

Sterile Neutrino Decay Phenomenology

Sterile Neutrino Search at Underground mini-workshop
IBS, Seoul, Korea

Carlos Argüelles



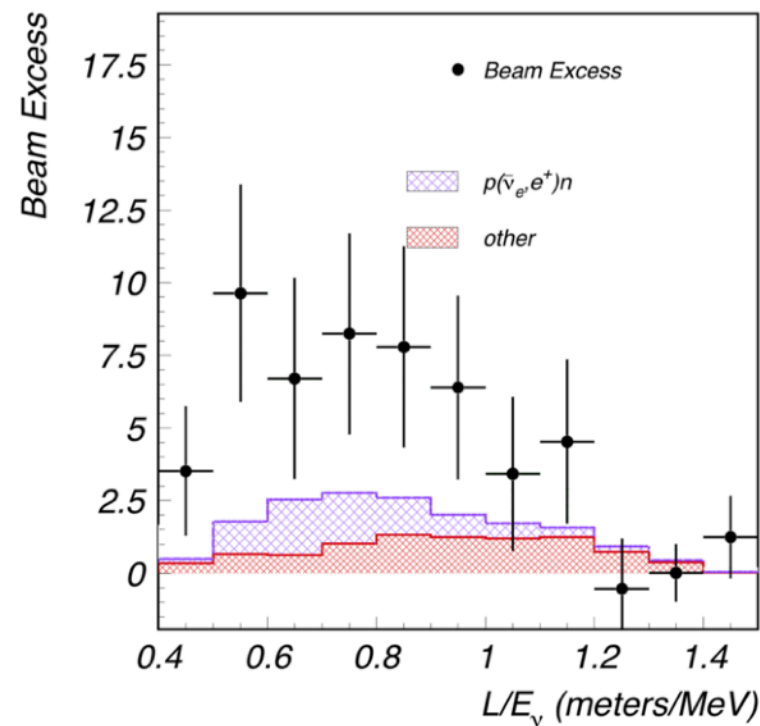
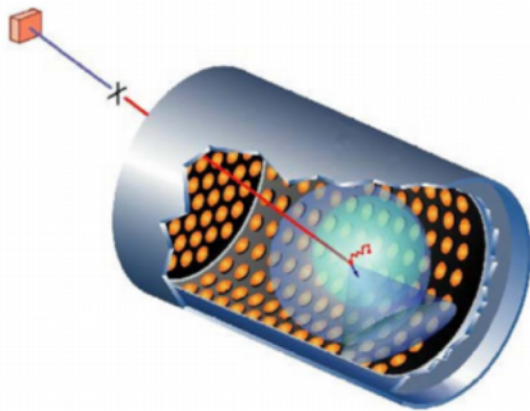
HARVARD
UNIVERSITY

Outline

- Why going beyond 3+1?
- The garden of forking paths
- Opportunities for discovery with IsoDAR@Yemilab

The short-baseline anomalies

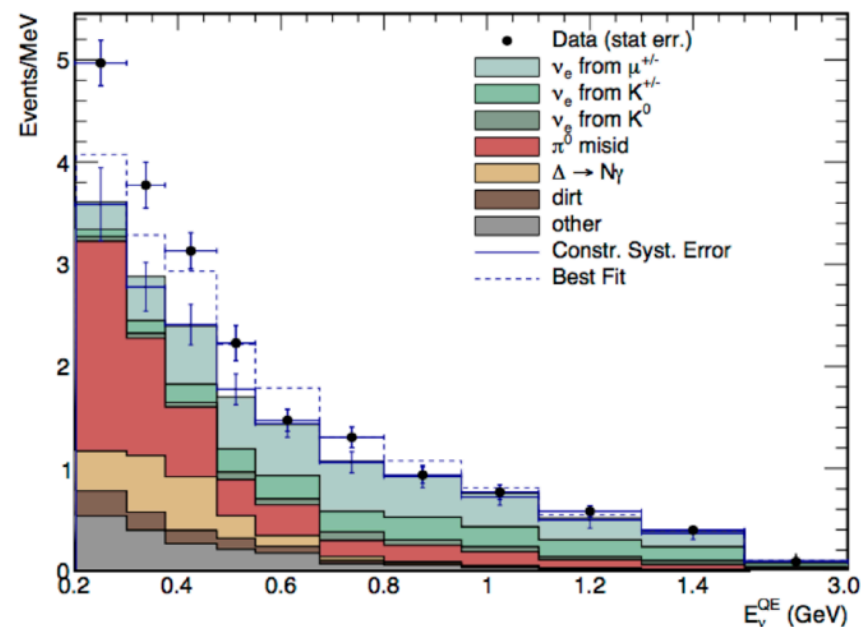
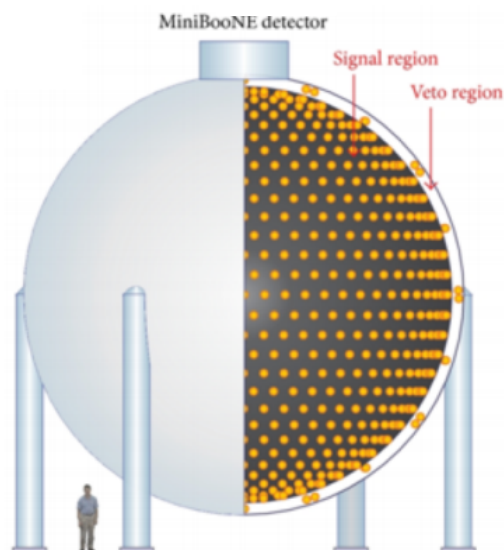
LSND



These experiments observe ν_e appearance at $L/E \sim 1 \text{ km/GeV}$!

This points to $\Delta m^2 \sim 1 \text{ eV}^2$

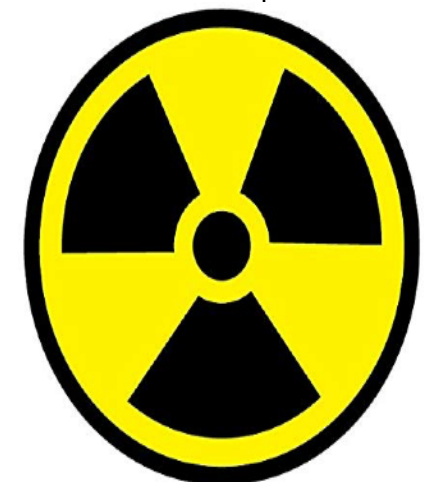
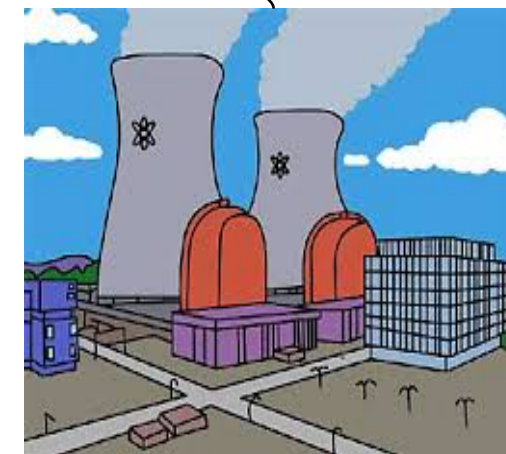
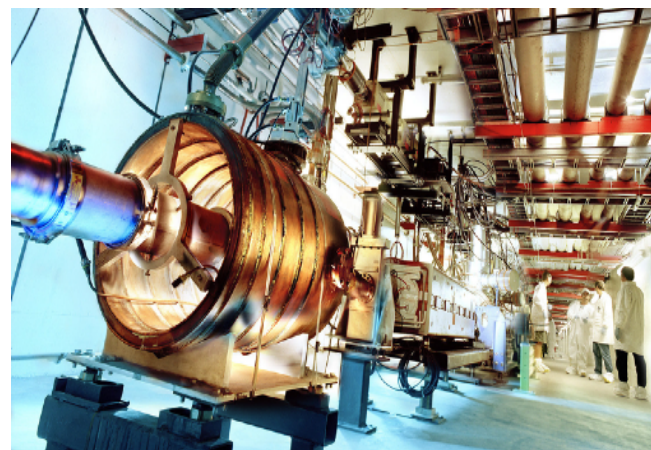
MiniBooNE



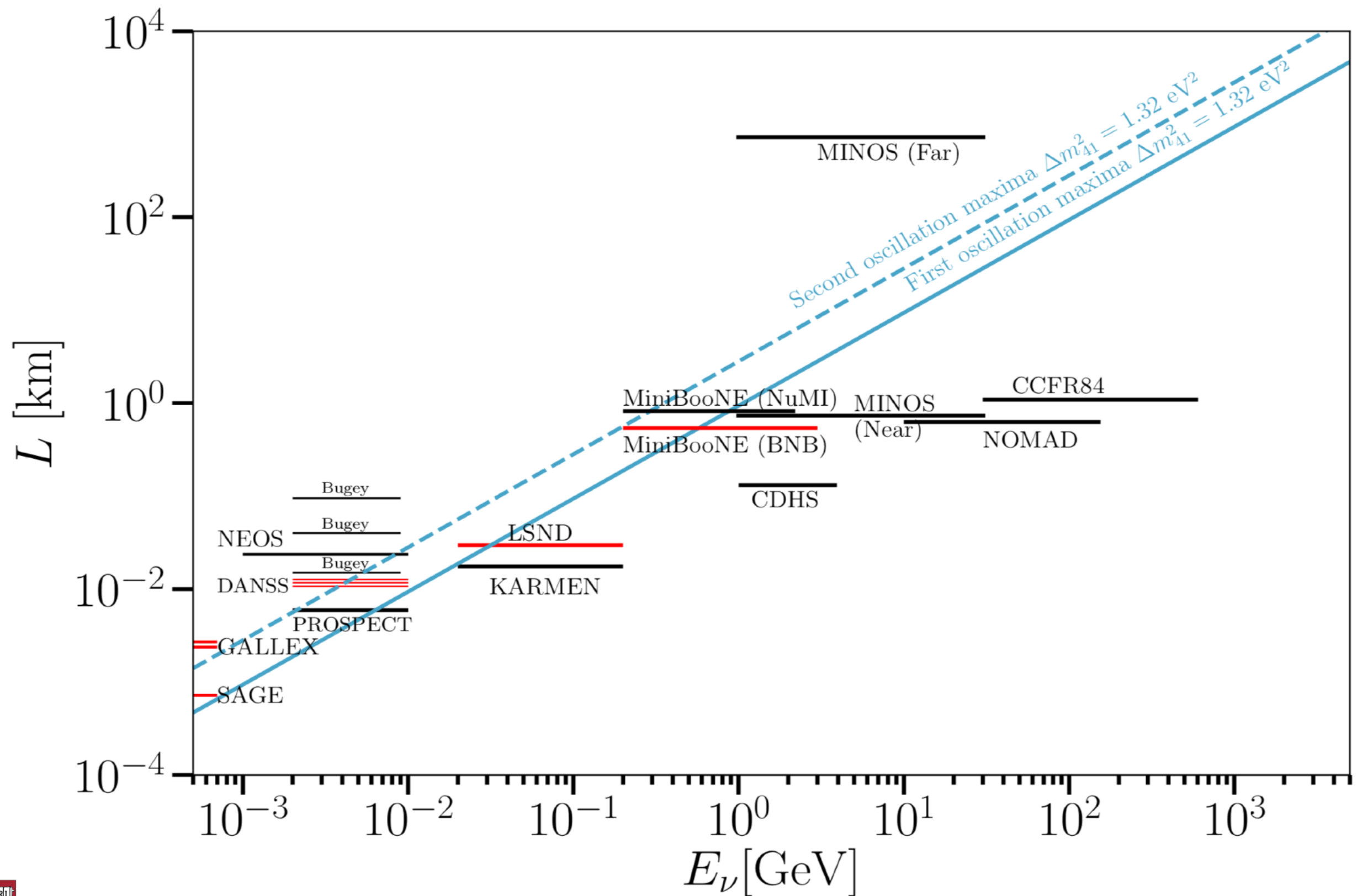
These are not alone, other interesting observations

	$\nu_\mu \rightarrow \nu_e$	$\nu_\mu \rightarrow \nu_\mu$	$\nu_e \rightarrow \nu_e$
Neutrino	MiniBooNE (BNB) *	SciBooNE/MiniBooNE	KARMEN/LSND Cross Section
	MiniBooNE(NuMI) NOMAD	CCFR CDHS MINOS	Gallium *
Antineutrino	LSND *	SciBooNE/MiniBooNE	Bugey
	KARMEN	CCFR	NEOS
	MiniBooNE (BNB) *	MINOS	DANSS * PROSPECT

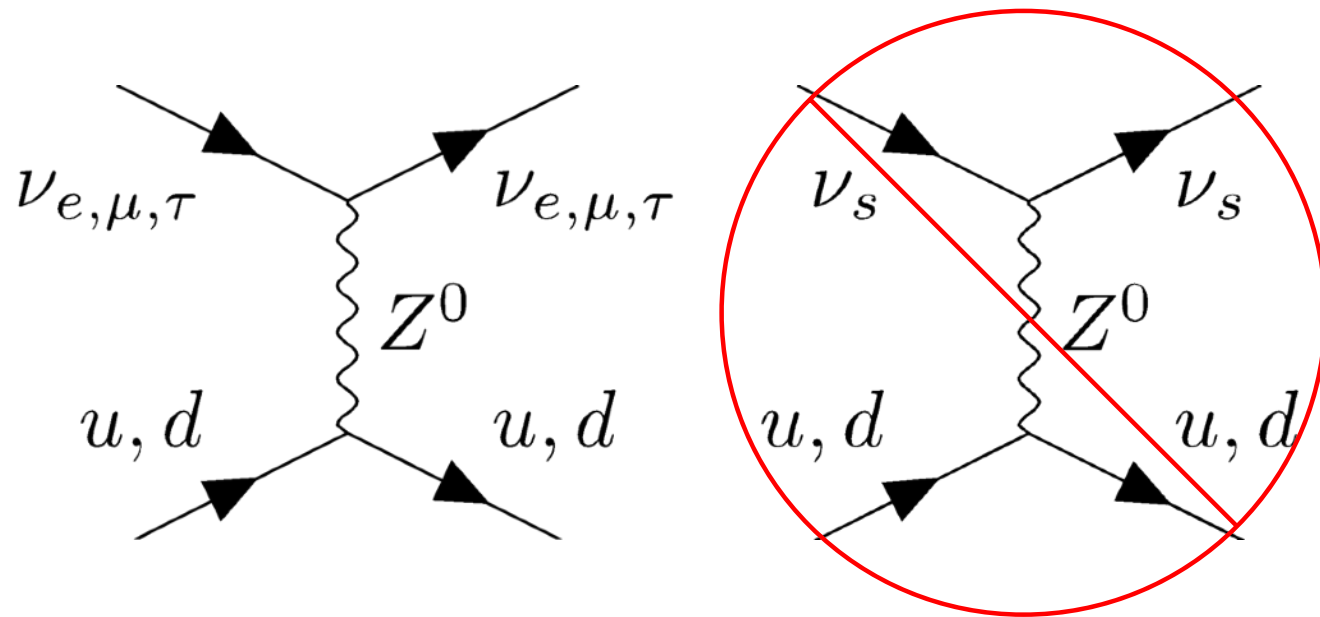
* \Rightarrow $>2\sigma$ "signal"



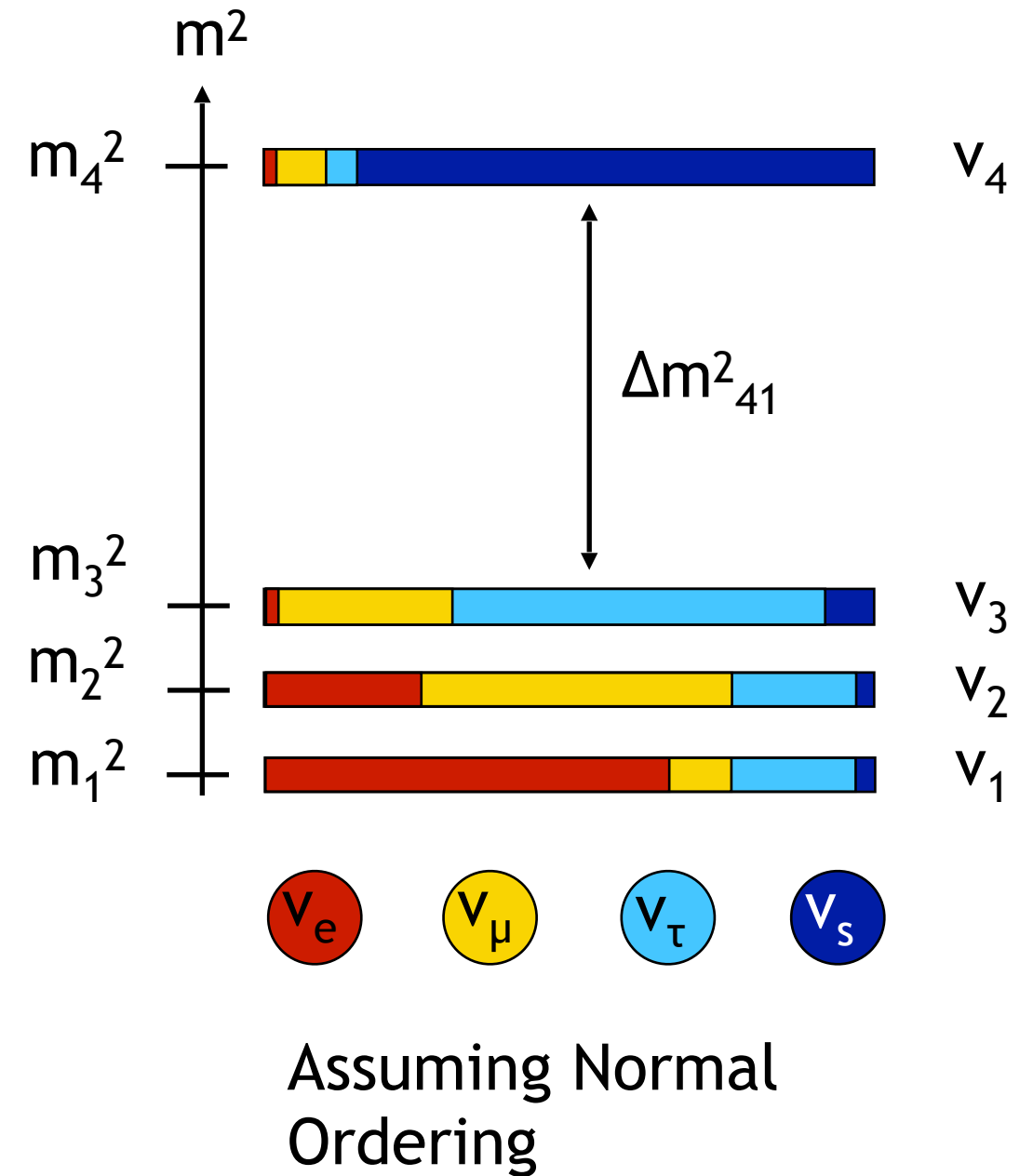
The anomalies lie ~ in a line



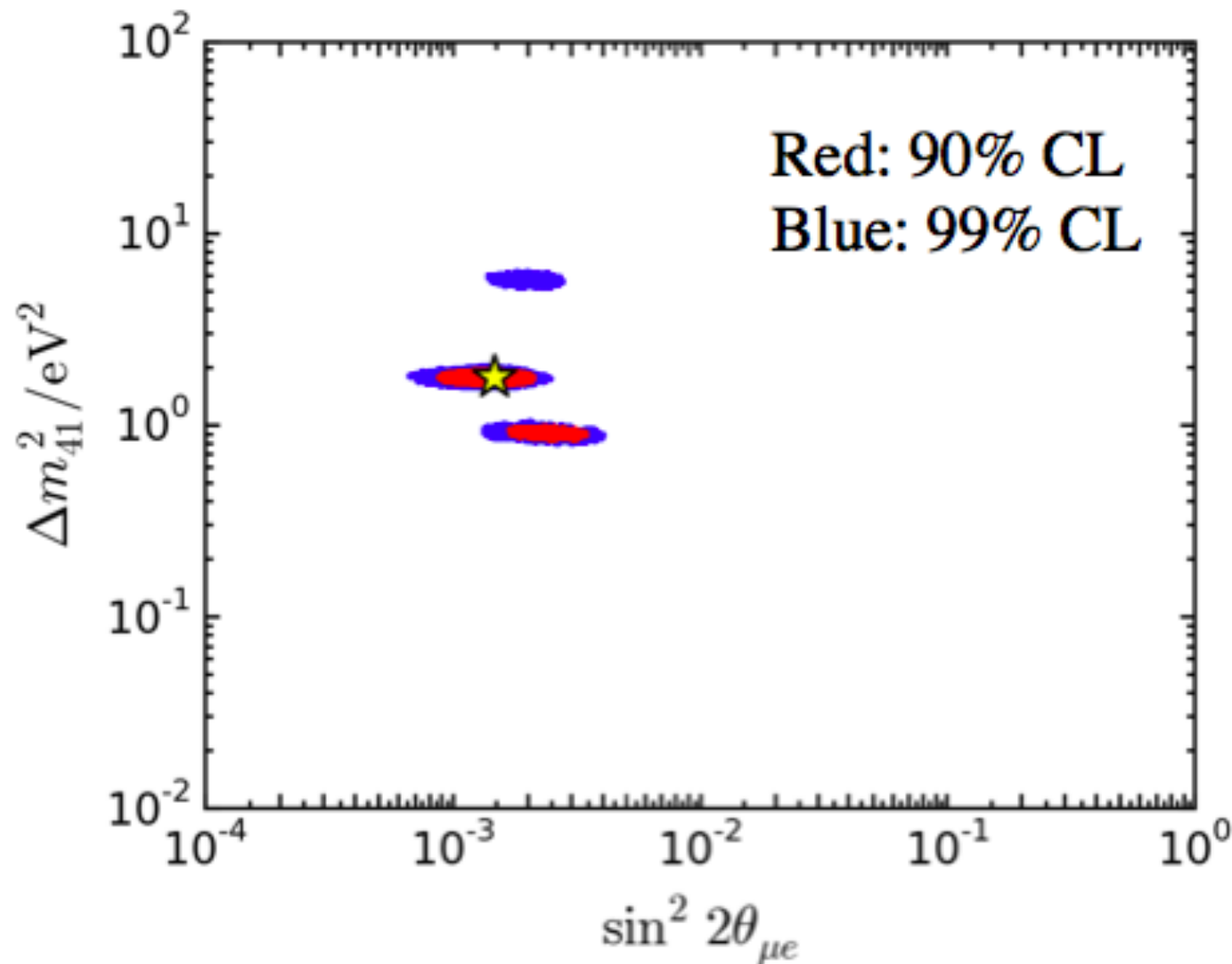
Vanilla solution: light sterile neutrino



$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \nu_s \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \\ \nu_4 \end{pmatrix}$$



Global-fit solution



Best fit point:

$$\Delta m_{41}^2 : 1.75 \text{ eV}^2$$

$$\sin^2 2\theta_{\mu e} : 1.45 \times 10^{-3}$$

$$\chi^2 : 306.81 \quad (312 \text{ dof})$$

$$\chi_{\text{null}}^2 : 359.15 \quad (315 \text{ dof})$$

➔ $\Delta\chi^2 : 52.34 \quad (3 \text{ dof})$

Data strongly prefers
a model with
a sterile neutrino

Collin, CA, Conrad, and Shaevitz Nucl.Phys. B908 (2016) 354-365

arXiv:1602.00671; see also Diaz, CA, Collin, Conrad, Shaevitz arXiv:1906.00045.

