

Search for solar axions and sterile neutrino from the CUP (Center for Underground Physics), IBS

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*Center for Underground Physics, **ibs***
Light Dark World 2016, Jul 13th, 2016

Contents

- Introduction
- Experiment
- Data Analysis
- Prospects



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- Experiment
- Data Analysis
- Prospects
- **Summary**



Search for Solar Axions

(JHEP 06 (2016) 011 [arXiv:1604.01825])

Axion: Strong CP problem

- Most general gauge invariant the Lagrangian of QCD:

$$\mathcal{L} = -\frac{1}{4}G_{\mu\nu}^a G^{a,\mu\nu} + \bar{q}(i\gamma_\mu D^\mu - \mathcal{M}_q)q - \frac{\alpha_s}{8\pi}\theta G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

Non-zero & CP-violation

- Electric-dipole moment of neutron:

$$d < \sim 10^{-26} \text{ e cm} \rightarrow \theta < 10^{-10}$$

- Introduce the axion field ϕ_a :

$$\mathcal{L} = (\bar{\theta} - \frac{\phi_a}{f_a}) \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu}$$

Note: f_a is the only parameter in the model.

Choose the minimum $\phi_a = \bar{\theta} f_a$,
then CP is conserved.

Interaction of Axion with Matter

Effective Lagrangian:

$$\mathcal{L}_{int} = g_{a\gamma}\phi_a \vec{E} \cdot \vec{B} \longleftarrow \mathcal{L}_{int} = -\frac{1}{4}g_{a\gamma}\phi_a F_{\mu\nu}\tilde{F}^{\mu\nu} = g_{a\gamma}\phi_a \vec{E} \cdot \vec{B}$$

$$+ ig_{ae}\phi_a \bar{\psi}_e \gamma_5 \psi_e$$

$$+ i\phi_a \bar{\psi}_N \gamma_5 (g_{aN}^0 + g_{aN}^1 \tau_3) \psi_N, \quad \psi_N = \begin{pmatrix} p \\ n \end{pmatrix}$$

Axion Model

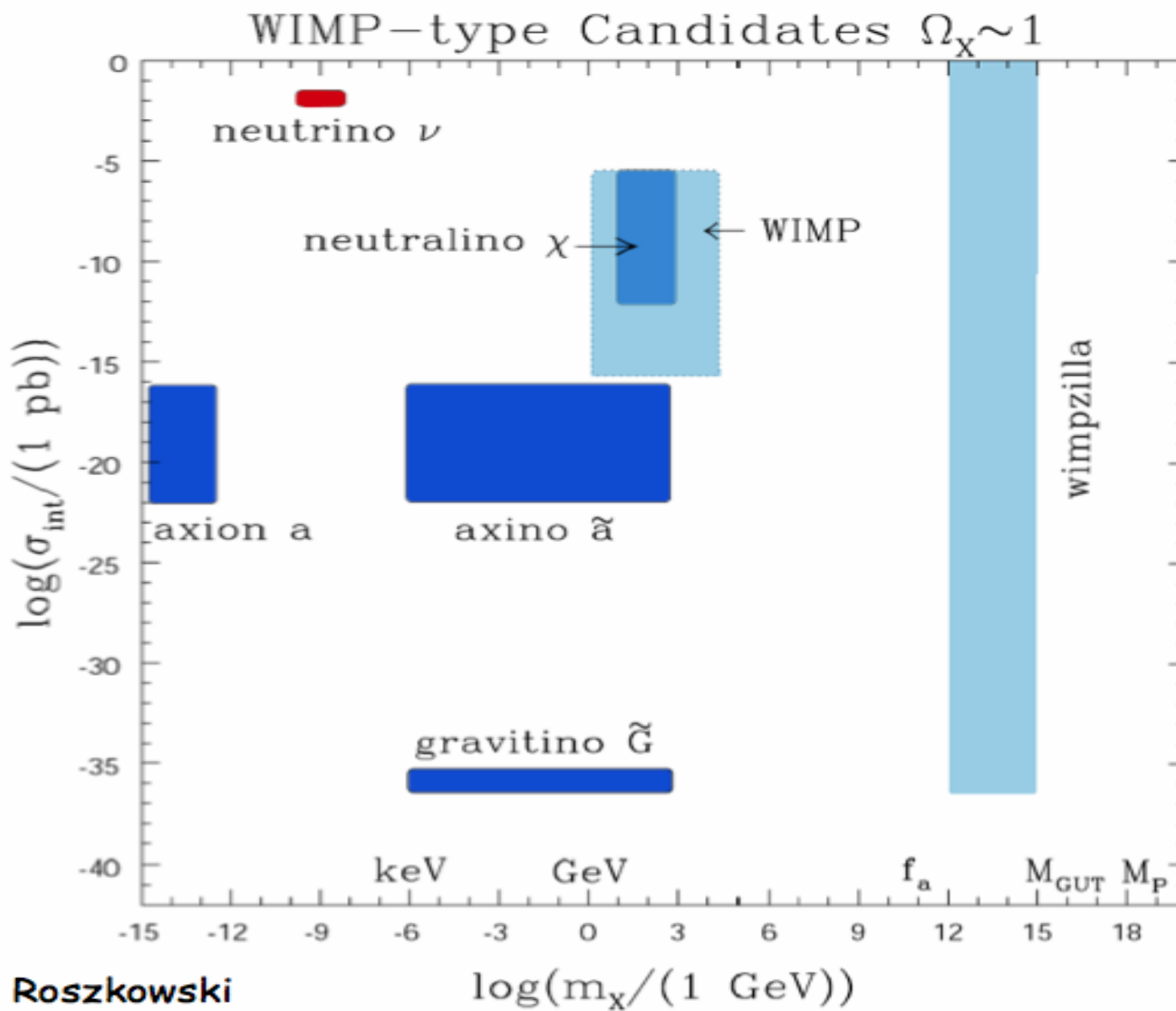
Axion Model (m_a/eV)	$g_{a\gamma}$ (GeV^{-1})	g_{ae}	g_{aN}
Hadronic axion (KSVZ axion)	4.9×10^{-10}	9.6×10^{-13}	-3.5×10^{-8} -2.8×10^{-8}
GUT axion (DFSZ axion)	1.4×10^{-10}	2.84×10^{-11}	-2.6×10^{-8} -2.8×10^{-8}

$$m_a = \frac{0.62 \times 10^7}{f_a(\text{GeV})} \text{eV}$$

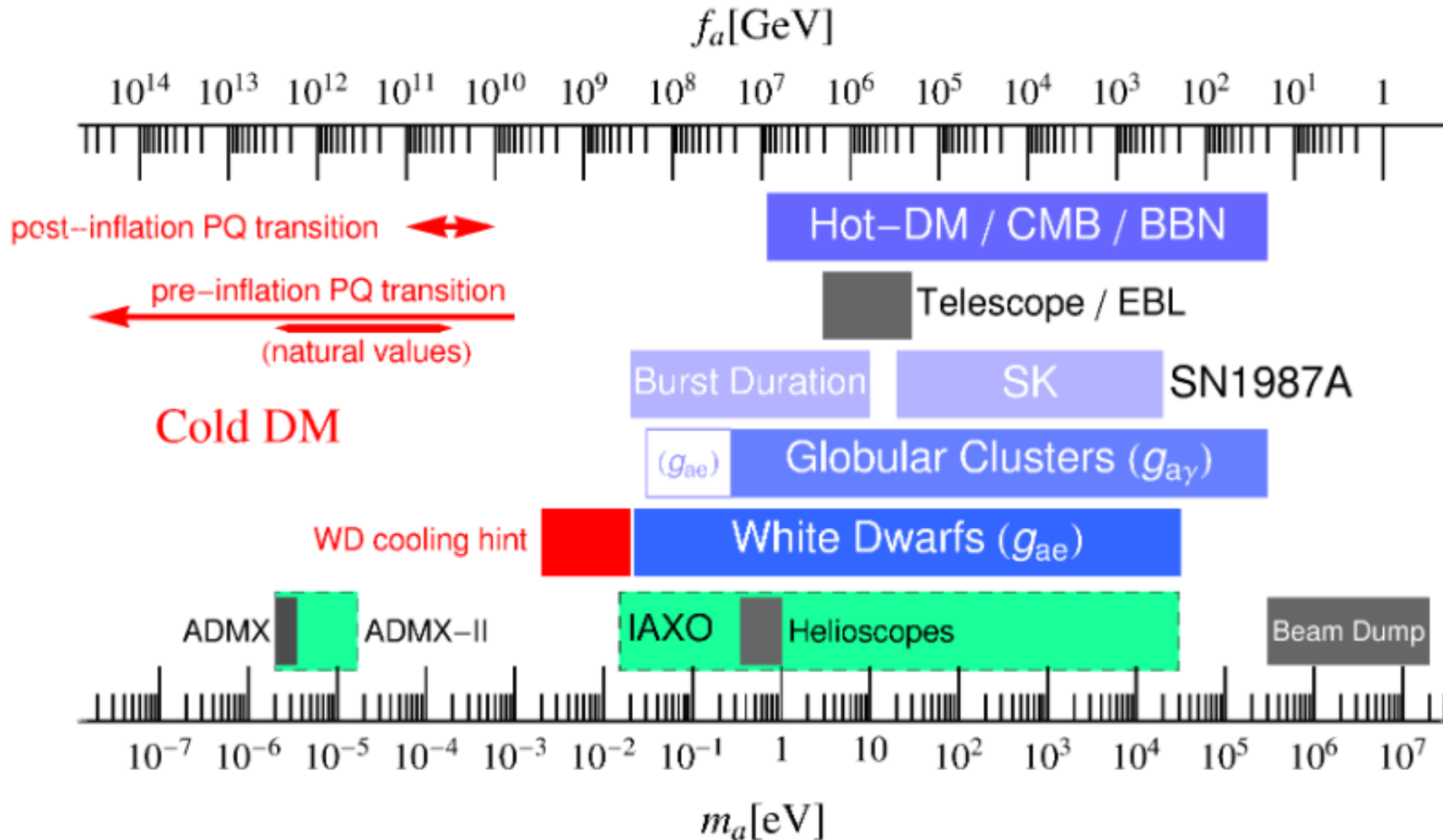
$$g_{a\gamma} = \frac{\alpha}{2\pi f_a} \left(\frac{E}{N} - 1.92 \right) \sim m_a$$

E/N=8/3 (DFSG)
E/N=0 (KSVZ)

Dark Matter Candidates

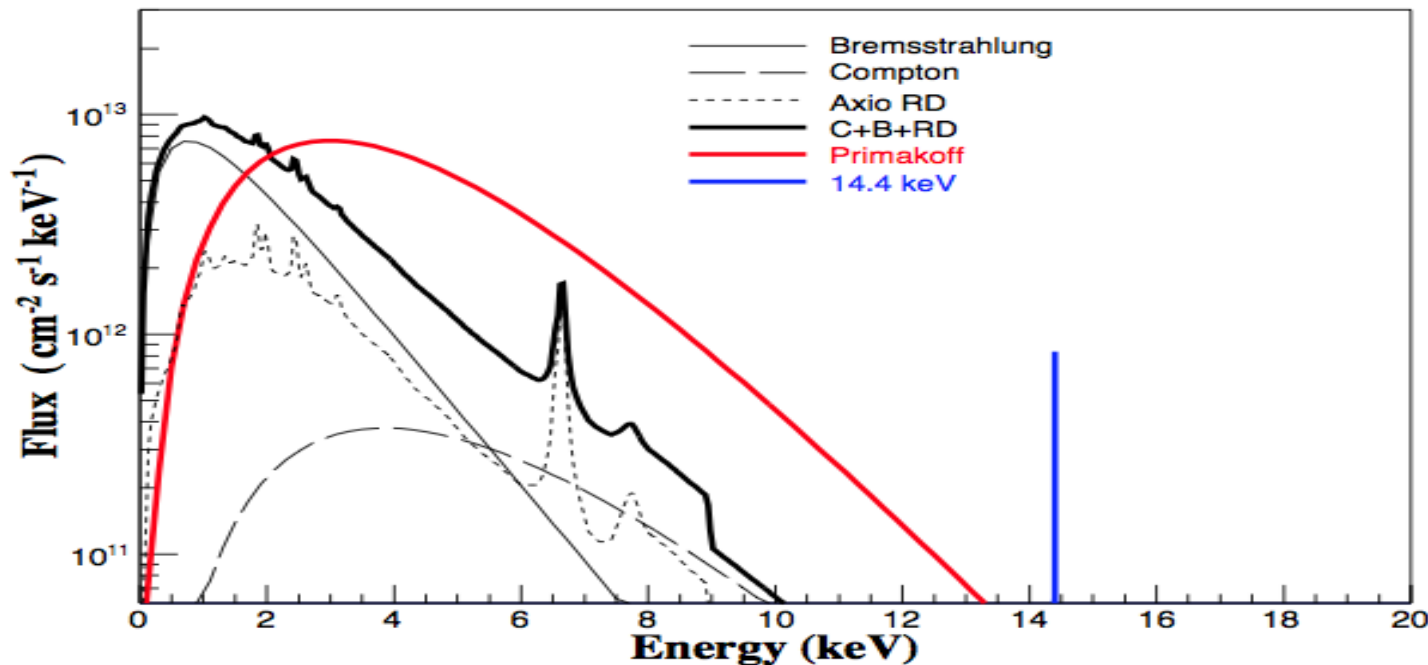
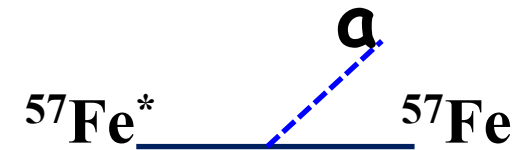
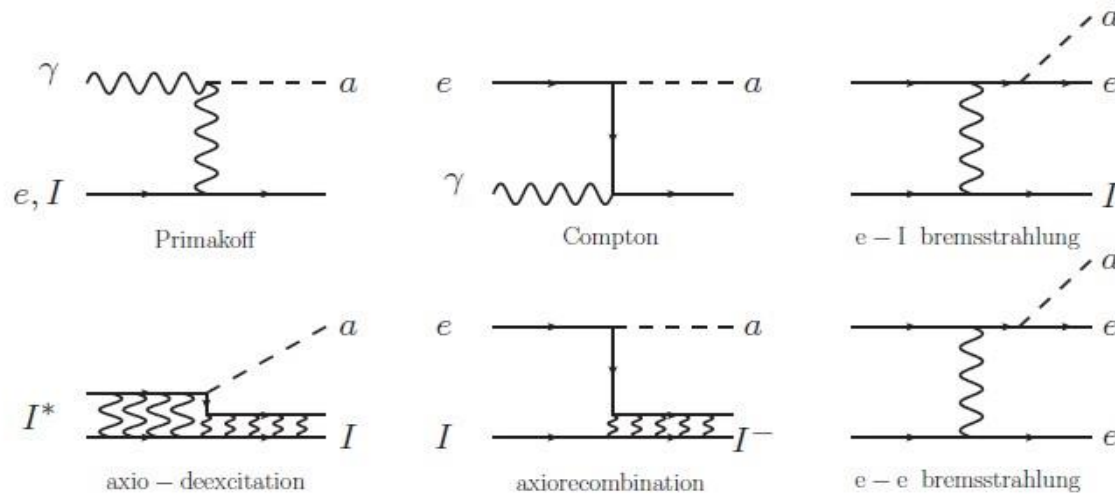


Exclusion Regions in PDG



Future Plan
ADMX, CAST

Axion Production in Sun



$$g_{a\gamma} = 10^{-9} \text{ GeV}^{-1}$$

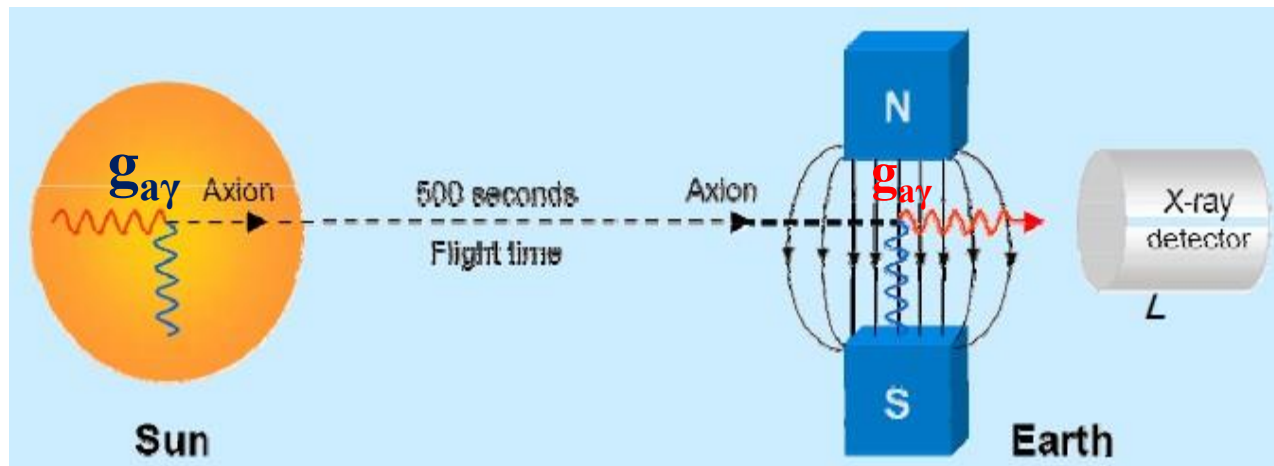
$$g_{ae} = 10^{-11}$$

$$g_{aN} = 10^{-7}$$

Basic idea for Solar Axion Detection

- Detection for solar axion:

$$\mathcal{L} = g_{a\gamma} a E \cdot B_{ext} \quad \text{or} \quad g_{a\gamma} a E_{ext} \cdot B$$

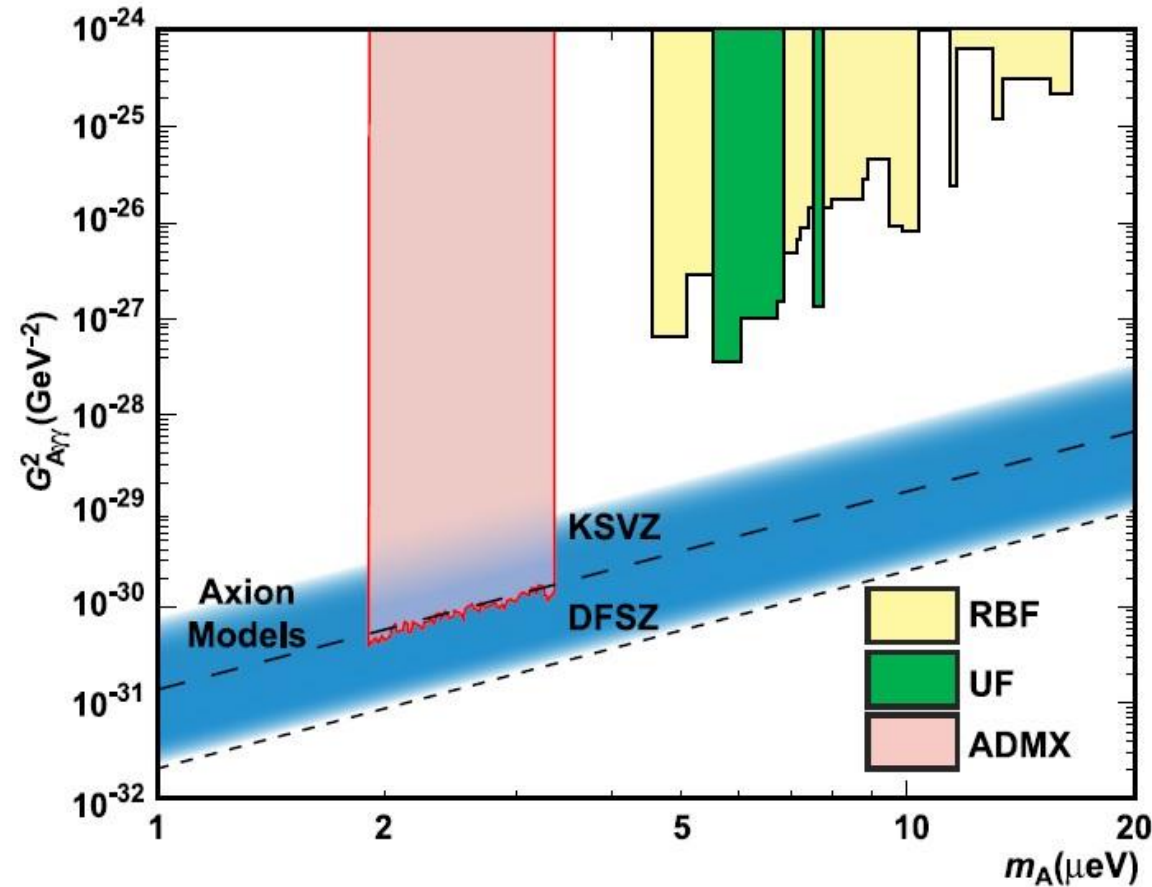


Event Rate: $R = P(\gamma \rightarrow a)P(a \rightarrow \gamma) \propto g_{a\gamma}^2 \times g_{a\gamma}^2 = g_{a\gamma}^4$

