

Quantum Corrections for 4d $N=1$ Emergent Strings and the Weak Gravity Conjecture

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based on:
D. Kläwer, S.-J. Lee, T. Weigand, MW [2010.xxxxx]



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Introduction

Swampland Program: [Vafa '05]

Landscape

EFT + quantum gravity = 

Swampland

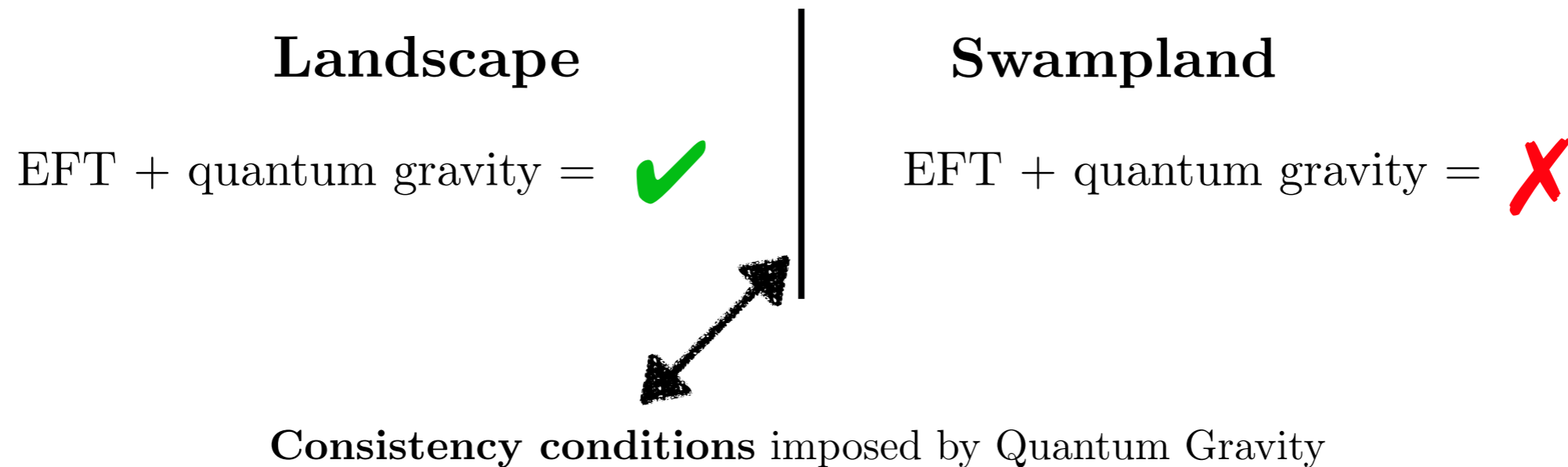
EFT + quantum gravity = 



Consistency conditions imposed by Quantum Gravity

Introduction

Swampland Program: [\[Vafa '05\]](#)



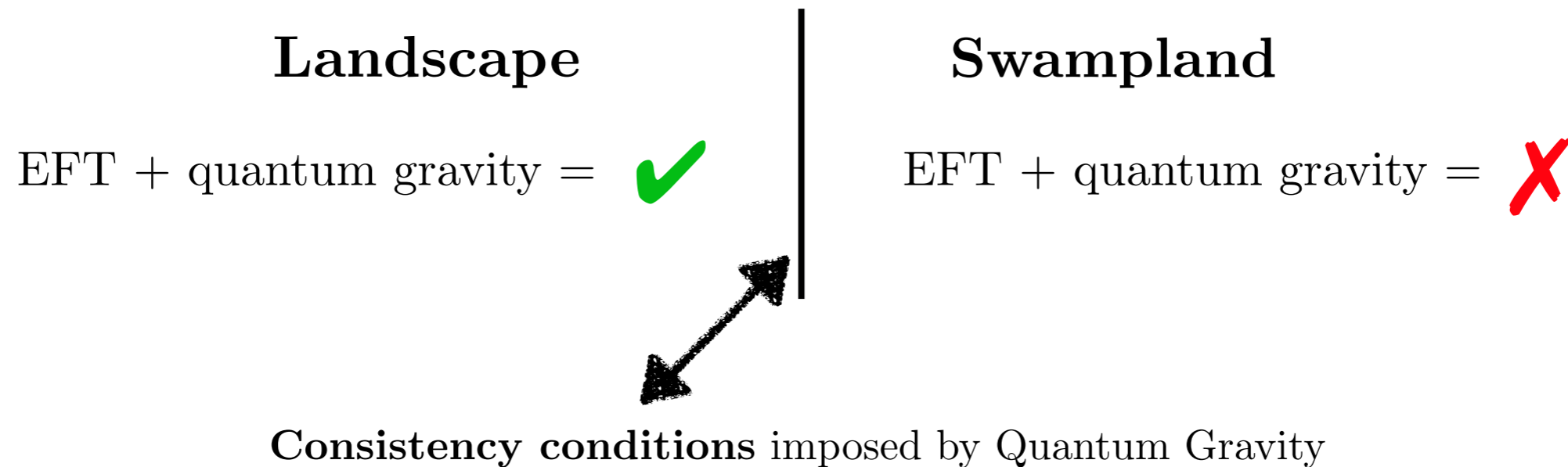
Swampland Conjectures:

- No Global Symmetry
[\[Banks, Dixon '88\]](#)
- Completeness Hypothesis
[\[Polchinski '03\]](#)
- Weak Gravity Conjecture
[\[Arkani-Hamed, Motl, Nicolis, Vafa '06\]](#)
- Swampland Distance Conjecture
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- dS/AdS conjecture
[\[Palti, Shiu, Ooguri, Vafa '18, Lüst, Palti, Vafa'19\]](#)
- ...

[\[review: Palti '19\]](#)

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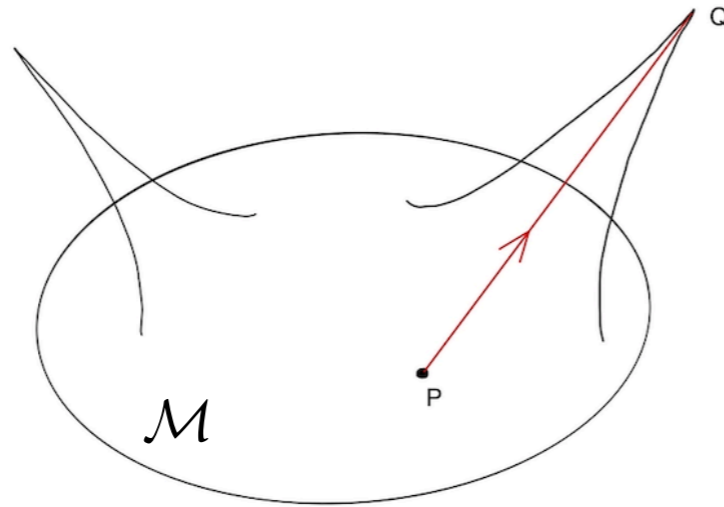
*Focus of
this talk*

[review: Palti '19]

Introduction

Swampland Distance Conjecture [\[Ooguri, Vafa '06\]](#)

At infinite distance in moduli space an infinite tower of states becomes exponentially massless in Planck units.



$$\frac{M(Q)}{M_{pl}} \sim e^{-Ad(P,Q)}$$

Weak Gravity Conjecture [\[Arkani-Hamed, Motl, Nicolis, Vafa '06\]](#)

Decay of extremal black holes \Rightarrow Self-repulsive state:

$$|F_{\text{grav.}}| \sim \frac{m^2}{M_P^2} \lesssim (gq)^2 \sim |F_{\text{gauge}}|.$$

Introduction

TEST SDC AND WGC IN 4D $\mathcal{N} = 1$.

- Setup: F-theory on CY 4-folds.
- Look at **infinite distance** limits in *classical* Kähler moduli space:
“ $t \sim \lambda \rightarrow \infty$ ” [cf. Lee, Lerche, Weigand '19]
- if \exists gauge theory with $g \sim e^{-a\lambda}$: [Heidenreich, Reece, Rudelius '15-'18; Kläwer, Palti '16]
 \Rightarrow WGC requires states with $m_n \lesssim q_n e^{-b\lambda} M_{pl}$

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 \Rightarrow WGC requires states with $m_n \lesssim q_n e^{-b\lambda} M_{pl}$
- What are these states?

KK-tower

$$m_n^2 \sim n^2 M_{KK}^2$$

(partial) **decompactification!**

String states

$$m_n^2 \sim n M_S^2$$

not necessarily decompactification:

[Lee, Lerche, Weigand '19]

Emergent String Proposal:

Theory reduces to weakly coupled ST and massless states are excitations of weakly-coupled critical string.

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Emergent String Proposal:

Theory reduces to weakly coupled ST and massless states are excitations of weakly-coupled critical string.

- 1** **Uniqueness:** For equi-dimensional infinite distance points the emergent tensionless and weakly-coupled string is *unique*.
- 2** **Quantum Consistency:** Leading perturbative corrections at $\mathcal{O}(\alpha'^2)$ ensure *consistency* of emergent string limits.
- 3** **WGC:** To leading order the WGC is *fulfilled* and *constraints* on the form of α' corrections can be deduced from heterotic/F-theory duality.