Appearance and Disappearance signals should be related!

$$\begin{array}{l}
 \nu_\mu \rightarrow \nu_e \\
 \nu_e \rightarrow \nu_e \\
 \nu_\mu \rightarrow \nu_\mu
 \end{array}
 \quad \longrightarrow \quad
 \boxed{U_{e4}} \quad \boxed{U_{\mu4}} \quad \boxed{\Delta m^2}$$

$$P_{\nu_e \rightarrow \nu_e} = 1 - 4 \boxed{(1 - |U_{e4}|^2)|U_{e4}|^2} \sin^2(1.27 \boxed{\Delta m_{41}^2} L/E)$$

$$P_{\nu_\mu \rightarrow \nu_e} = 4 \boxed{|U_{e4}|^2} \boxed{|U_{\mu4}|^2} \sin^2(1.27 \boxed{\Delta m_{41}^2} L/E)$$

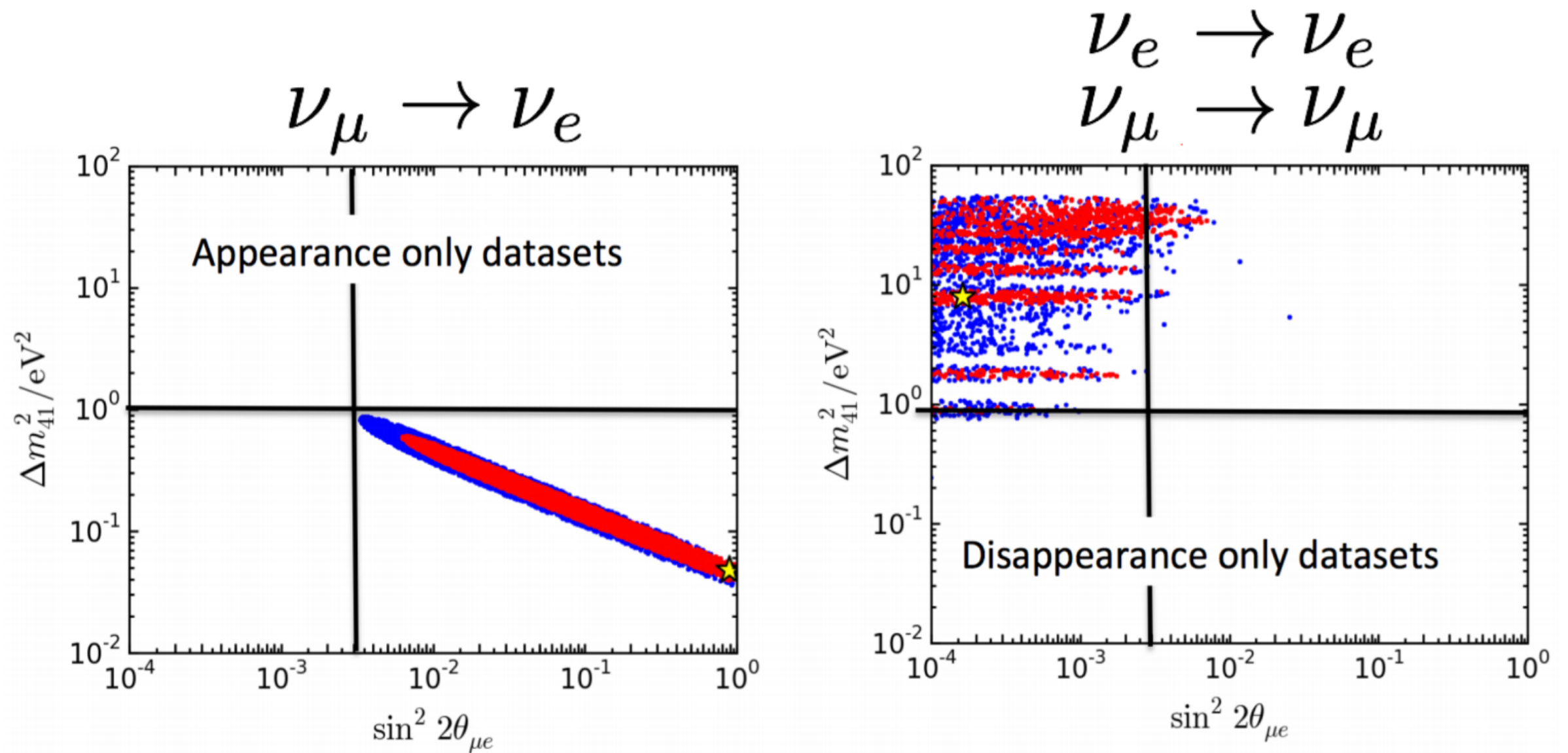
$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - 4 \boxed{(1 - |U_{\mu4}|^2)|U_{\mu4}|^2} \sin^2(1.27 \boxed{\Delta m_{41}^2} L/E)$$

$$\sin^2 2\theta_{ee} = 4(1 - \boxed{|U_{e4}|^2})\boxed{|U_{e4}|^2}$$

$$\sin^2 2\theta_{\mu\mu} = 4(1 - \boxed{|U_{\mu4}|^2})\boxed{|U_{\mu4}|^2}$$

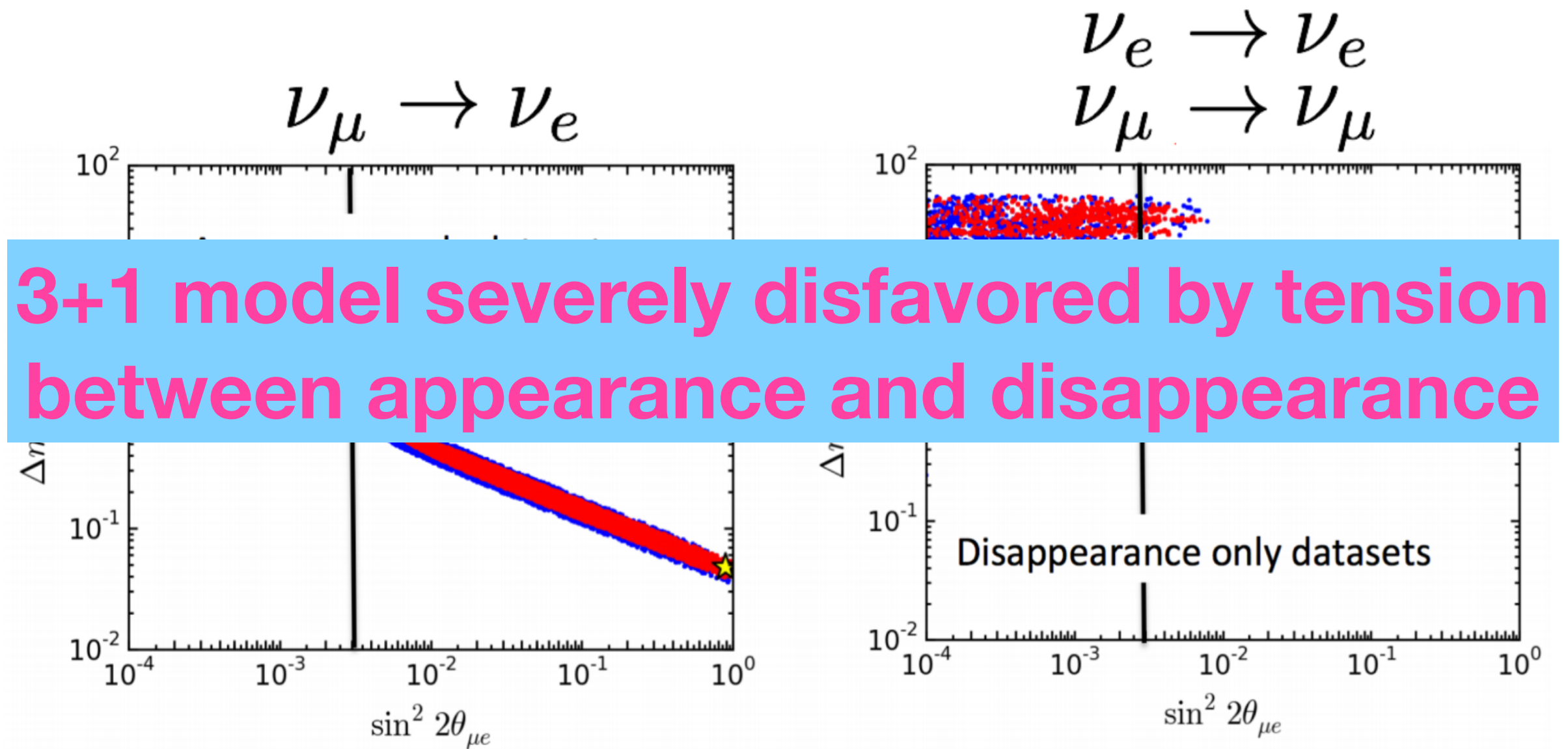
$$\sin^2 2\theta_{\mu e} = 4 \boxed{|U_{\mu4}|^2} \boxed{|U_{e4}|^2}$$

Appearance and disappearance “preference regions” don’t overlap!



From Collin et al. 1602.00671, similar conclusions from other groups see Gariazzo et al. 1703.00860, and Dentler et al JHEP 1808 (2018). See Diaz et al. arXiv:1906.00045 for more discussion.

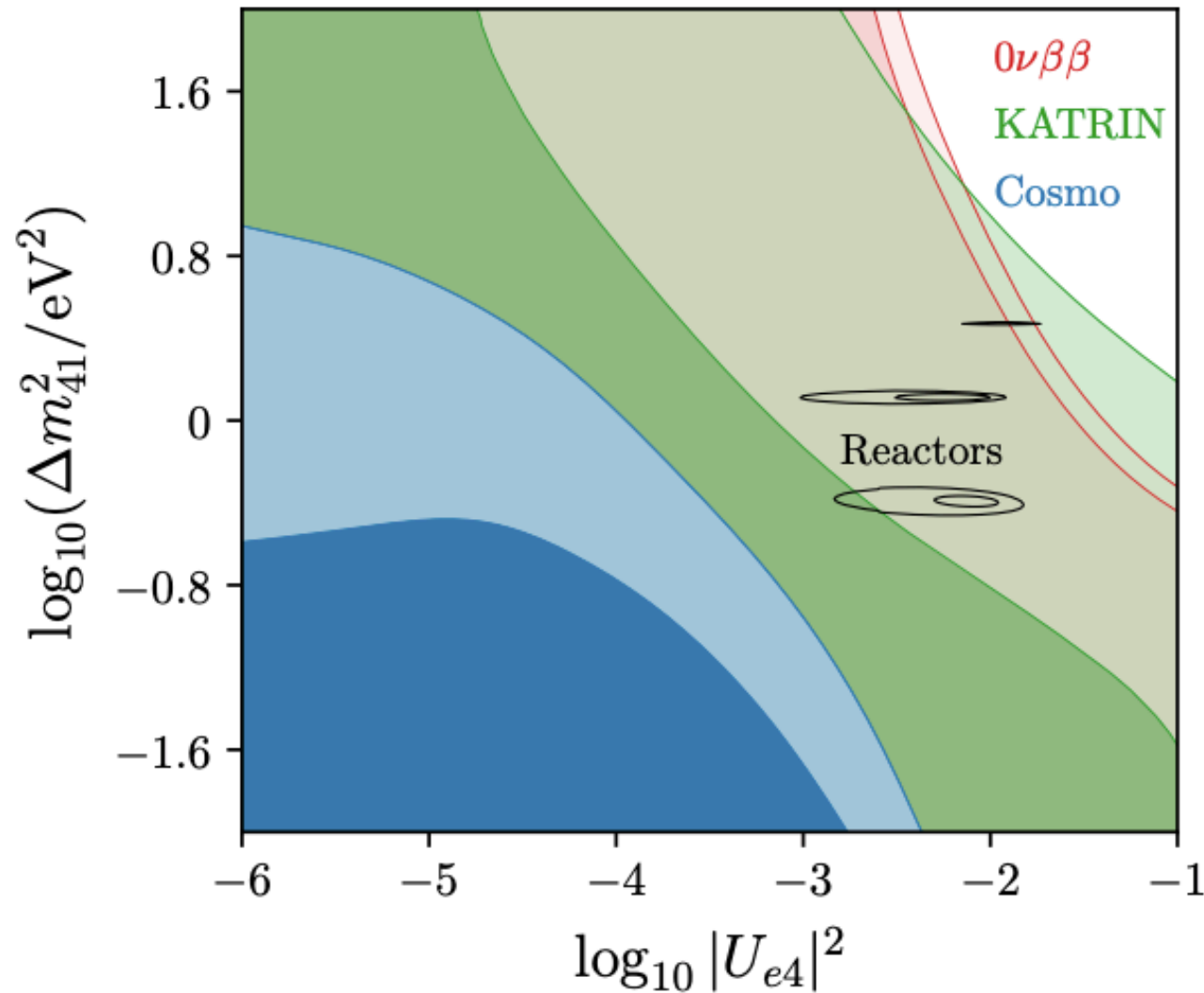
Appearance and disappearance “preference regions” don’t overlap!



From Collin et al. 1602.00671, similar conclusions from other groups see Gariazzo et al. 1703.00860, and Dentler et al JHEP 1808 (2018). See Diaz et al. arXiv:1906.00045 for more discussion.

Let's not forget cosmology!

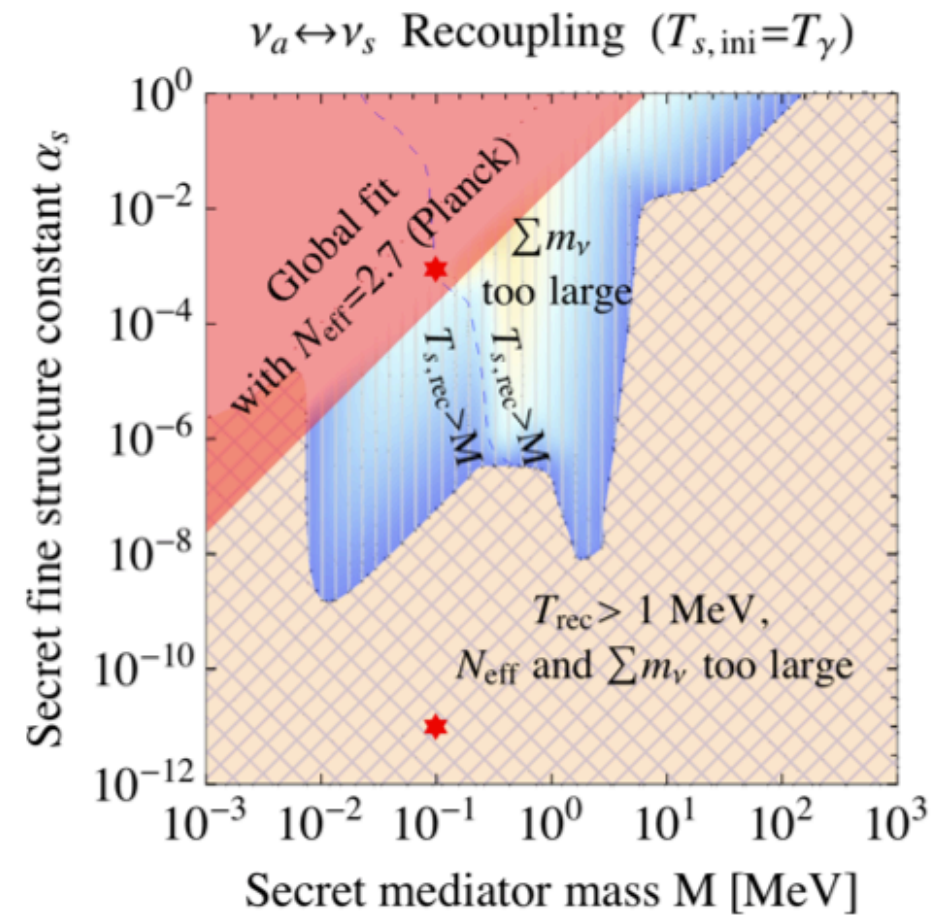
Hagztoz et al <https://arxiv.org/pdf/2003.02289.pdf>



Effective mixing $\rightarrow \sin^2 2\theta_m = \frac{\sin^2 2\theta_0 \text{ (Vacuum mixing)}}{\left(\cos^2 2\theta_0 + \frac{2E}{\Delta m^2} V_m\right) + \sin^2 2\theta_0} \rightarrow \text{Keeps } N_{\text{eff}} \text{ at 3}$

Large

Chu et al. <https://arxiv.org/pdf/1806.10629.pdf>



Dasgupta & Kopp 2014; Chu, Dasgupta & Kopp 2015 Saviano et al. 2014; Mirrizi et al. 2015;

Cherry, Friedland & Shoemaker 2016; Chu et al. 2018

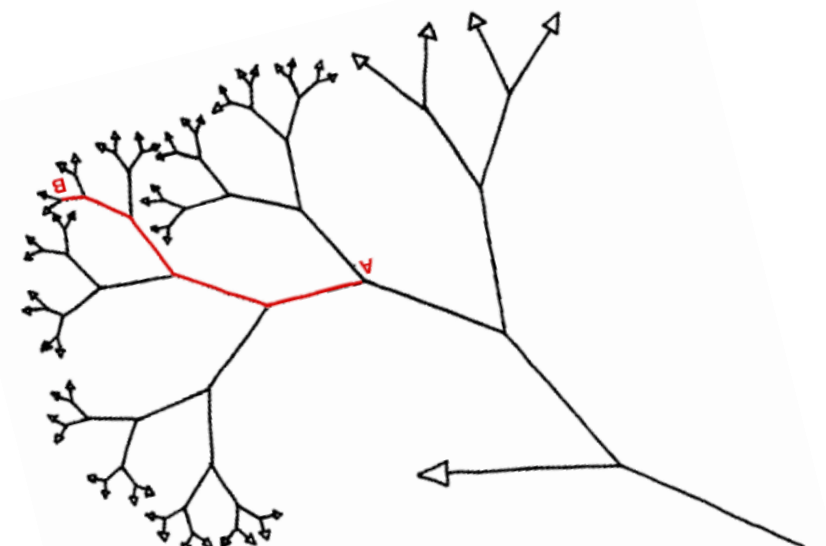
See talk by Yvonne Y. Y. Wong at Neutrino 2020 for summary

Outline

- Why going beyond 3+1?
- The garden of forking paths
- Opportunities for discovery with IsoDAR@Yemilab

From here: The Garden of Forking Paths*

- Do we understand all SM background/process well enough?
- Are all the anomalies (MB, LSND, reactors) related? Or only some of them? E.g., are LSND and MiniBooNE observing the same physics?
- Since null results are not scrutinized as carefully as anomalous ones. Are all null results reliable?
- Is there a significant signal of electron-neutrino disappearance (e.g. reactors)?
- Is IceCube seeing hints of the missing muon-neutrino disappearance?
- If the anomalies are confirmed as new physics, in what theories are they embedded?



*Garden of Forking Paths is spy/mystery short story by Jorge Luis Borges

Stepping back: What do we know?

- LSND saw an excess of electron-antineutrino events.
- MiniBooNE saw an excess of electron-like events in neutrino and antineutrino modes.
- Reactor experiments using ratios see hints of oscillations at large mass-square-differences.
- Muon-neutrino disappearance has resulted in weak signals at large mass-square-differences.
- Anomalous observations are on a line on L/E .
- Standard cosmological scenarios disfavor an additional neutrino. Though tensions in the Hubble parameter indicate preference for additional radiation/secret interactions.

Indications of
new neutrino
oscillations

Indications of
additional new
physics

Stepping back: What do we know?

- LSND saw an excess of electron-antineutrino events.
- MiniBooNE saw an excess of electron-like events in neutrino and antineutrino modes.
- Reactor experiments using ratios see hints of oscillations at large mass-square-differences.
- Muon-neutrino disappearance has resulted in weak signals at large mass-square-differences.
- Anomalous observations are on a line on L/E .
- Standard cosmological scenarios disfavor an additional neutrino. Though tensions in the Hubble parameter indicate preference for additional radiation/secret interactions.

Indications of
new neutrino
oscillations

Indications of
additional new
physics

Many elements suggest something like $3+1$, but something else is hinted by observations and tensions in the data sets.

Stepping back: What do we know?

- LSND saw an excess of electron-antineutrino events.
- MiniBooNE saw an excess of electron-like events in neutrino and antineutrino modes.
- Reactor experiments using ratios see hints of oscillations at large mass-square-differences.
- Muon-neutrino disappearance has resulted in weak signals at large mass-square-differences.
- Anomalous observations are on a line on L/E .
- Standard cosmological scenarios disfavor an additional neutrino. Though tensions in the Hubble parameter indicate preference for additional radiation/secret interactions.

Indications of new neutrino oscillations

Indications of additional new physics

Many elements suggest something like 3+1, but something else is hinted by observations and tensions in the data sets.

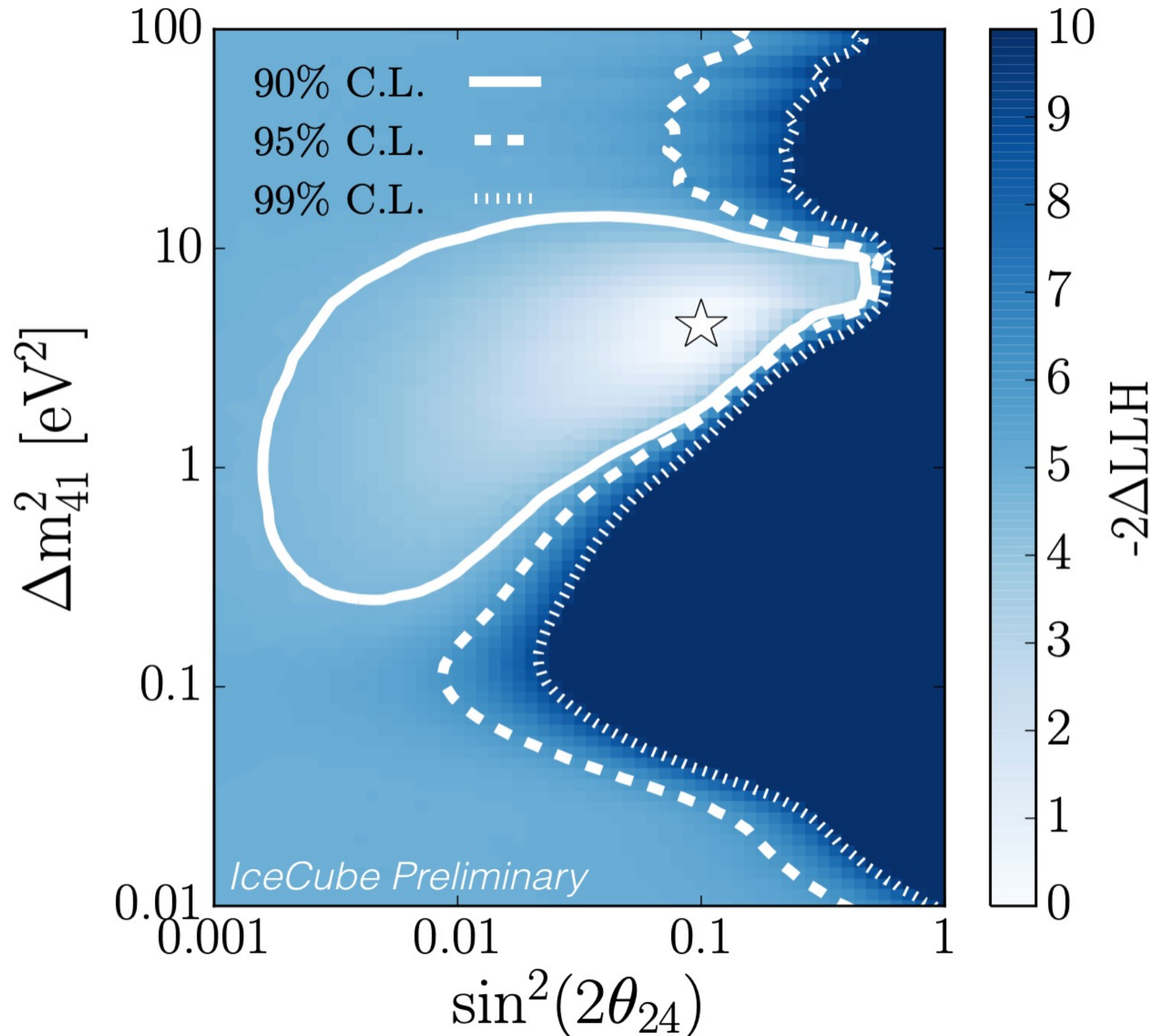
IceCube Hints

❖ Best fit:

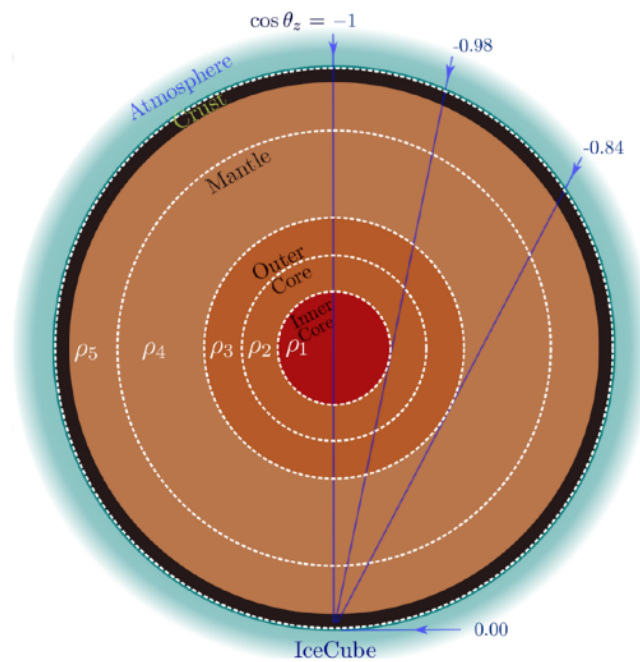
$$\Delta m_{41}^2 = 4.47_{-2.08}^{+3.53} \text{ eV}^2$$
$$\sin^2(2\theta_{24}) = 0.10_{-0.07}^{+0.10}$$

❖ Sterile neutrino hypothesis is preferred to null

❖ Null is rejected at 8% p-value



How does the IceCube analysis work?

