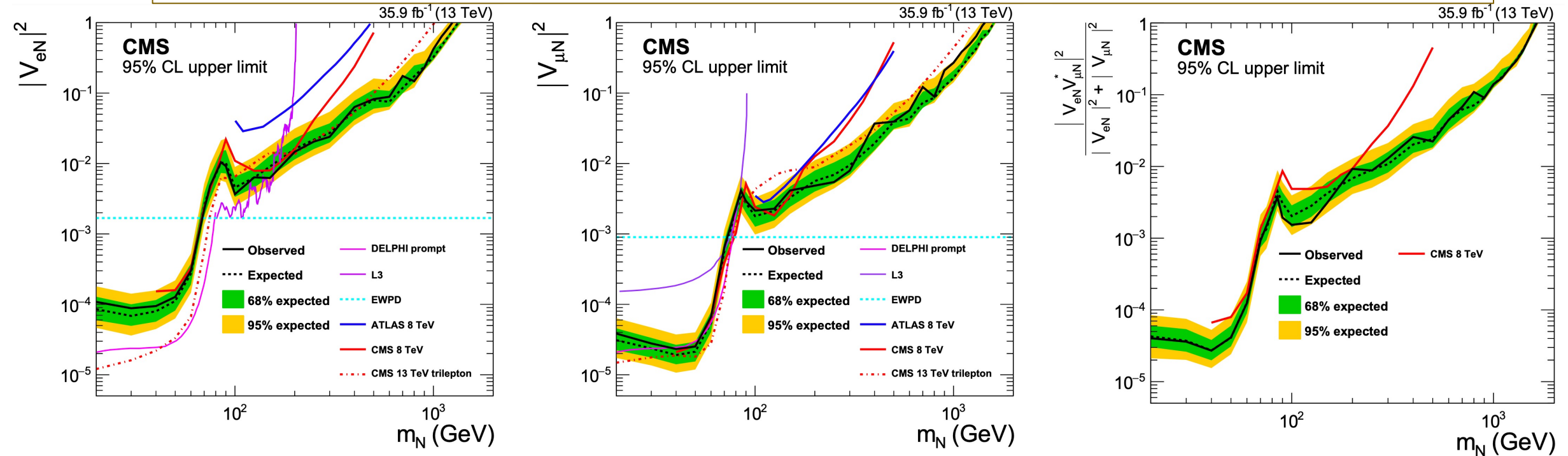


# Majorana $\nu$ | Results

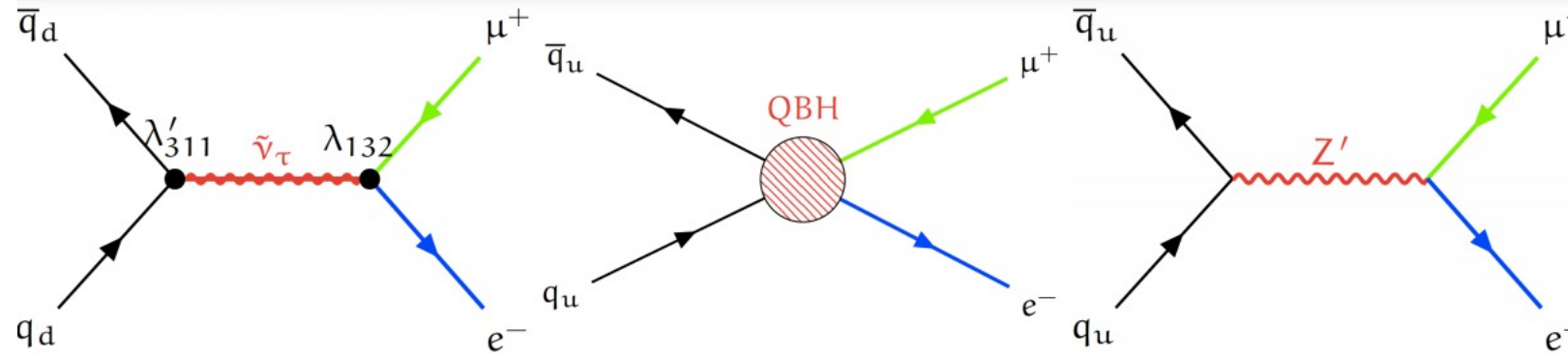
JHEP 01 (2019) 122  
EXO-17-028



Upper limits at 95% CL are set on the mixing matrix element between SM  $\nu$  and N ( $|V_{\ell N}|$ ) in the context of a Type-I seesaw model, as a function of N mass.