Setup

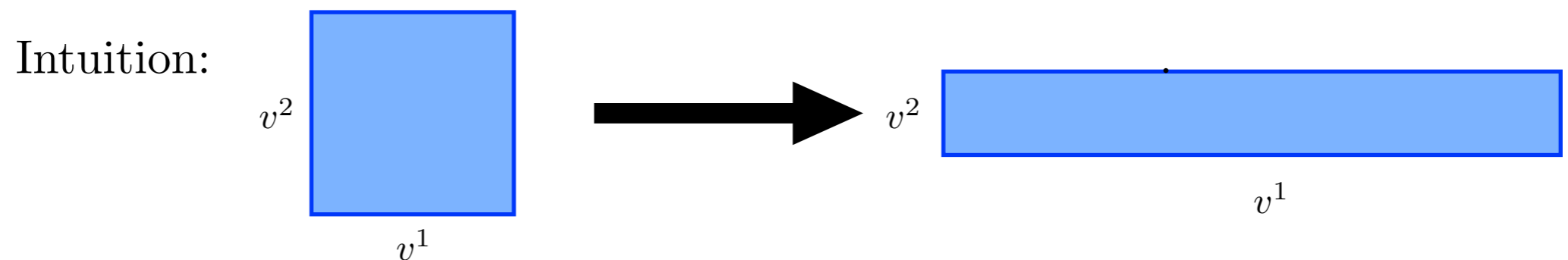
- F-theory on elliptic CY 4-fold: $E_\tau \rightarrow X_4 \rightarrow B_3$.
- Kähler moduli space spanned by $h^{1,1}(B_3)$ moduli v^α .

$$J = v^\alpha J_\alpha \quad \Rightarrow \quad \mathcal{V}_{B_3} = \frac{1}{6} \int_{B_3} J^3 = \frac{1}{6} k_{\alpha\beta\gamma} v^\alpha v^\beta v^\gamma.$$

- To avoid decompactification limit, cannot just take

$$v^\alpha \sim \mu \rightarrow \infty \quad \forall \alpha.$$

- Have to take a non-trivial limit:



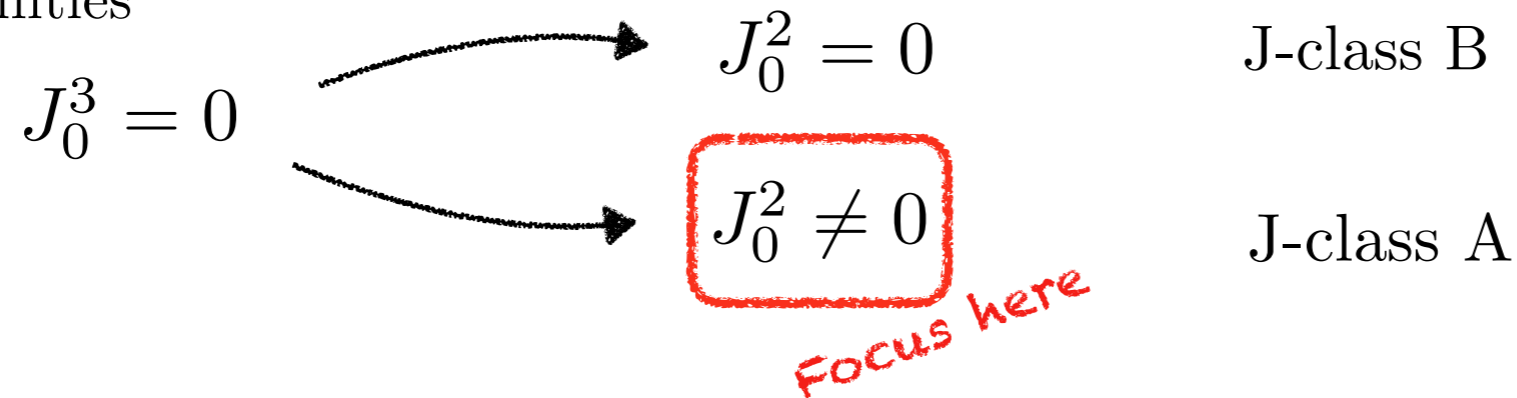
[Lee, Lerche, Weigand '19]

- possible if $J_0^3 = 0$ for some generator J_0 .