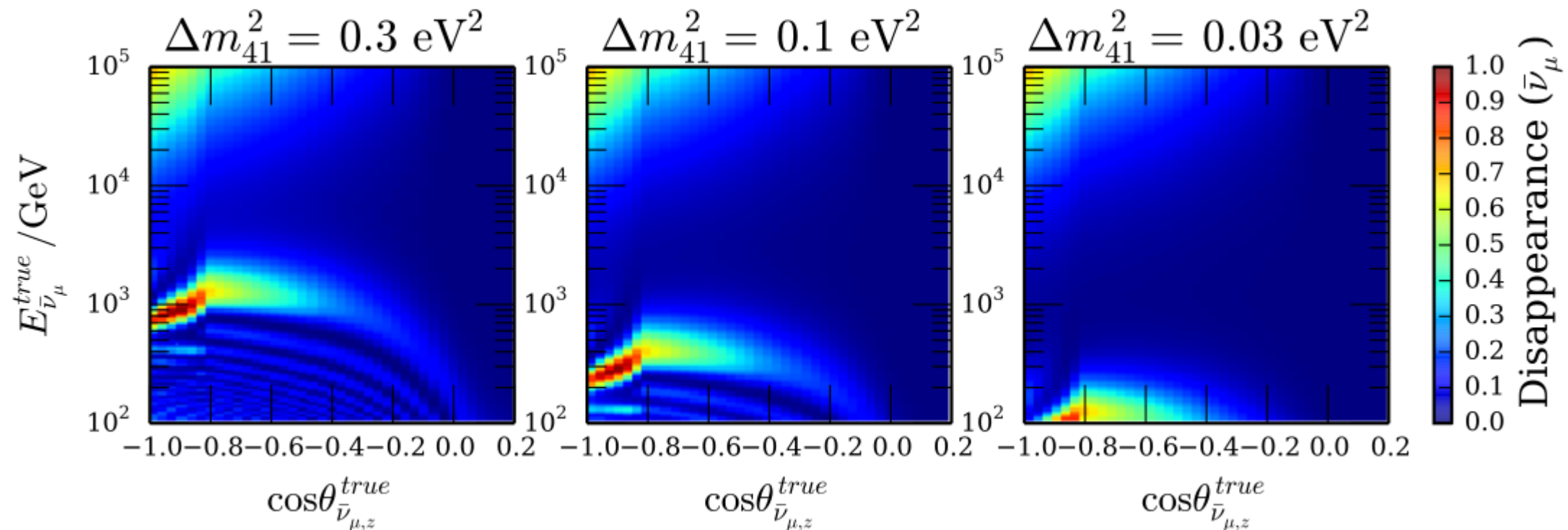
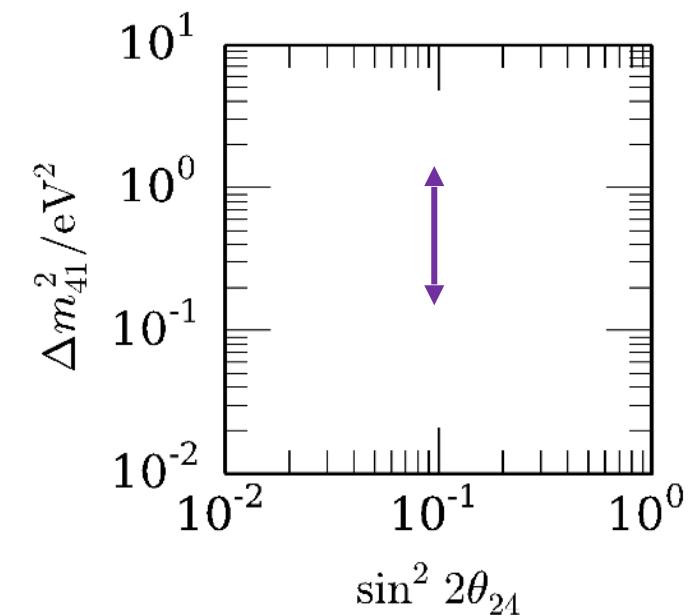


We measure two things:

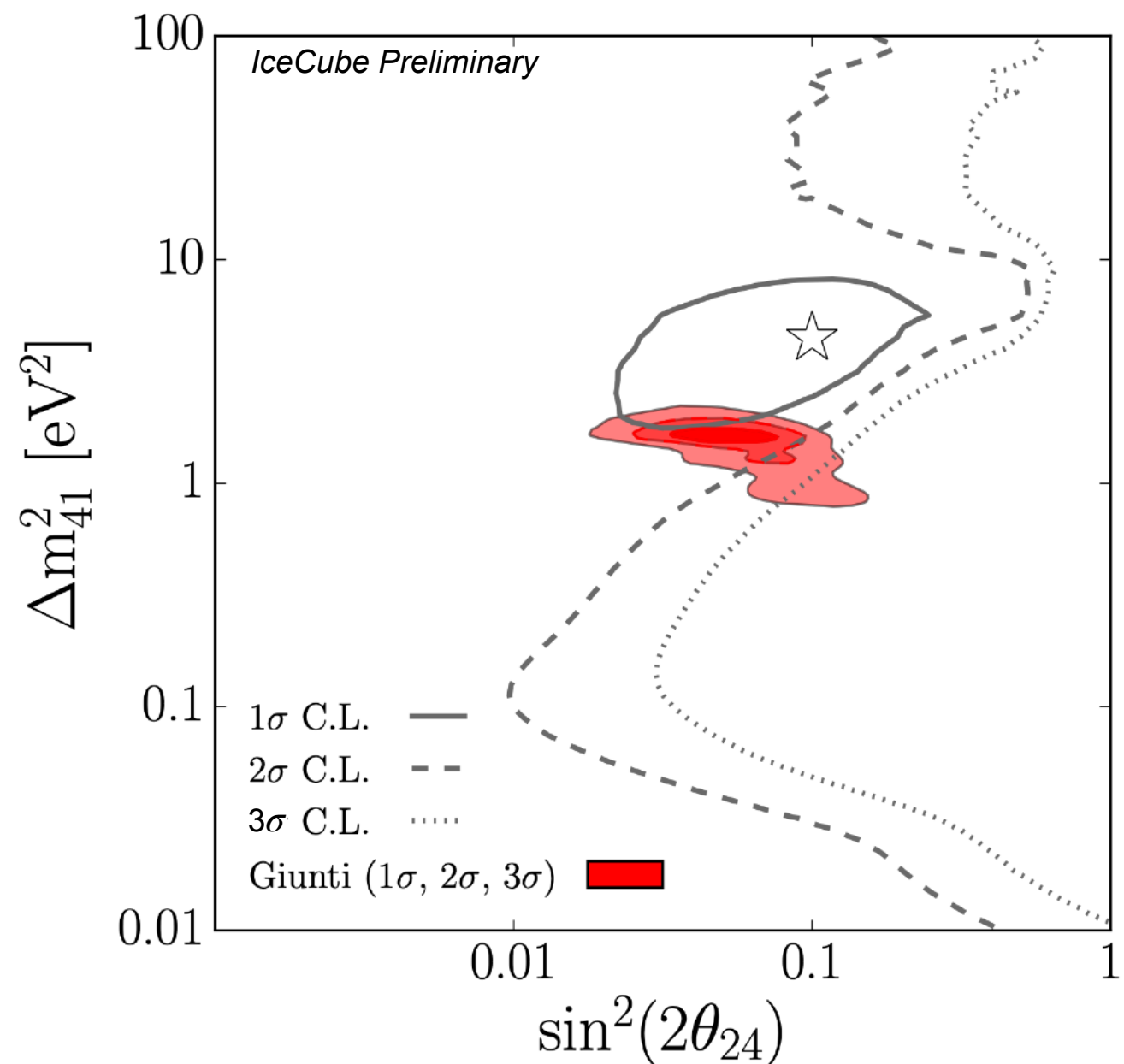
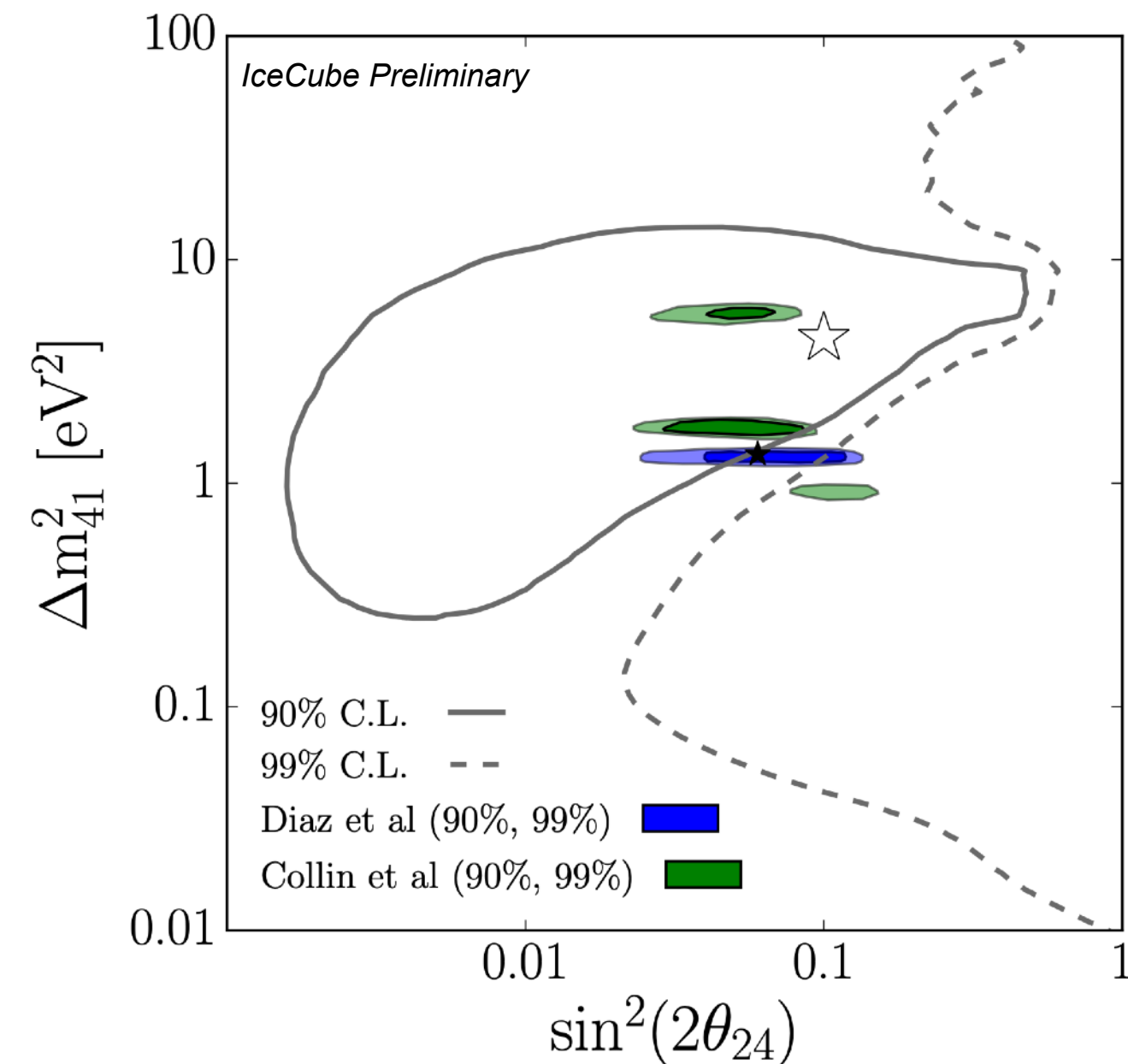
- length (direction)
- energy

We extract two parameters:

- squared mass difference
- mixing angle



Comparison to global-fit solutions



IceCube muon-neutrino disappearance result is in a very interesting part of parameter space, but has low significance

Reexamining IceCube



IceCube muon-neutrino disappearance result is in a very interesting part of parameter space, but has low significance.

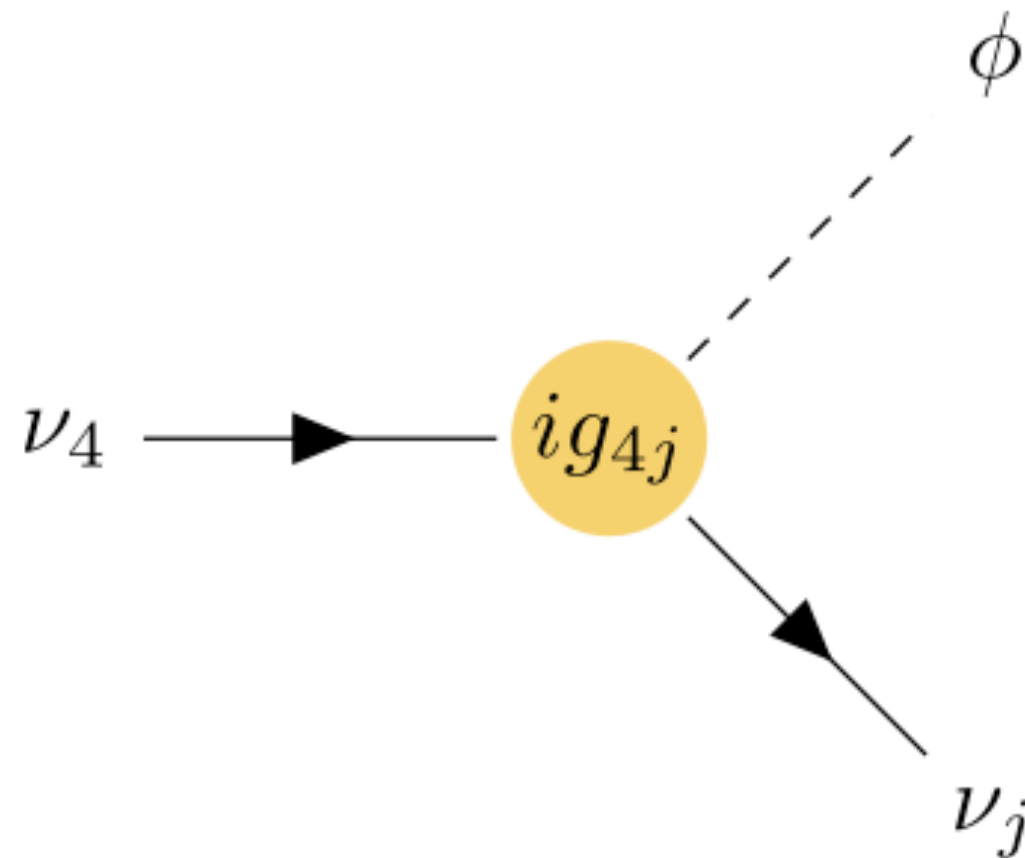
Is IceCube significance low because we are not looking for the right model?

“Sterile” Neutrino Decay

Moss et al <https://arxiv.org/abs/1711.05921>

Dentler et al <https://arxiv.org/abs/1911.01427>

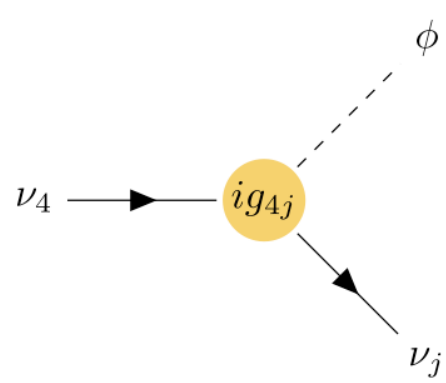
Gouvea et al <https://arxiv.org/abs/1911.01447>



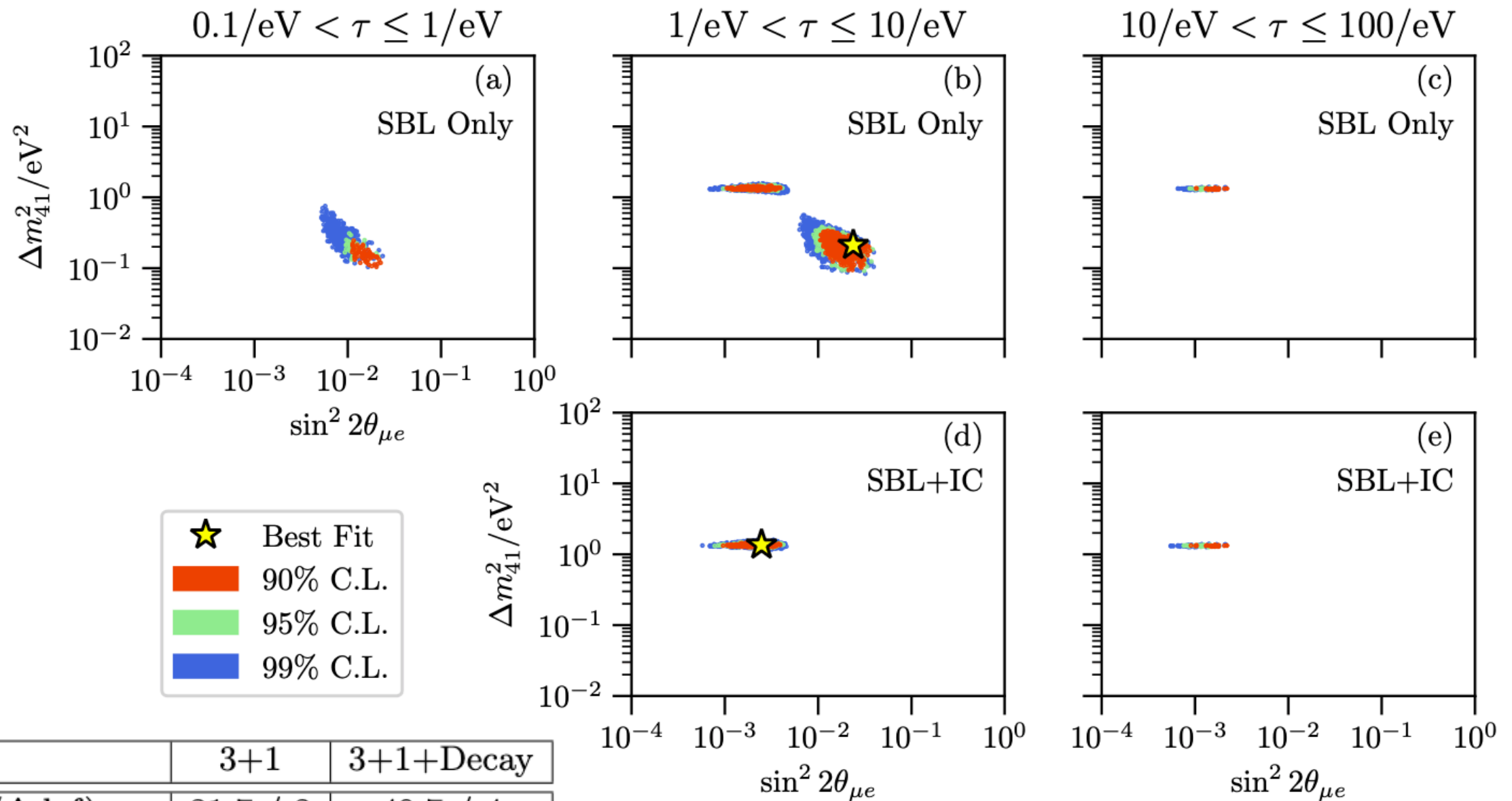
$$\tau = \frac{16\pi}{g^2 m_4}$$

Decay can be visible or invisible.

If neutrinos are Dirac -> invisible
If neutrinos are Majorana -> visible



Sterile Neutrino Decay (3+1+Invisible-Decay)

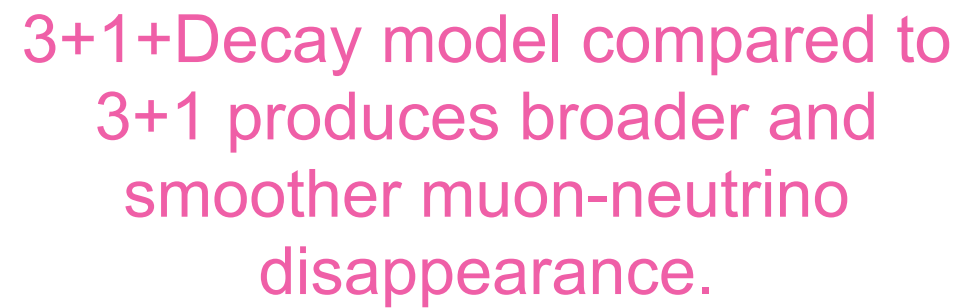


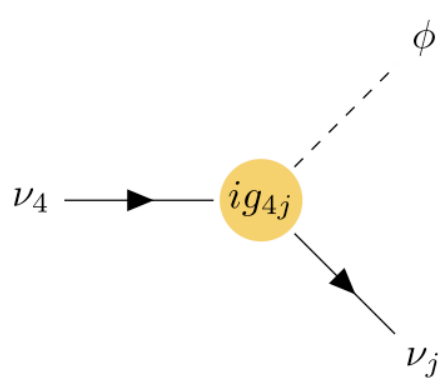
	3+1	3+1+Decay
$(\Delta\chi^2/\Delta\text{dof})_{\text{Null}}$	31.7 / 3	40.7 / 4
$(\Delta\chi^2/\Delta\text{dof})_{3+1}$	—	9.1 / 1

Moss et al <https://arxiv.org/abs/1711.05921>
 Moulai et al <https://arxiv.org/abs/1910.13456>

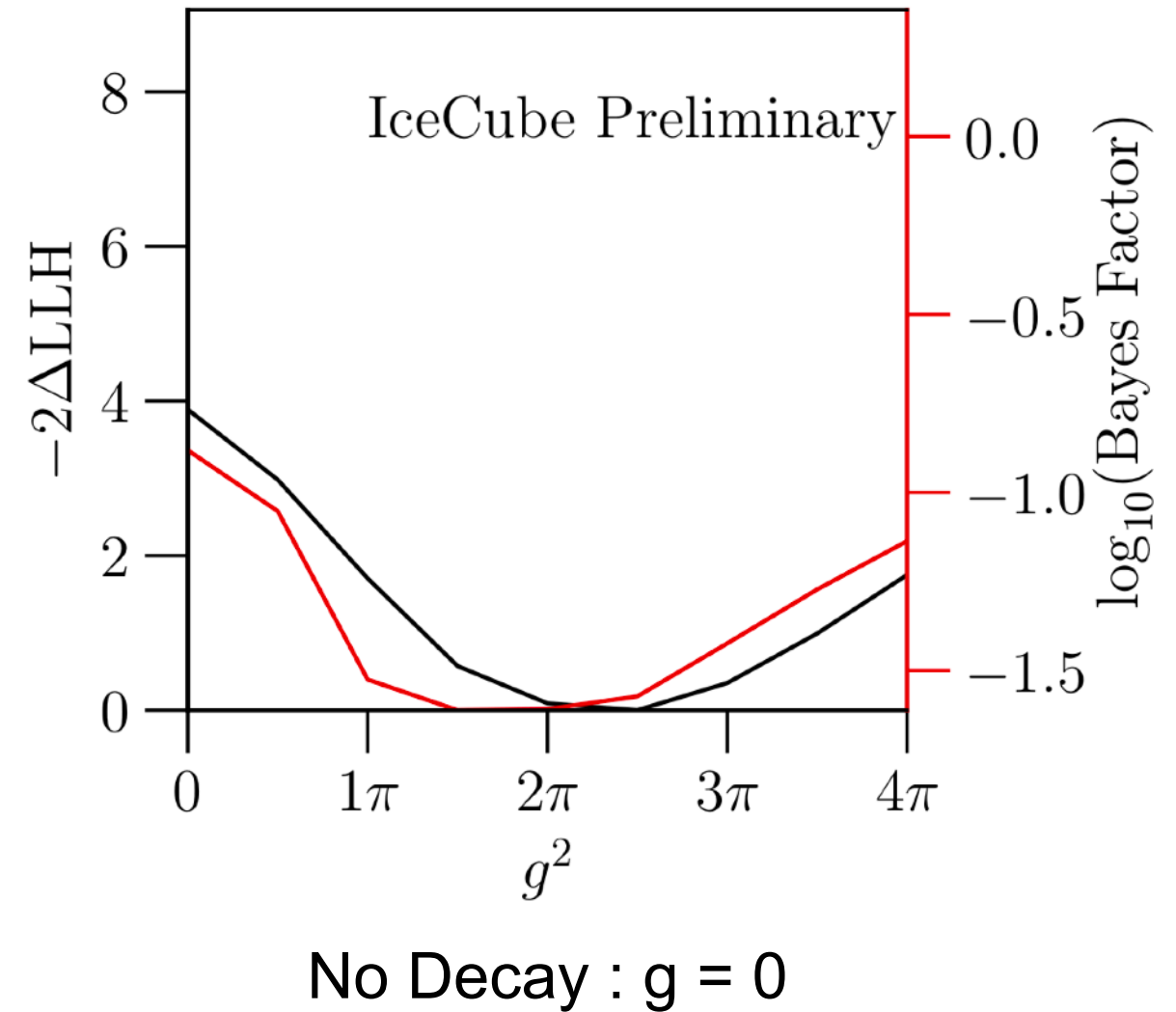
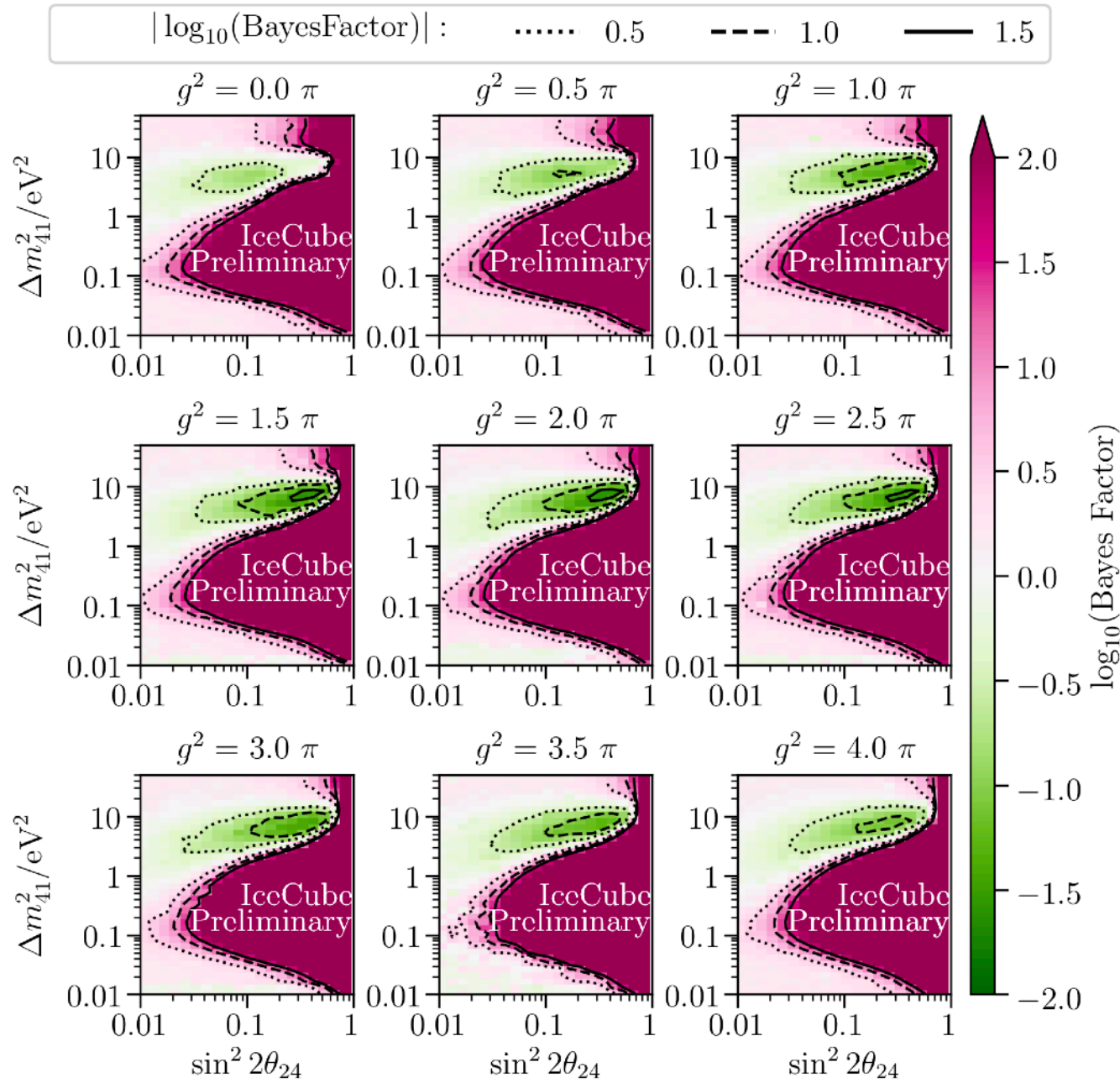
See also Berryman et al <https://arxiv.org/abs/1407.6631>

Global data prefers 3+1+Decay! Does IceCube prefer it?