The search is sensitive to masses of N from 20 to 1600 GeV with 36fb $^{-1}$  of proton-proton collision data



# Heavy Resonances to $e\text{-}\mu$

[EXO-16-058](#)

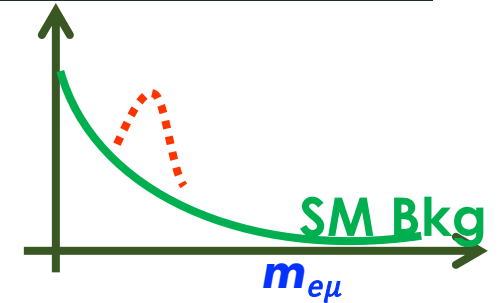
[JHEP 04 \(2018\) 073](#)

$$X \rightarrow e\mu$$

JHEP 04 (2018) 073  
EXO-16-058



- Model In-dependent search for existence of heavy particles that undergo LFV decays at 13 TeV with 36 fb<sup>-1</sup> of pp data
- Results are interpreted using RPV SUSY models, QBH, Z'



### RPV SUSY

- Lepton flavor & number are violated at the lowest Born level in interactions between fermions and their super-partners
- Assume that all RPV couplings vanish, except for  $\lambda_{123}$ ,  $\lambda_{231}$  &  $\lambda'_{311}$
- Neutralino decays to  $e\mu$  promptly and not long lived

### QBH

- Theories provide possibilities to produce microscopic blackholes at LHC
- QBHs are nonthermal objects, expected to decay predominantly to pairs of particles
- Spin-0, colorless, neutral QBHs in a model with LFV

### Z'

- Extension of the SM through the addition of an extra U(1) gauge symmetry
- Massive Z' vector boson
- Coupling similar to SM Z boson but also decay to the LFV  $e\mu$  final state with a branching fraction of 10%
- Z' width  $\sim 3\%$  for  $m > t\bar{t}$