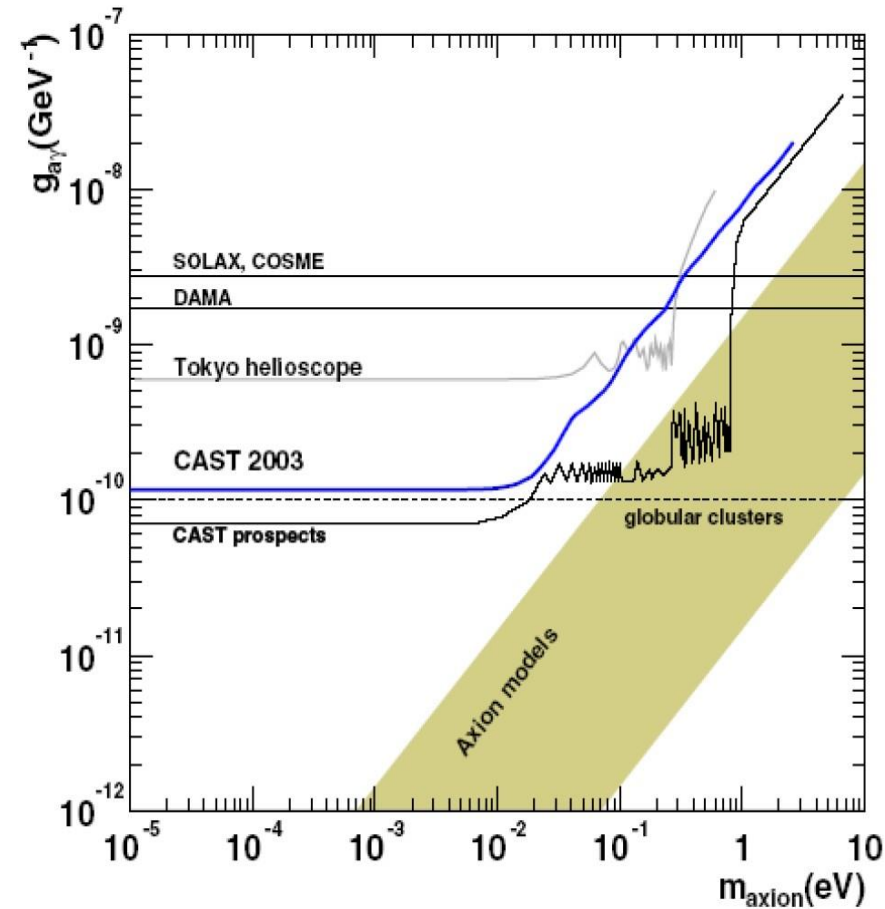
Sensitivity of $g_{a\gamma}$: $\delta g_{a\gamma} \propto R^{\frac{1}{4}}$

Axion Search Experiments

Dark-Matter Axion Searches



Solar Axion Searches



Axion searches with D.M. detector: 4 channels

Production	Detection	Signature
Solar Primakov $g_{a\gamma}$	Primakov (Bragg scattering) $g_{a\gamma}$	Time correlated energy dependence $g_{a\gamma}^4$
Solar Compton Bremsstrahlung g_{ae}	Axio electric effect g_{ae}	Energy bump g_{ae}^4
Solar ^{57}Fe deexcitation g_{aN}	Axio electric effect g_{ae}	Energy bump @ 14.4 keV $g_{aN}^2 \times g_{ae}^2$
Assume axion makes up all of galactic D.M. ($m_a \sim \text{keV}$)	Axio electric effect g_{ae}	Energy bump g_{ae}^2

KIMS (Korea Invisible Mass Search)

- Dark matter search at **Yangyang underground laboratory**
- Funded by National Research Foundation of Korea (2000)
 - Dark matter search with CsI(Tl) crystals (KIMS-CsI)
- Establishing the Center for Underground Physics (CUP) in the Institute for Basic Science (2013)
 - **AMoRE** (**A**dvanced **¹⁰⁰Mo** **R**are **E**vent):
Neutrinoless double beta decay
 - **KIMS-NaI**:
Dark matter search with NaI(Tl) crystals

Yangyang Underground Laboratory (Y2L)

(Upper Dam)

Korea Middleland Power Co.
Yangyang Pumped Storage Power Plant

700 m

(Power Plant)

양양양수발전소

Minimum depth : 700 m
Access to the lab by car (~2km)



(Lower Dam)

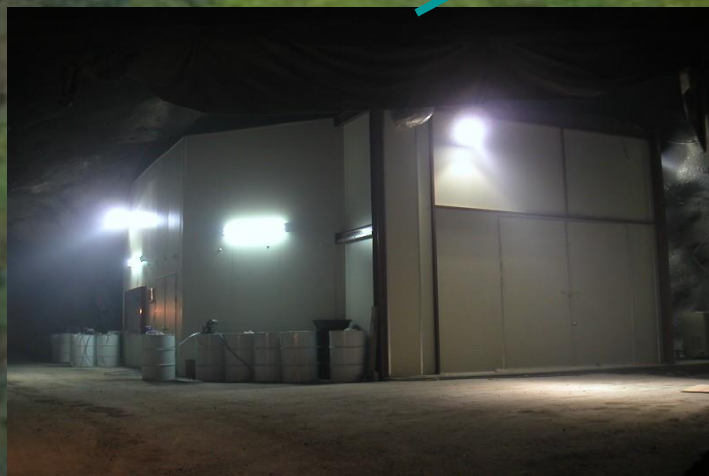
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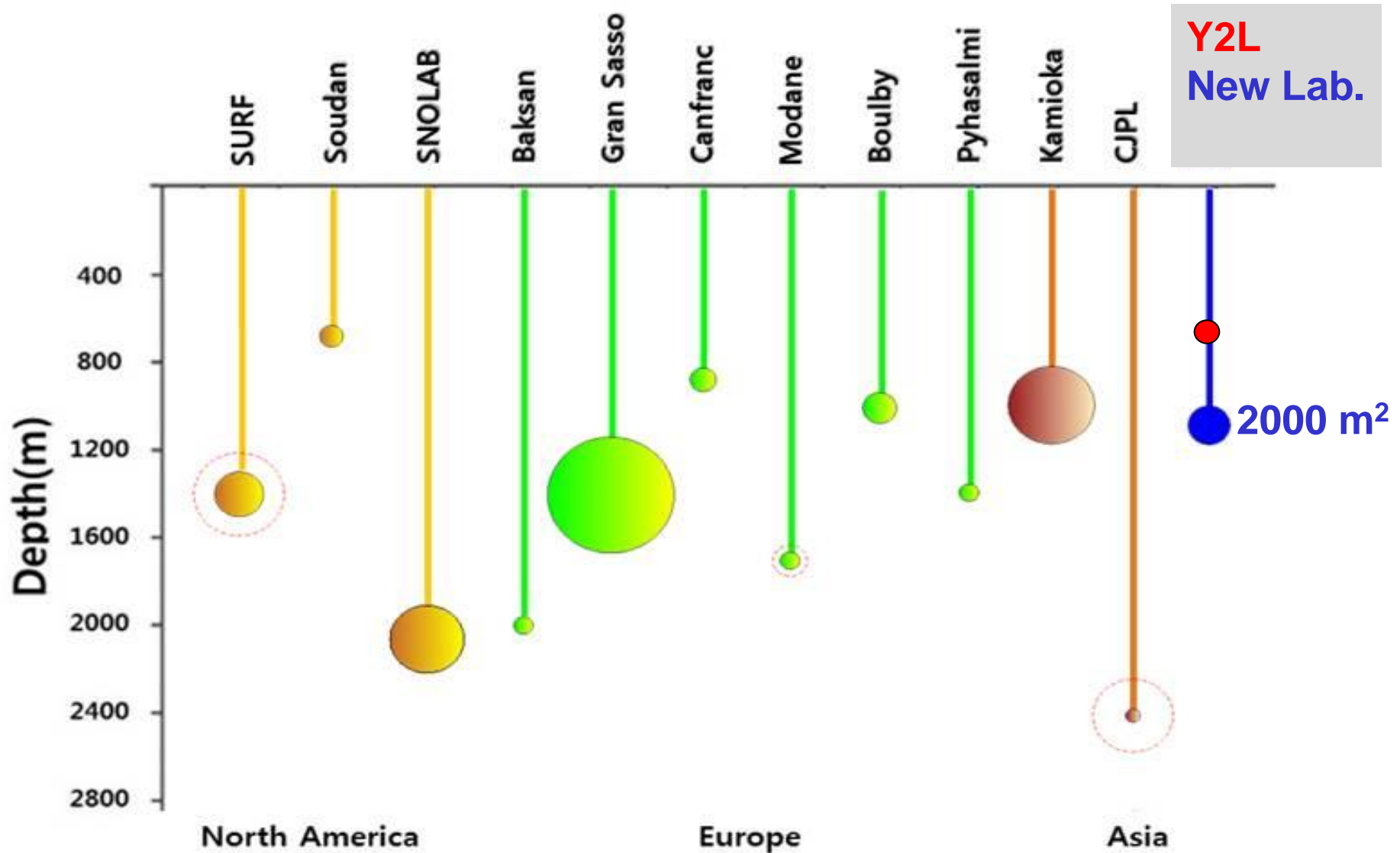
(Power Plant)



(Lower Dam)

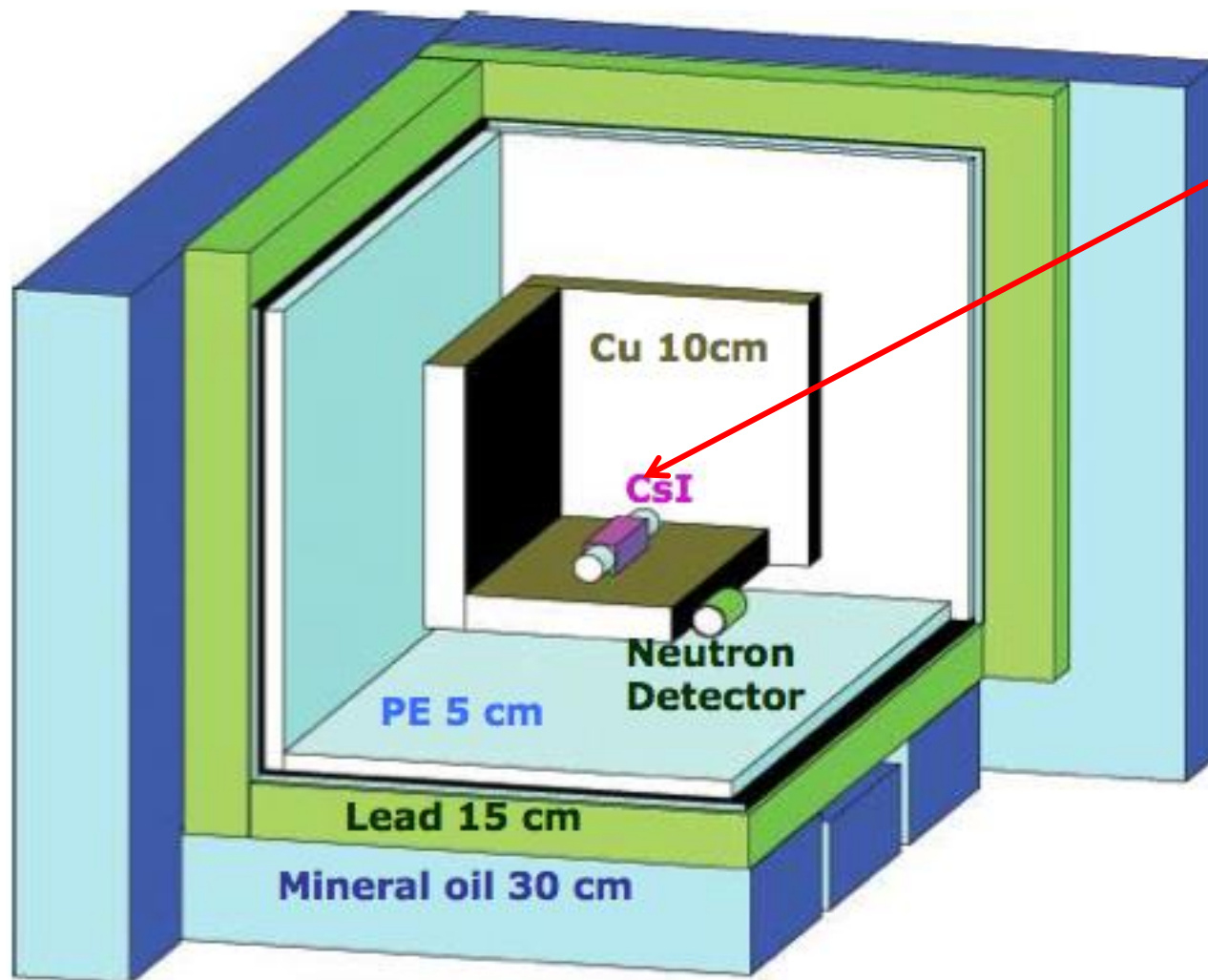
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Worldwide Underground Lab.



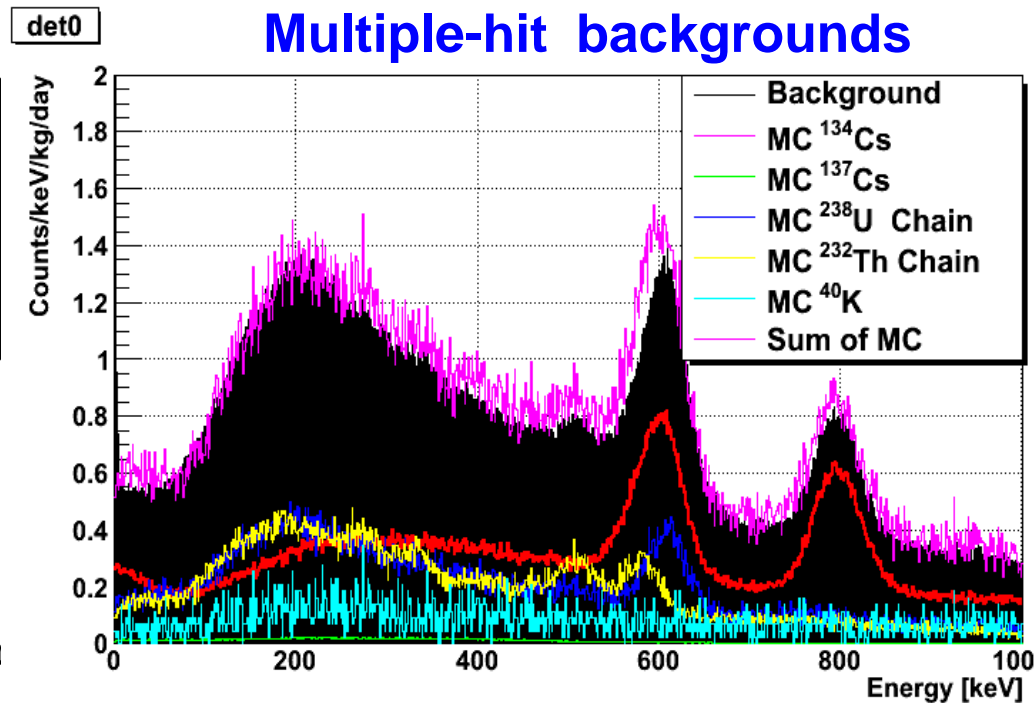
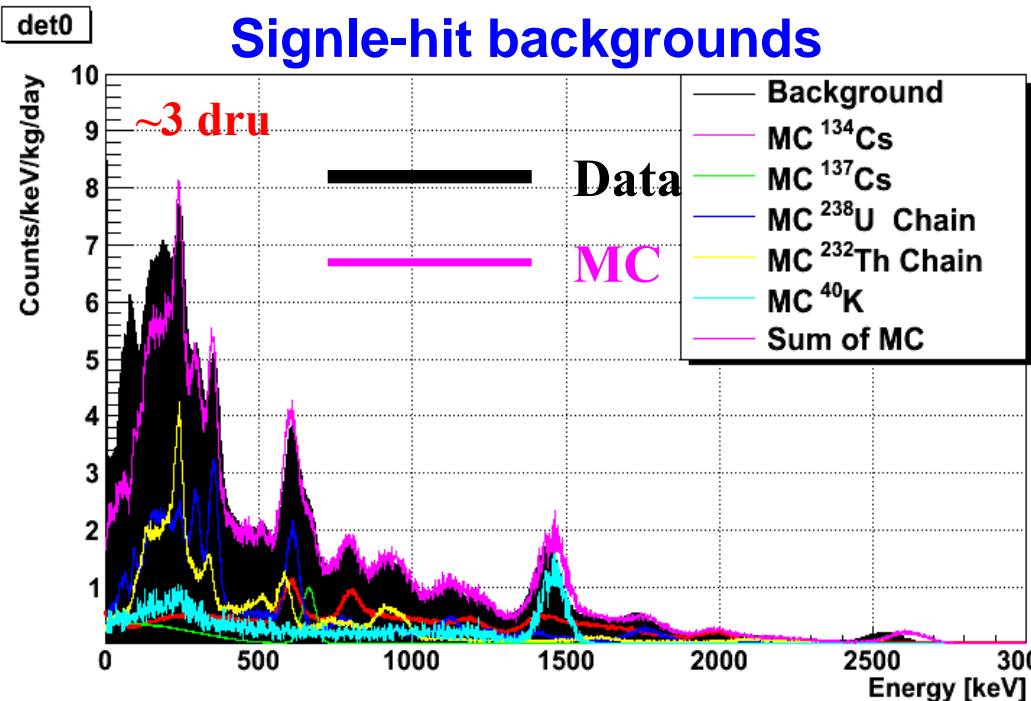
KIMS Detector