Setup

[Lee, Lerche, Weigand '19]

Two possibilities

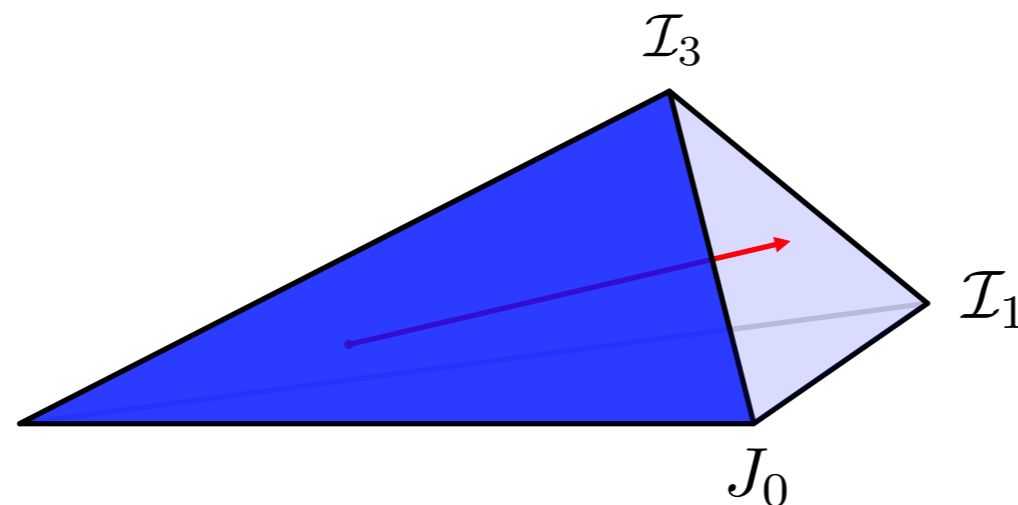


$$J = \lambda J_0 + \sum_{\mu \in \mathcal{I}_1} \frac{1}{\lambda^2} J_\mu + \sum_{r \in \mathcal{I}_3} v^r J_r,$$

$\mathcal{V}_{B_3} = \text{const. for } \lambda \rightarrow \infty$

$$J_0^2 \cdot J_\mu \neq 0 \quad \forall \mu \in \mathcal{I}_1,$$

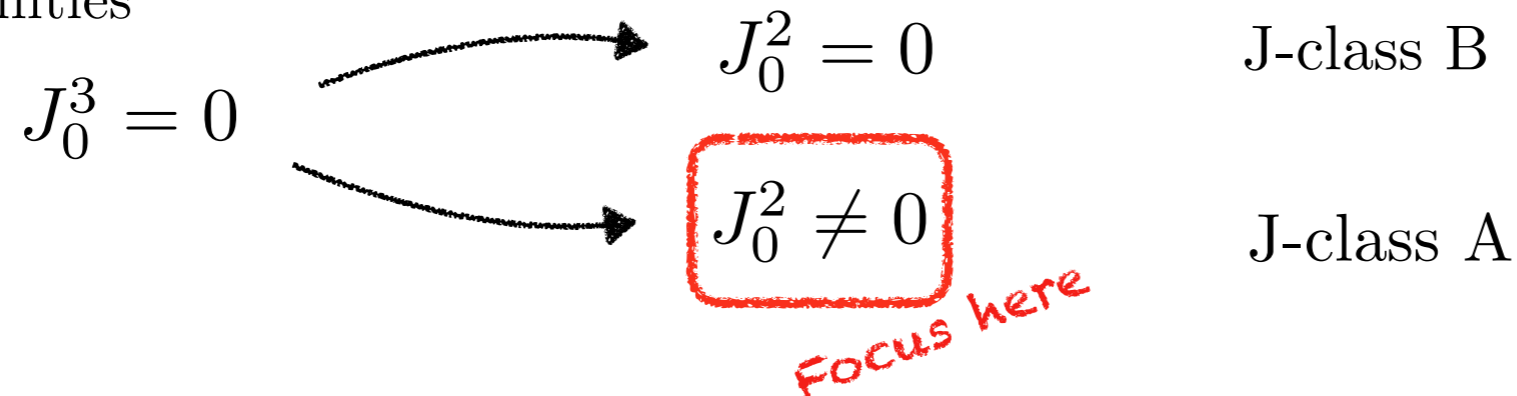
$$J_0^2 \cdot J_r = J_0 \cdot J_s \cdot J_r = 0 \quad \forall r, s \in \mathcal{I}_3$$



Setup

[Lee, Lerche, Weigand '19]