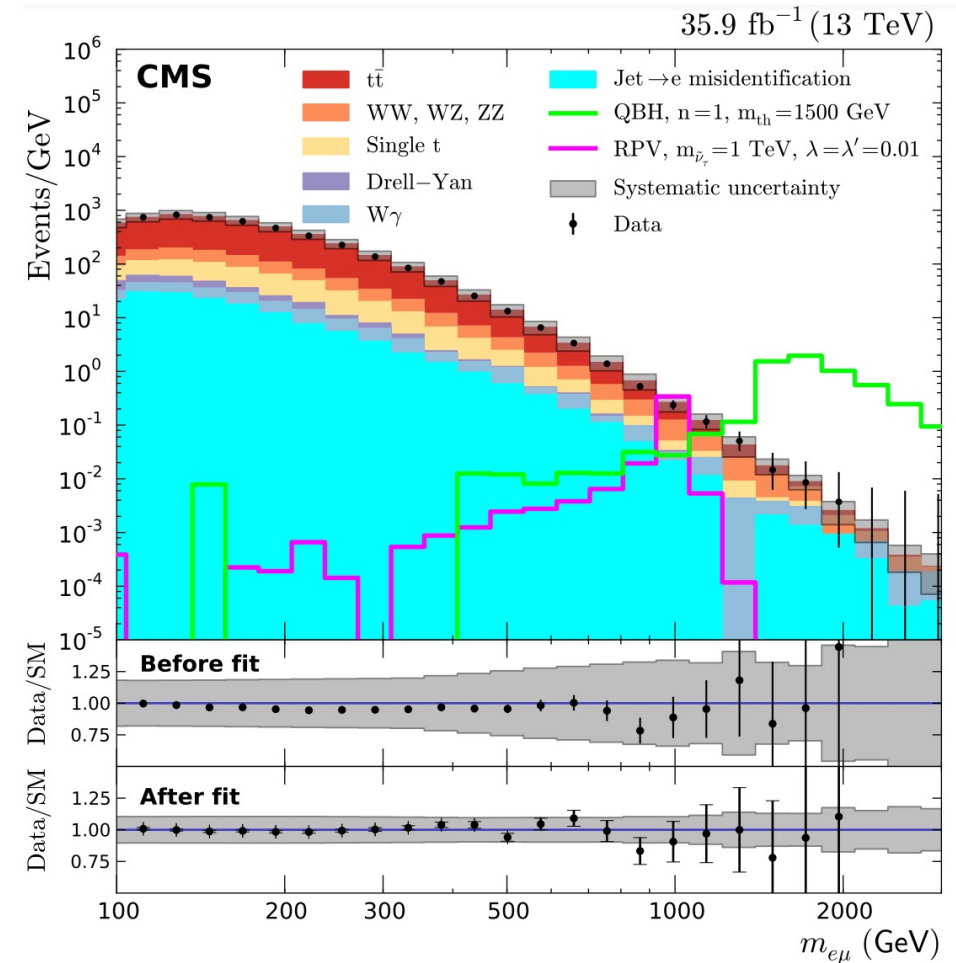
# $X \rightarrow e\mu$ | Selection

JHEP 04 (2018) 073  
EXO-16-058



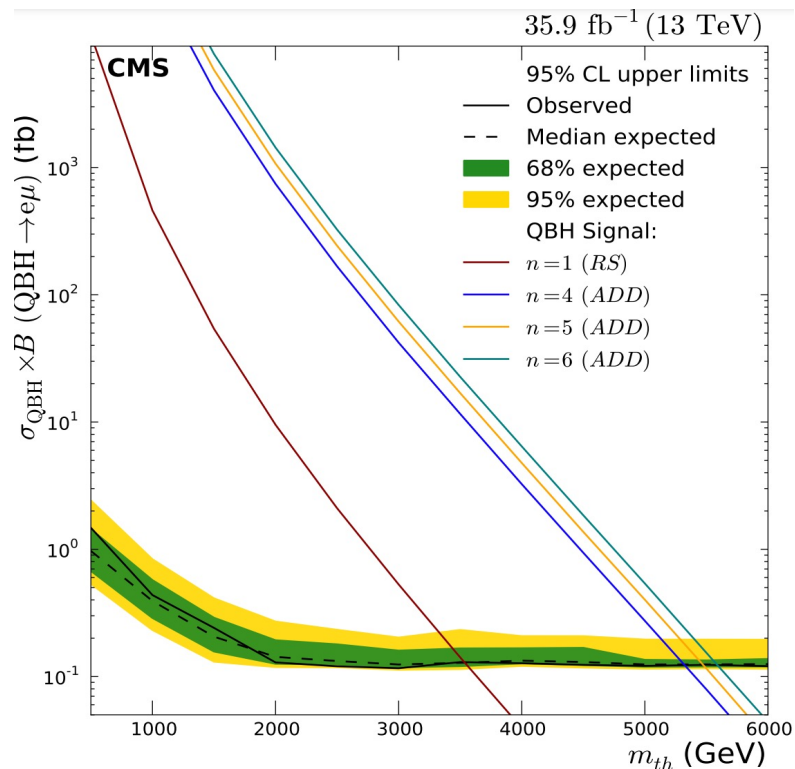
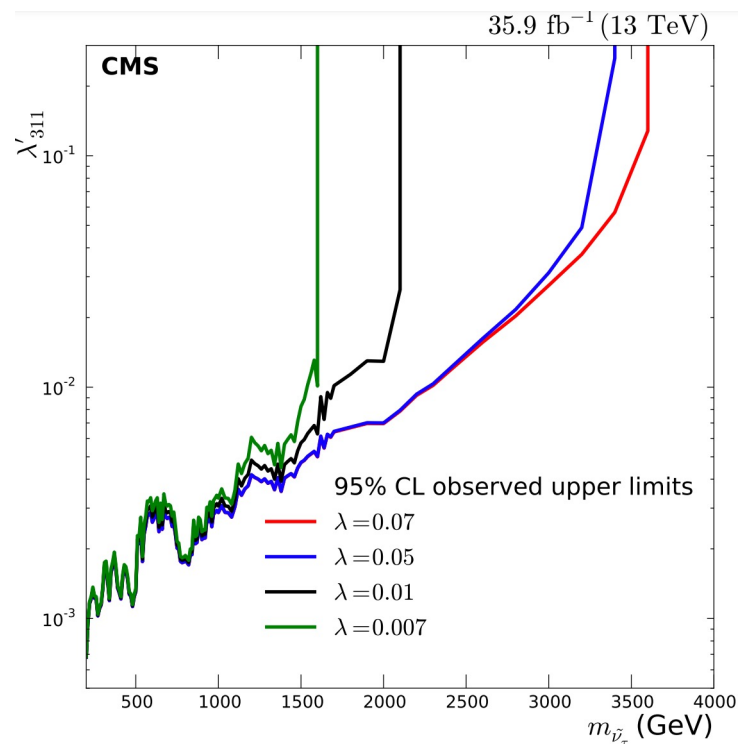
- Muons  $p_T > 53$  GeV &  $|\eta| < 2.4$
- $\Delta R(\text{Ele}, \mu) > 0.1$