- Total 12 CsI(Tl) crystals



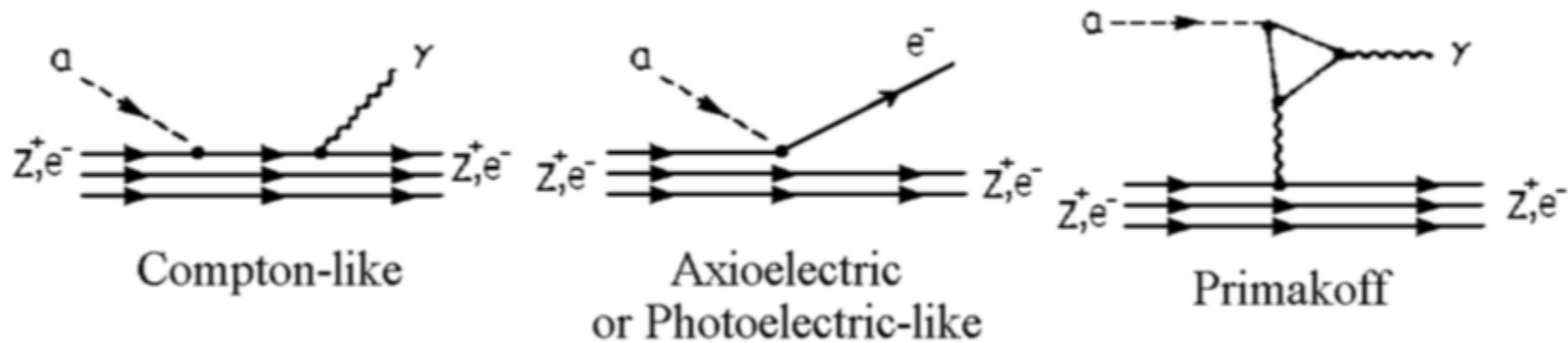
KIMS Detector (II)

- Three years stable operation: 2009-2012
- Major backgrounds are internal radioactive sources:
 ^{40}K , ^{134}Cs , ^{137}Cs , ^{232}Th & ^{238}U



Axion detection

- Axion can be detected by three process:



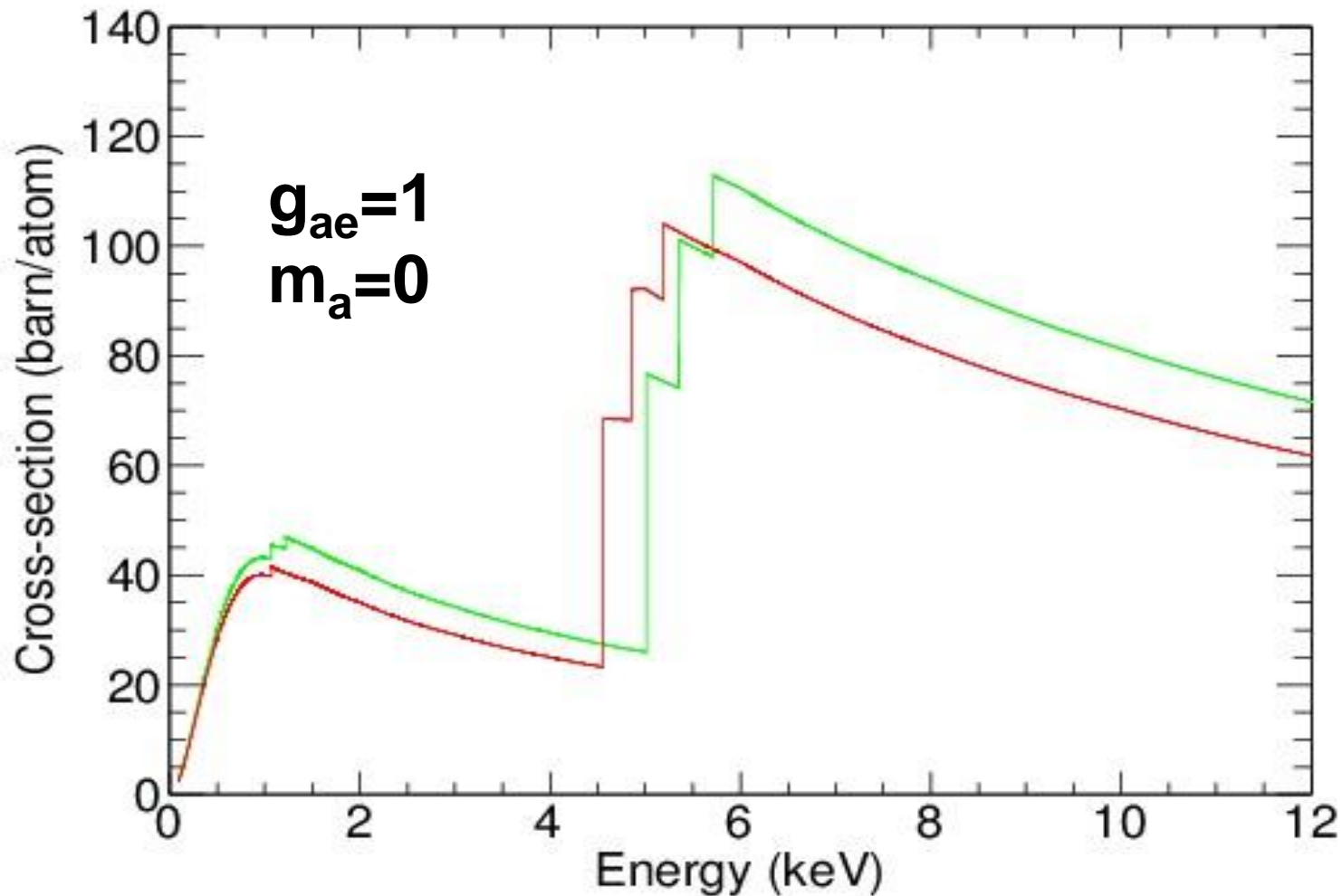
$$\sigma_{ae}(E_a) = \sigma_{pe}(E_a) \frac{g_{ae}^2}{\beta_a} \frac{3E_a^2}{16\pi\alpha m_e^2} \left(1 - \frac{\beta_a^{\frac{2}{3}}}{3}\right)$$

Photo-electric efect: <http://physics.nist.gov/PhysRefData/Xcom/html/xcom1.html>

Note: Axion energy, E_a , is the same as electron recoil energy.

-> Axion events induce e/gamma energy below 12 keV.

Axio-electric X-sections for Cs and I atoms



Signal and Backgrounds

- **Axion signals:**

- electron recoil with energy below 12 keV

- **Backgrounds:**

- Alpha decays from the crystal surface

- **β -decays:**

- ^{137}Cs (Q=1175.6 keV), ^{134}Cs (Q=2058.7 keV), ^{87}Rb (Q=282 keV)

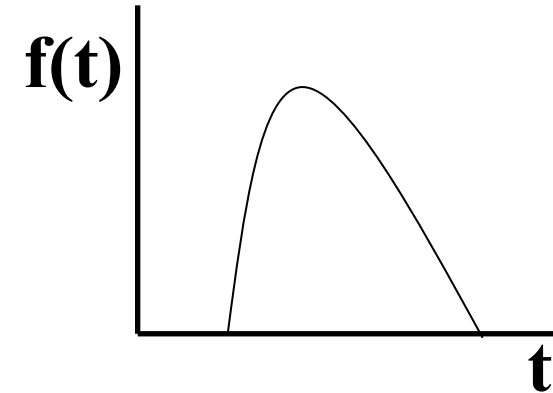
- **Compton scattering**

Discrimination of e/γ and surface-alpha events

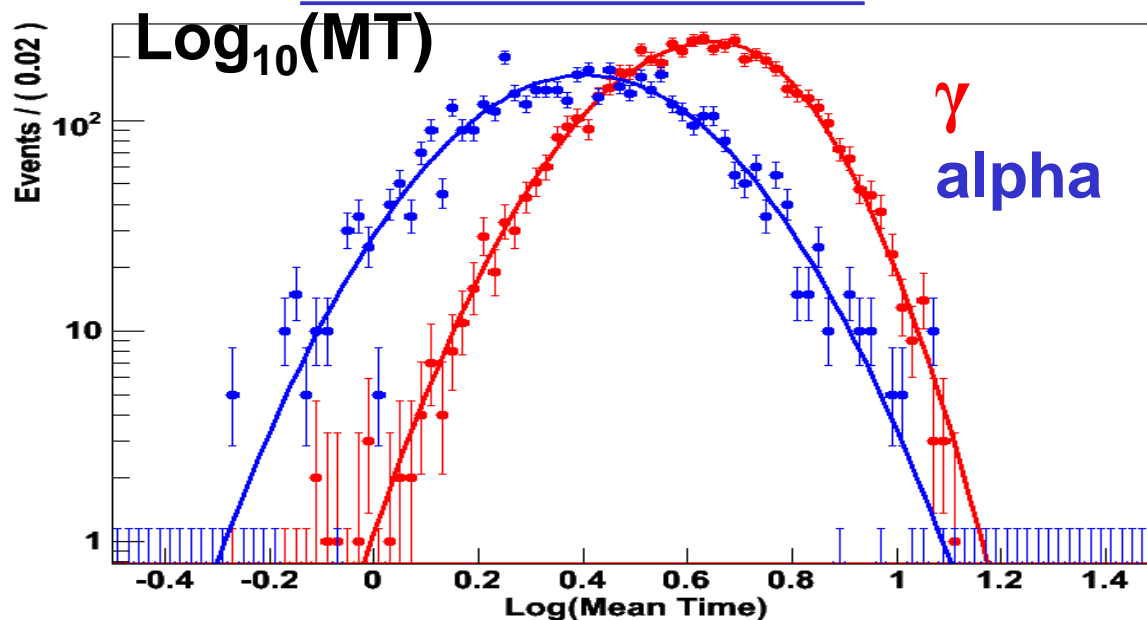
■ Pulse Shape Discrimination (PSD)

Mean decay time

$$MT = \int t f(t) dt / \int f(t) dt$$



Calibration data

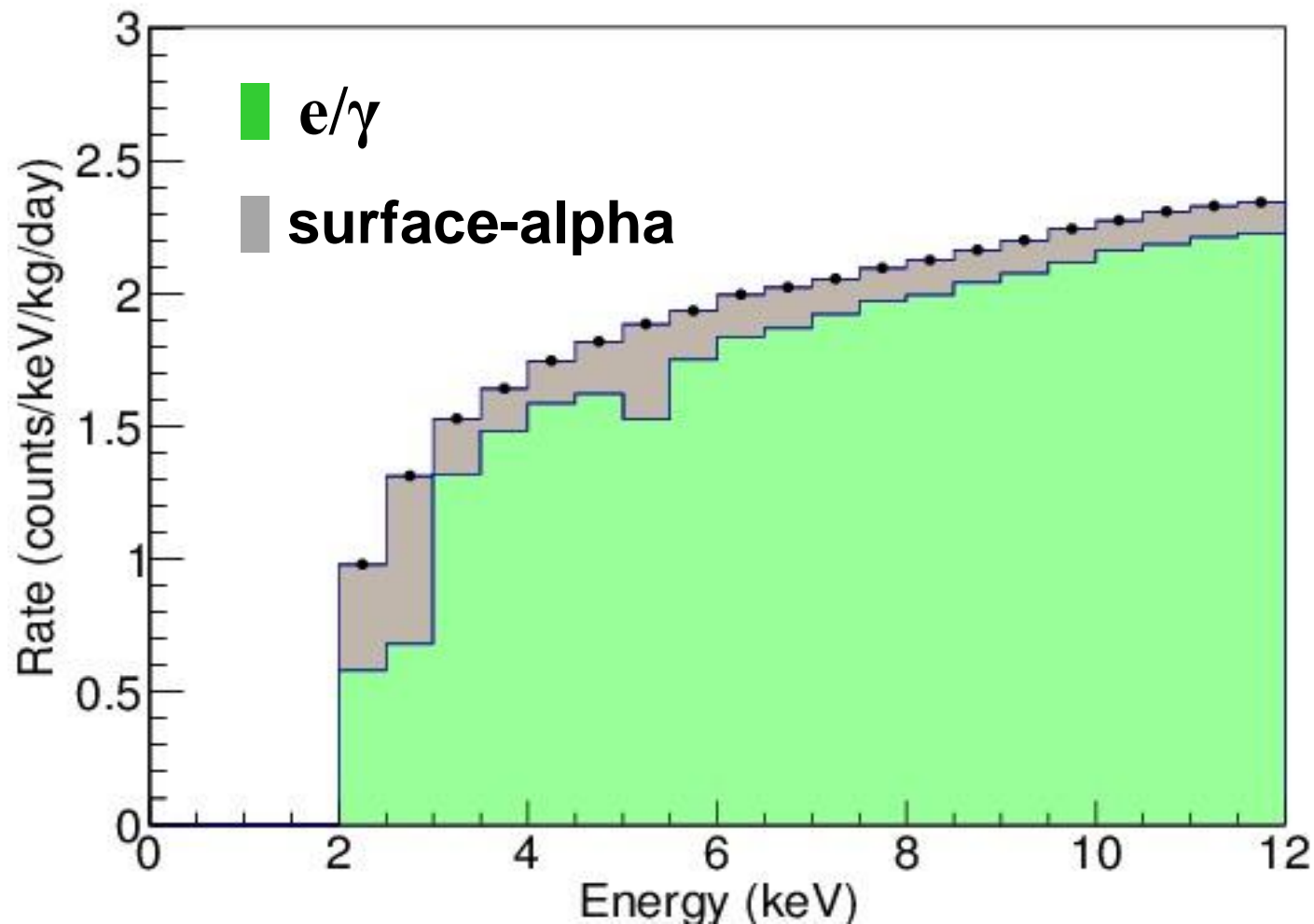


Fitting function:

$$g(t) = \frac{A}{1/2(\sigma_L + \sigma_R)} e^{-\frac{1}{2}\left(\frac{t-\mu}{\sigma_L}\right)^2}, \quad t < \mu,$$
$$\frac{A}{1/2(\sigma_L + \sigma_R)} e^{-\frac{1}{2}\left(\frac{t-\mu}{\sigma_R}\right)^2}, \quad t \geq \mu,$$

Energy Spectrum for e/γ and surface-alpha Events

- **Estimate e/γ and surface-alpha in each energy bin**
- **We use e/γ events for axion candidate events.**



Energy Spectrum for signal and background (I)

Expected axion events rate:

$$R(E) = \int dE_a \frac{d\Phi_a}{dE_a} \epsilon(E) (\sigma_{ae}^{Cs}(E_a) N_{Cs} + \sigma_{ae}^I(E_a) N_I) T \underline{R_{det}(E, E_a)}$$

Detector Resolution

$$\propto g_{ae}^4$$

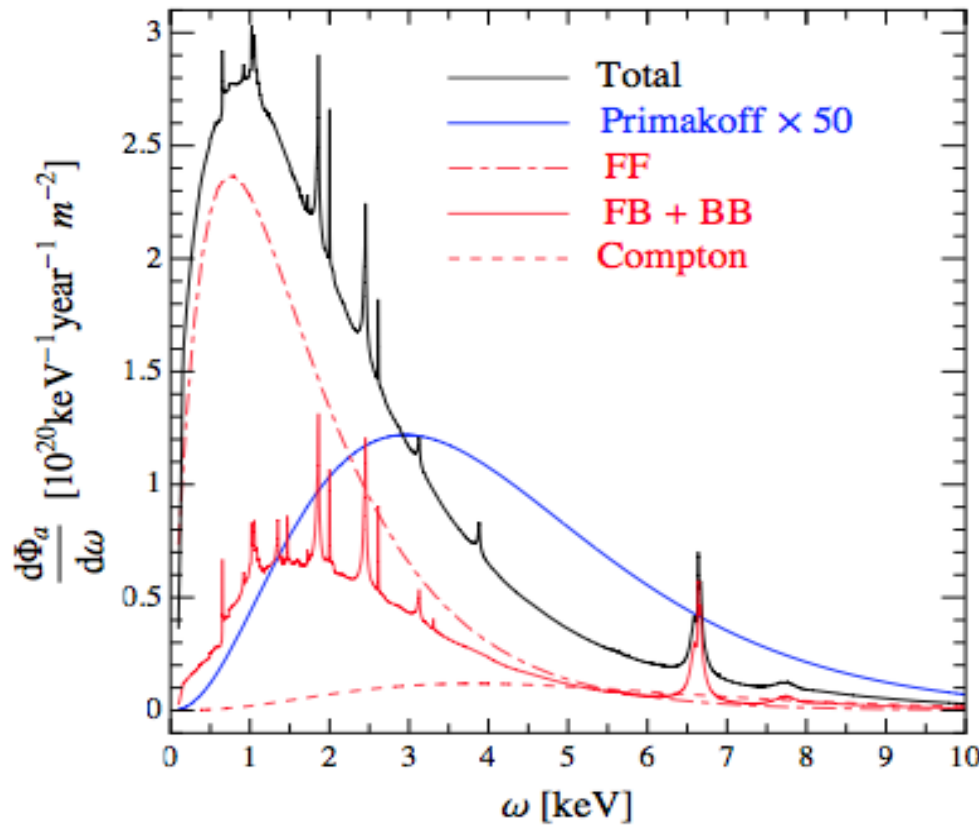
$$g_{ae} = 10^{-13}$$

$$g_{a\gamma} = 10^{-12} \text{ GeV}^{-1}$$

FF: Bremsstrahlung

FB+BB:

Atomic recombination & deexcitation



Energy Spectrum for signal and background (II)

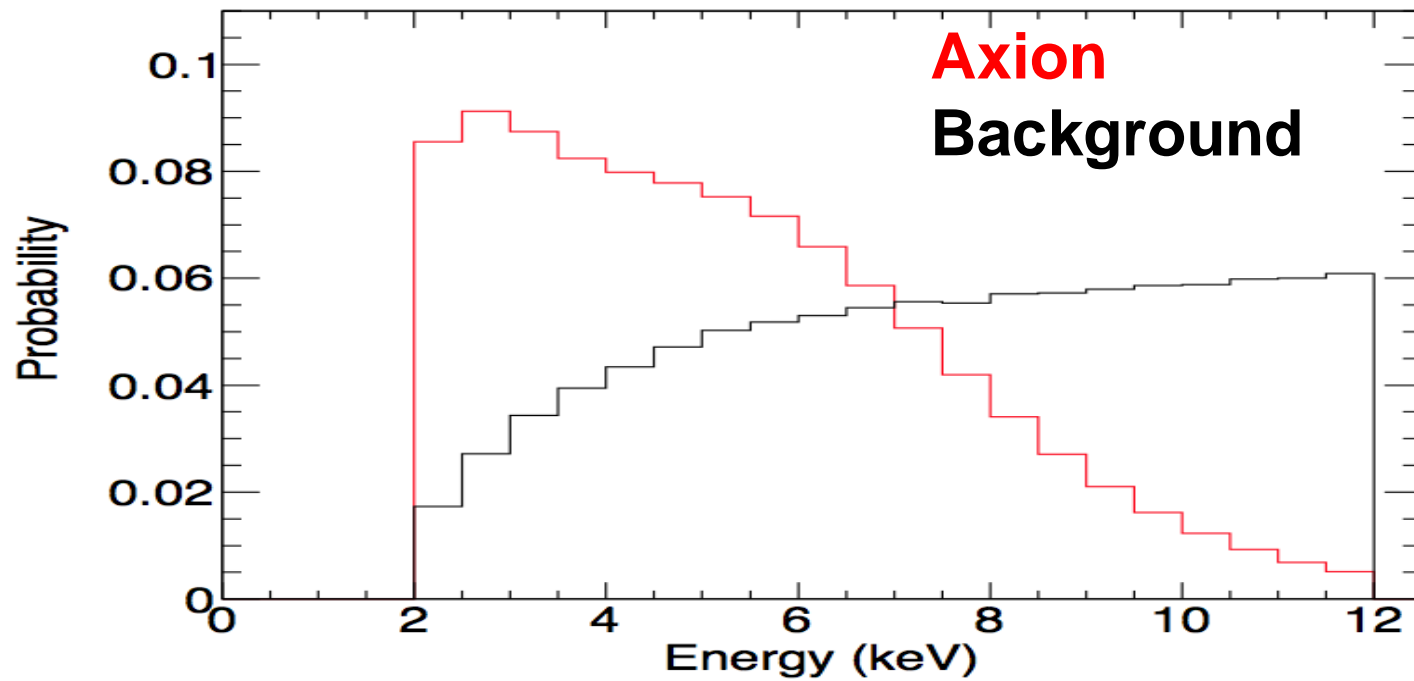
- **Background shape:**

- β -decays from internal background:

- ^{137}Cs (Q=1175.6 keV), ^{134}Cs (Q=2058.7 keV), ^{87}Rb (Q=282 keV)

- Compton scattering

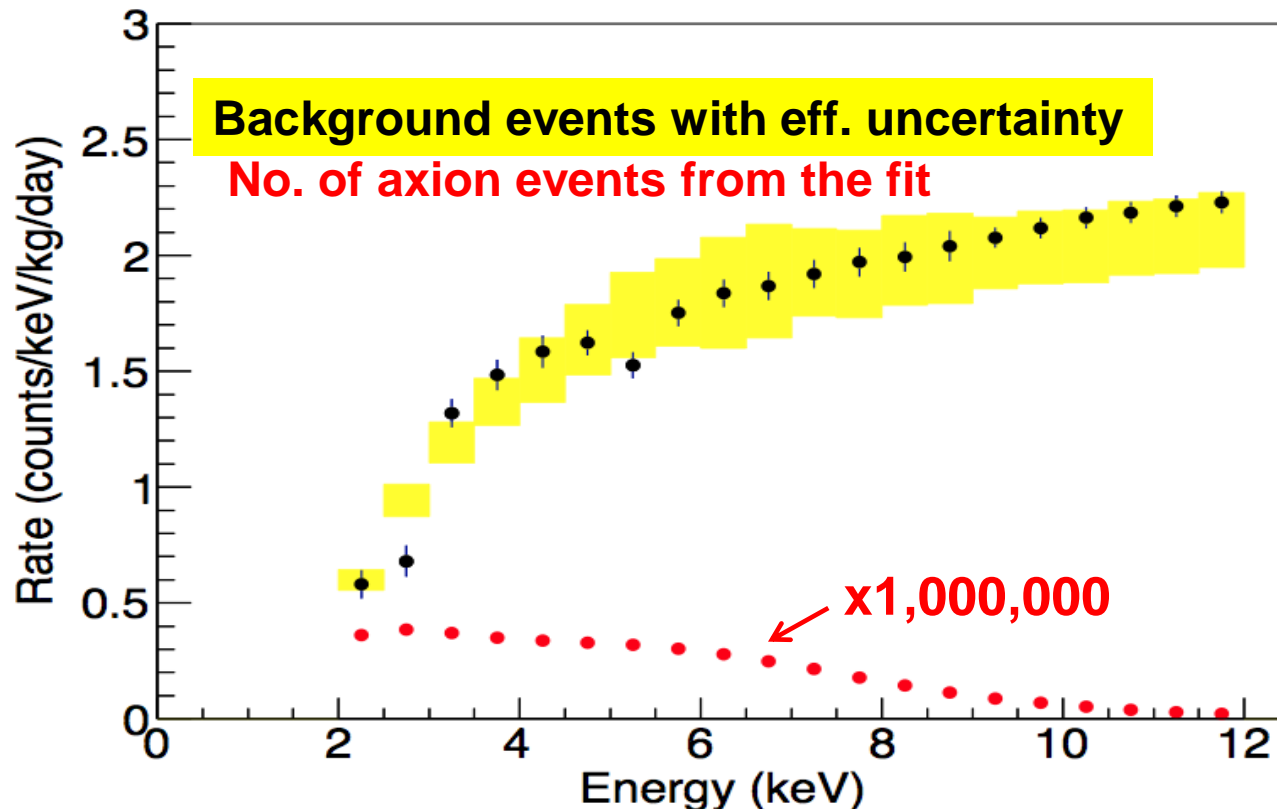
- > Expected to be flat distribution but efficiency depends on energy.



Extraction of No. of Axion events

- Maximizing binned maximum likelihood function

$$\mathcal{L} = \prod_{i=1}^{N_{bin}} e^{-(n_s P_s(E_i) + n_b P_b(E_i))} \frac{(n_s P_s(E_i) + n_b P_b(E_i))^{N_i}}{N_i!}$$



No. of expected events
for 1 year in KIMS exp.:

$$n_s = 0.077^{+36.59}_{-127.64} \text{ for } m_a = 0 \text{ keV}/c^2$$

$$n_s = 0.077^{+40.22}_{-132.12} \text{ for } m_a = 1 \text{ keV}/c^2$$

->Consistent with 0 event

Estimation of 90 % confidence limit

- Find out n_s^{up} with the following formula:

$$\frac{\int_0^{n_s^{up}} \mathcal{L}(n_s) dn_s}{\int_0^{\infty} \mathcal{L}(n_s) dn_s} = 0.9$$

- The limit for g_{ae} is obtained with n_s^{up} :

$$\begin{aligned} N &= \int R(E) dE = \int \int dE dE_a \frac{d\Phi_a}{dE_a} \epsilon(E) \sigma_{ae}(E_a) N_{Cs,I} + \sigma_{ae}^I(E_a) N_I) T R_{det}(E, E_a) \\ &= \text{constant} \times g_{ae}^4 < n_s^{up} \end{aligned}$$

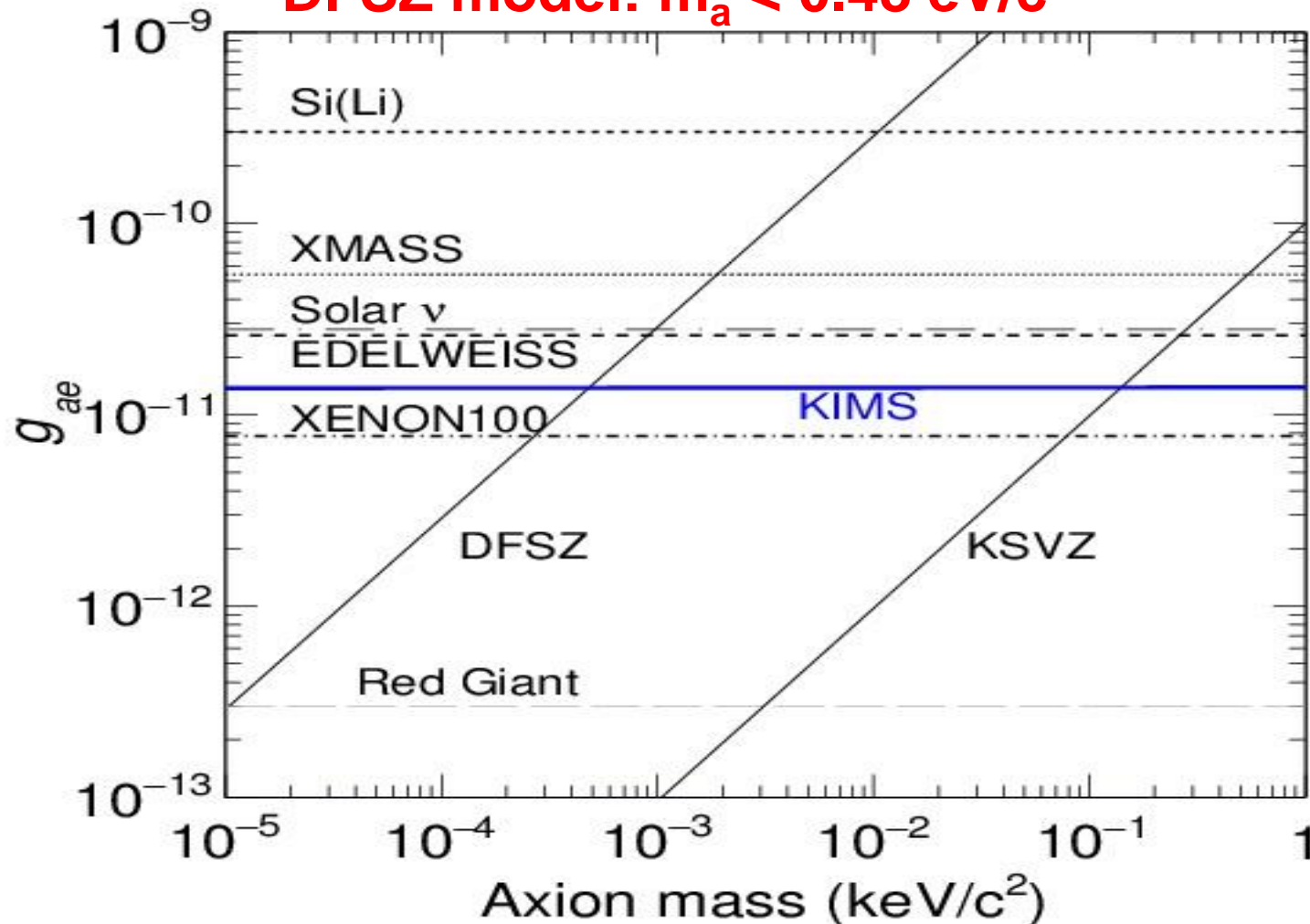
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Summary of Axion Search by KIMS

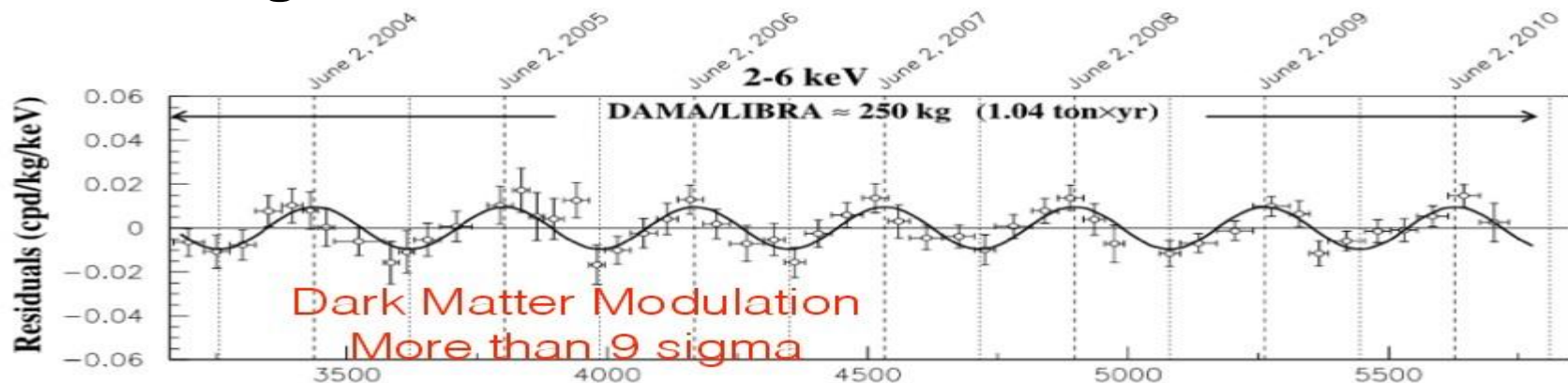
KSVZ model: $m_a < 140.9 \text{ eV}/c^2$

DFSZ model: $m_a < 0.48 \text{ eV}/c^2$

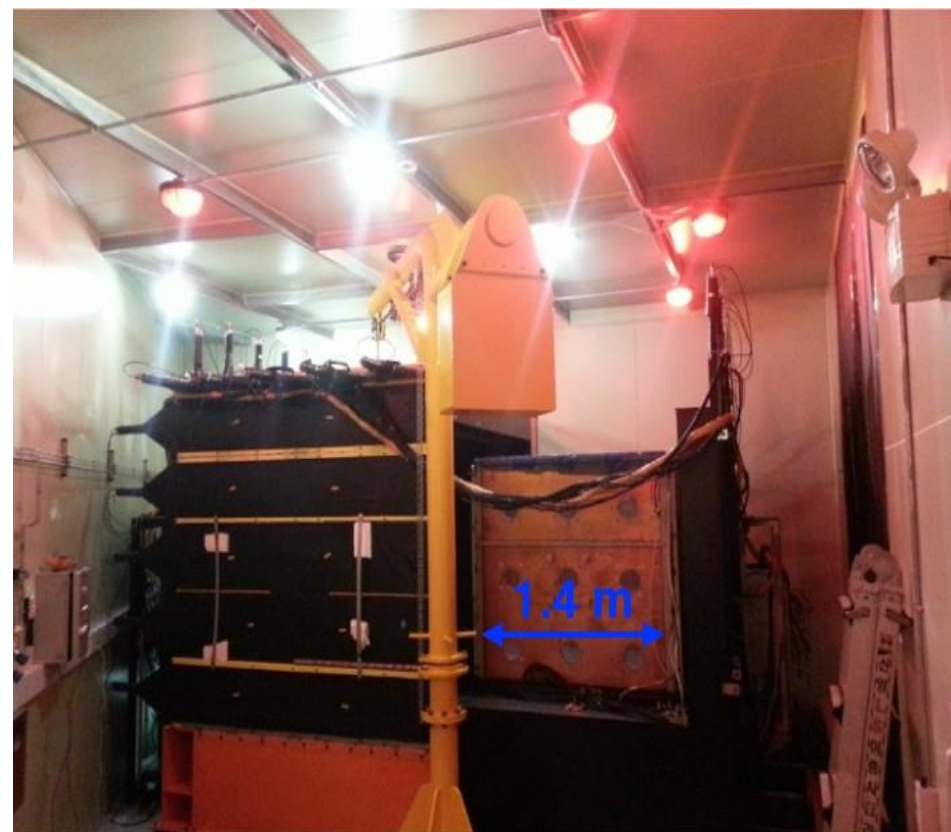
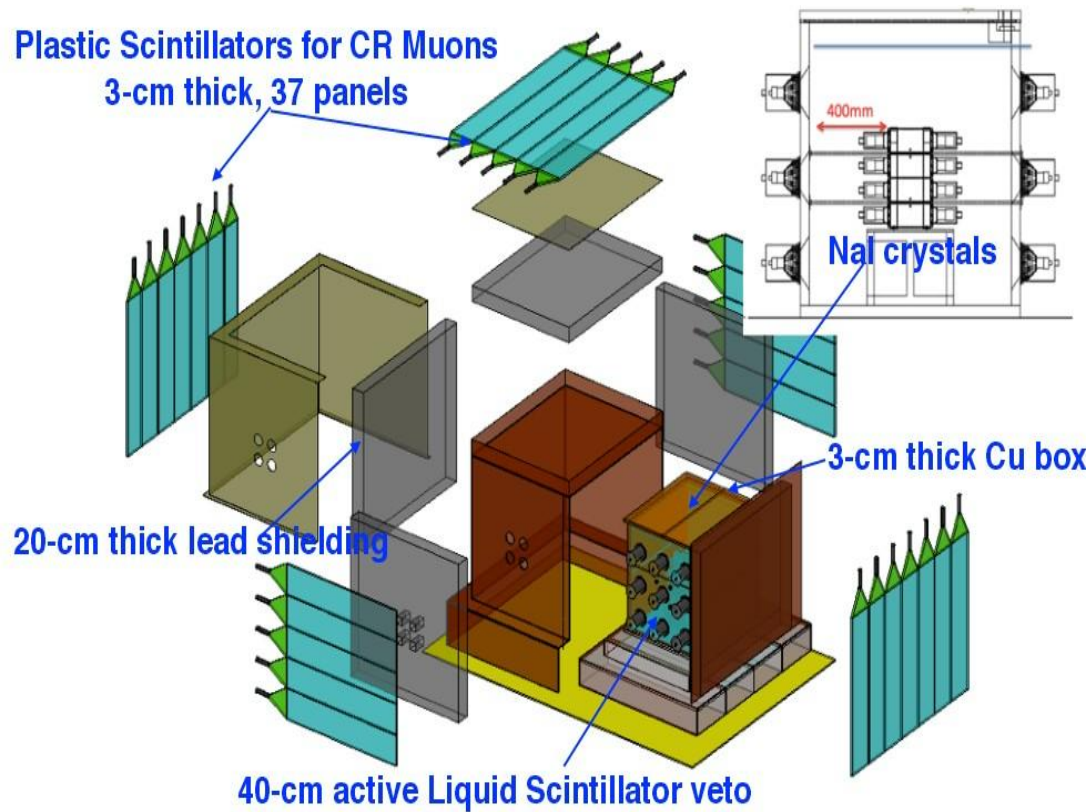


Prospect for Axion search in KIMS

- Search for Solar axions from M1-transition of ^{57}Fe : 14.4 keV
- Search for keV scale Pseudoscalar D.M. and Vector D.M.:
Maxim Pospelov, Adam Ritz, and Mikhail Voloshin,
“Bosonic super-WIMPS as keV-scale dark matter”, PRD 78, 115012 (2008)
- We will start a new experiment, KIMS-NaI, to check on the DAMA signal.