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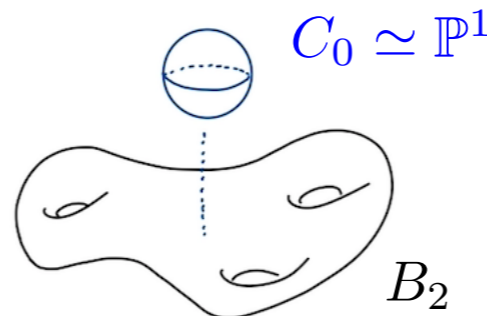
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$\searrow \mathcal{V}_{B_3} = \text{const. for } \lambda \rightarrow \infty$

- The curve $C_0 = J_0 \cdot J_0$ shrinks in the limit: $\mathcal{V}_{C_0} \sim \frac{1}{\lambda^2}$

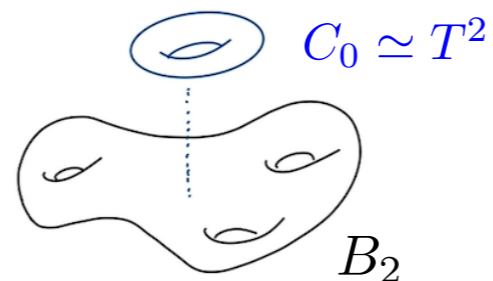
- B_3 is fibration $C_0 \rightarrow B_2$:

1

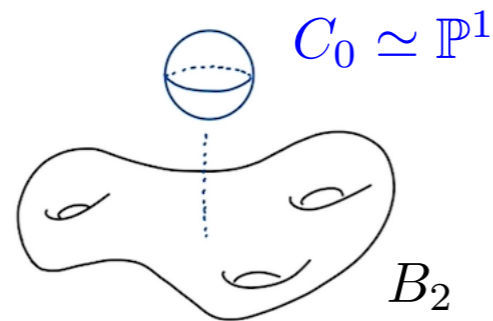


$$\bar{K}_{B_3} \cdot C_0 = 2$$

2



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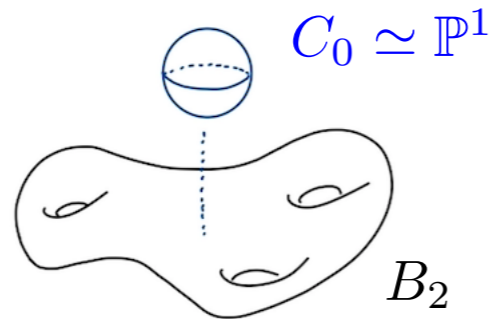
- D3-brane wrapped on C_0 gives rise to *emergent heterotic string*:

$$\frac{M_{\text{het}}^2}{M_S^2} \sim \lambda^{-2}$$

- Gauge theory on divisor \mathcal{S} with $\mathcal{S} \cdot C_0 \neq 0$ becomes weakly coupled:

$$\frac{1}{g_{YM}^2} = \mathcal{V}_{\mathcal{S}} \sim \lambda^2$$

- String excitation modes satisfy WGC! $M_k^2 \sim M_{\text{het}}^2 (n_k - 1)$
 $\exists q_k: q_k^2 \geq 4mn_k$



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So everything's fine?

Open Problems

- 1** Can there be multiple strings that become tensionless at the same rate?

$$B_3 = \underbrace{\mathbb{P}^1}_{\sim \lambda^{-1/2}} \times \underbrace{\mathbb{P}^1}_{\sim \lambda^{-1/2}} \times \underbrace{\mathbb{P}^1}_{\sim \lambda^1}$$

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- 2 Analysis so far was **purely classical**!

- $\mathcal{N} = 1$ moduli space is **not** classically exact.
- Quantum Corrections can obstruct classical infinite distance limits!

Already observed in $\mathcal{N} = 2$ setups:

1. Vector multiplet moduli space of IIA on CY_3 .
[Lee, Lerche, Weigand '19]
2. Hypermultiplet moduli space of IIB on CY_3 .

[Marchesano, MW '19]

- Shrinking of fibral curve can be problematic:

$$\mathcal{V}_{C_0} = \frac{M_{\text{het}}^2}{M_S^2} \lesssim \frac{M_{KK}^2}{M_S^2}.$$

\Rightarrow Critical 4D string theory?!

In type IIB hypermultiplet moduli space the quantum corrections precisely obstruct limits with classically intrinsically 4D strings! [Baume, Marchesano, MW '19]

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- 3 Does the classical WGC relation also receive quantum corrections?