Results of 3+1+Decay in IceCube

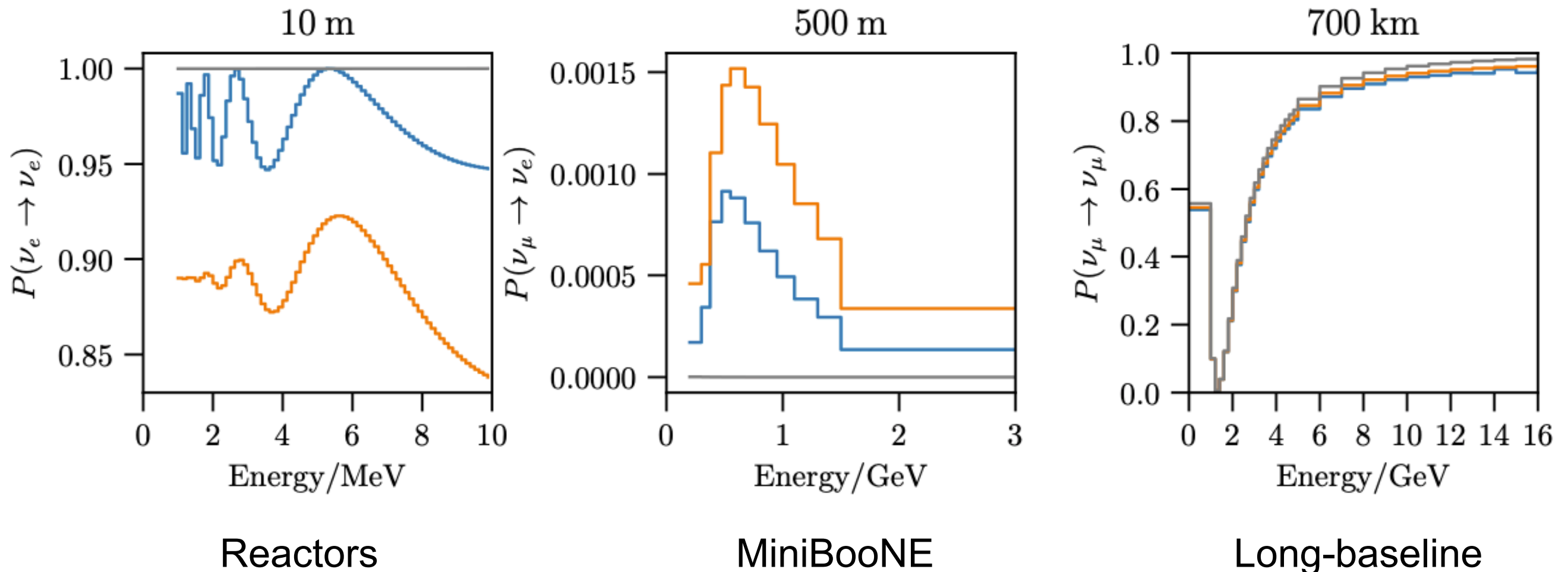


Does IceCube prefer it? YES!

Phenomenological implications of 3+1+Decay

Moulai et al <https://arxiv.org/abs/1910.13456>

— 3+1 best-fit parameters — 3+1+decay best-fit parameters — Null (3ν)



- Reactor experiments experience reduced oscillations features.
- Oscillation probability at MiniBooNE slightly shifted to higher energies.

Stepping back: What do we know?

- LSND saw an excess of electron-antineutrino events.

- MiniBooNE saw an excess of electron-like events in neutrino and antineutrino modes.

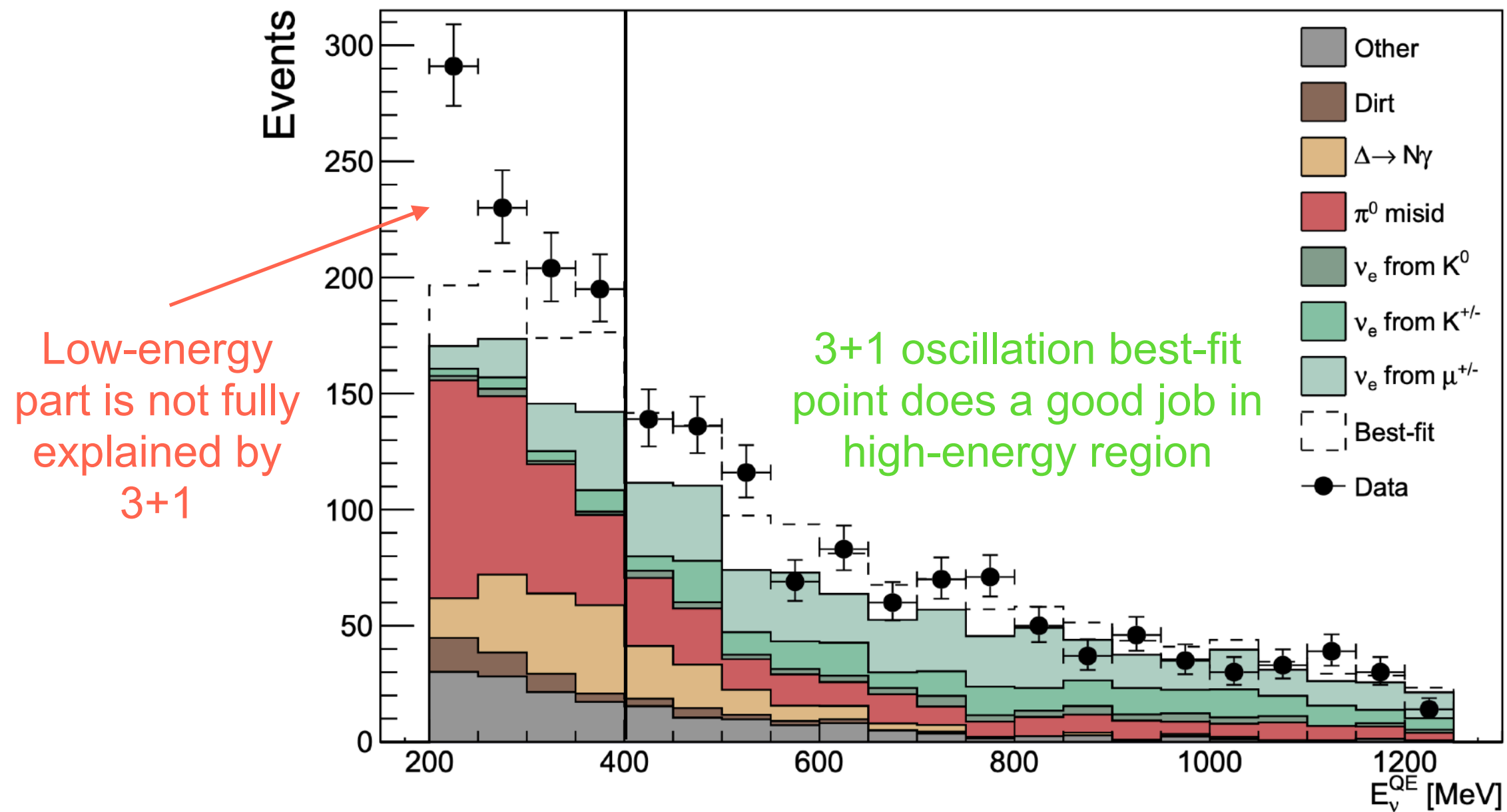
- Reactor experiments using ratios see hints of oscillations at large mass-square-differences.
- Muon-neutrino disappearance has resulted in weak signals at large mass-square-differences.
- Anomalous observations are on a line on L/E .
- Standard cosmological scenarios disfavor an additional neutrino. Though tensions in the Hubble parameter indicate preference for additional radiation/secret interactions.

Indications of
new neutrino
oscillations

Indications of
additional new
physics

Many elements suggest something like $3+1$, but something else is hinted by observations and tensions in the data sets.

Reexamining MiniBooNE



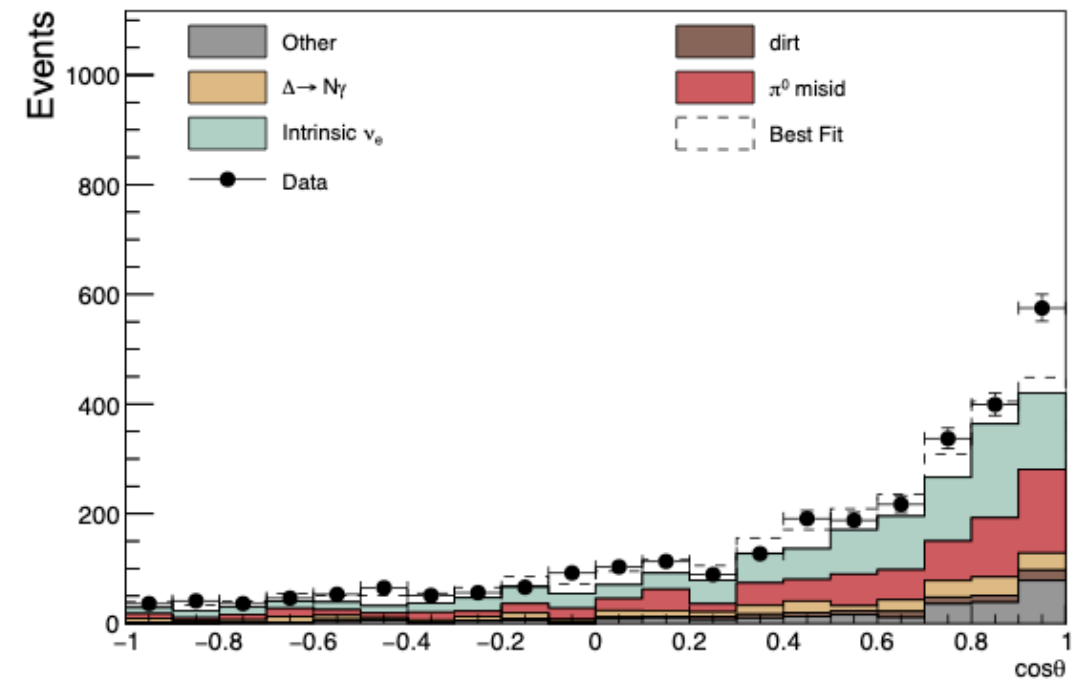
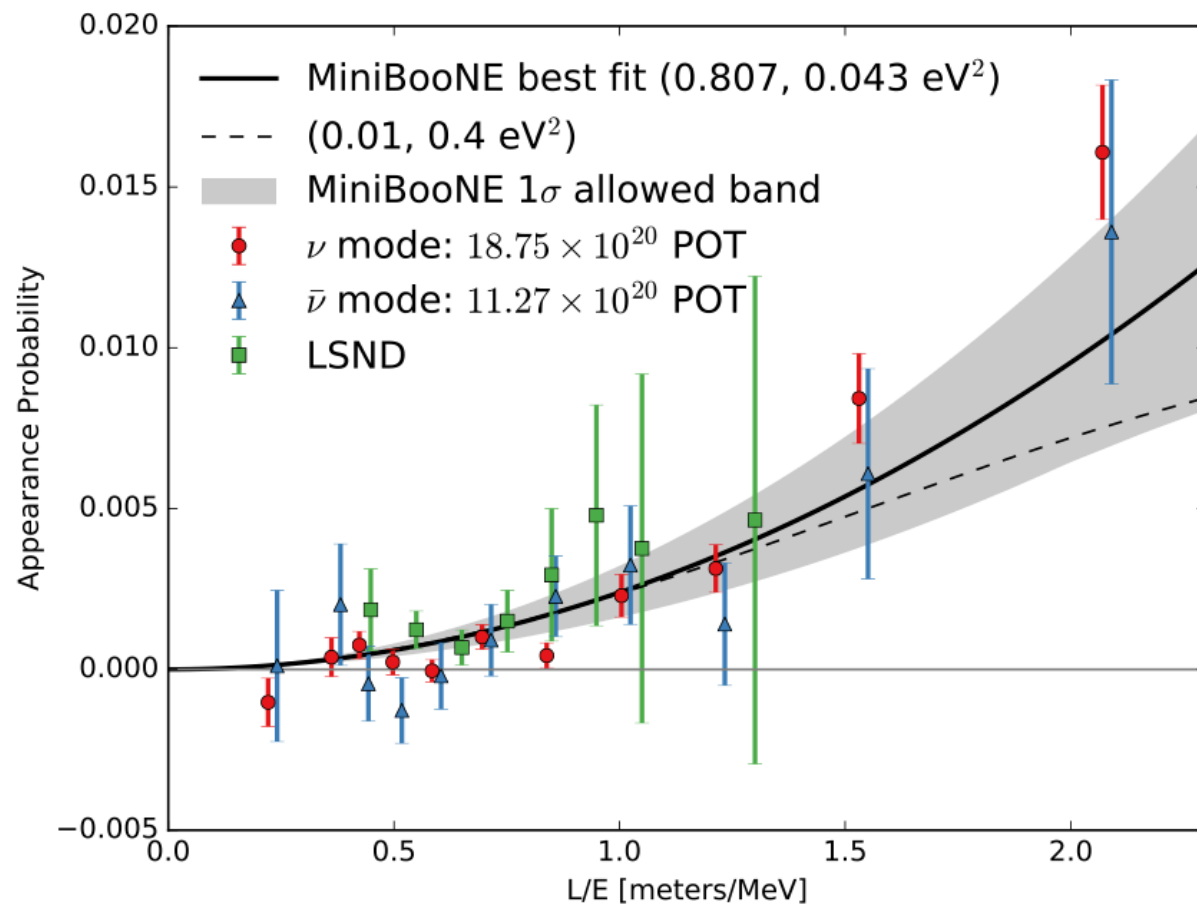
MiniBooNE Collaboration 2006.16883

Indications of
additional new
physics

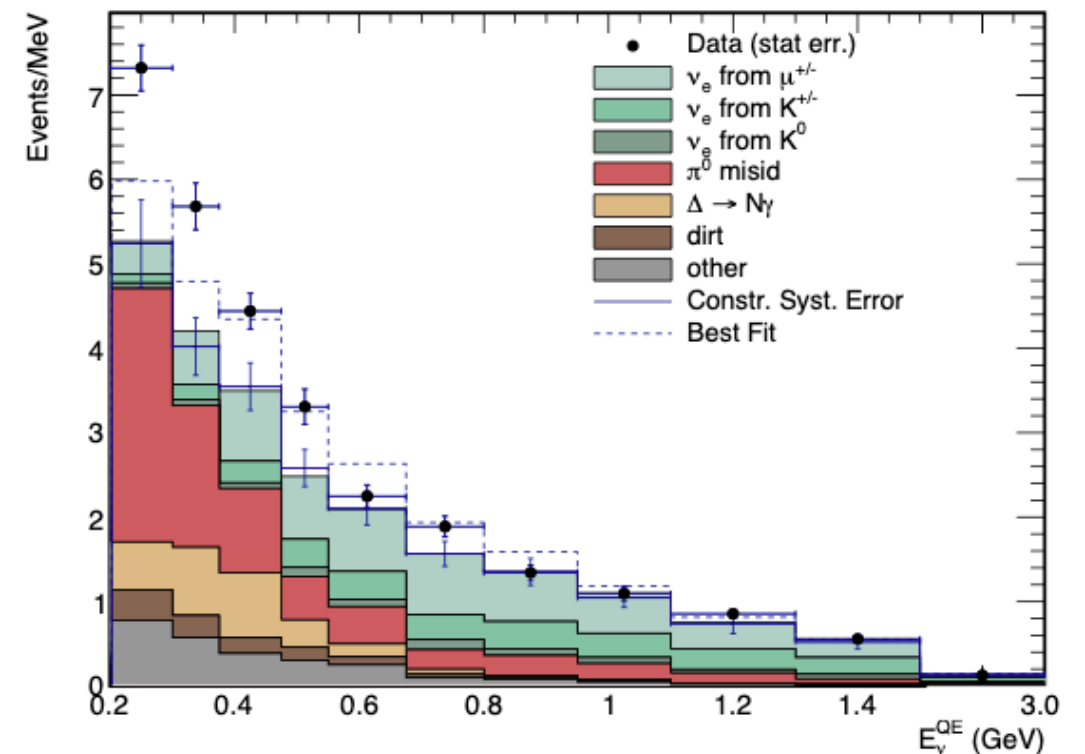
Indications of
new neutrino
oscillations

Switching gears: Changing how we look at things

This is useful if we are after an oscillation explanation



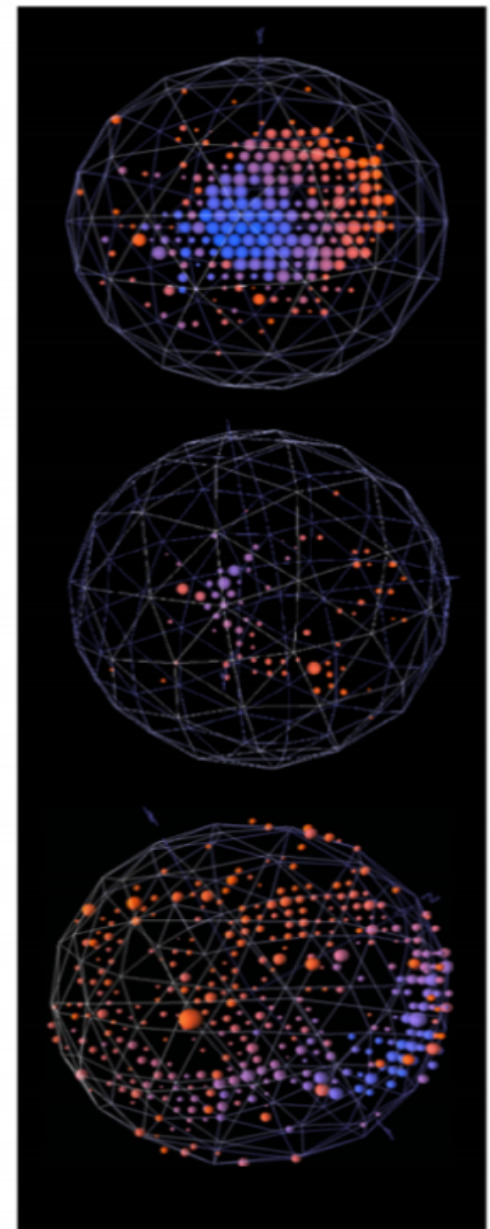
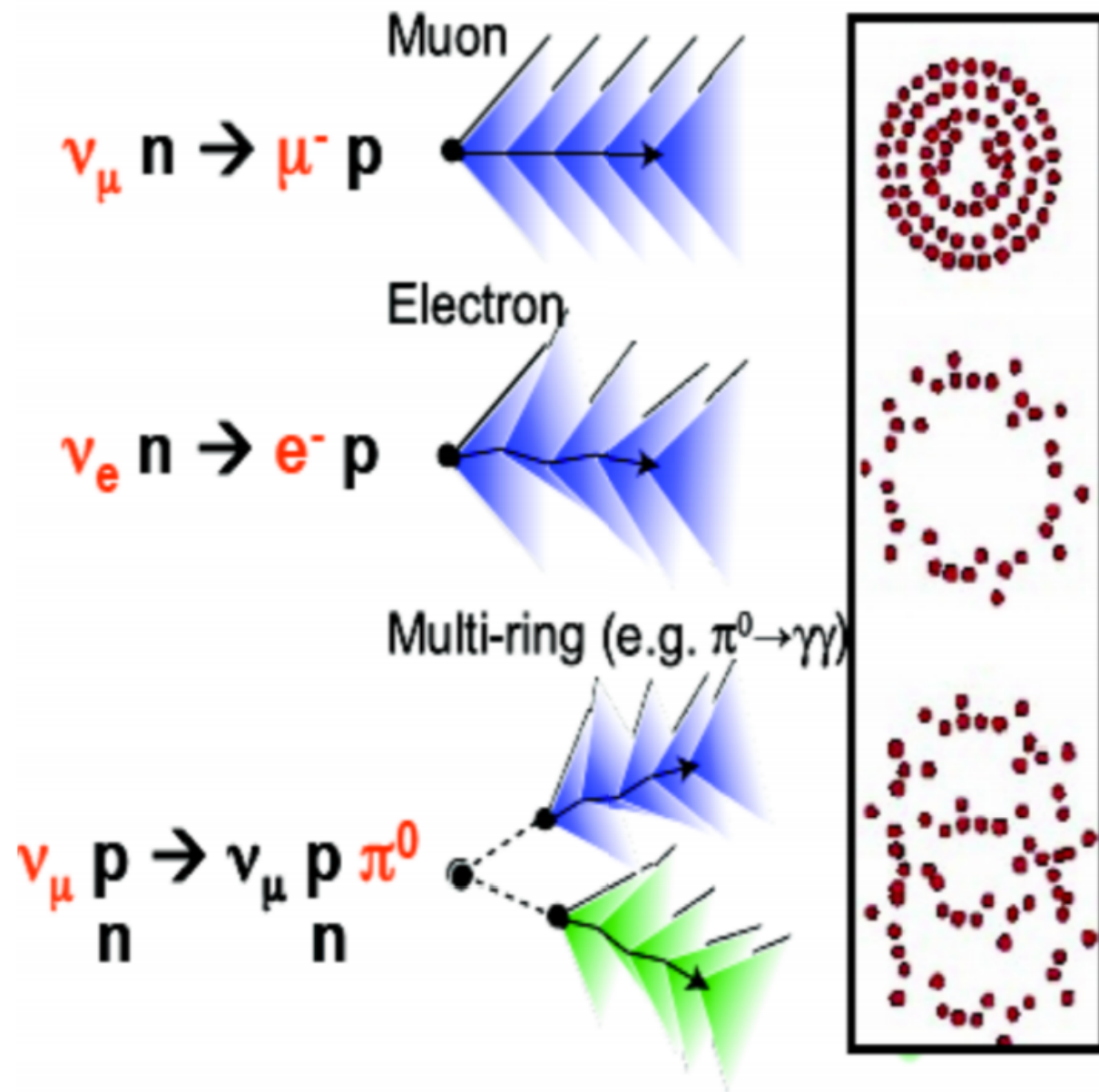
In other cases we need to fit these two!



MiniBooNE event identification

Three typical event signatures:

- Muon-neutrino CCQE produces sharp photon ring on PMTS,
- Electron-neutrino CCQE events produces fuzzy ring,
- Muon-neutrino NC can produce pi0: two gammas -> two fuzzy rings.