Mass range (GeV)	$m_{e\mu} < 500$	$500 < m_{e\mu} < 1000$	$1000 < m_{e\mu} < 1500$	$m_{e\mu} > 1500$
Jet $\rightarrow$ e misidentification	3601	82.8	2.92	0.849
$W\gamma$	2462	56.2	2.76	0.562
Drell-Yan	2638	5.31	0.343	0.0145
Single t	9930	141	2.81	0.178
WW, WZ, ZZ	11126	239	13.0	2.03
$t\bar{t}$	96754	971	18.5	1.01
Total background	126513	1495	40.3	4.64
Systematic uncertainty	23495	420	13.5	1.28
Data	123150	1426	41	4

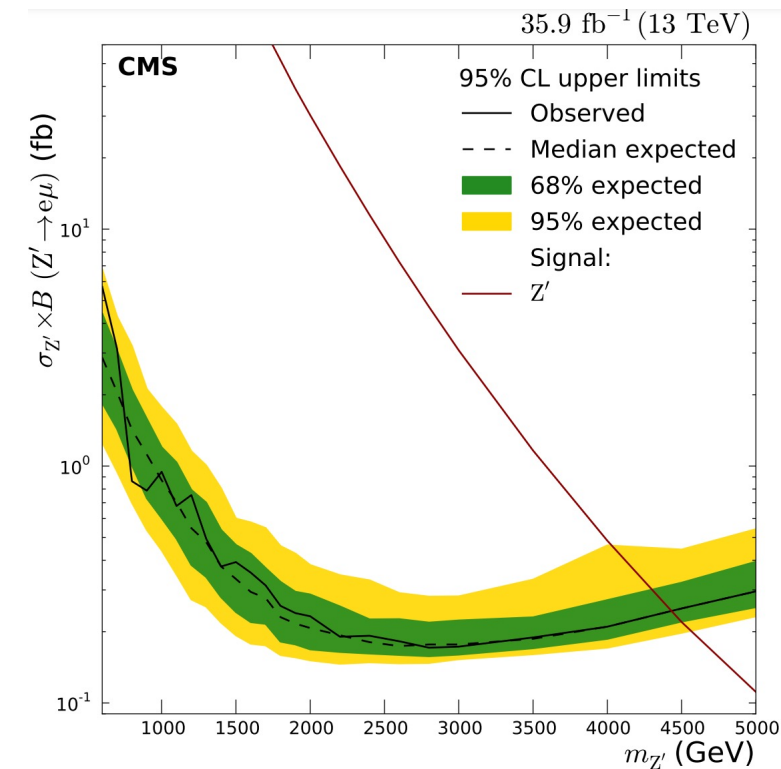


# $X \rightarrow e\mu$ | Results

JHEP 04 (2018) 073  
EXO-16-058



Lower limits of 5.3, 5.5, and 5.6 TeV are set on the threshold mass of QBH in a model with 4, 5, and 6 LEDs