1

Uniqueness: For any J-class A or J-class B limit one of the following holds:

1. B_3 has a *unique* \mathbb{P}^1 -fibration and the fiber shrinks at the fastest rate in the limit (unique emergent heterotic string).
2. B_3 has a *unique* T^2 -fibration and the fiber shrinks at the fastest rate in the limit. (unique emergent type II string)
3. No such unique fibration exists; $M_{KK}/M_S \rightarrow 0$ for any solitonic string (decompactification)

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Summary of Results

[Kläwer, Lee, Weigand, MW (to appear)]

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For T^2 -fibrations:

- α' -corrections do **not** obstruct infinite distance limits with *finite* volume:
→ Classical analysis goes through!
- Reason: Winding modes along the torus fiber give the leading KK tower
⇒ $M_{IIB}^2/M_{KK}^2 \sim \mathcal{O}(1)$: **Emergent string limit!**

2 For \mathbb{P}^1 -fibrations:

- α' -corrections obstruct infinite distance limits with *finite* volume:
→ Perturbative control lost at finite distance in Kähler moduli space
⇒ **No** emergent string limit!
- Limit: $J = \tilde{\lambda}J_0 + \frac{1}{\tilde{\lambda}}J_{\mathcal{I}_1} + bJ_{\mathcal{I}_3}$ *marginally* allowed with $M_{\text{het}}/M_{\text{KK}} \sim \mathcal{O}(1)$.
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3 The WGC relation (only applies to \mathbb{P}^1 -fibrations):

- Including α' -corrections to F-theory geometry, the WGC-relation is still fulfilled at leading order in the weak coupling limit.
- \exists sublattice of super-extremal states in all cases.

- The classical 4D effective theory has $h^{(1,1)}(B_3)$ chiral multiplets with sax-ionic component

$$\mathrm{Re} T_\alpha^0 = \frac{1}{2} \int_{D_\alpha} J \wedge J = \frac{1}{2} k_{\alpha\beta\gamma} v^\beta v^\gamma ,$$

with Kähler potential and dual linear multiplets

$$K = -2 \log \mathcal{V}_{B_3}^0 , \quad L_0^\alpha = -\frac{\partial K}{\partial \mathrm{Re} T_\alpha} = \frac{v^\alpha}{\mathcal{V}_{B_3}^0} .$$

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- Higher derivative contributions to the 11D M-theory supergravity effective action give α' corrections to these quantities:

$$\text{Re } T_\alpha = \text{Re } T_\alpha^0 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\text{Re } T_\alpha^0 \mathcal{Z}}{\mathcal{V}_{B_3}^0} + \kappa_5 \frac{\text{Re } T_\alpha^0 \mathcal{T}}{\mathcal{V}_{B_3}^0} + \kappa_4 \mathcal{Z}_\alpha \log \mathcal{V}_{B_3}^0 + \kappa_6 \mathcal{T}_\alpha + \kappa_7 \mathcal{Z}_\alpha \right) ,$$

$$e^{K/2} = \mathcal{V}_{B_3}^0 + \alpha^2 ((\tilde{\kappa}_1 + \tilde{\kappa}_2) \mathcal{Z} + \tilde{\kappa}_2 \mathcal{T}) .$$

$$\mathcal{Z}_\alpha = \int_{Y_4} c_3(Y_4) \wedge \pi^*(J_\alpha) ,$$

$$\mathcal{T}_\alpha = -18(1 + \alpha_2) \frac{1}{\text{Re } T_\alpha^{\text{cl.}}} \int_{D_\alpha} c_1(B_3) \wedge J \int_{D_\alpha} J_\alpha \wedge J ,$$

$$\mathcal{T} = \mathcal{T}_\alpha v^\alpha , \quad \mathcal{Z} = \mathcal{Z}_\alpha v^\alpha ,$$

$$\mathrm{Re} T_\alpha = \mathrm{Re} T_\alpha^0 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathrm{Re} T_\alpha^0 \mathcal{Z}}{\mathcal{V}_{B_3}^0} + \kappa_5 \frac{\mathrm{Re} T_\alpha^0 \mathcal{T}}{\mathcal{V}_{B_3}^0} + \kappa_4 \mathcal{Z}_\alpha \log \mathcal{V}_{B_3}^0 + \kappa_6 \mathcal{T}_\alpha + \kappa_7 \mathcal{Z}_\alpha \right).$$

- Recall the classical limit: $J = \lambda J_0 + \frac{1}{\lambda^2} \sum_{\mu \in \mathcal{I}_1} a^\mu J_\mu + \sum_{r \in \mathcal{I}_3} b^r J_3$.
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$B_3 : \mathbb{P}^1 \rightarrow B_2$:

- In generic models: $\mathcal{Z}_0 \neq 0$ but precise value model dependent.
- $\bar{K}.C_0 = 2 \Rightarrow \mathcal{T}_0 = -36(1 + \alpha_2)$ independently of chosen model.