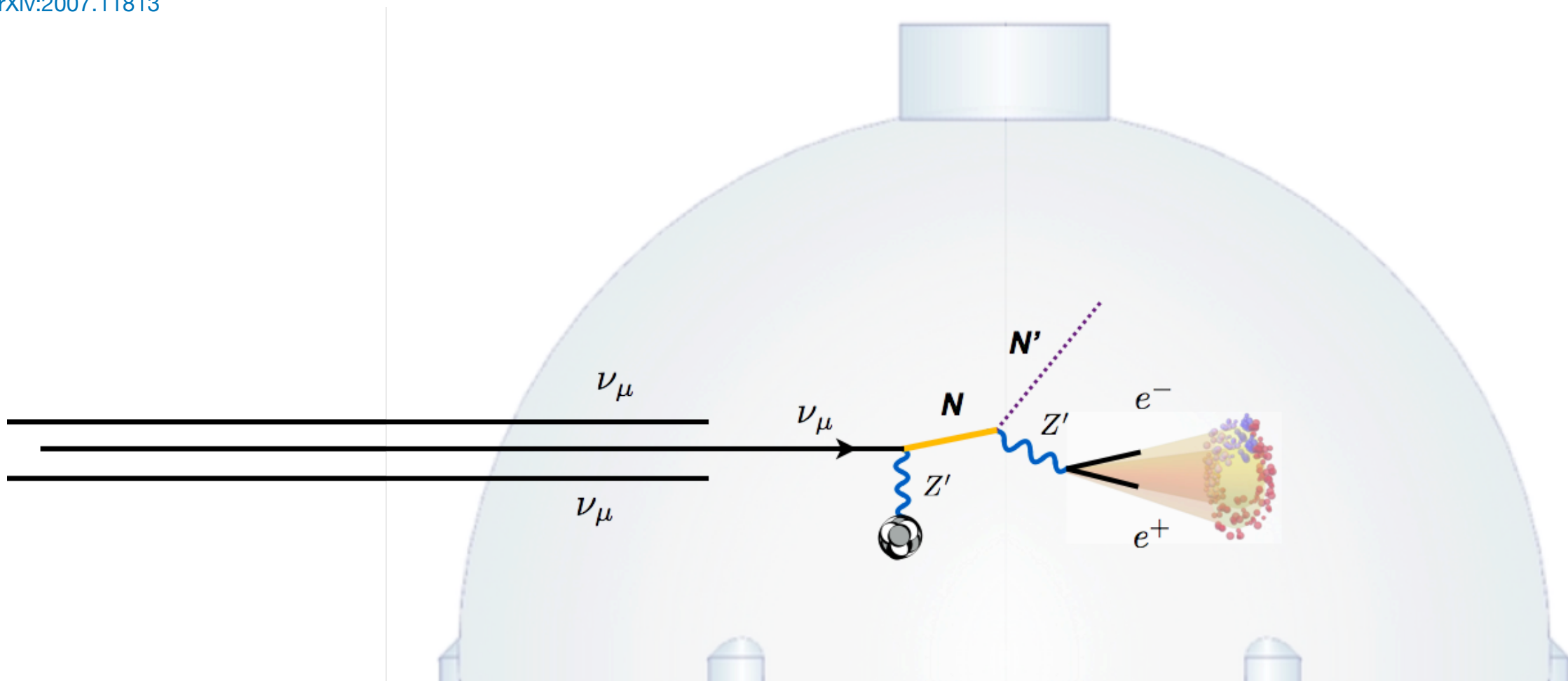
Cannot distinguish between
electrons and photons!

Non-Minimal HNL: di-electron scenario

E. Bertuzzo et al., PhysRevLett.121.241801

P. Ballett, M. Ross-Lonergan, S. Pascoli,
PhysRevD.99.071701

A. Abdullahi, M. Hostert, S. Pascoli,
arXiv:2007.11813



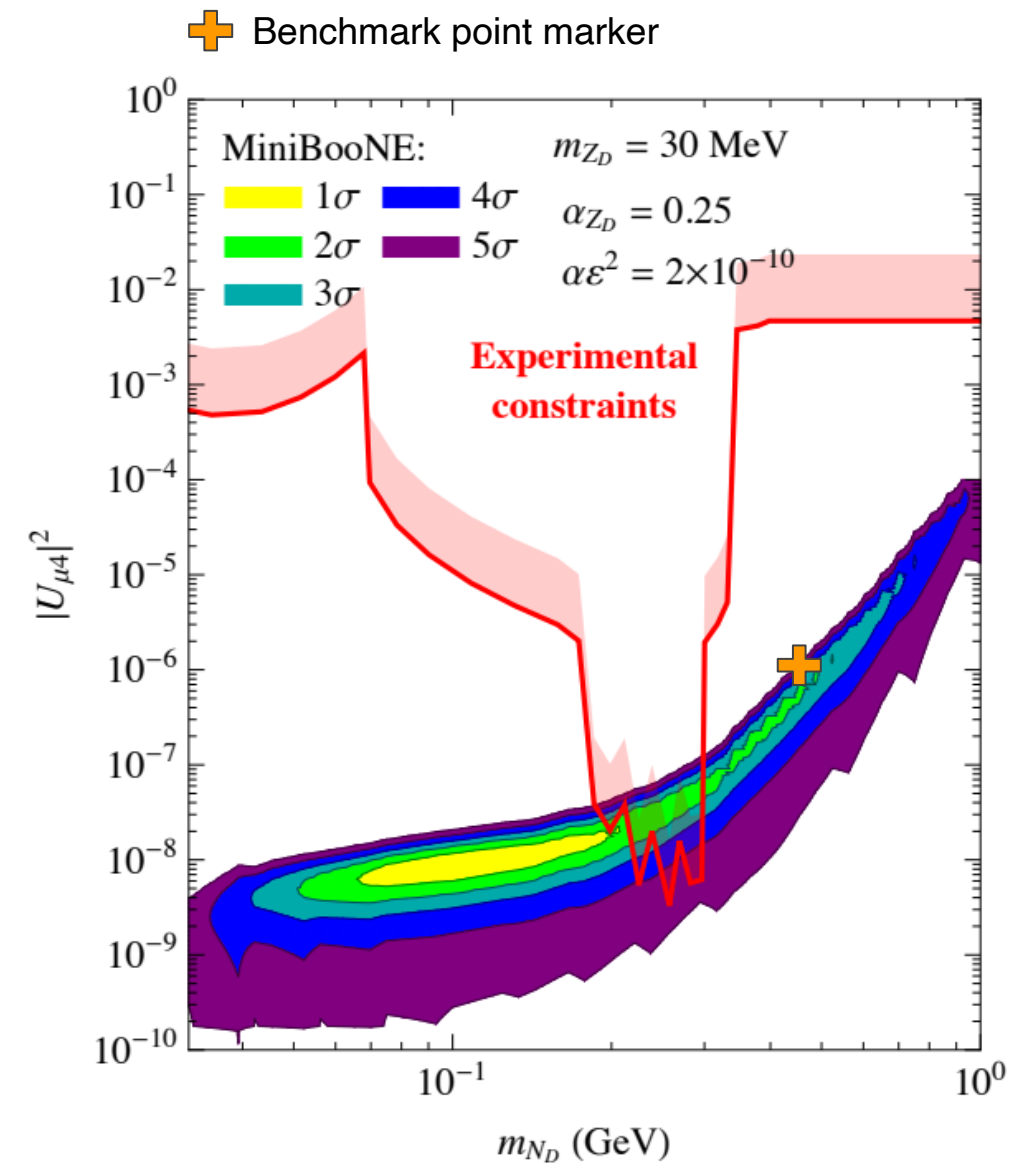
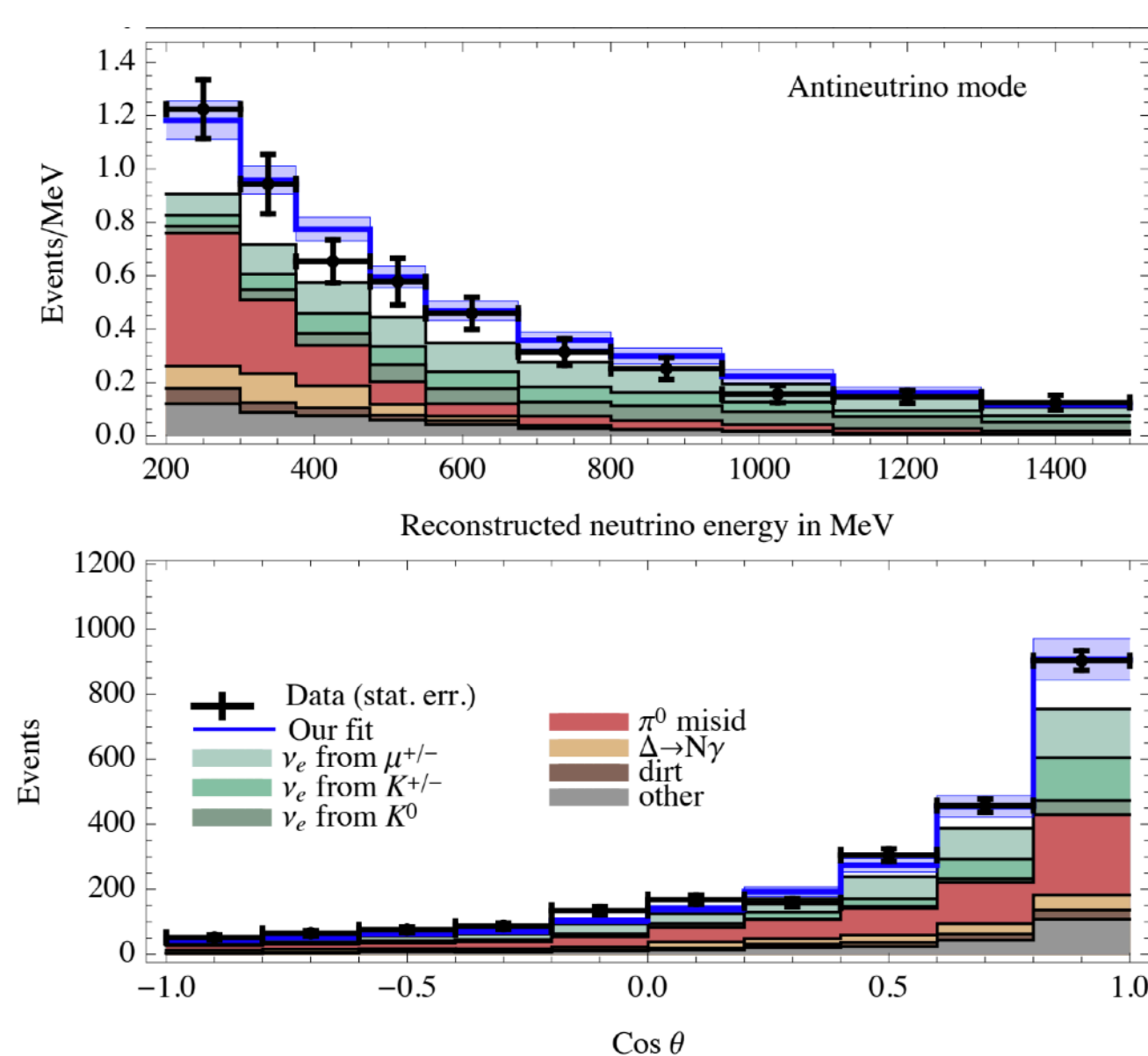
Option 1: HNL produced in the neutrino interaction that makes a pair of electrons.

Non-Minimal HNL: di-electron scenario

E. Bertuzzo et al., PhysRevLett.121.241801

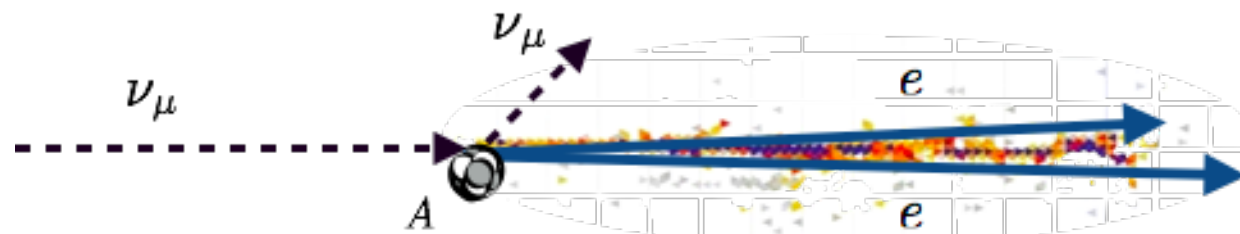
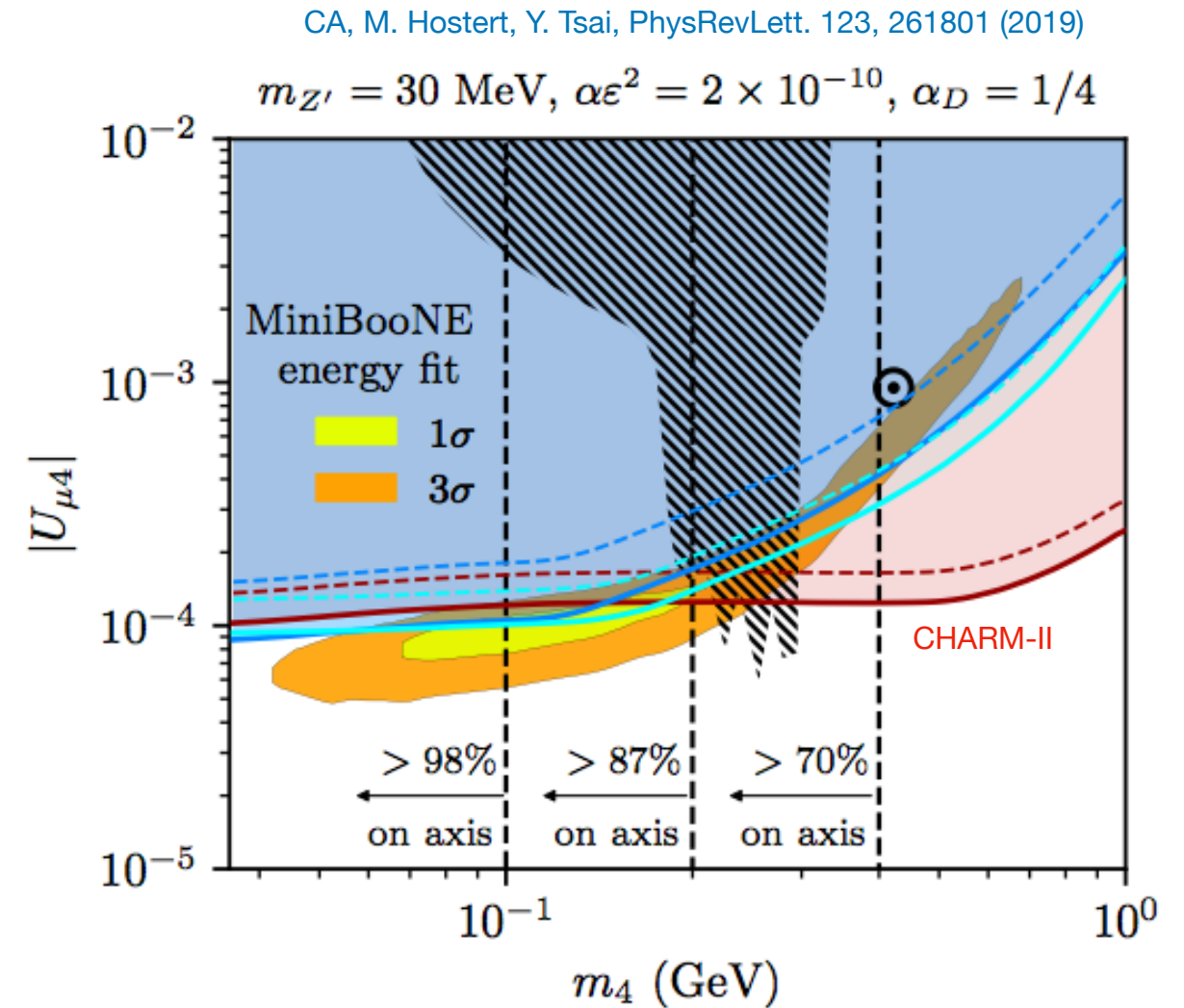
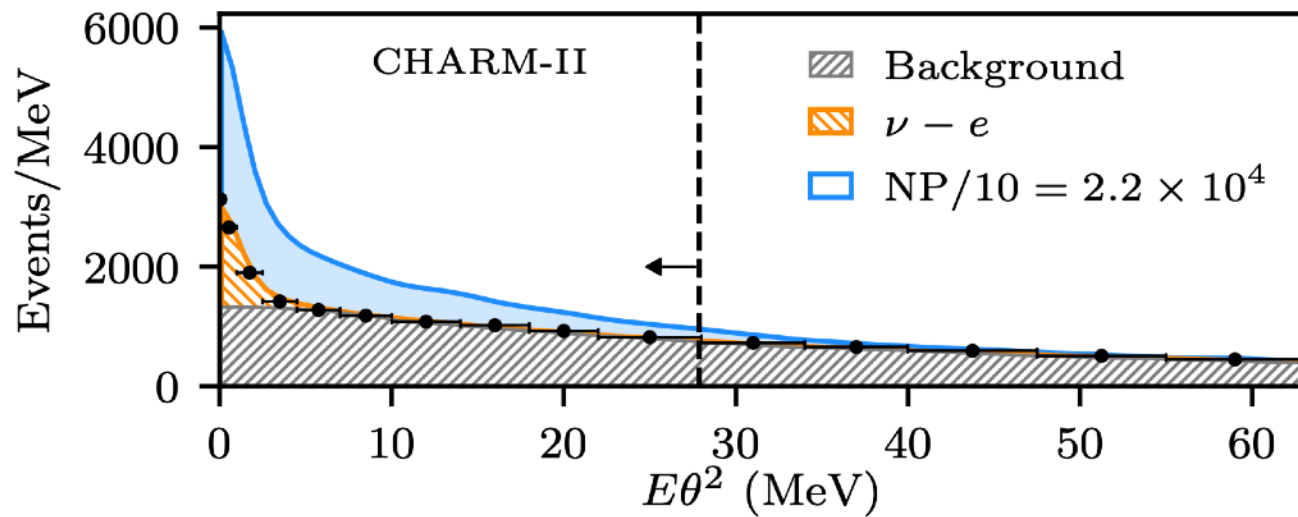
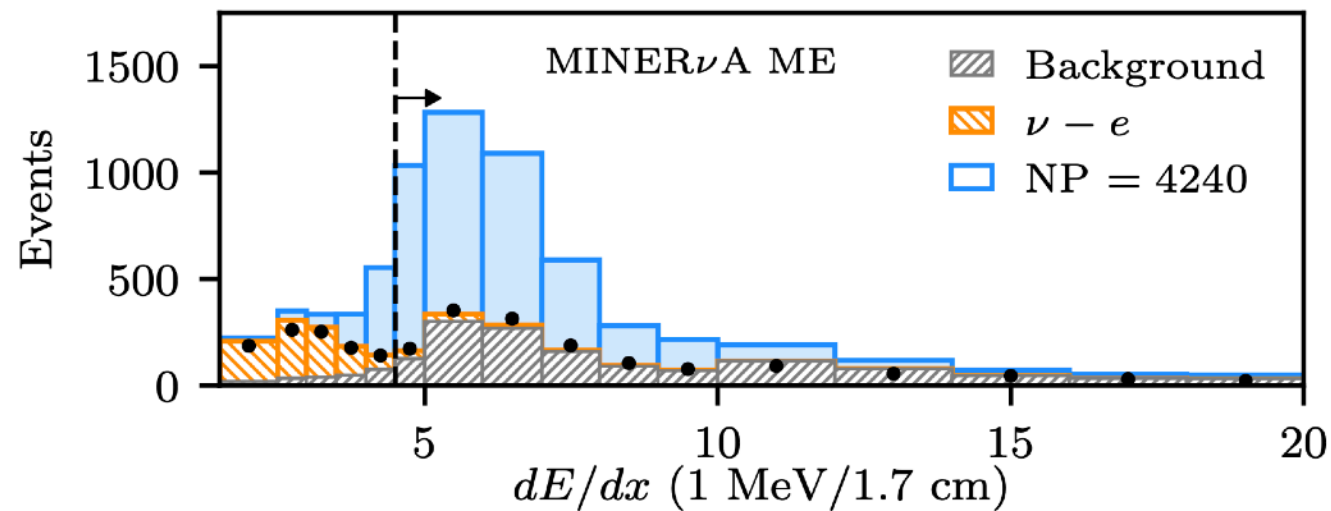
A. Abdullahi, M. Hostert, S. Pascoli,
arXiv:2007.11813

P. Ballett, M. Ross-Lonergan, S. Pascoli,
PhysRevD.99.071701



Good fit to the energy and angular distribution.

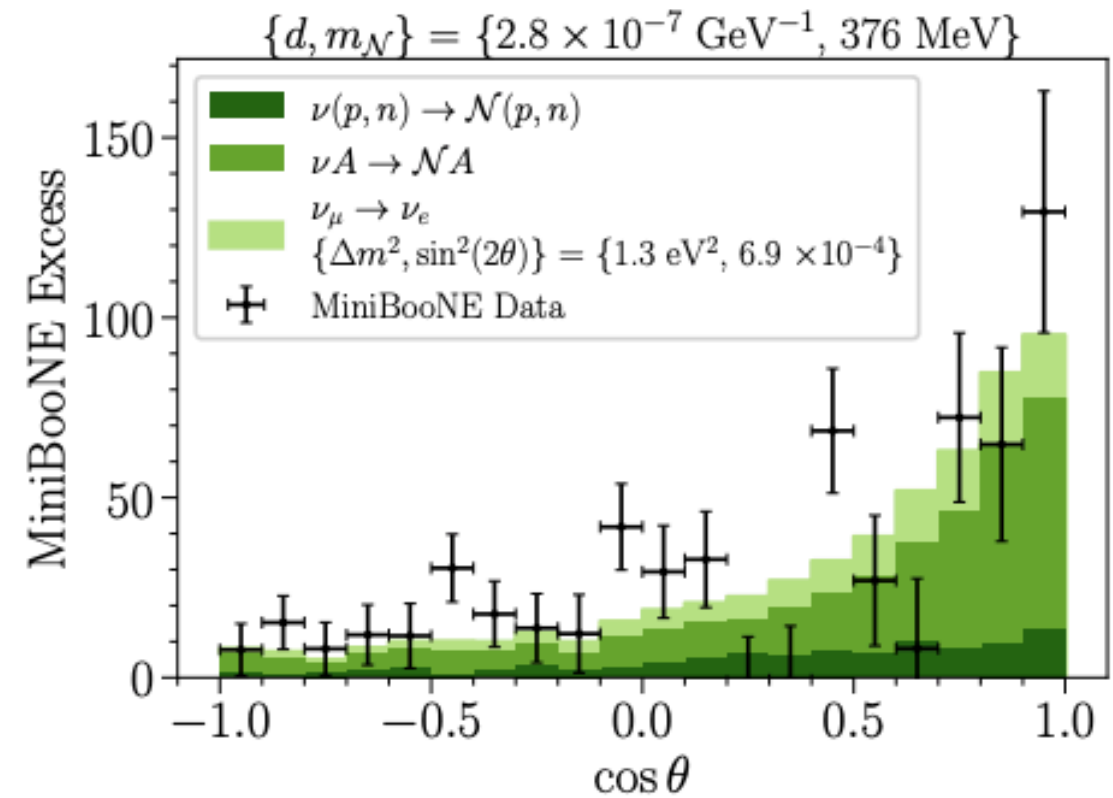
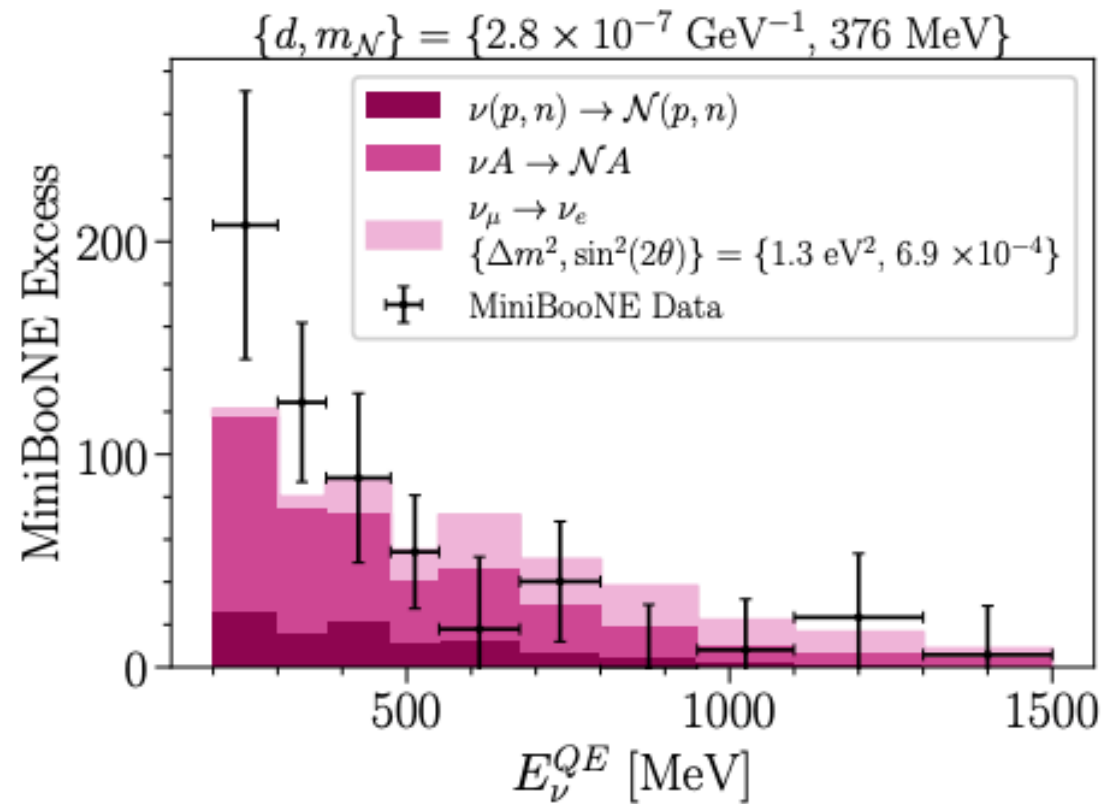
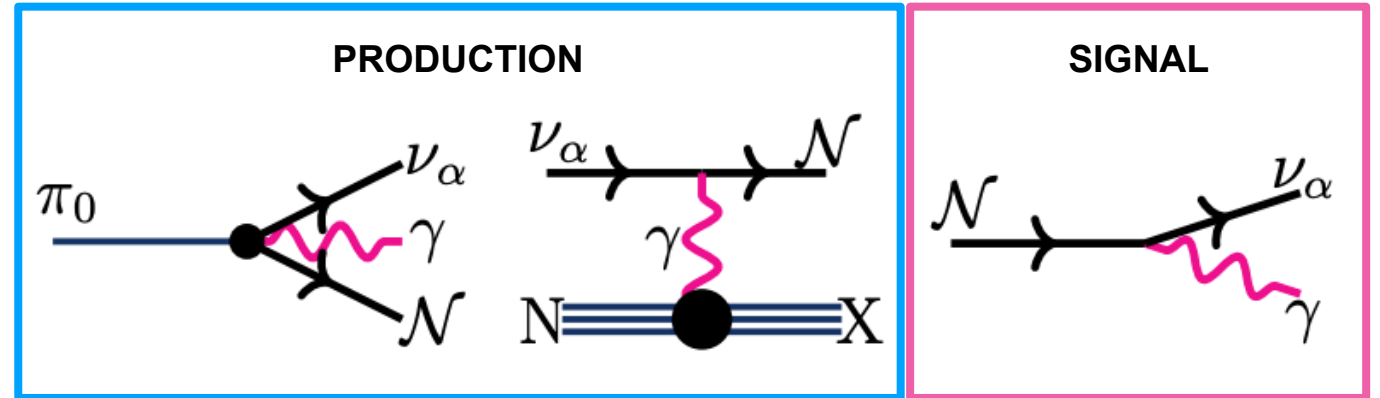
Non-Minimal HNL: di-electron scenario