KIMS-NaI

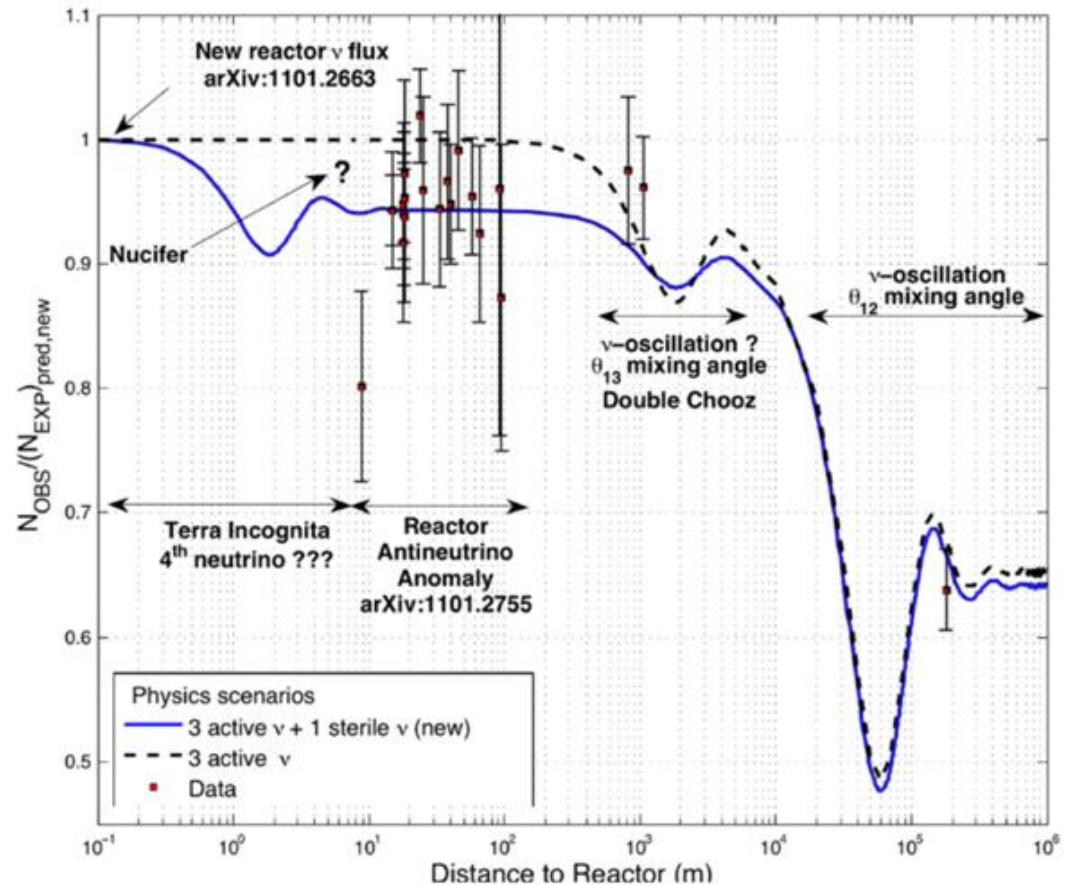
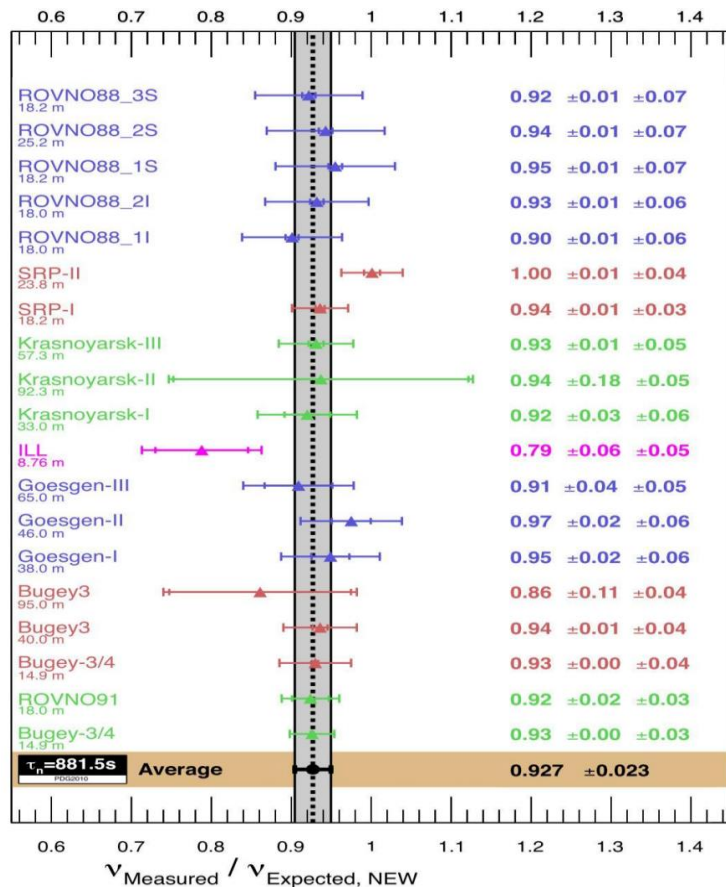


Search for sterile neutrino by NEOS

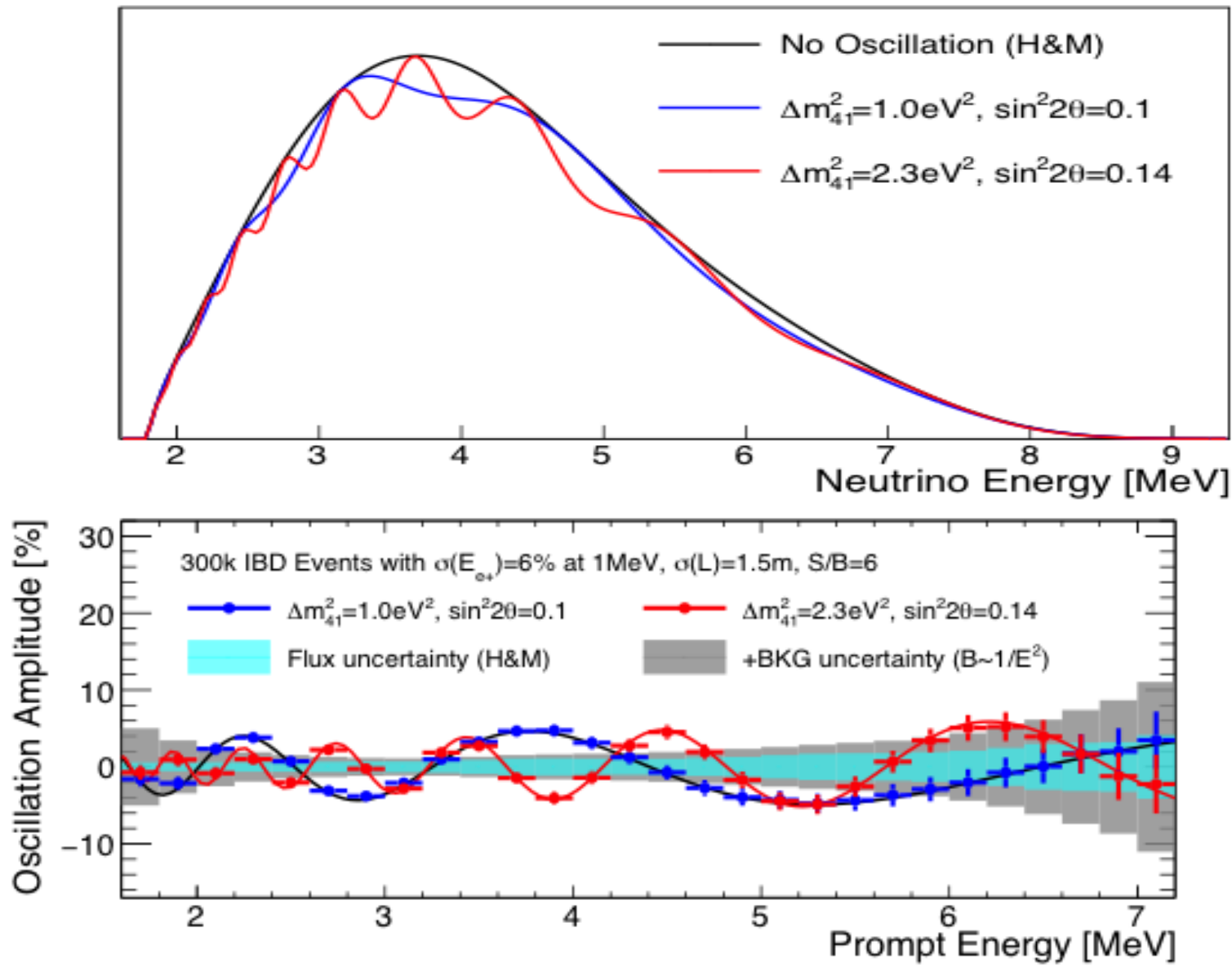
(**N**eutrino **E**xperiment for **O**scillation at **S**hort baseline)

Motivation for short-baseline neutrino

- Reactor $\bar{\nu}_e$ anomaly:
 - Possible oscillation of $\bar{\nu}_e \rightarrow \nu_s$

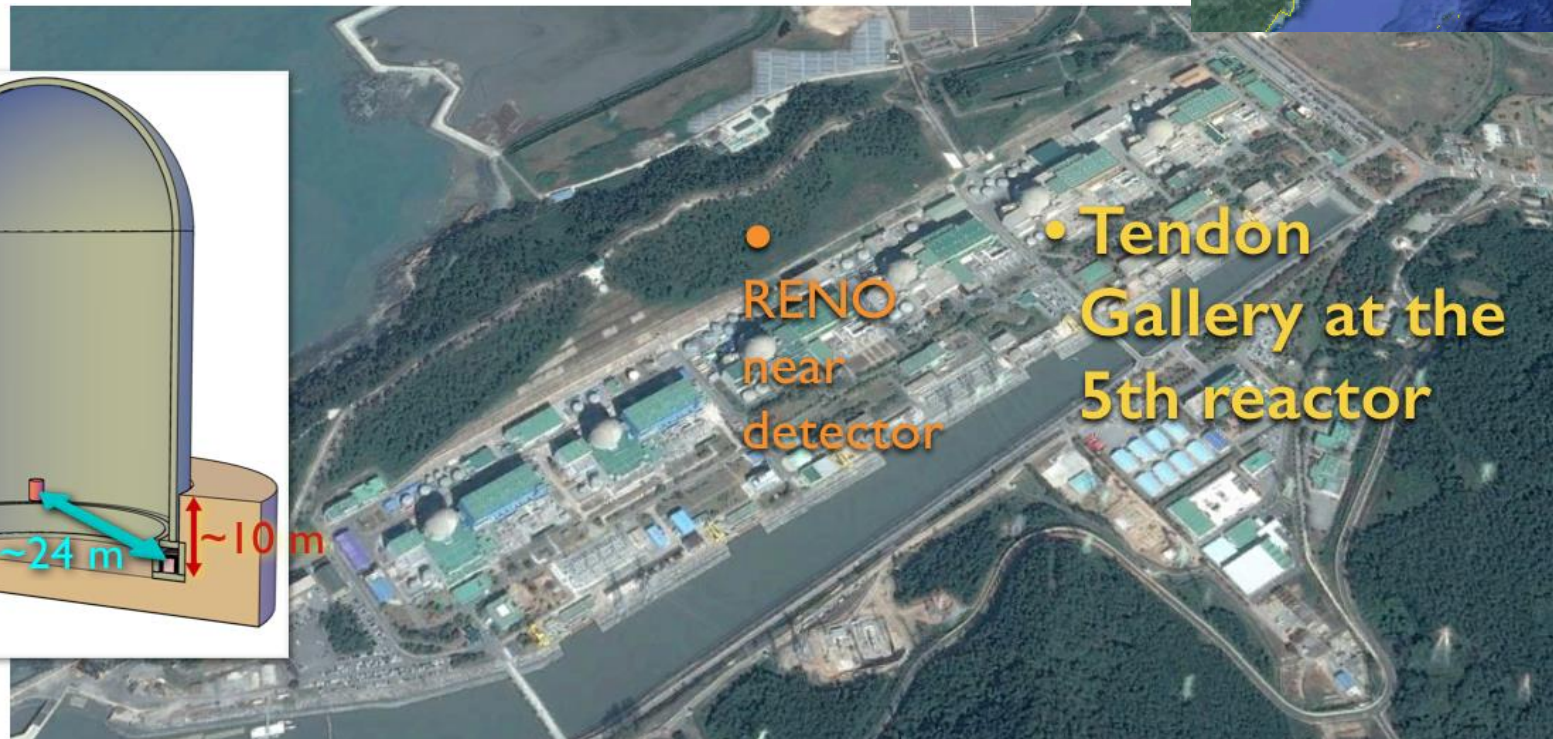
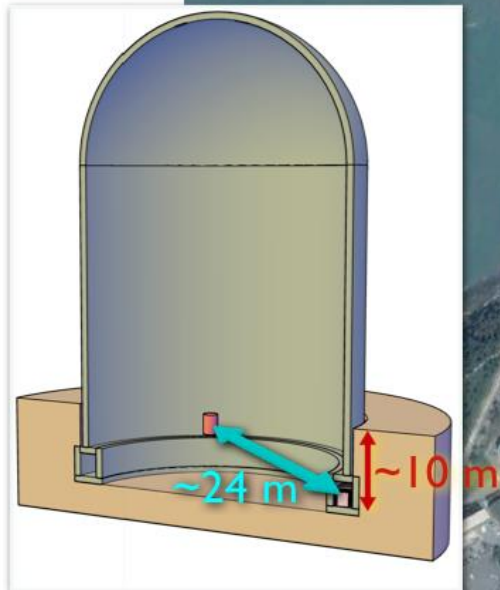
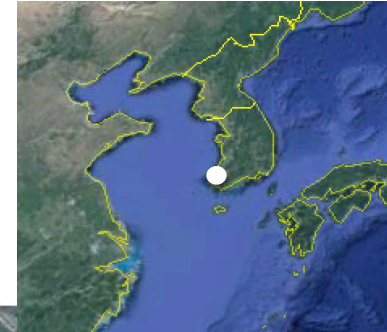


Distortions in spectral shape by the 4th ν

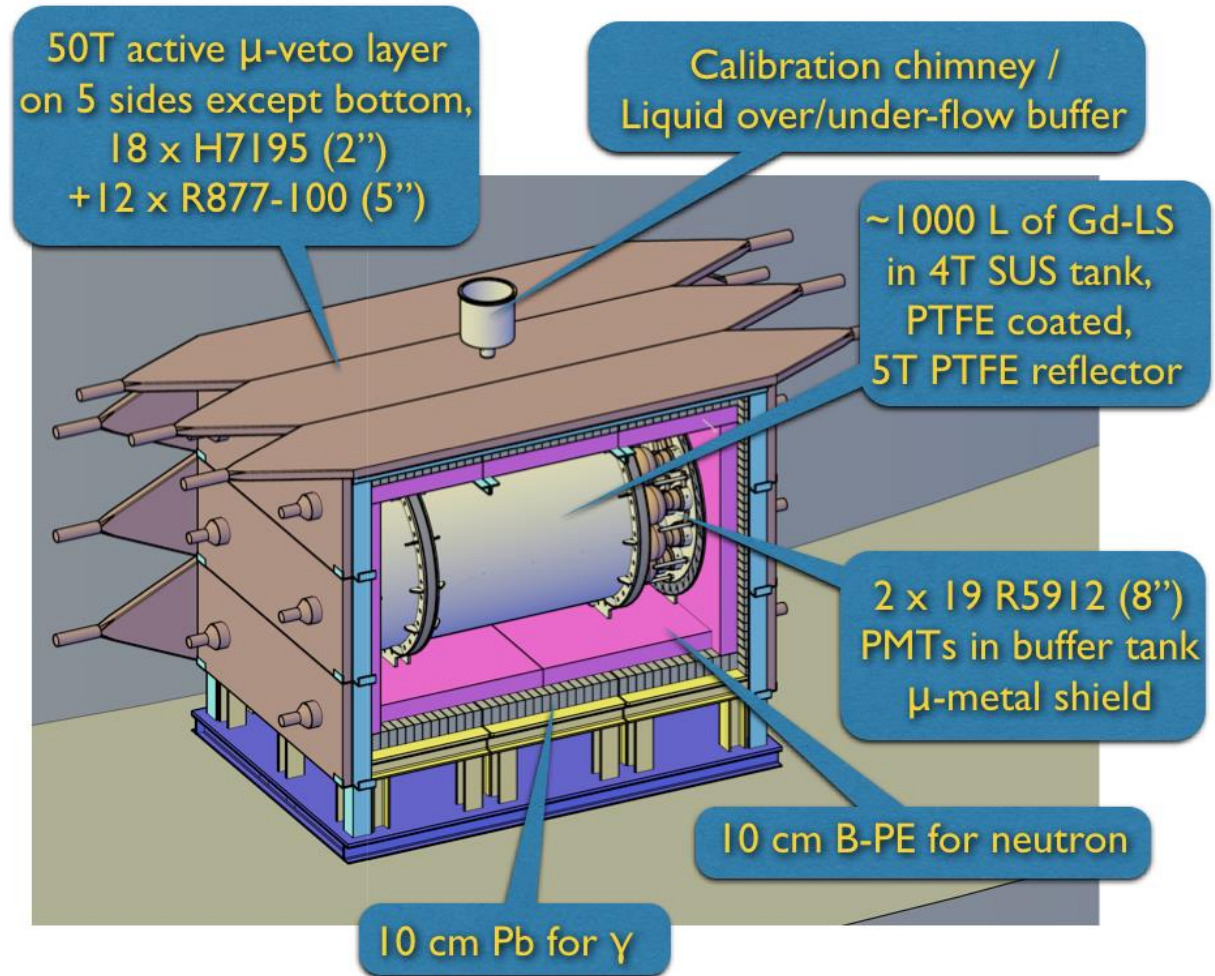
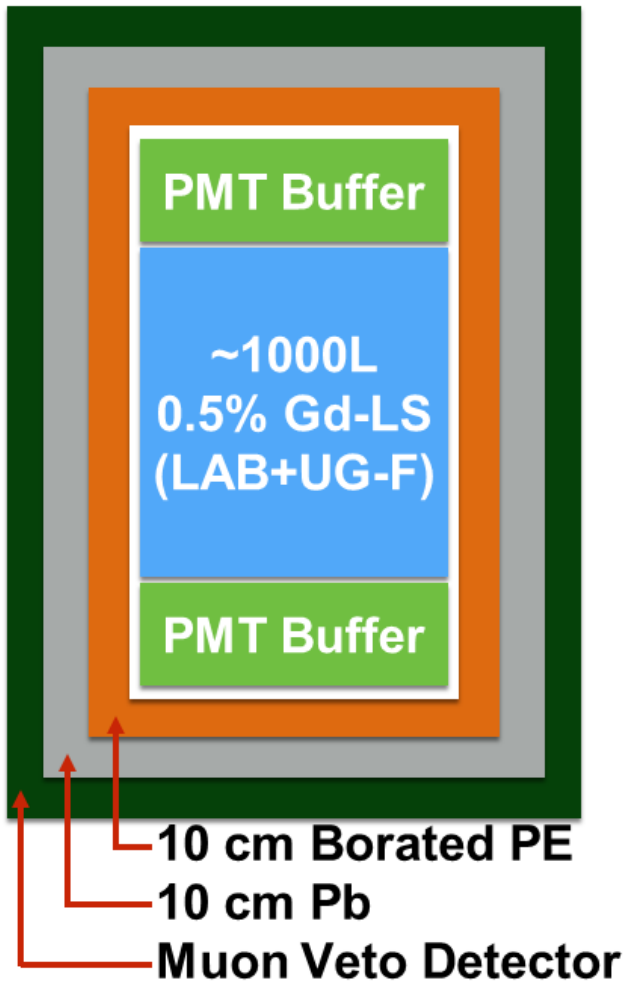


Experimental Site: Tendon Gallery of Reactor 5

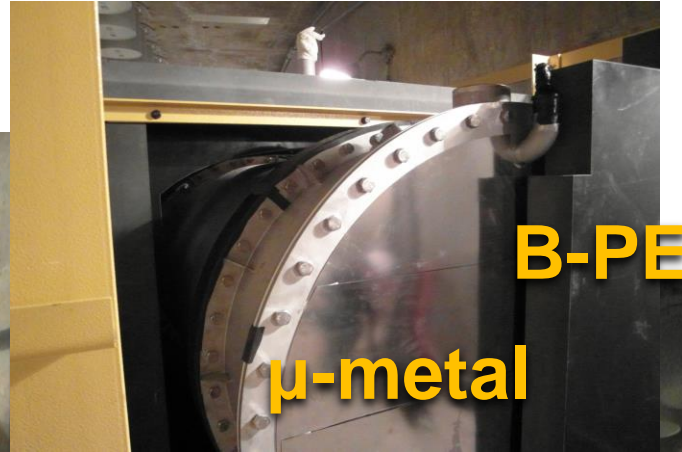
- 2.815 GW_{th}, commercial reactor with $\Phi 3.1$ m, H3.7m
- Tendon gallery
 - Baseline: 23.7m
 - overburden ~ 30 m.w.e. of concrete
- Distance to nearest cores: 256 m
 - 1% contribution from it



The NEOS detector



Installation in the Tendon Gallery (I)