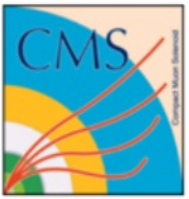
$Z'$  boson with a 10% branching fraction to the  $e\mu$  channel is excluded for masses below 4.4 TeV

# Summary

[arXiv:2105.03007](https://arxiv.org/abs/2105.03007)  
[CMS-PAS-HIG-20-009](#)



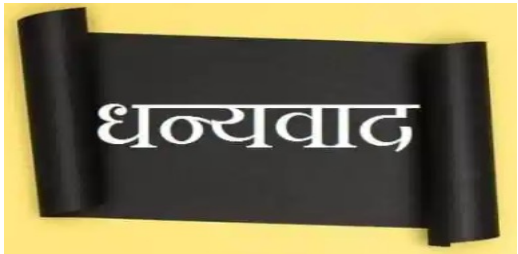
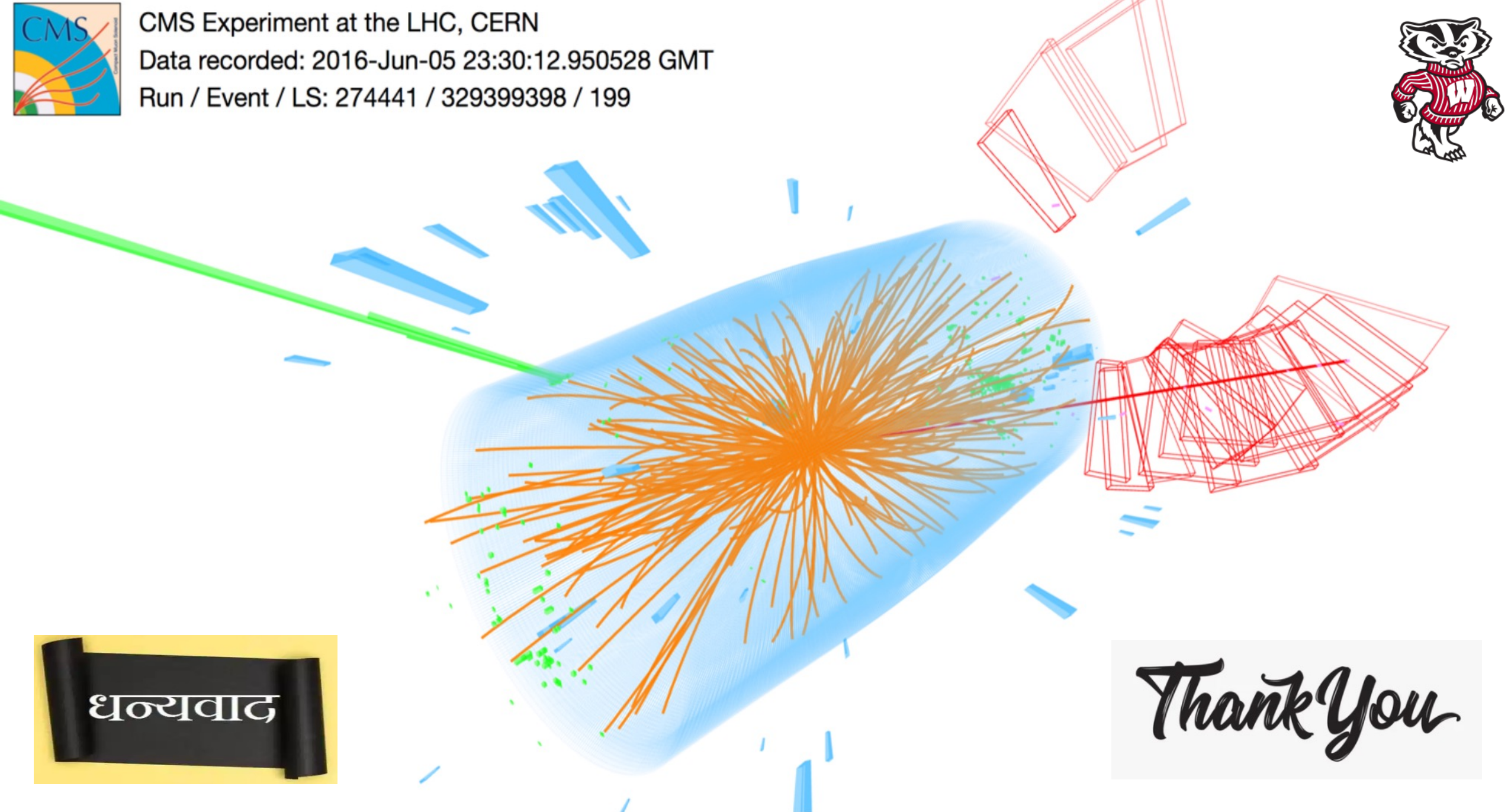
- CMS performed several searches for Lepton Flavor Violation in different channel
- No evidence has been found yet and thus stringent bounds has been placed on different models
- Stay tuned for upcoming results with full Run-2 data!