\Rightarrow Classical limit leads out of perturbative F-theory regime!

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Quantum Obstructions — Consequences

[Kläwer, Lee, Weigand, MW (to appear)]

$\bar{K}.C_0 \neq 0$ vs. $\bar{K}.C_0 = 0$ a significantly different \leftrightarrow different emergent strings

- D3-brane on T^2 gives fundamental **Type II string**:
 - emergent string limit is protected from quantum corrections
 \leftrightarrow has enhanced $\mathcal{N} = (2, 2)$ worldsheet supersymmetry.
 - string excitations are not charged under gauge symmetry.

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 \leftrightarrow has enhanced $\mathcal{N} = (2, 2)$ worldsheet supersymmetry.
 - string excitations are not charged under gauge symmetry.
- D3-brane on \mathbb{P}^1 gives fundamental **Heterotic string**:
 - classical emergent string limit obstructed.
 - quantum corrections in particular affect the gauge coupling for gauge theory along divisor \mathcal{S} :

$$\frac{1}{g_{YM}^2} = \underbrace{\operatorname{Re} T_{\mathcal{S}}^0}_{=g_{YM,0}^{-2}} \left(1 + \alpha^2 \underbrace{\frac{\mathcal{Z} + \mathcal{T}}{\mathcal{V}_{B_3}^0}}_{\gg 1} \right)$$

- Enter a non-perturbative phase also for the gauge theory: no weak-coupling limit anymore!
- Following this trajectory stability of **heterotic string** cannot be ensured!

Quantum Obstructions — Consequences

[Kläwer, Lee, Weigand, MW (to appear)]

To keep weakly-coupled, tensionless heterotic string limit have to rescale:

$$J \rightarrow J' = \mu J$$

- For $\mu = \lambda^{1/2}$ have $M_{\text{het}}/M_{KK} \sim \mathcal{O}(1)$ which is *marginally* allowed by the quantum corrections (only numerical suppression).
- No further obstruction due to non-perturbative superpotential.

Question: Is the WGC relation affected by the quantum corrections?

- The geometric part $\mathcal{V}_{C_0} \cdot \mathcal{V}_S = \mathcal{V}_{B_3}$ of the WGC relation receives α' -corrections:

$$\begin{aligned}\mathcal{V}_{C_0} &= \mathcal{V}_{C_0}^{(0)} \left[1 + \alpha^2 \left((\tilde{\kappa}_1 + \tilde{\kappa}_2 - \kappa_3 - \kappa_5) \frac{\mathcal{Z}}{\mathcal{V}_{B_3}^{(0)}} + (\tilde{\kappa}_2 - \kappa_5) \frac{\mathcal{T}}{\mathcal{V}_{B_3}^{(0)}} - \delta_1 \right) \right], \\ \mathcal{V}_S &= \mathcal{V}_S^{(0)} \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{Z}}{\mathcal{V}_{B_3}^{(0)}} + \kappa_5 \frac{\mathcal{T}}{\mathcal{V}_{B_3}^{(0)}} + \delta_2 \right) \right], \\ \mathcal{V}_{B_3} &= \mathcal{V}_{B_3}^{(0)} \left[1 + \alpha^2 \left((\tilde{\kappa}_1 + \tilde{\kappa}_2) \frac{\mathcal{Z}}{\mathcal{V}_{B_3}^{(0)}} + \tilde{\kappa}_2 \frac{\mathcal{T}}{\mathcal{V}_{B_3}^{(0)}} \right) \right],\end{aligned}$$

- **Expectation:** To leading order in λ the WGC relation should still hold.
- **Problem:** δ_2 is suppressed but δ_1 is not suppressed for $\lambda \rightarrow \infty$,

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$$\mathcal{V}_S = \mathcal{V}_S^{(0)} \left[1 + \alpha^2 \left((\kappa_3 + \kappa_5) \frac{\mathcal{Z}}{\mathcal{V}_{B_3}^{(0)}} + \kappa_5 \frac{\mathcal{T}}{\mathcal{V}_{B_3}^{(0)}} + \delta_2 \right) \right],$$

$$\mathcal{V}_{B_3} = \mathcal{V}_{B_3}^{(0)} \left[1 + \alpha^2 \left((\tilde{\kappa}_1 + \tilde{\kappa}_2) \frac{\mathcal{Z}}{\mathcal{V}_{B_3}^{(0)}} + \tilde{\kappa}_2 \frac{\mathcal{T}}{\mathcal{V}_{B_3}^{(0)}} \right) \right],$$