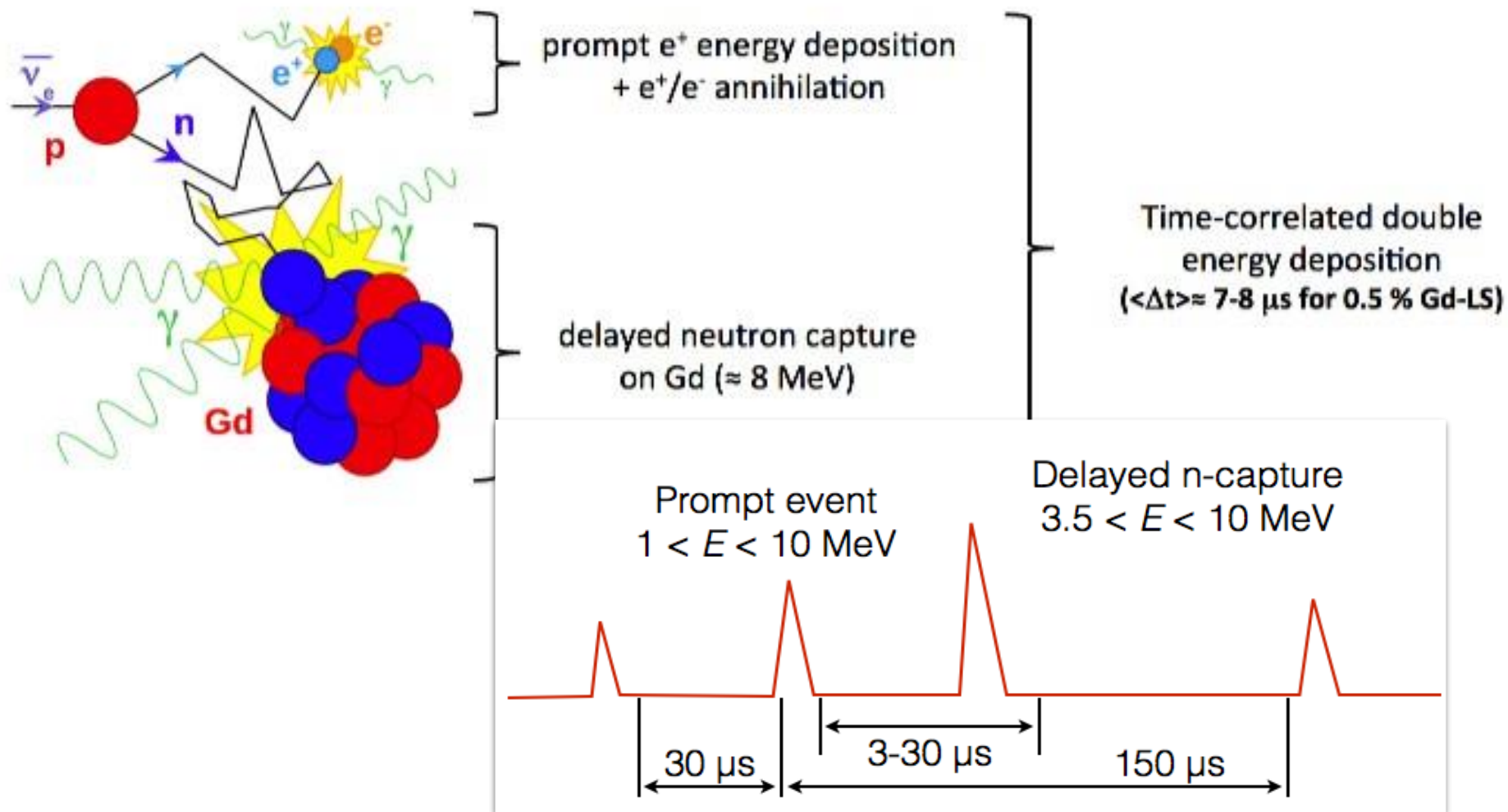


Installation in the Tendon Gallery (II)



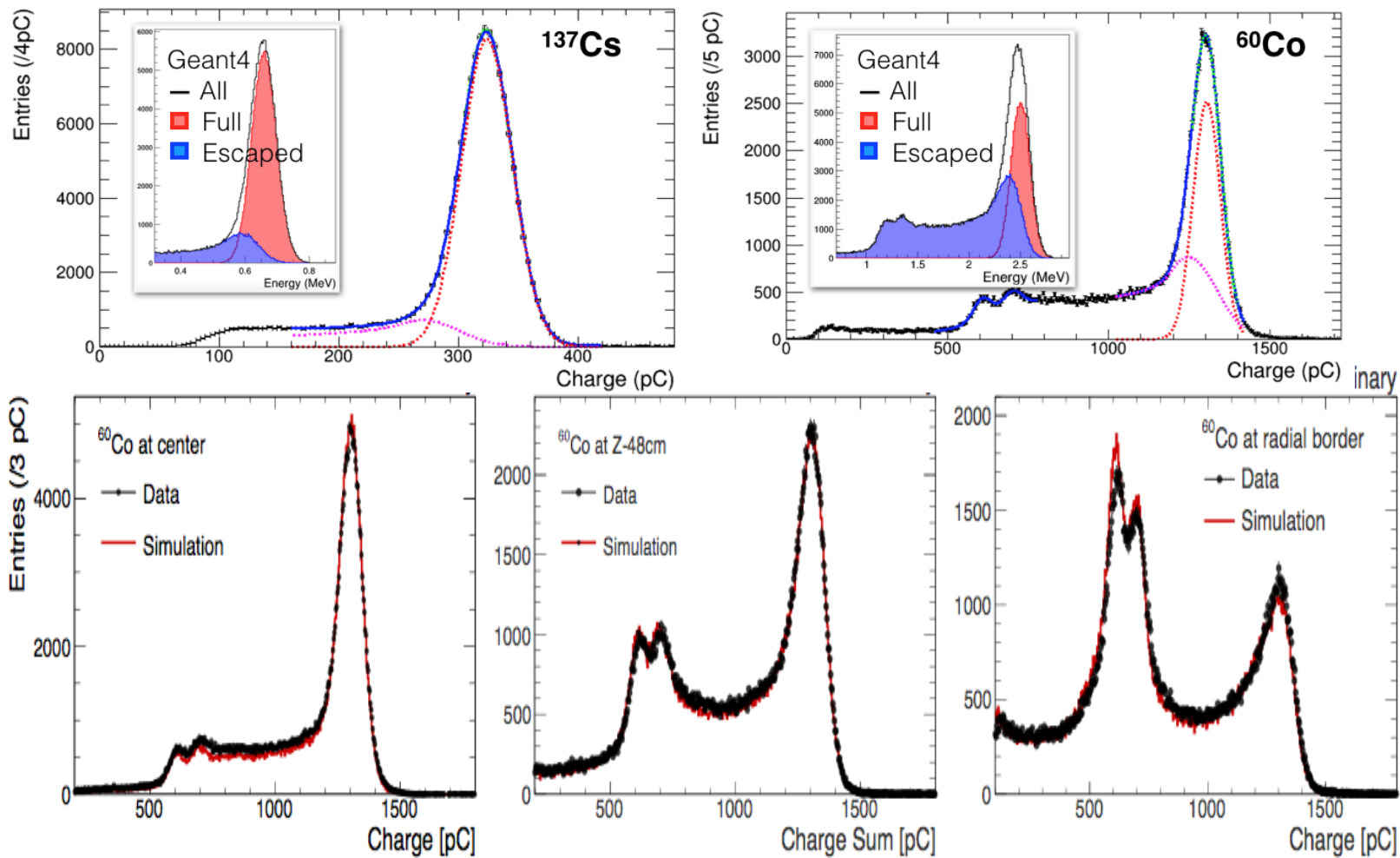
Neutrino event detection

- Use inverse beta decay (IBD) process:



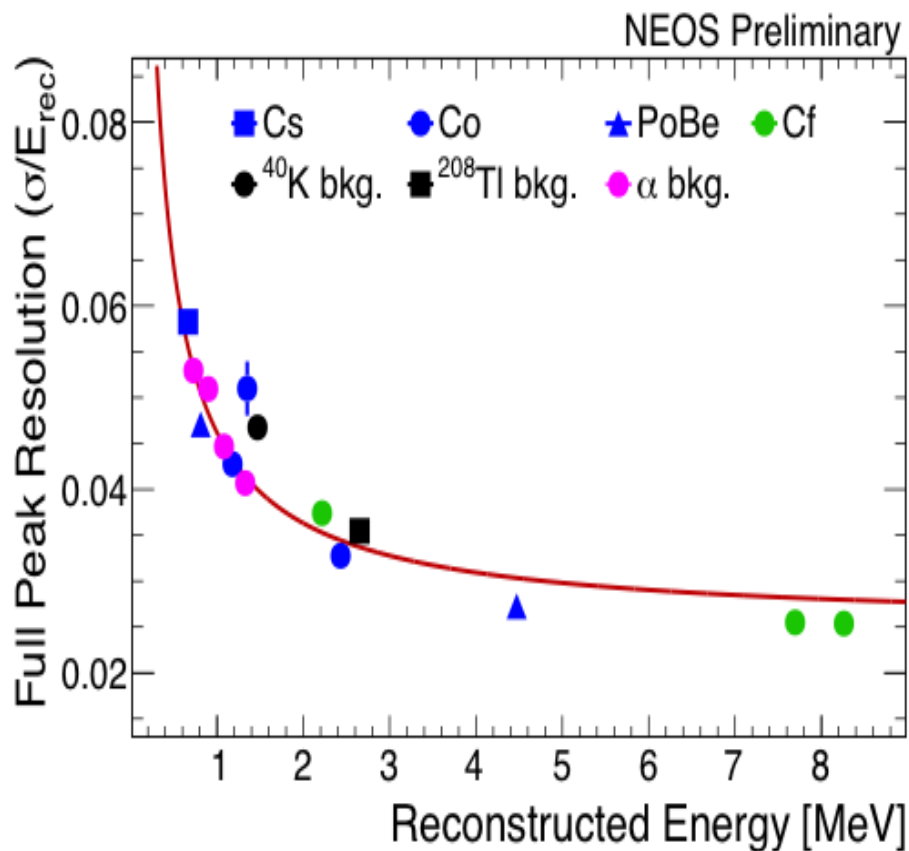
Detector Performance: Calibration

- We typically use ^{60}Co , ^{137}Cs and ^{252}Cf .
- Calibration energy is not fully deposited to the detector.

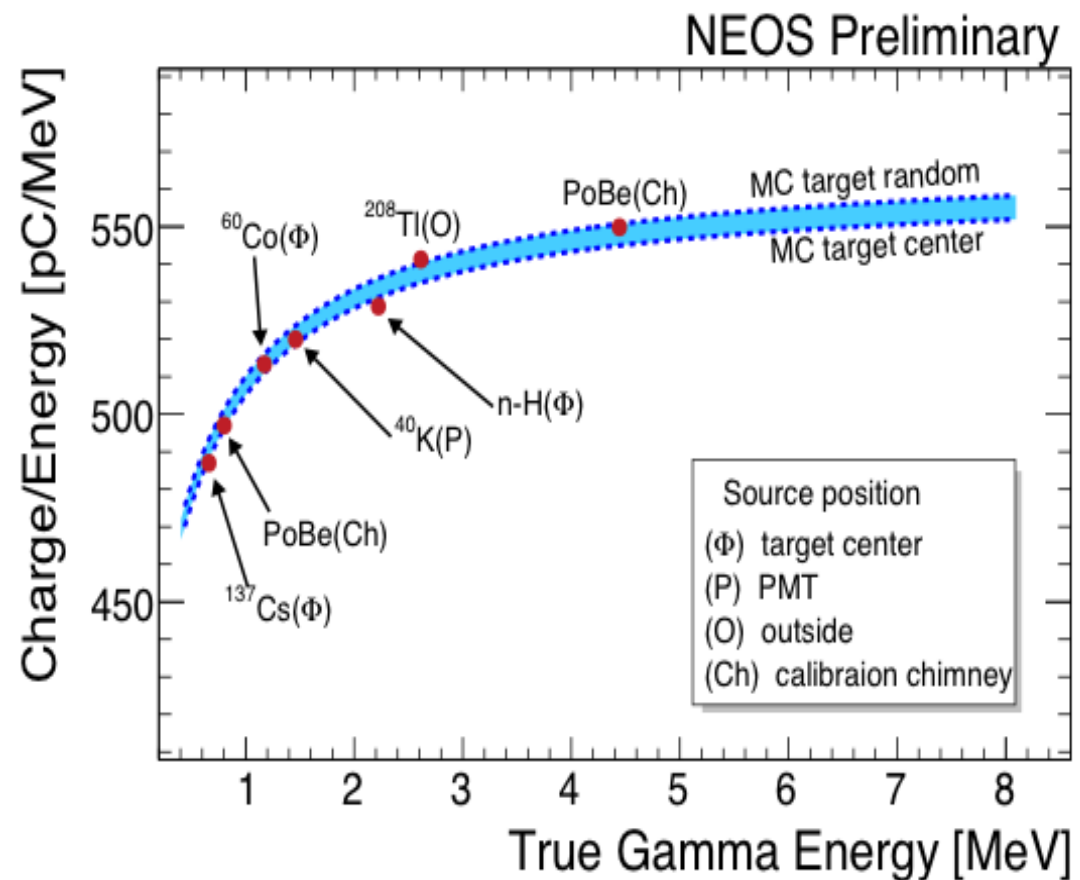


Detector Performance: Energy Resolution and Reponse

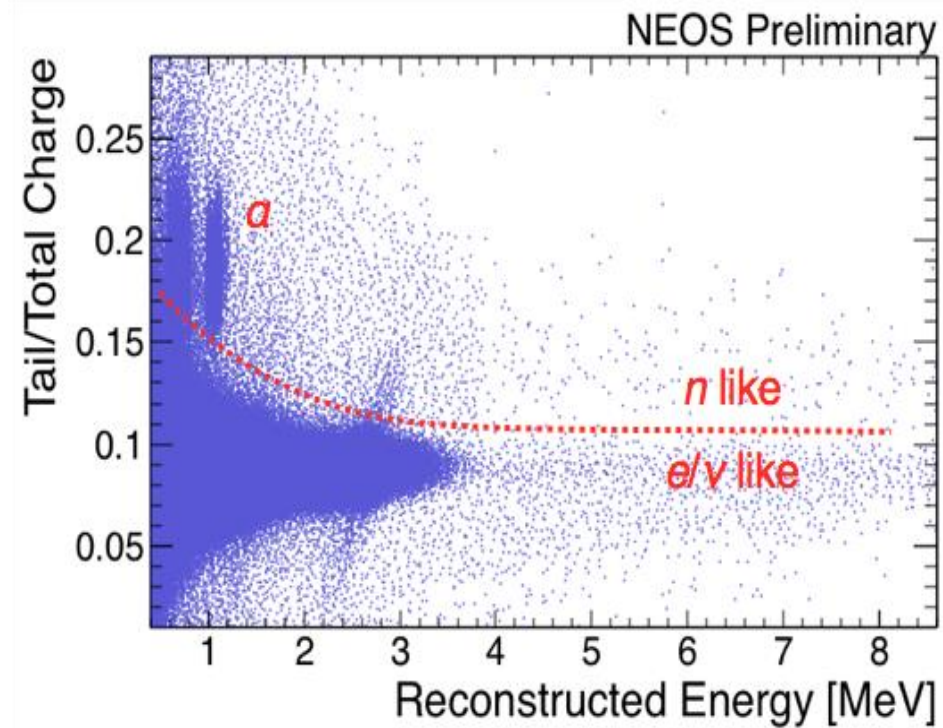
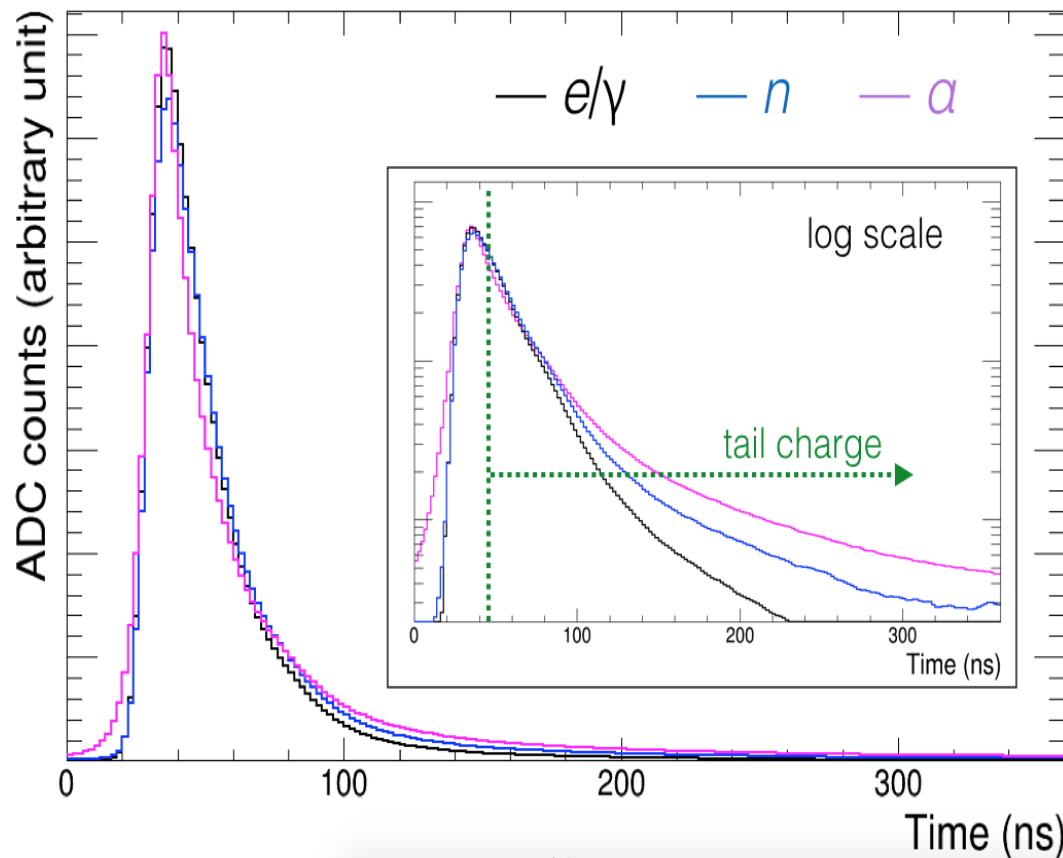
- ~5% at 1 MeV