In tension with measurements of electron-neutrino scattering

Non-Minimal HNL: photon scenario

$$\sum_{j=1}^3 \bar{\mathcal{N}}_j (i\not{\partial} - M_j) \mathcal{N}_j + \sum_{i=1}^3 (d_{i,j} \bar{\nu}_i \sigma_{\mu\nu} F^{\mu\nu} \mathcal{N}_j + h.c.)$$



Option 2: HNL produced in the neutrino interaction that decays into a photon and a neutrino

A global solution: 3+1+HNL-photon

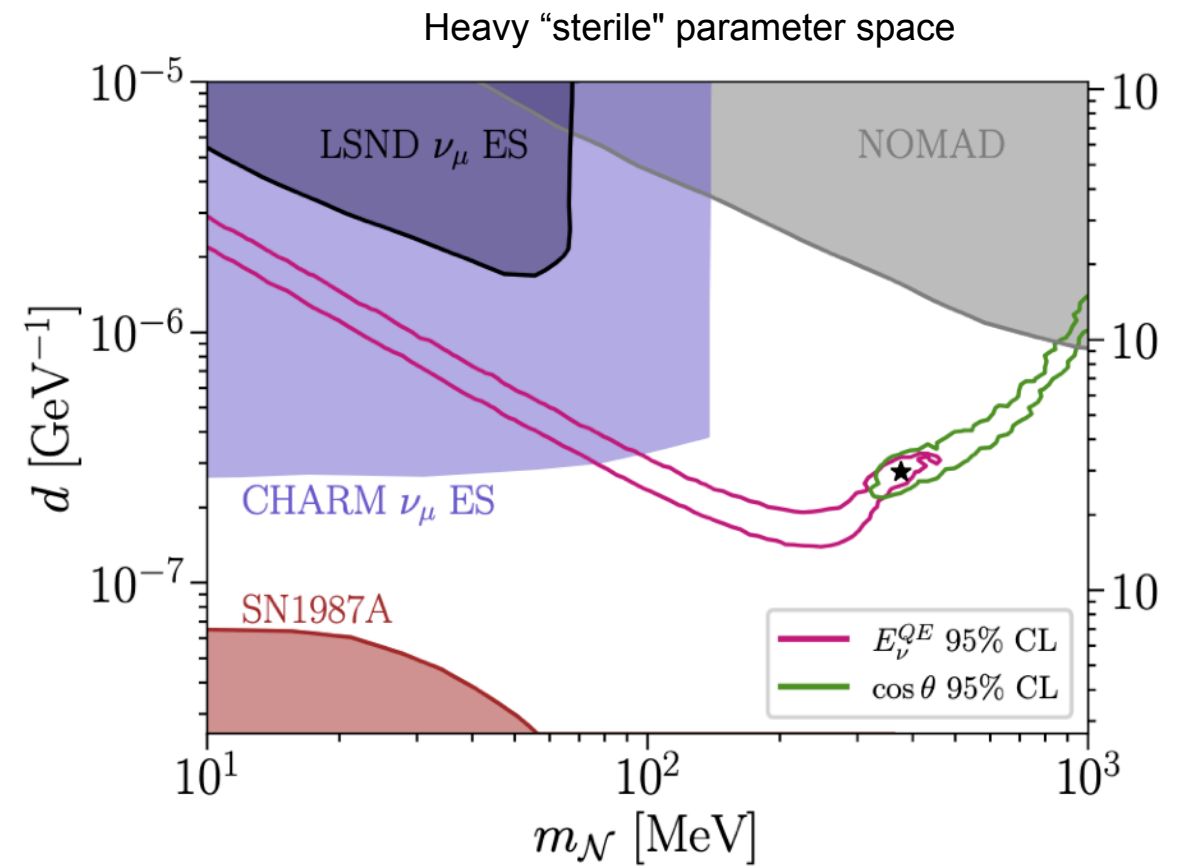
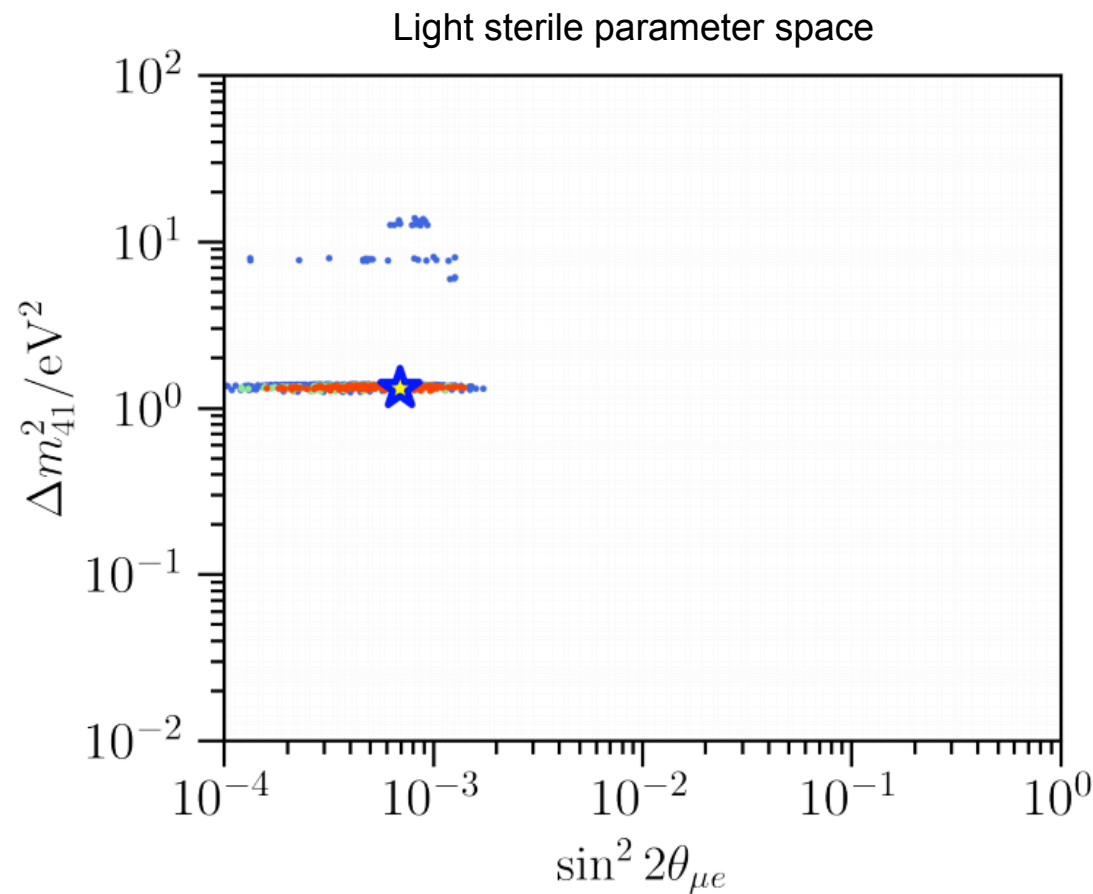
Used to Test	References (Flux Type)	Type of Fit
$\bar{\nu}_e$ disappearance	[39–43] (Reactor)	3+1-only
ν_e disappearance	[44–46] (Source)	
$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance	[47, 48] (π/μ DAR)	
$\nu_\mu \rightarrow \nu_e$ appearance	[49] (π/μ DIF)	
$\bar{\nu}_\mu$ disappearance	[50–53] (π/μ DIF)	
ν_μ disappearance	[51, 54–56] (π/μ DIF)	\mathcal{N}
$3 + 1 + \mathcal{N}$	[8] (MiniBooNE BNB ν)	

Explained
by eV-
sterile

Explained
by MeV-
HNL

Fit type:	3+1-only	3+1-complete
χ^2_{app}	48	79
N_{app}	2	2
χ^2_{dis}	557	557
N_{dis}	3	3
χ^2_{glob}	615	664
N_{glob}	3	3
χ^2_{PG}	10	28
N_{PG}	2	2
p -value	7E-03	8E-07
$N\sigma$	2.7 σ	4.8 σ

Tension



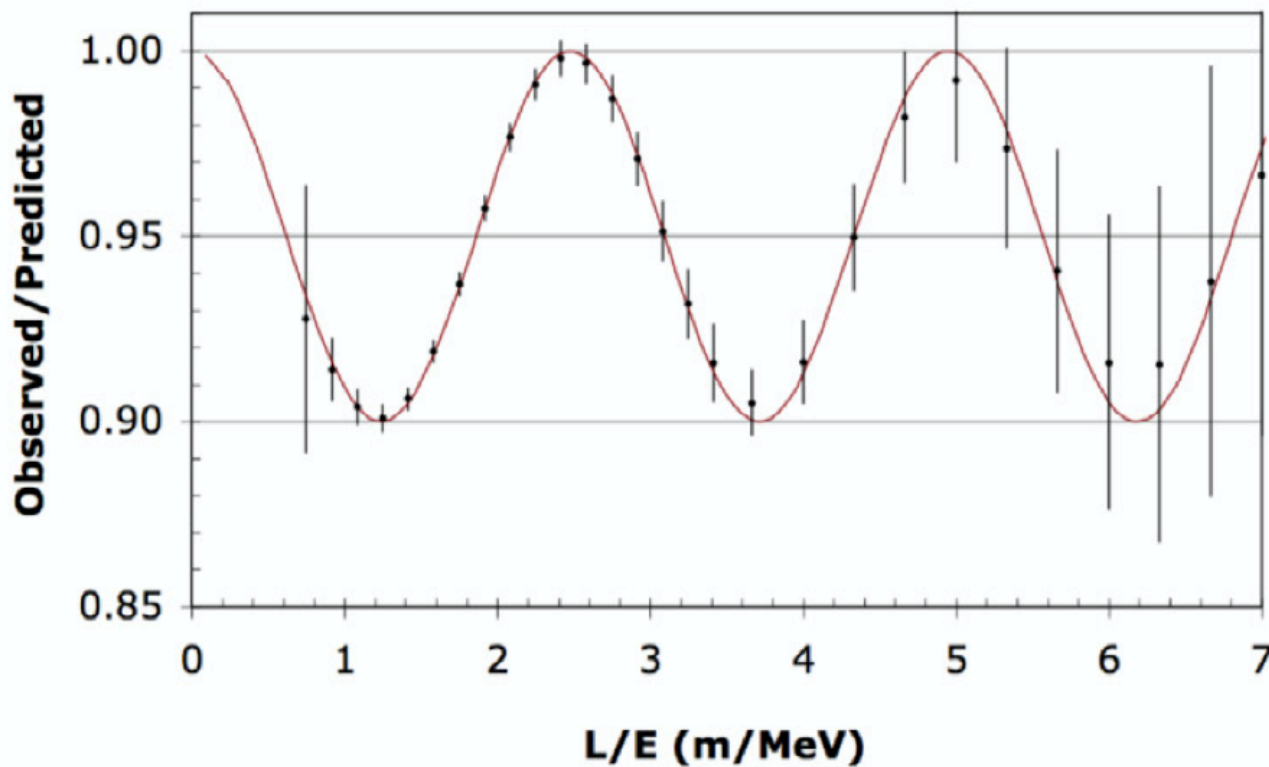
Outline

- Why going beyond 3+1?
- The garden of forking paths
- Opportunities for discovery with IsoDAR@Yemilab

IsoDAR@Yemilab

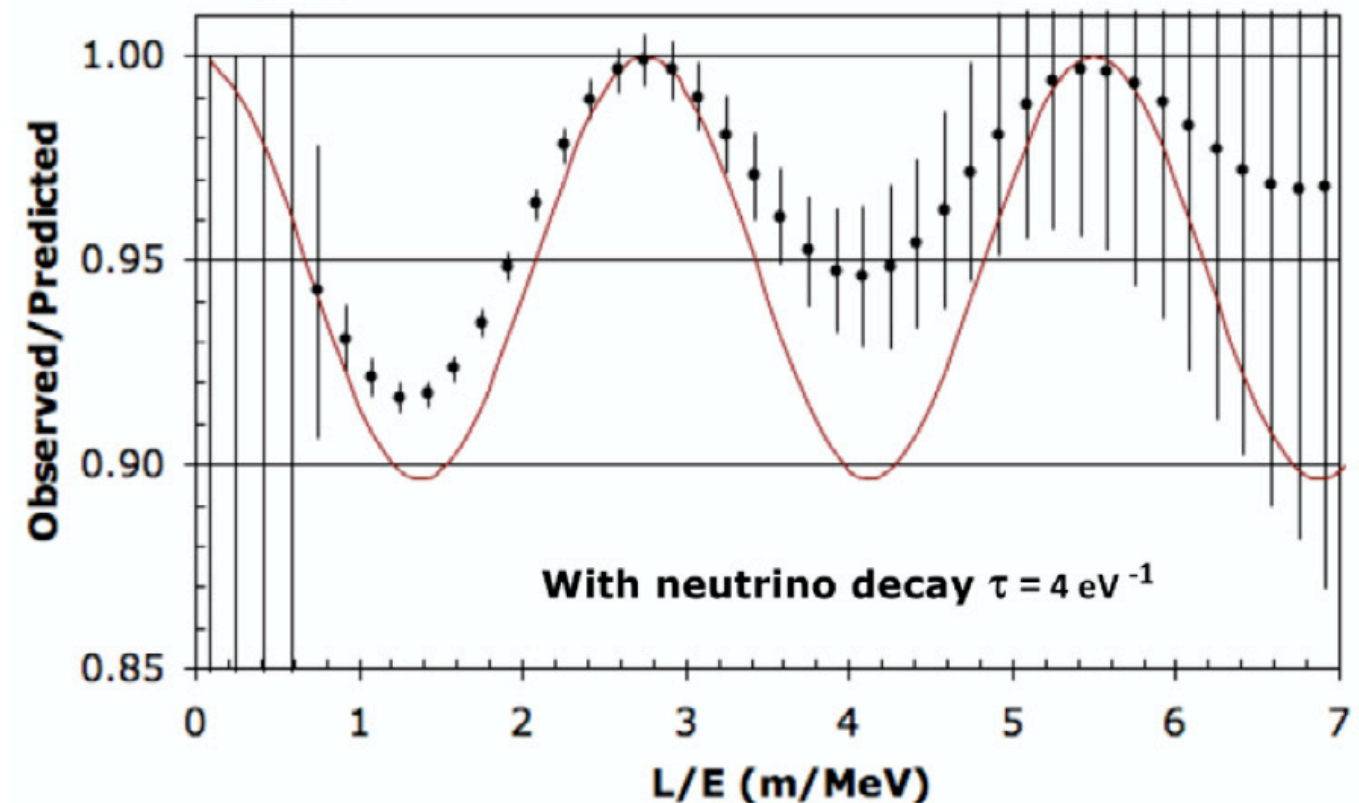
No decay

(3+1) Model with $\Delta m^2 = 1.0 \text{ eV}^2$ and $\sin^2 2\theta = 0.1$



With decay

(3+1) Model with $\Delta m^2 = 0.9 \text{ eV}^2$ and $\sin^2 2\theta = 0.1035$



IsoDAR with O(1M) events

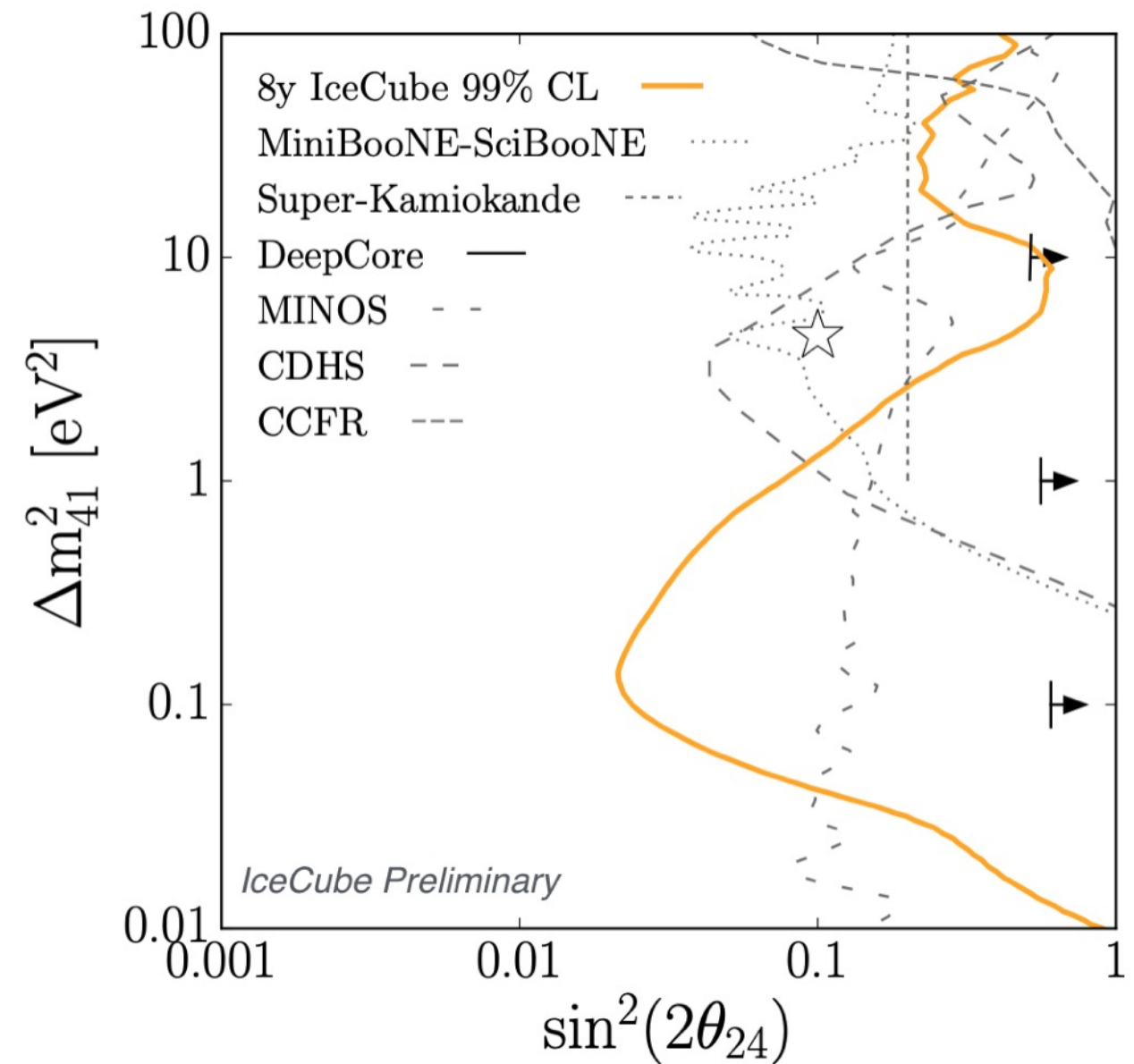
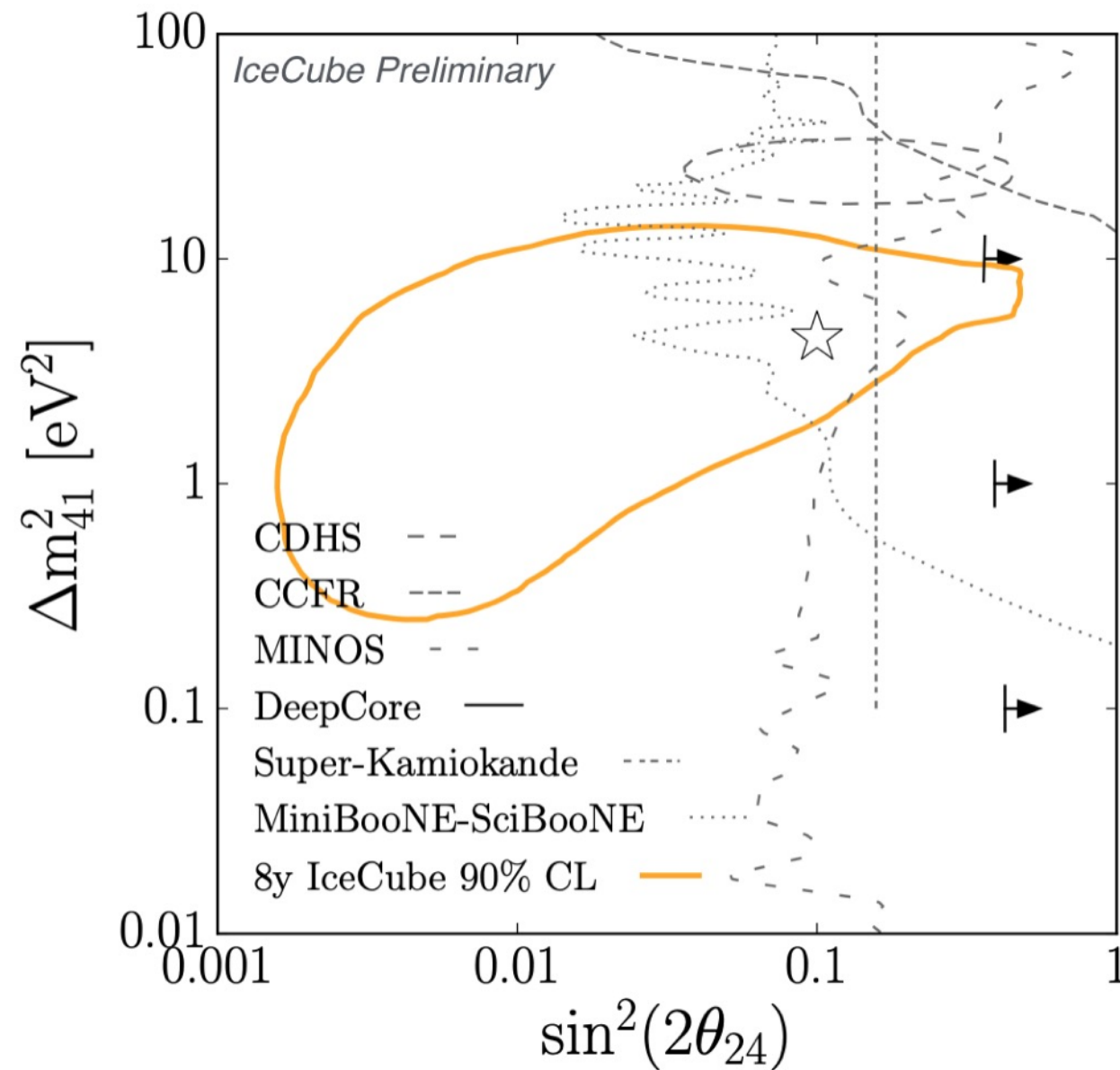
IsoDAR@Yemilab will conclusively rule out the 3+1 model, but also due to its ability to trace the oscillation wave see variants on this model such as 3+1+Decay

Take home messages

- $3+1$ model is disfavored as a global solution.
- $3+1+\text{Decay}$ provides a better explanation of the global data set.
- $3+1+\text{Decay}$ is preferred over $3+1$ by IceCube.
- The low-energy part of the MiniBooNE excess points to a second component.
- Non-minimal HNLs provide a good explanation for the low-energy excess.
- Measurement of electron-neutrino cross section will be important to test these scenarios.