- Expectation:** To leading order in λ the WGC relation should still hold.
- Problem:** δ_2 is suppressed but δ_1 is not suppressed for $\lambda \rightarrow \infty$.
 - Solution:** classical F-theory relation is equivalent to the expression for heterotic gauge kinetic function at tree-level:

$$\mathcal{V}_S = \frac{\mathcal{V}_{B_3}}{\mathcal{V}_{C_0}} \quad \leftrightarrow \quad f_{\text{het.}} = S \quad (\text{the heterotic 4d dilaton})$$

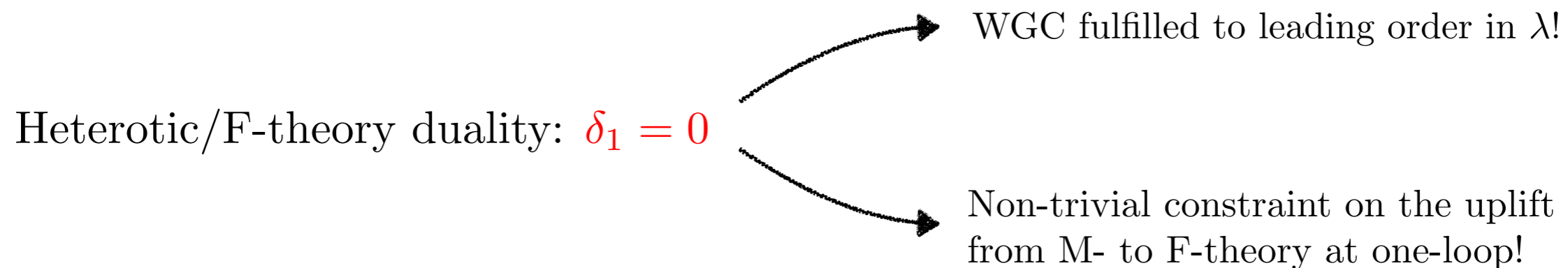
- The latter relation only receives corrections at 1-loop!
- δ_1 cannot be understood as 1-loop correction $\Rightarrow \delta_1 = 0$ by het./F-theory duality!

Is $\delta_1 = 0$ possible?

- Higher derivative contributions to M-theory on CY 4-fold yield $\delta_1 \neq 0$.
- But term δ_1 term can be seen as a 1-loop correction when compactifying the 4d F-theory effective action on an additional S^1 . [Grimm, Mayer, Weissenbacher '17, '19]

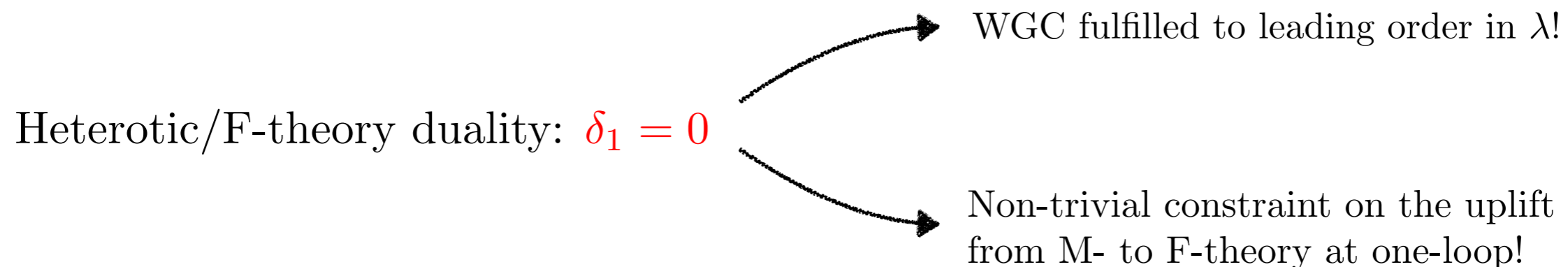
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What about higher orders?

- F-theory α' -corrections induce $\mathcal{O}(g_{YM}^2)$ corrections to WGC relation.
- Also should take corrections to the mass of the string excitations into account.

Summary

- In equi-dimensional infinite distance limits in the Kähler moduli space of F-theory on CY_4 , there is always a **unique** emergent string.
- F-theory α' -corrections ensure that $M_{KK}^2 \lesssim M_{\text{string}}^2$ is always fulfilled.
- *finite* volume limits for **rationally** fibered B_3 are **out of perturbative control** but **allowed** for **elliptically** fibered B_3 .
- WGC relation still holds in the presence of α' -corrections.

Future Directions:

- Include corrections to mass of string excitations to compare with BH extremality bound!
- Better understand the regime away from the weak-coupling limit!

Thank you!