- Non-linear response to energy

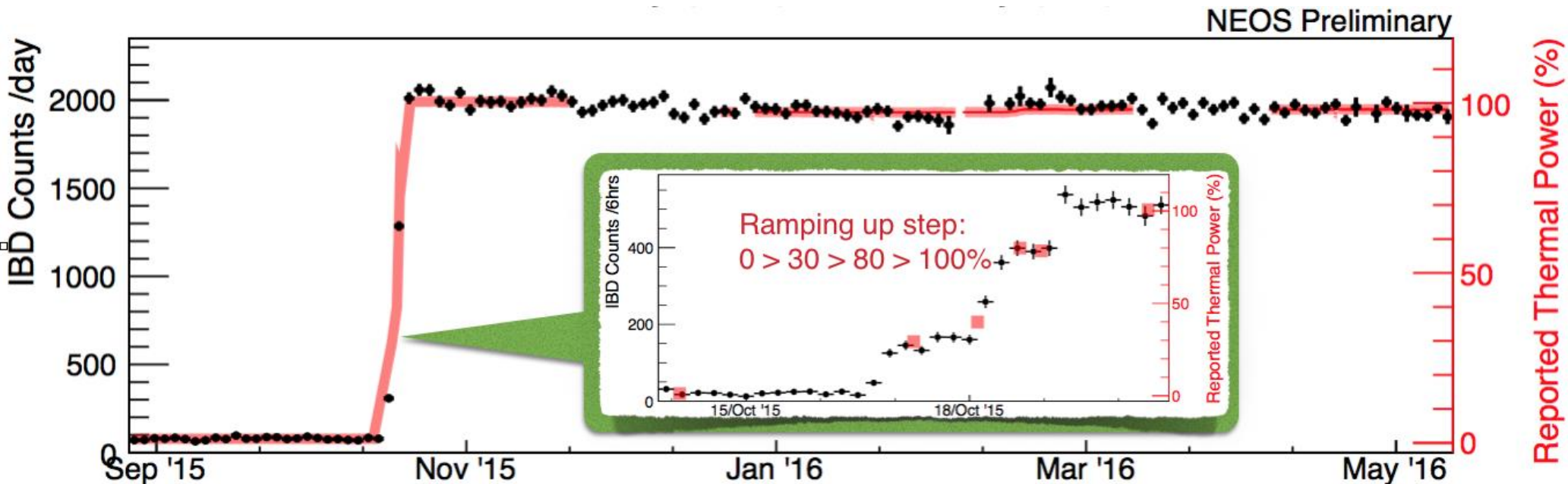


Detector Performance: Pulse Shape Discrimination

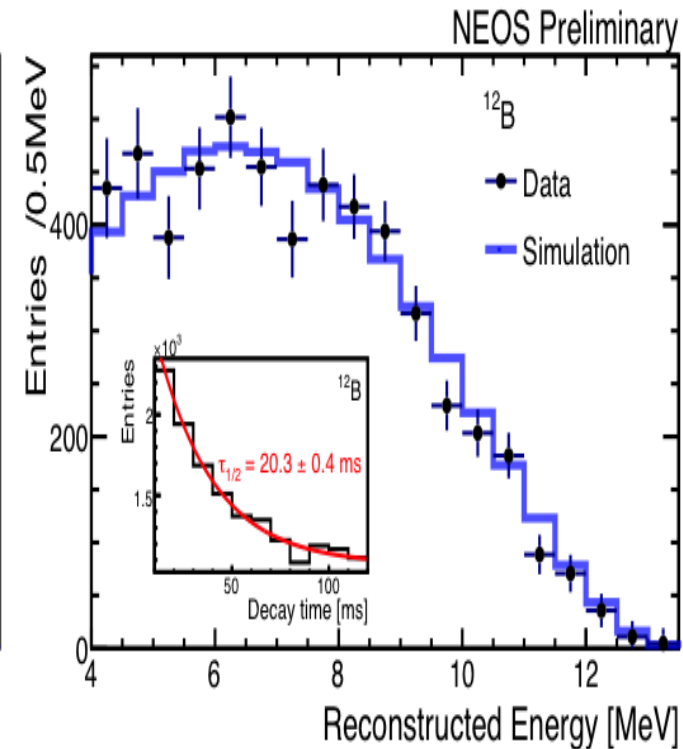
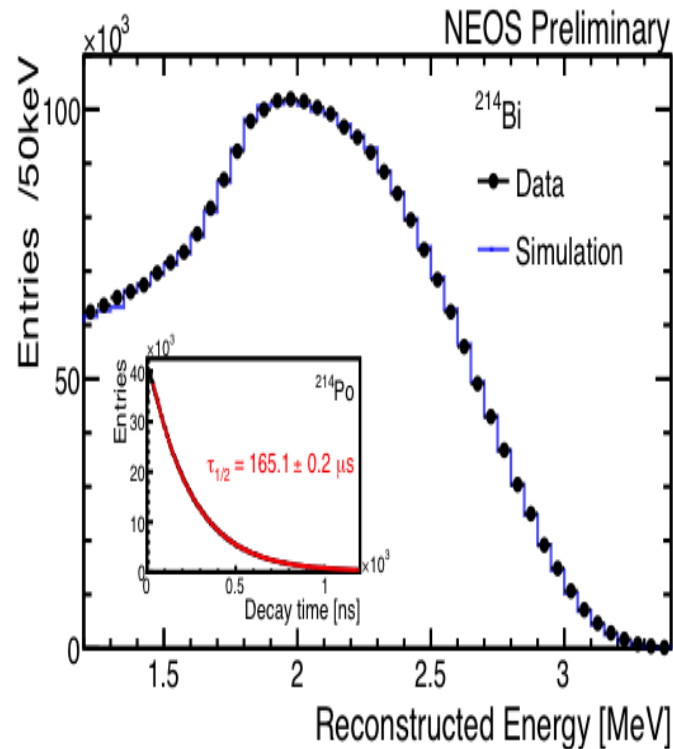
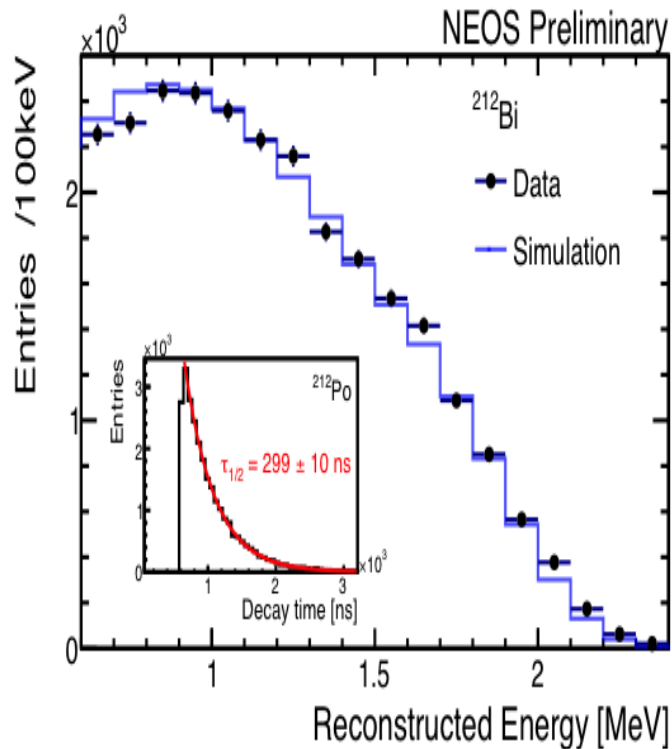


Reactor Neutrino Event Rate

- Data taking from Aug. '15 to May '16
 - Reactor off for 49 days : 85 events/day
 - Reactor on for 205 days: ~ 2,000 events/day
- } **S/N=23**

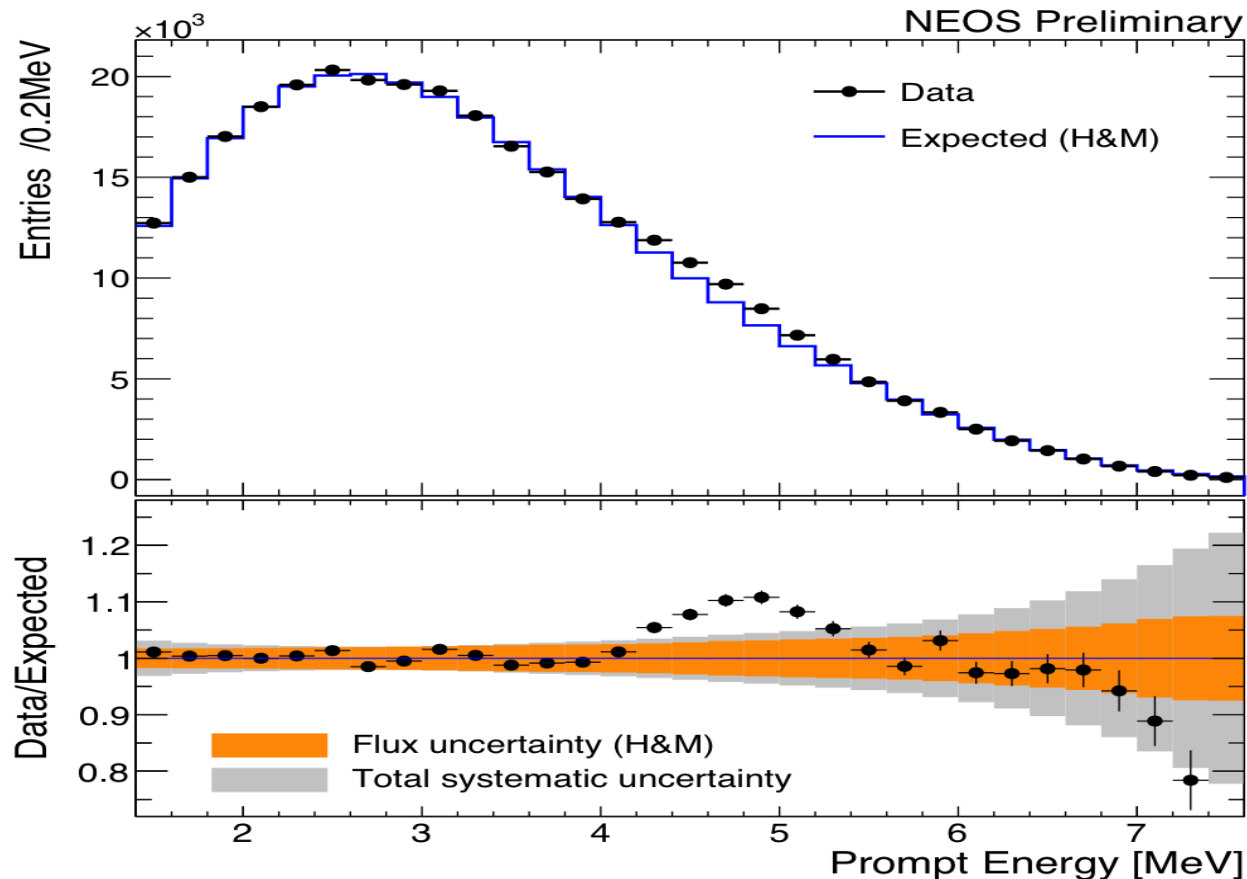


Comparision of data with simulation



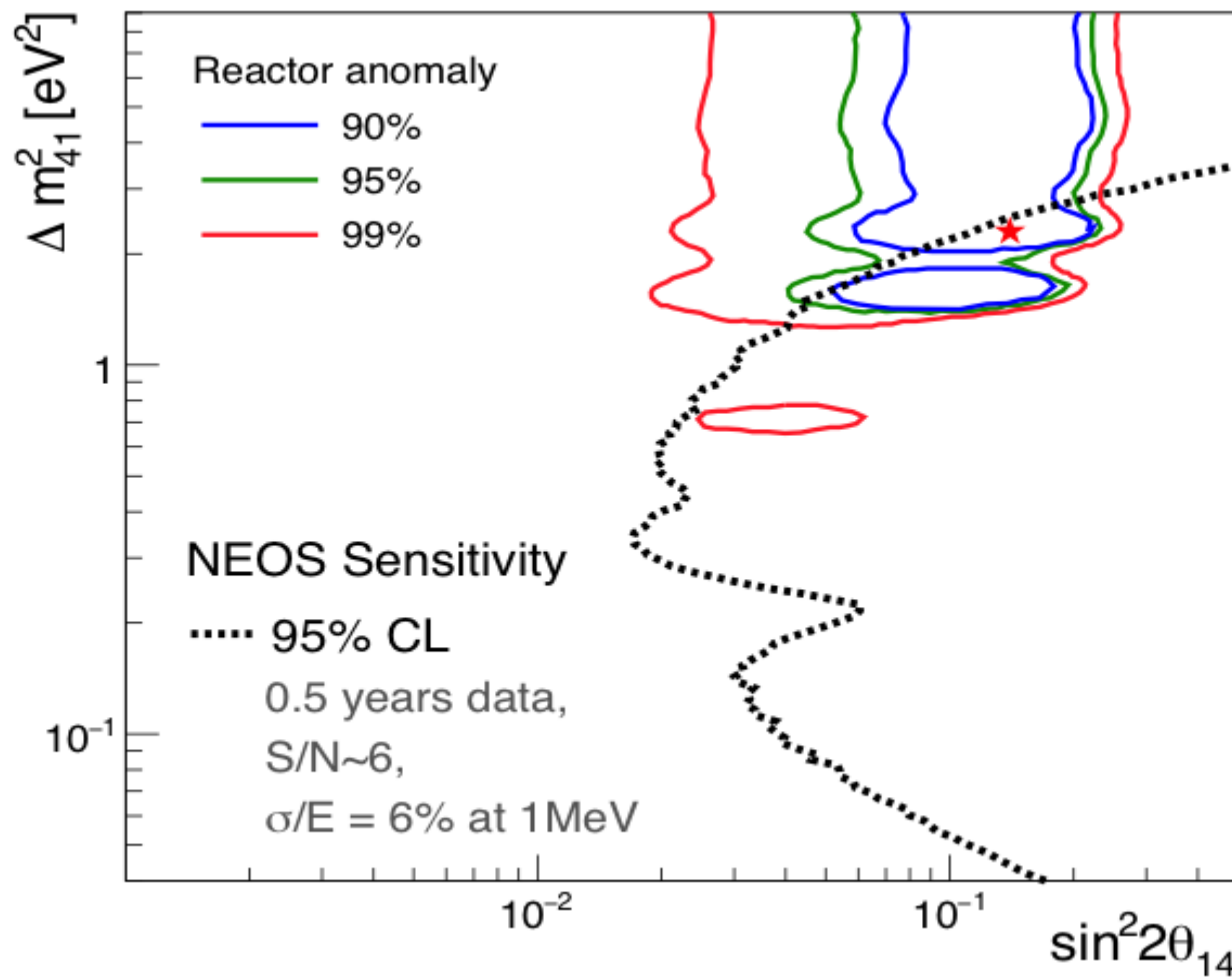
Energy Spectrum for Neutrino Events

- We used P. Huber and Th. A. Mueller for $\bar{\nu}$ energy spectrum.
- Bump around 5 MeV as seen by RENO and DayBay



The Expected Sensitivity of NEOS

- Some of the allowed region is ruled out.



Summary

- The KIMS has obtained more stringent limit than most of previous experiments for solar axion search:

$$g_{ae} < 1.37 \times 10^{-11} \text{ for } m_a = 0 \text{ keV}/c^2$$

$$g_{ae} < 1.39 \times 10^{-11} \text{ for } m_a = 1 \text{ keV}/c^2$$

$$\text{KSVZ model: } m_a < 140.9 \text{ eV}/c^2$$

$$\text{DFSZ model: } m_a < 0.48 \text{ eV}/c^2$$

- We will have more searches on solar axions and DM axion.
- We are working hard to finalize data analysis for the search on the sterile neutrino.

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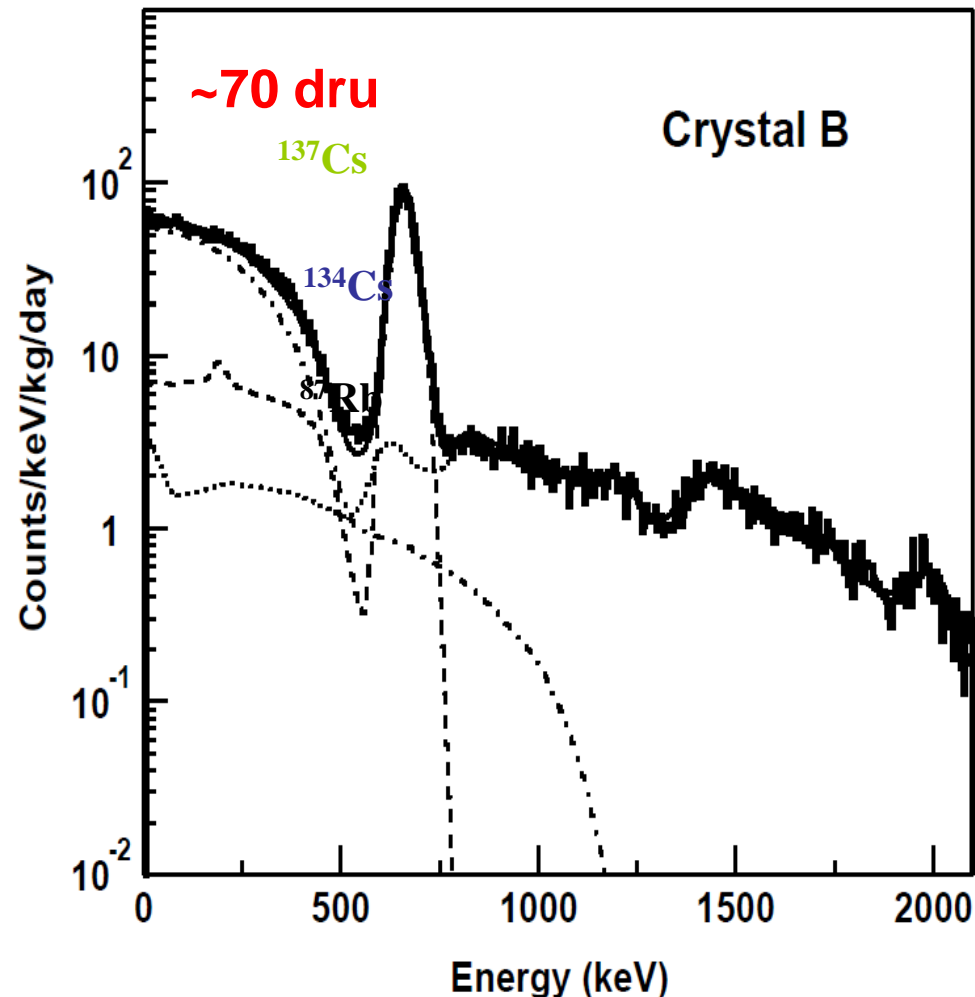
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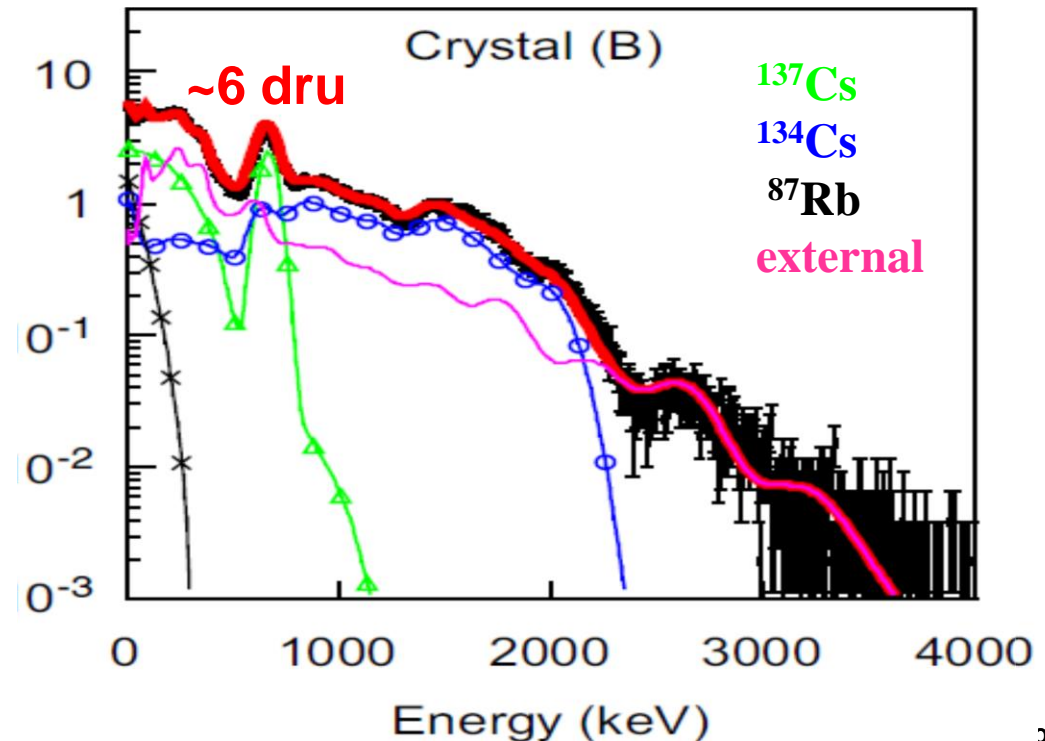
Stay Tuned !