Bonus slides

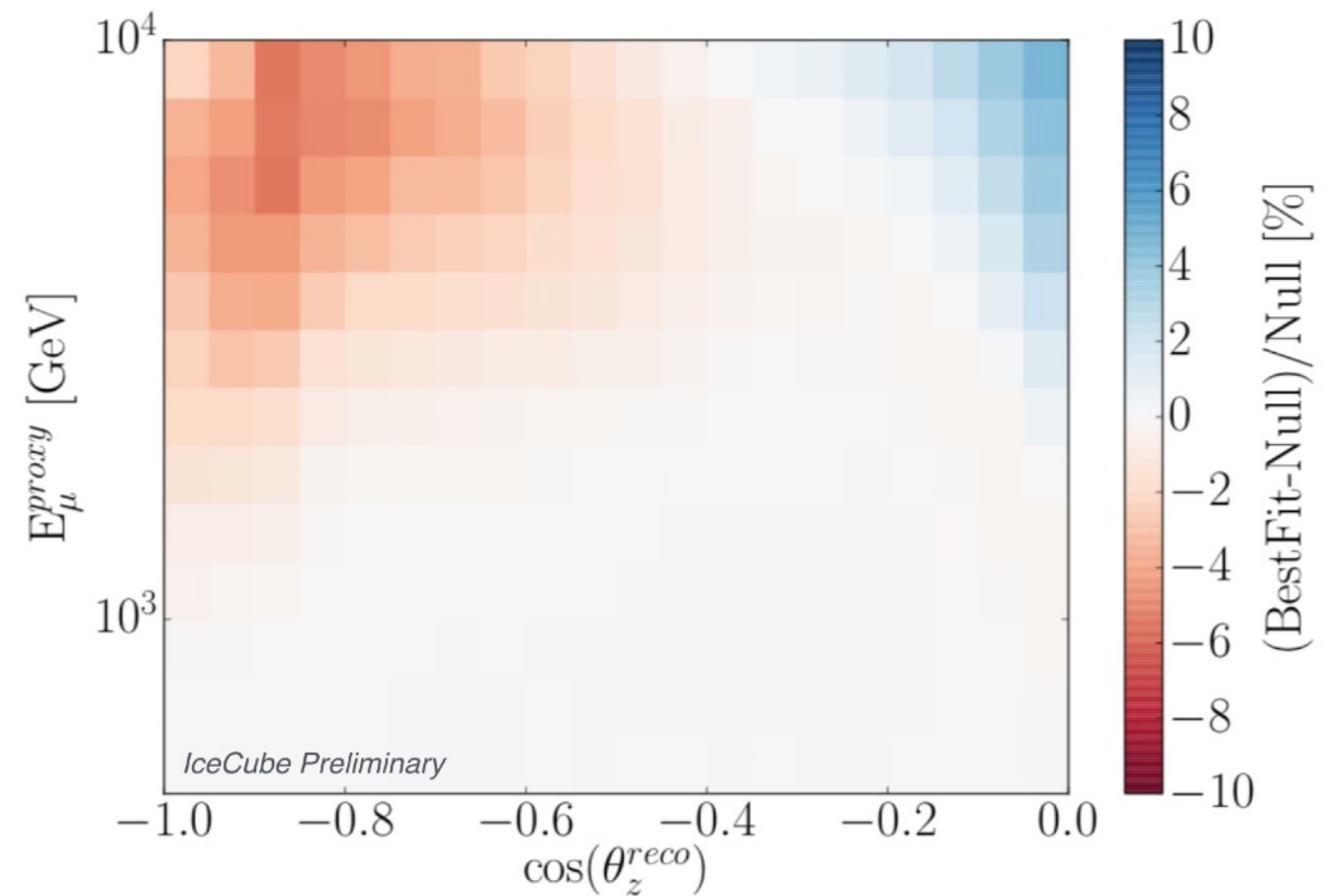
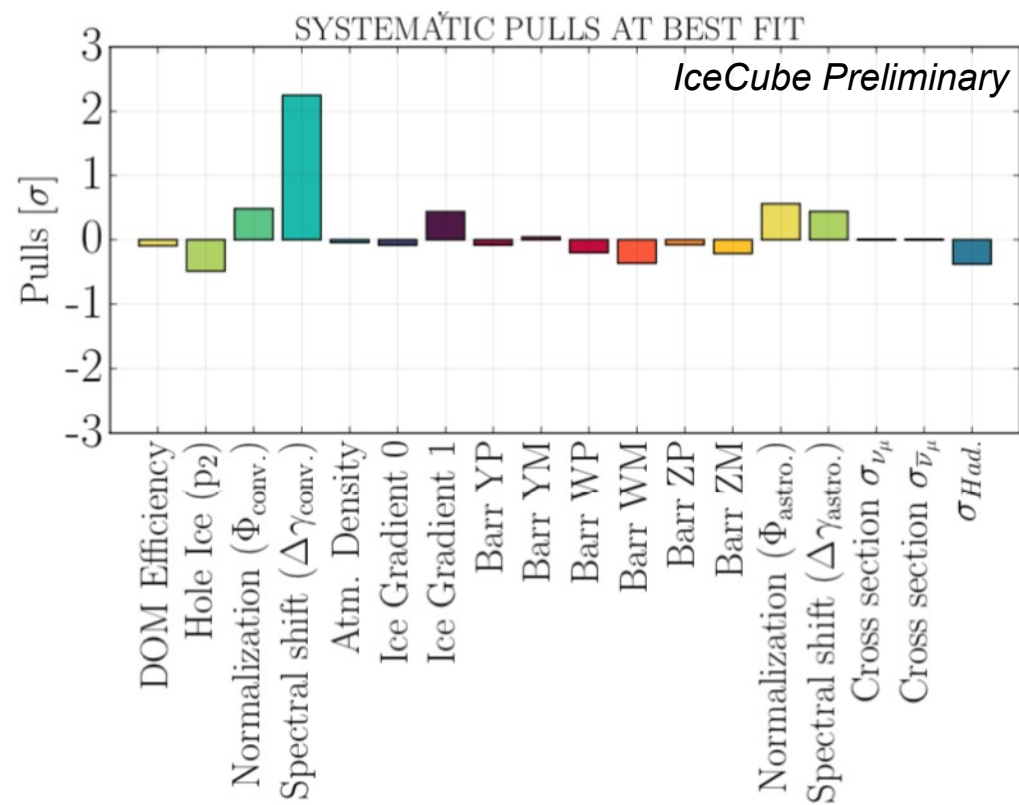
Compare to other muon-neutrino disappearance results



Best-fit point (data) and signal shape (Monte Carlo)

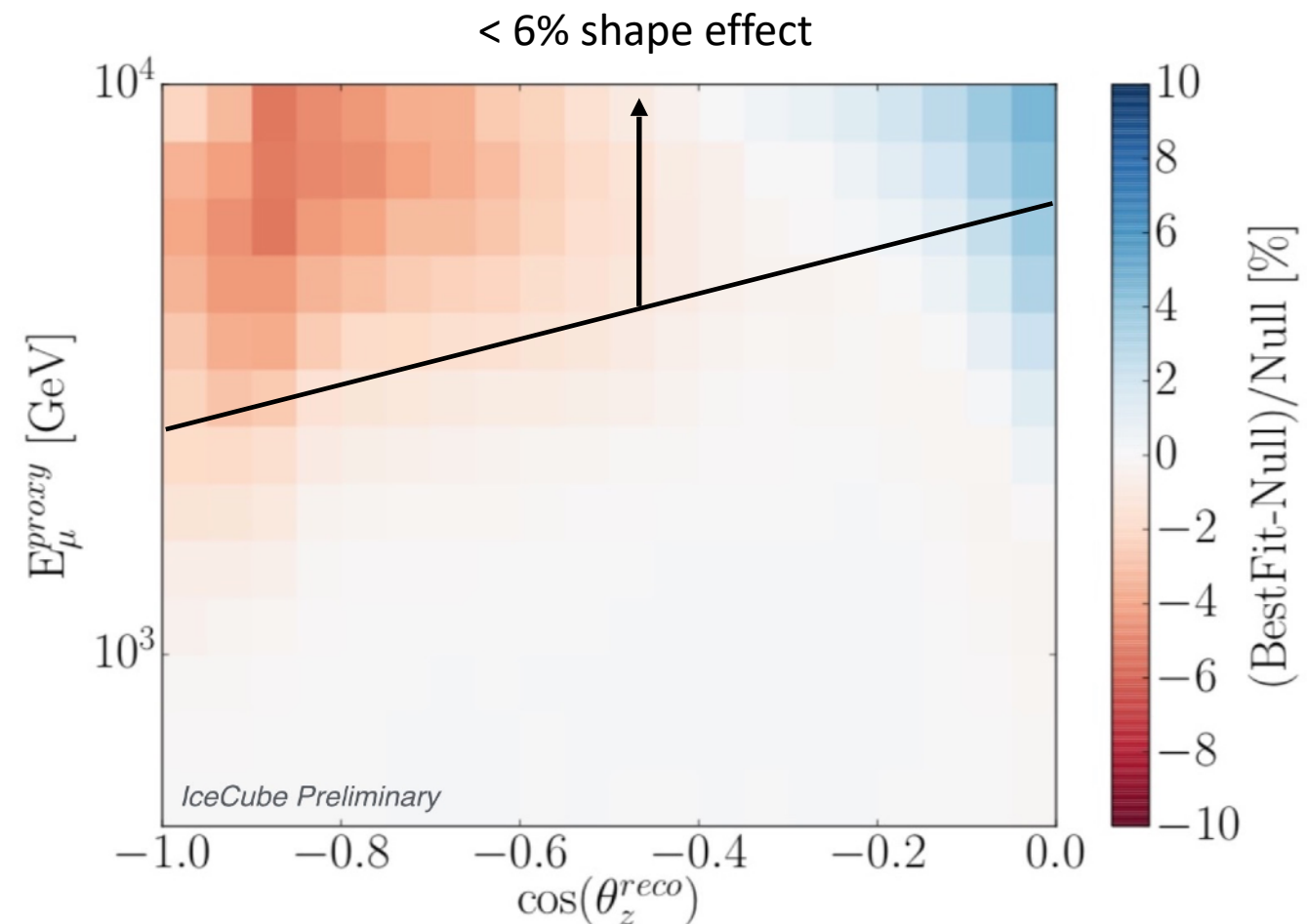
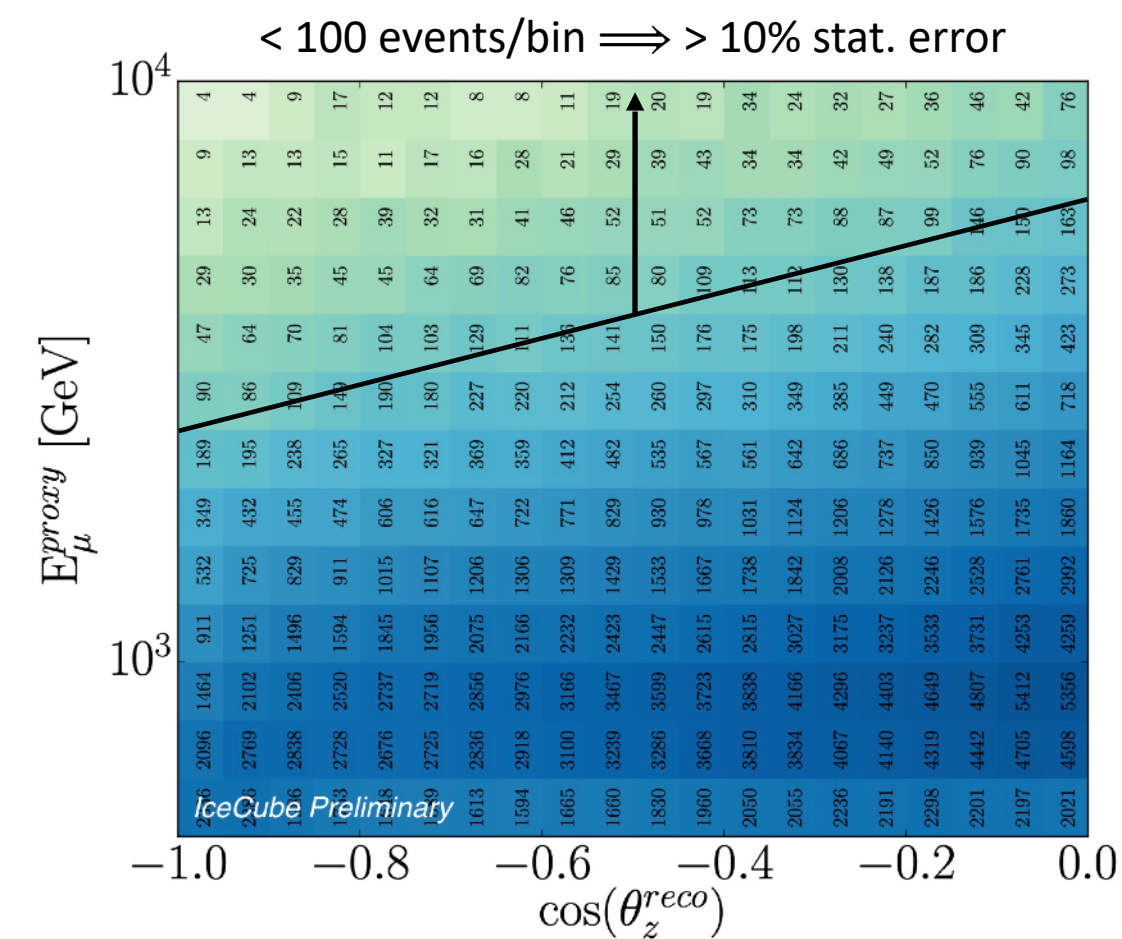
$$\Delta m_{41}^2 = 4.47_{-2.08}^{+3.53} \text{eV}^2$$

$$\sin^2(2\theta_{24}) = 0.10_{-0.07}^{+0.10}$$



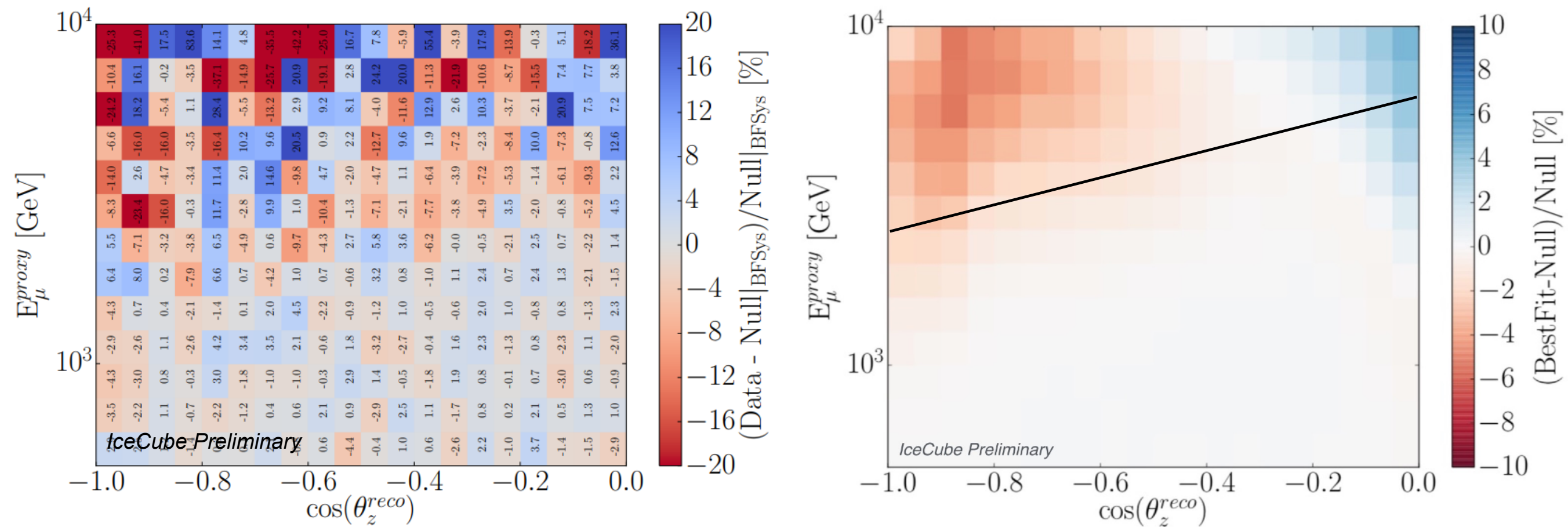
Event distribution (data) and best-fit shape (Monte Carlo)

- ❖ Best-fit shape effect is in a low-statistics regime
 - ❖ Hard to see by eye in the data
- ❖ But the result does not seem to be a statistical fluctuation
 - ❖ Consistent year-to-year

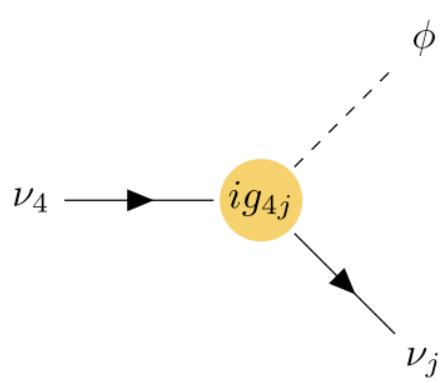


Event distribution (data) and best-fit shape (Monte Carlo)

- ❖ Best-fit shape effect is in a low-statistics regime
 - ❖ Hard to see by eye in the data
- ❖ But the result does not seem to be a statistical fluctuation
 - ❖ Consistent year-to-year



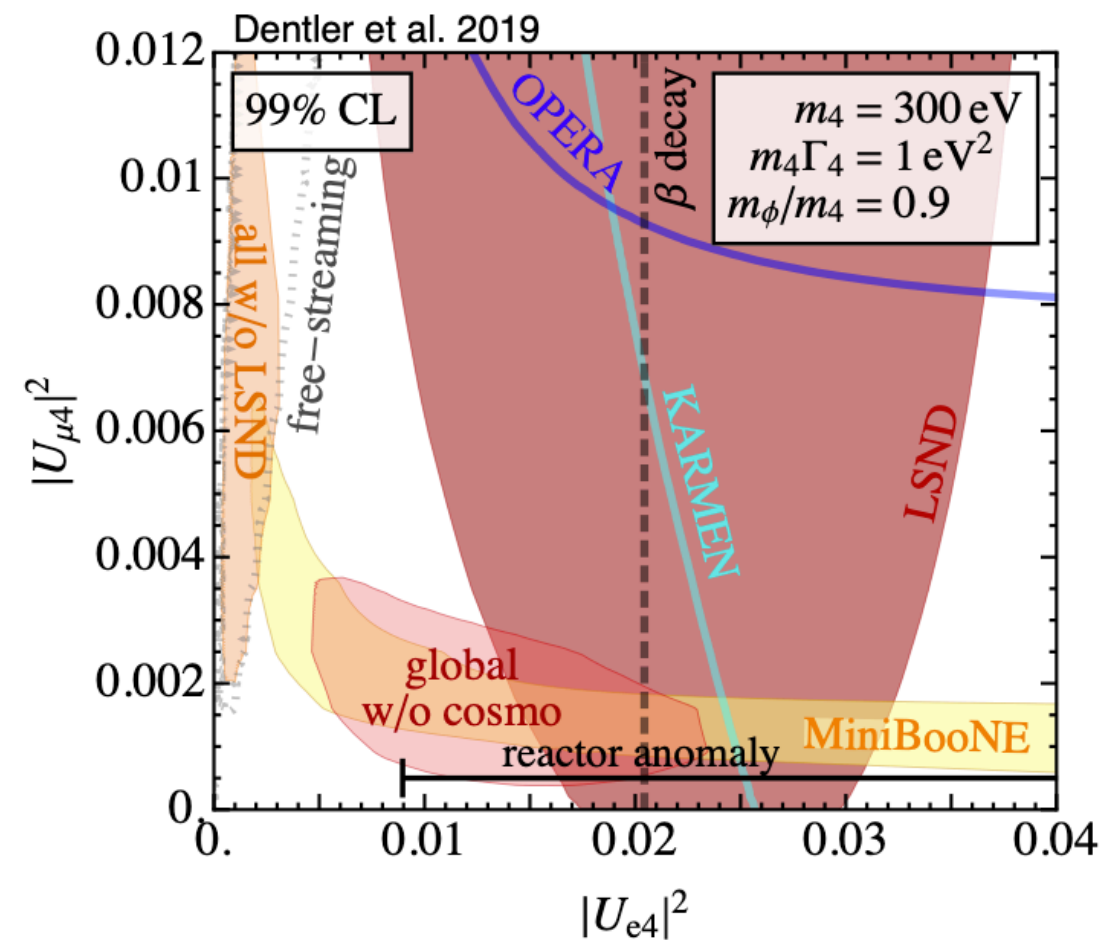
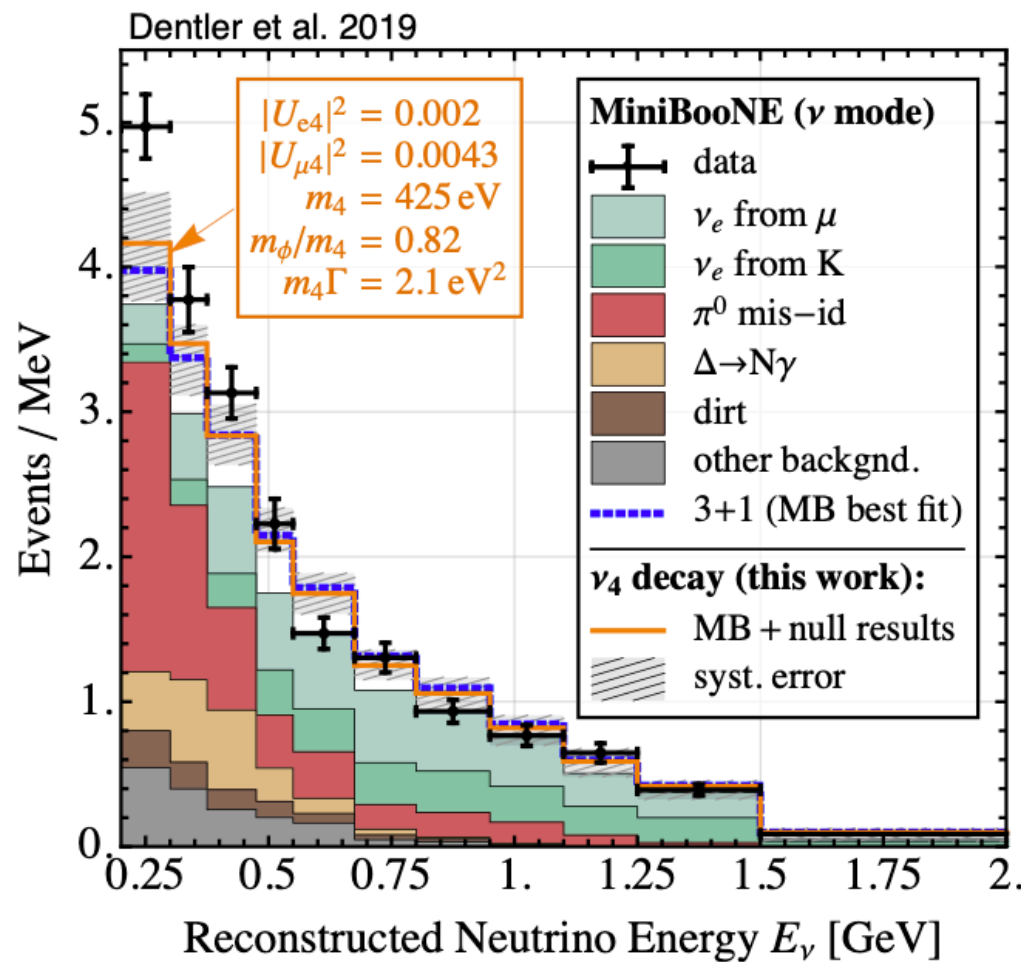
Sterile Neutrino Decay (3+1+Visible-Decay)



Dentler et al <https://arxiv.org/abs/1911.01427>

Gouvea et al <https://arxiv.org/abs/1911.01447>

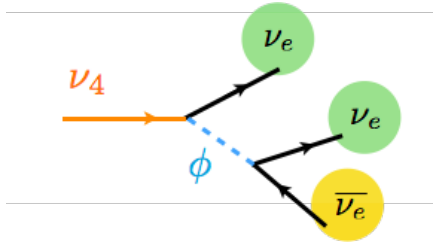
$$\mathcal{L} \supset -g \bar{\nu}_s \nu_s \phi - \sum_{a=e,\mu,\tau,s} m_{\alpha\beta} \bar{\nu}_\alpha \nu_\beta$$



See also Palomares-Ruiz et al <http://xxx.lanl.gov/abs/hep-ph/0505216>,
Gninenkov <https://arxiv.org/abs/0902.3802>, Fisher et al <https://arxiv.org/abs/1909.09561>