CMS Experiment at the LHC, CERN

Data recorded: 2016-Jun-05 23:30:12.950528 GMT

Run / Event / LS: 274441 / 329399398 / 199



*Thank You*

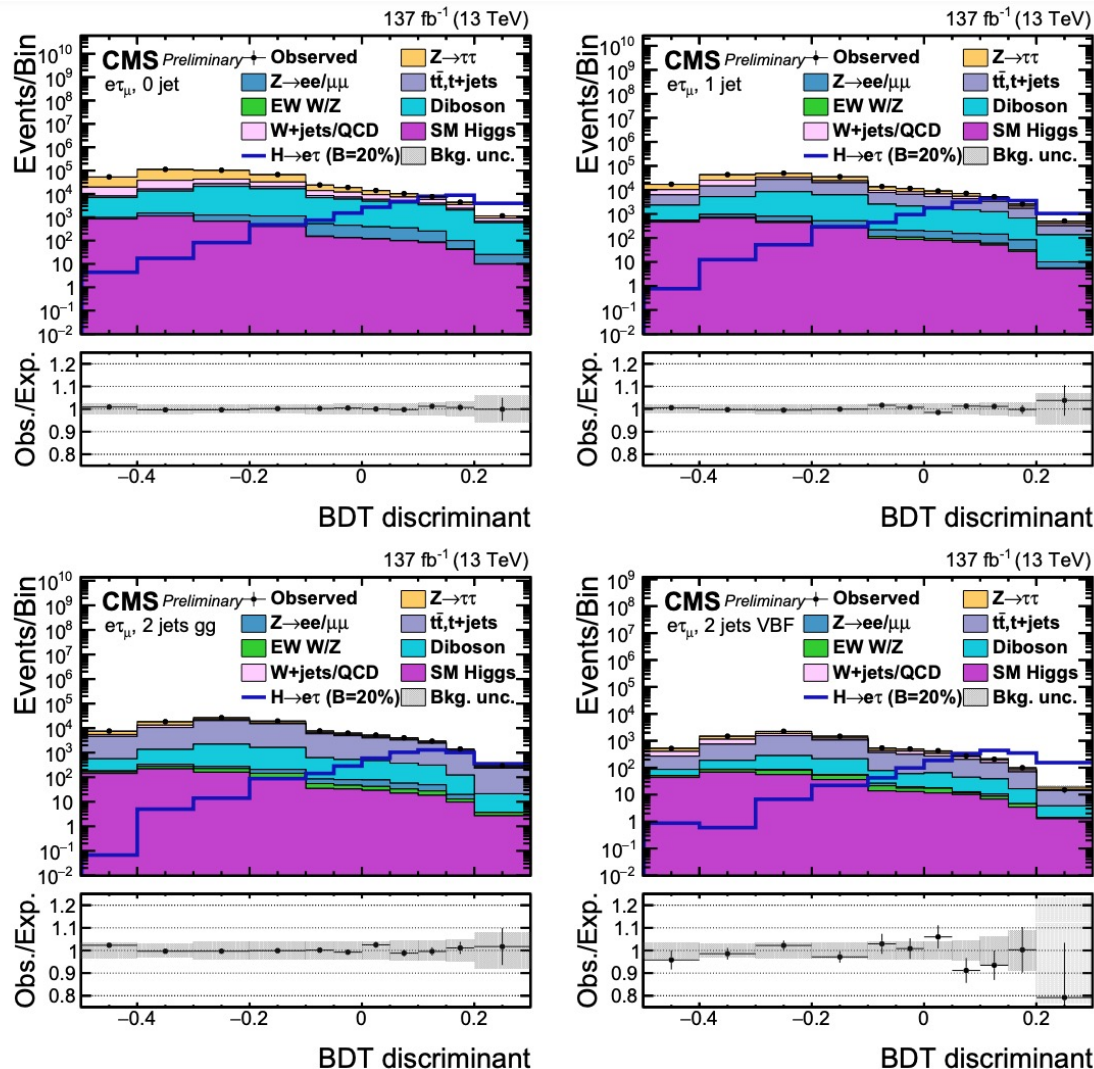


# Additional Material

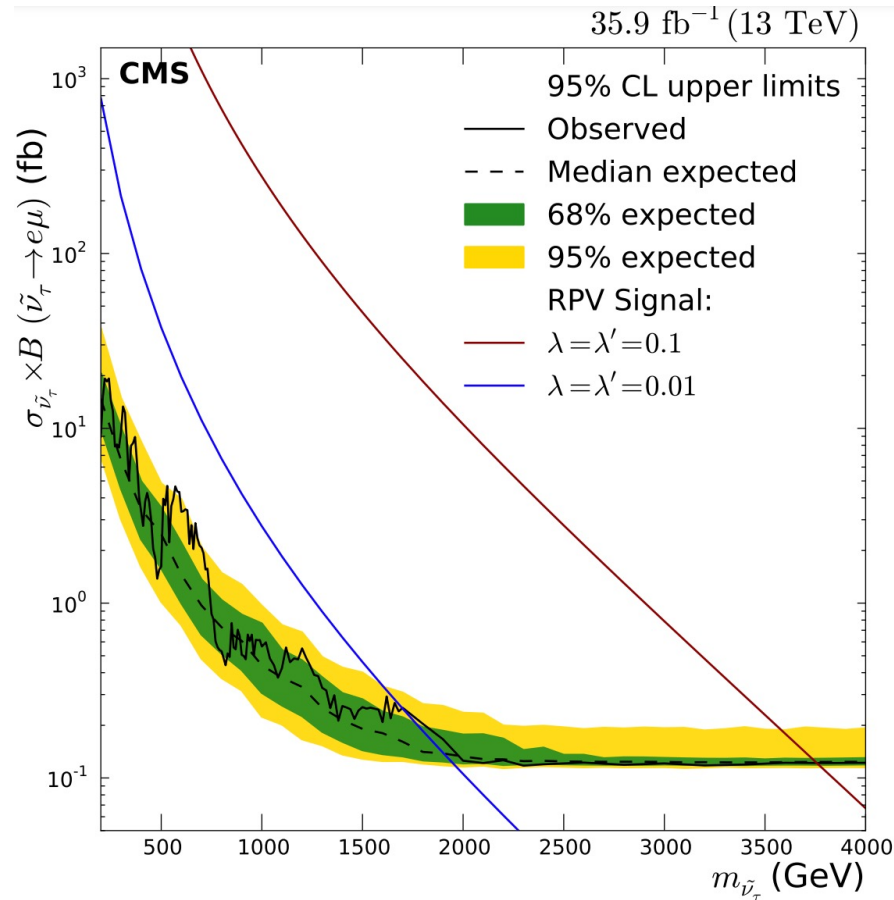
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# Higgs LFV

arXiv:2105.03007  
CMS-PAS-HIG-20-009



BDT discriminant distributions for the data and background processes in the  $H \rightarrow e\tau$  channel. A  $B(H \rightarrow e\tau)$  of 20% is assumed for the signal. The channel categories are 0 jets (upper row left), 1 jet (upper row right), 2 jets ggH (lower row left), and 2 jets VBF (lower row right). The lower panel in each plot shows the ratio of data and estimated background. The uncertainty band corresponds to the post-fit statistical and systematic uncertainties added in quadrature.



- For couplings  $\lambda_{123} = \lambda_{231} = \lambda'_{311} = 0.01$  and  $0.1$ , a Neutralino is excluded for masses below  $1.7$  and  $3.8$  TeV respectively, assuming it is the lightest supersymmetric particle