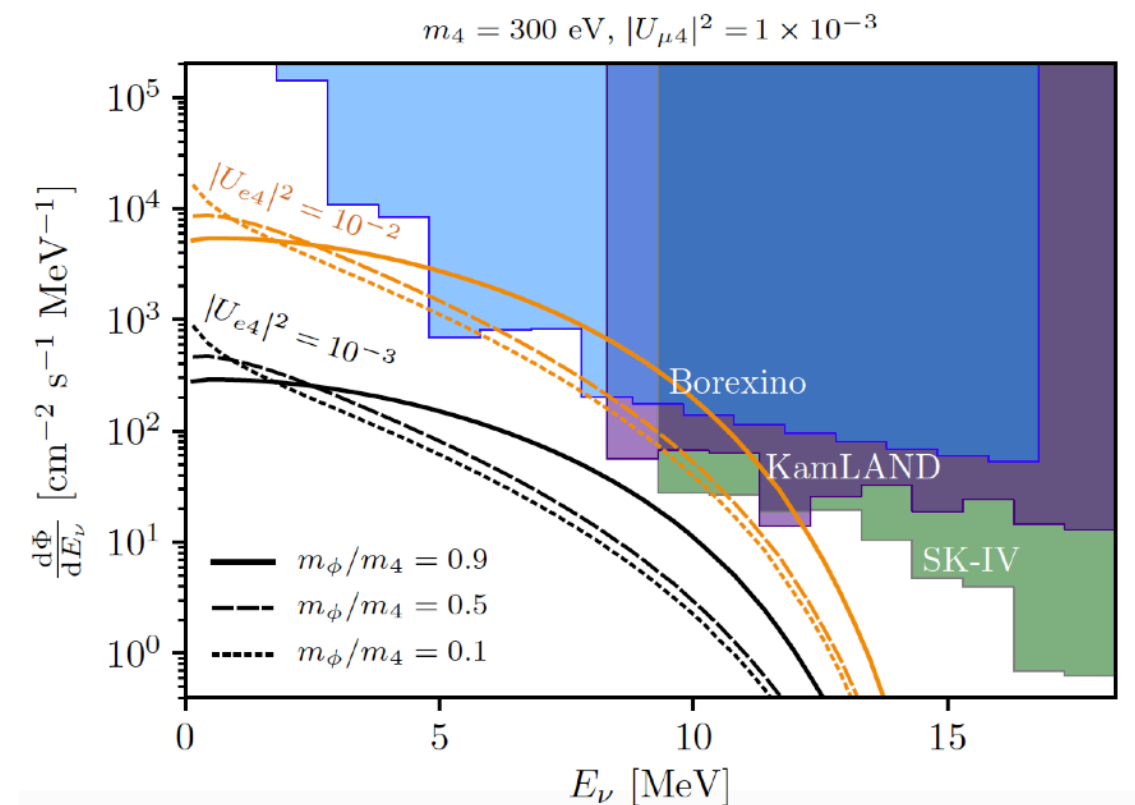
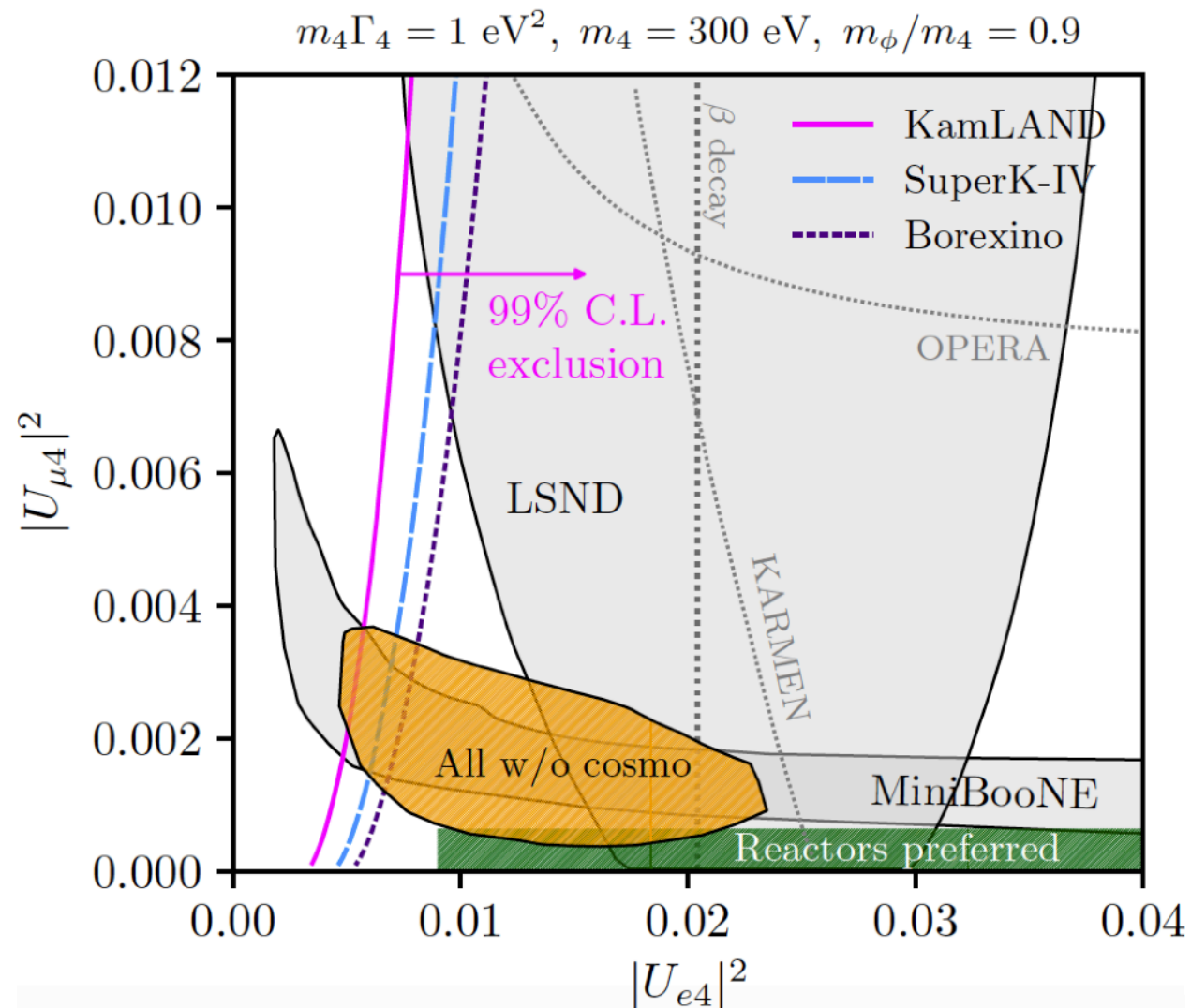
Neutrino Decay as a Solution to the Short-Baseline Anomalies

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF2_NF3_G_V_Stenico-131.pdf)



Sterile Neutrino Decay (3+1+Visible-Decay)

M. Hostert & M. Pospelov <https://arxiv.org/pdf/2008.11851.pdf>



Visible decay predicts emission of antineutrinos from the Sun!

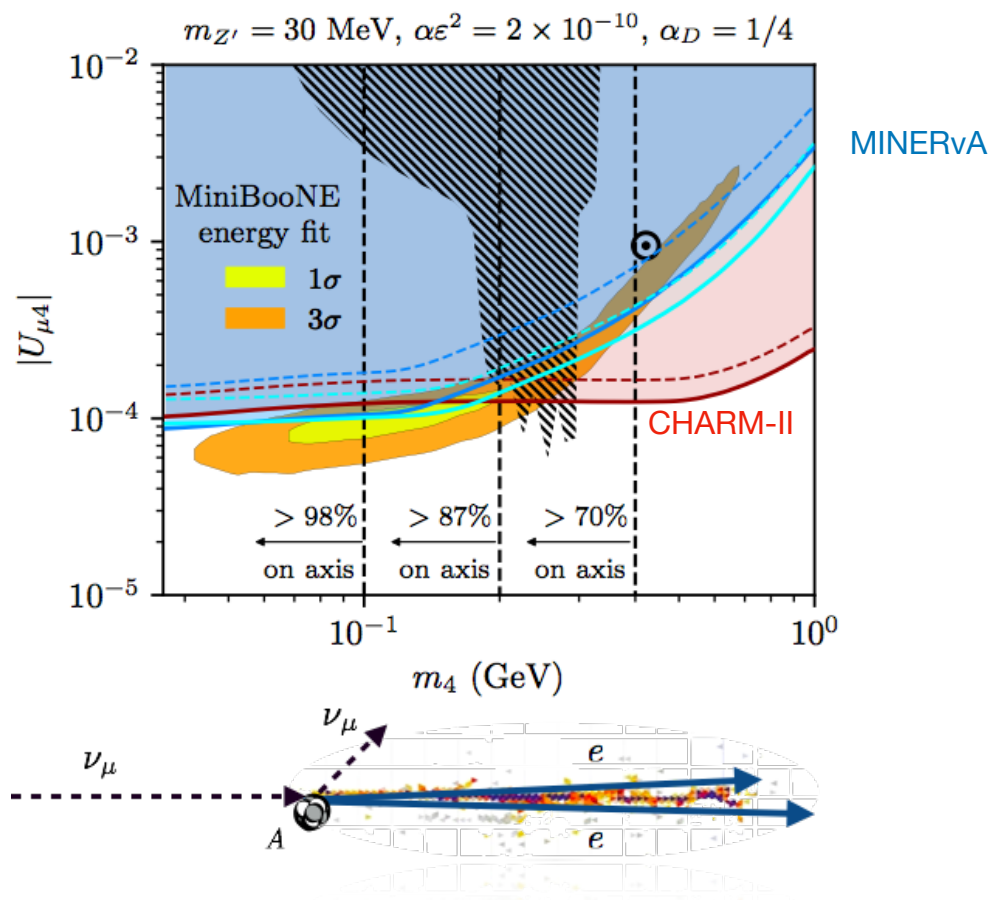
Neutrino Decay as a Solution to the Short-Baseline Anomalies

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF2_NF3_G_V_Stenico-131.pdf)

Non-Minimal HNLs - Testability

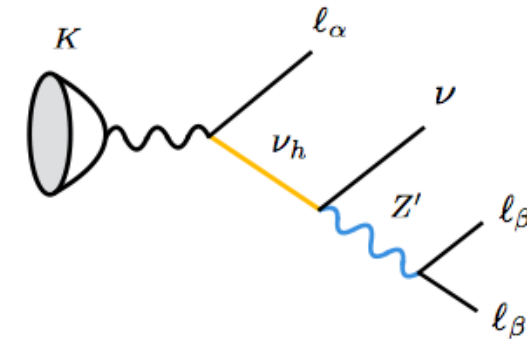
Photon-like signatures neutrino-electron scattering (MINERvA, CHARM-II)

CA, M. Hostert, Y. Tsai, PhysRevLett. 123, 261801 (2019)



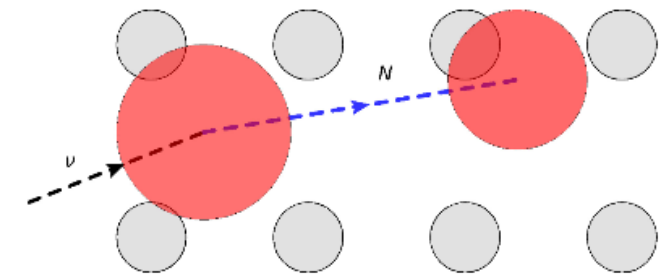
Rare kaon decays

P. Ballet, M. Hostert, S. Pascoli, Phys. Rev. D 101, 115025 (2020)



Double cascades in IceCube

P. Coloma et al, arxiv:1707.08573,
P. Coloma arxiv:1906.02106



KOTO & muon (g-2) anomalies

B. Dutta, S. Ghosh, T. Li, PhysRevD.102.055017
W. Abdallah et al, arXiv:2006.01948
A. Datta et al, Phys.Lett.B 807 (2020) 135579
A. Abdullahi, M. Hostert, S. Pascoli, arXiv:2007.11813

More data from: MINERvA, NOvA, and SBN program to come soon!

BSM Physics at the Electron Ion Collider: Searching for Heavy Neutral Leptons
(https://www.snowmass21.org/docs/files/summaries/EF/SNOWMASS21-EF7_EF0-NF2_NF3-RF4_RF0_Brian_Batell-114.pdf)

Dark Sector With Neutrino Beams

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF3_NF0-RF6_RF0-CF1_CF3-TF9_TF11-148.pdf)

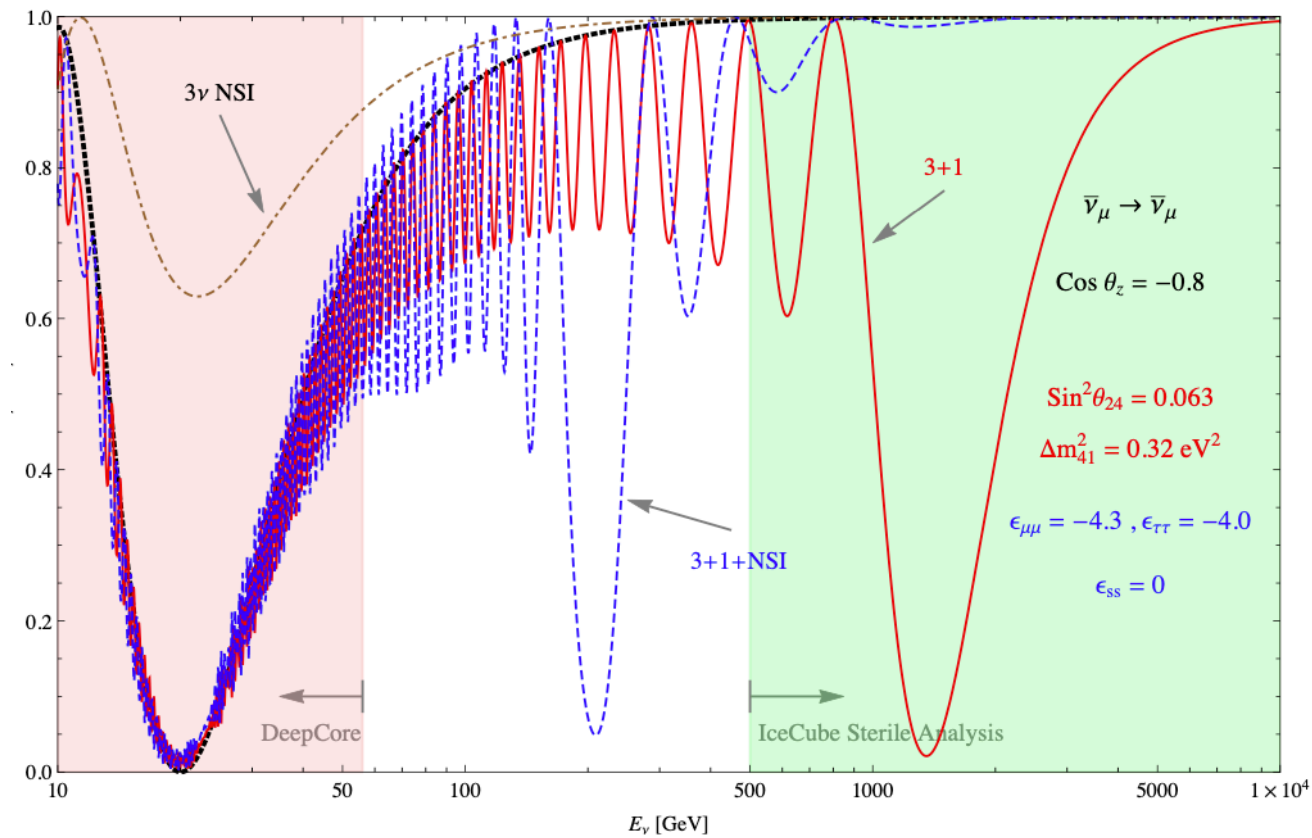
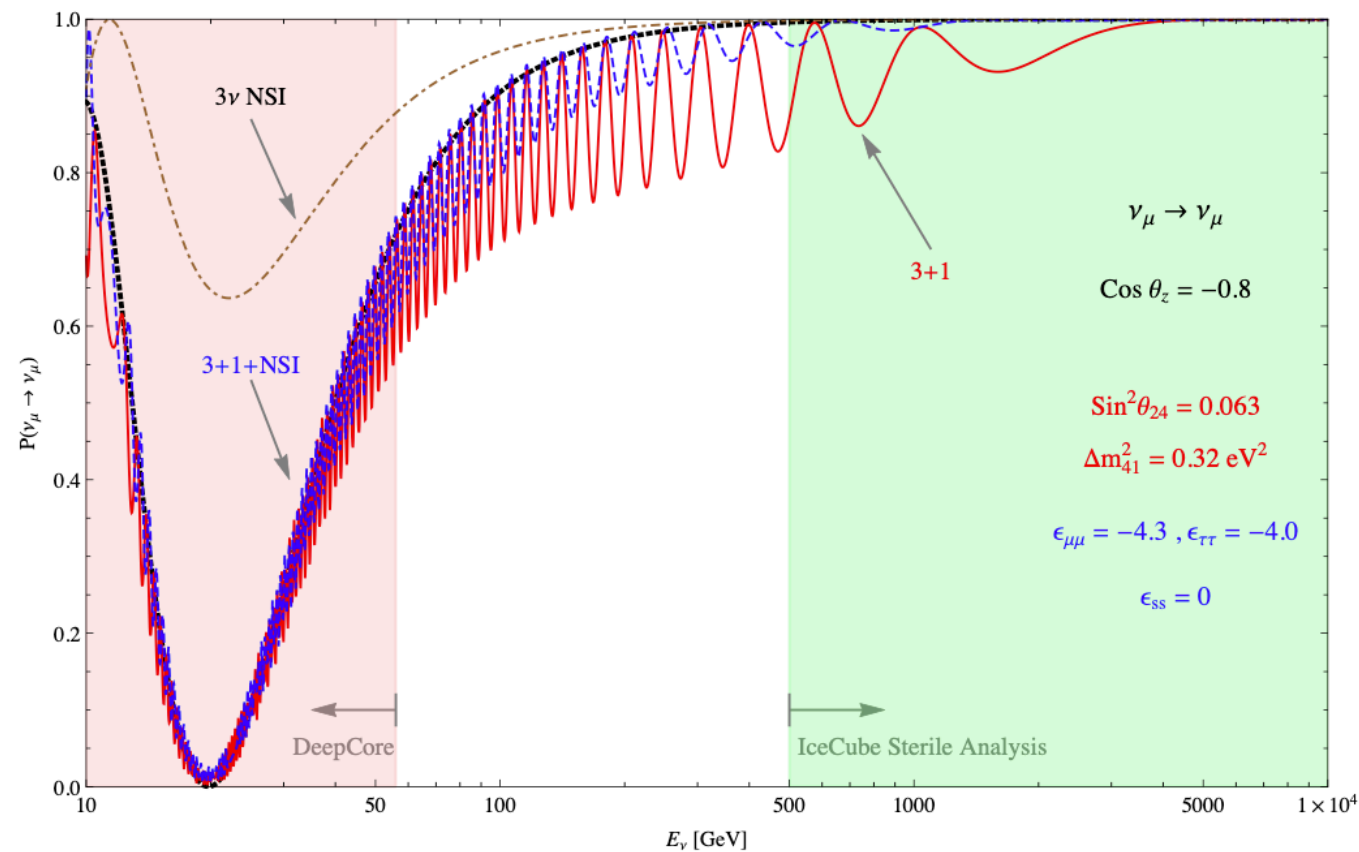
Opportunities and signatures of non-minimal Heavy Neutral Leptons

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF2_NF3-EF9_EF0-RF4_RF6-CF1_CF0-TF8_TF11_Matheus_Hostert-041.pdf)

Non-Standard Matter Effects (3+1+NSI)

J. Liao et al

A. Esmaili et al <https://arxiv.org/abs/1810.11940>



See also Denton et al
Bhupal Dev et al

Direct Probes of Matter Effects In Neutrino Oscillations

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF1_NF3-TF0_TF0_Peter_Denton-010.pdf)

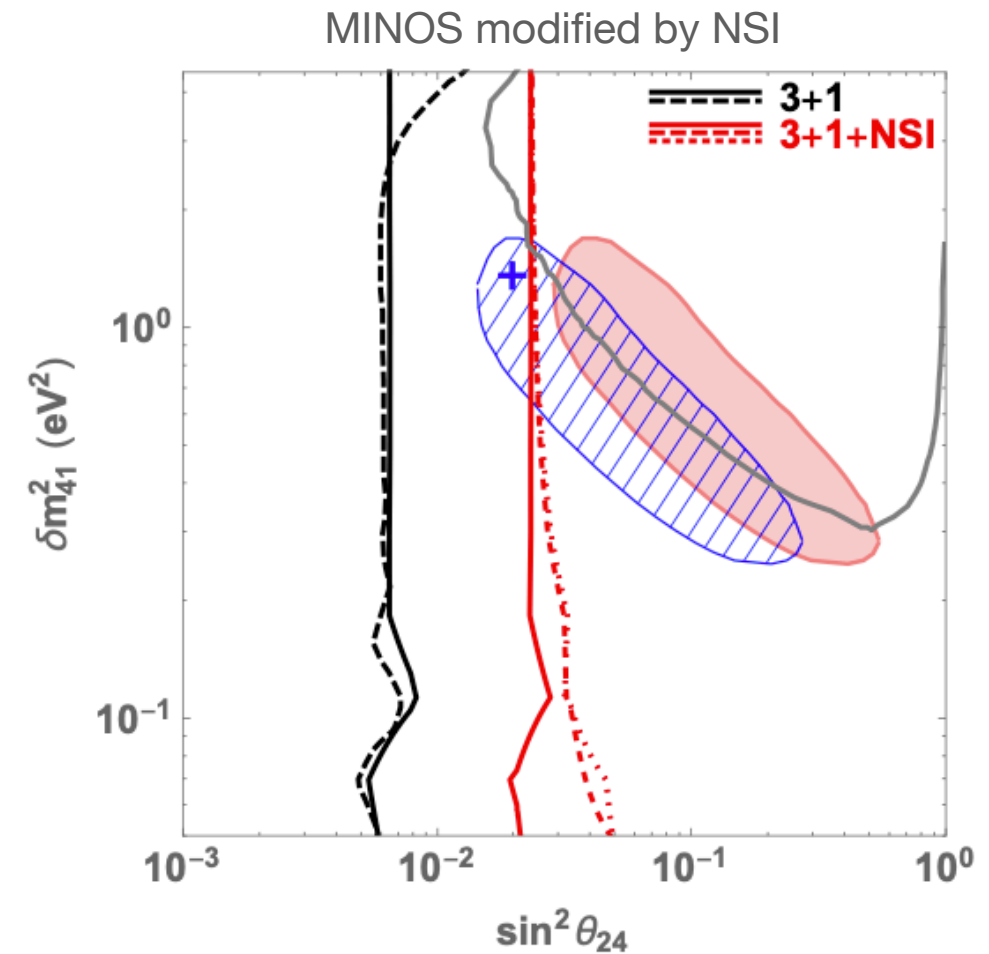
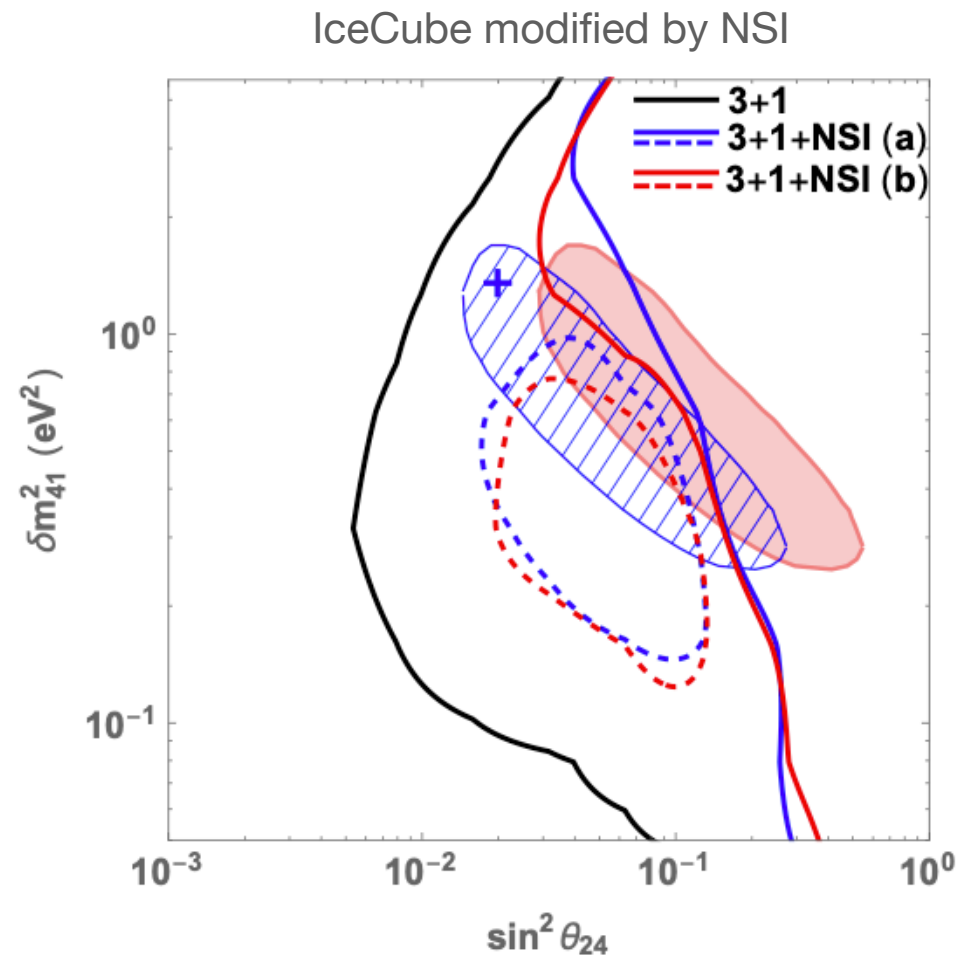
Neutrino NonStandard Interactions



Non-Standard Matter Effects (3+1+NSI)

J. Liao et al

A. Esmaili et al <https://arxiv.org/abs/1810.11940>



See also Denton et al
Bhupal Dev et al

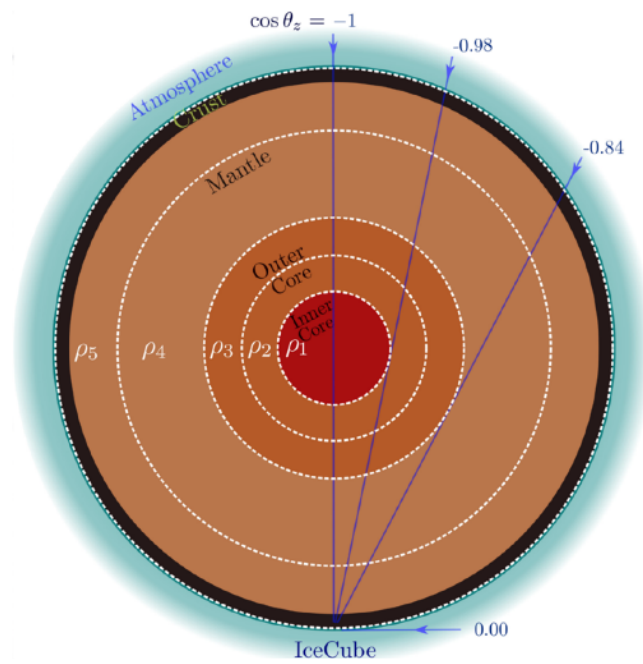
Direct Probes of Matter Effects In Neutrino Oscillations

(https://www.snowmass21.org/docs/files/summaries/NF/SNOWMASS21-NF1_NF3-TF0_TF0_Peter_Denton-010.pdf)

Neutrino NonStandard Interactions



Position of resonance maps onto sterile parameter space



We measure two things:

- length (direction)
- energy

We extract two parameters:

- squared mass difference
- mixing angle