Development of CsI(Tl) crystals

The best CsI(Tl) crystal in the market



The developed CsI(Tl) crystal

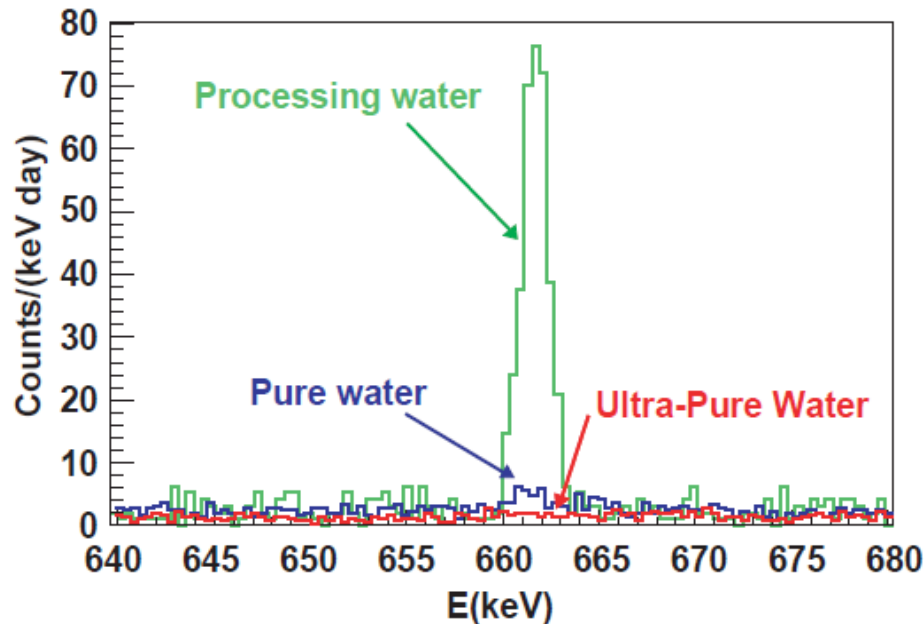


Event Selection

- Split two data sets for 1 year data (2012)
 - Single hit: Axion event selection
 - Multiple hits: Detector efficient study
- Axion signals: electron recoil with energy below 12 keV
 - > single hit among 12 crystals with EM events, < 12 keV.
- Backgrounds:
 - Alpha decays from the crystal surface
 - β -decays:
 - ^{137}Cs (Q=1175.6 keV), ^{134}Cs (Q=2058.7 keV), ^{87}Rb (Q=282 keV)
 - Compton scattering

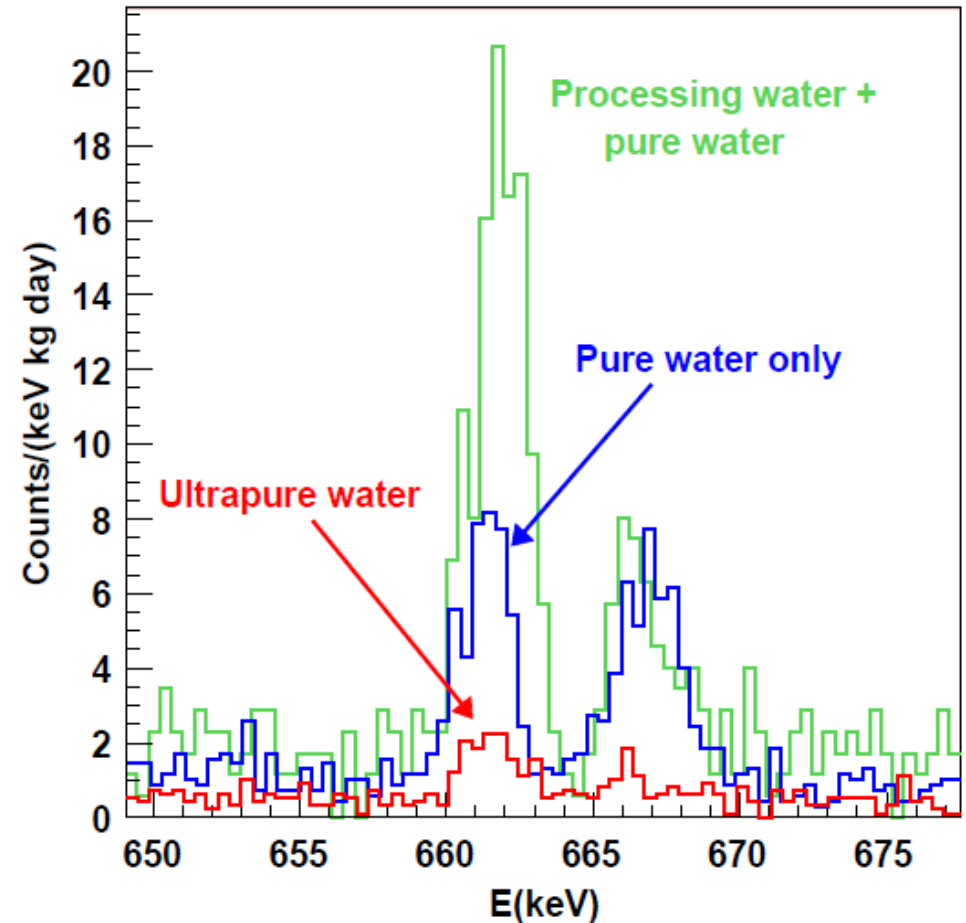
^{137}Cs reduction

■ Water is main source



Nucl. Instrum. Meth. A 552 (2005) 456

CsI Powder Measurements



- With **purified water**, we can reduce the internal background

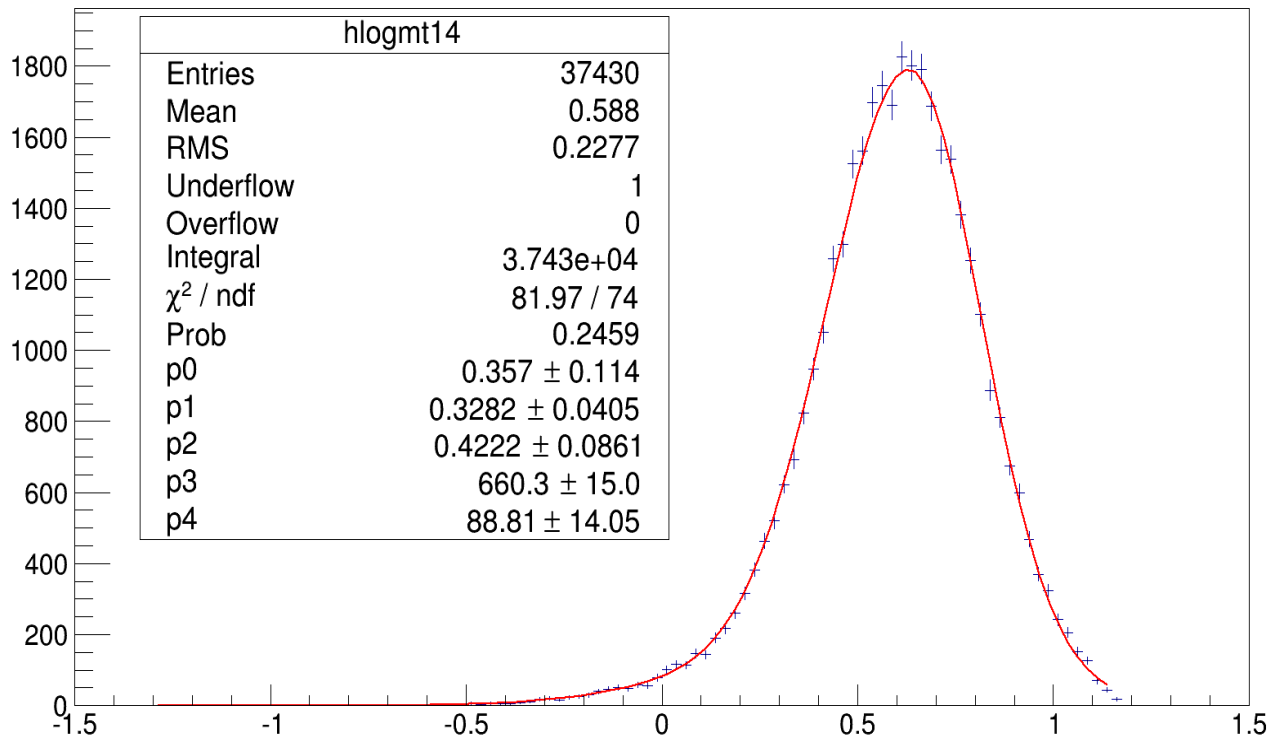
Estimation of No. of e/γ and surface-alpha events (II)

- Fitting $\text{Log}_{10}(\text{MT})$ distribution for each energy bin for single hit events with the following function:

$$G(t) = A_e g(t; \mu_e, \sigma_{L1}, \sigma_{R1}) + A_a g(t; \mu_a, \sigma_{L2}, \sigma_{R2})$$

-> Extract A_e and A_a

$\text{Log}_{10}(\text{MT})$ (8.5-9.0keV)



Estimation of 90 % confidence limit

- Find out n_s^{up} with the following formula:

$$\frac{\int_0^{n_s^{up}} \mathcal{L}(n_s) dn_s}{\int_0^{\infty} \mathcal{L}(n_s) dn_s} = 0.9$$

- The limit for g_{ae} is obtained from the event rate:

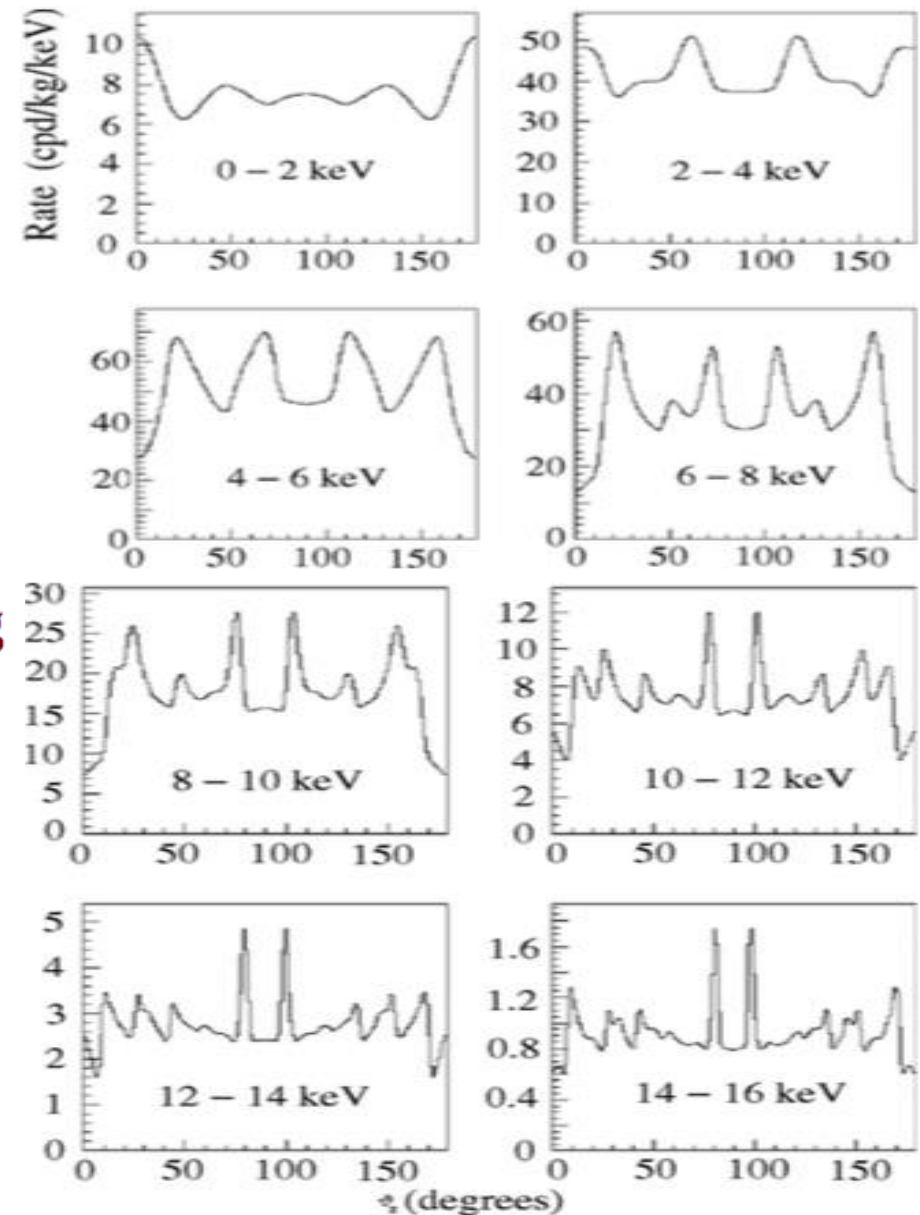
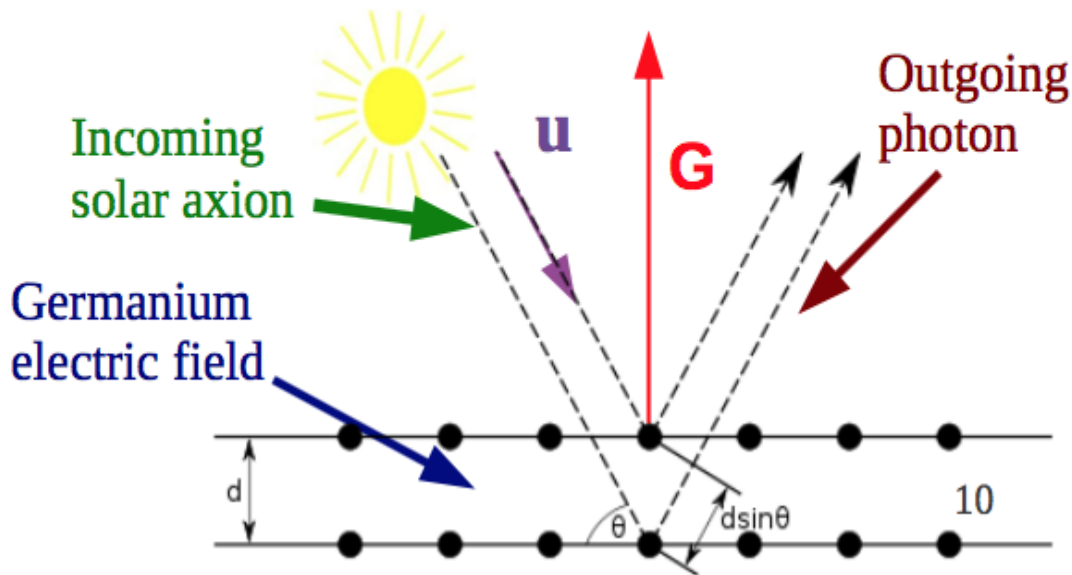
$$\begin{aligned} N &= \int R(E) dE = \int \int dE dE_a \frac{d\Phi_a}{dE_a} \epsilon(E) \sigma_{ae}(E_a) N_{Cs,I} + \sigma_{ae}^I(E_a) N_I) T R_{det}(E, E_a) \\ &= \text{constant} \times g_{ae}^4 < n_s^{up} \end{aligned}$$

$$g_{ae} < 1.37 \times 10^{-11} \text{ for } m_a = 0 \text{ keV}/c^2$$

$$g_{ae} < 1.39 \times 10^{-11} \text{ for } m_a = 1 \text{ keV}/c^2$$

Solar Axion Search: Primakov effect

Bragg:
$$E_{axion} = \frac{\vec{G}^2}{2\vec{u} \cdot \vec{G}}$$



Axion search with Bragg scattering

- Solar axion conversion rate:**

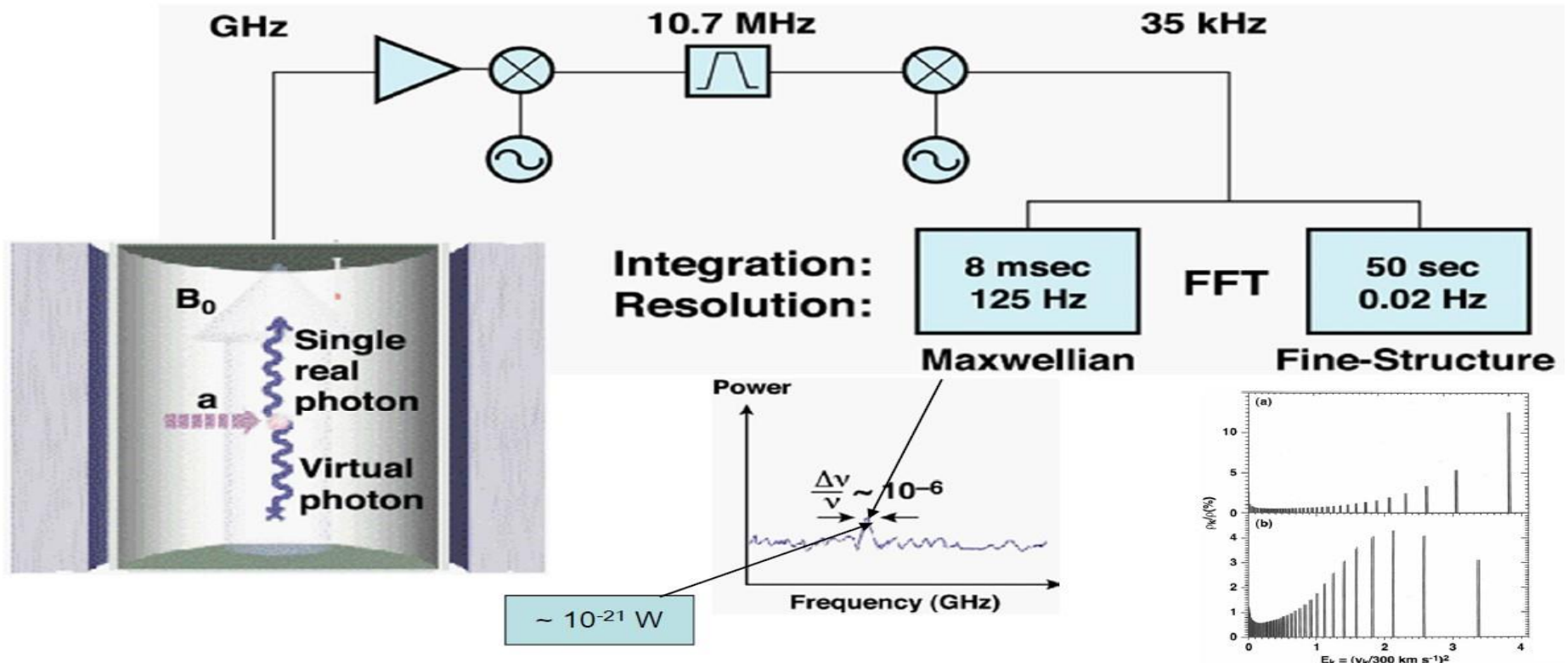
$$\frac{d\dot{N}}{dE} = 2 \frac{V}{v_c^2} \sum_G |S(G)|^2 \frac{d\sigma}{d\Omega} \frac{1}{|\mathbf{G}|^2} \frac{d\Phi}{dE} \delta(E_a - \frac{|\mathbf{G}|^2}{2\mathbf{u} \cdot \mathbf{G}})$$

$$\mathbf{G} = \frac{2\pi}{a} (h \ k \ l) \quad S(G) = [1 + e^{i\pi(h+k+l)/2}] \times [1 + e^{i\pi(h+k)} + e^{i\pi(h+l)} + e^{i\pi(k+l)}]$$

(h, k, l)	E_0 (keV)	mult	(h, k, l)	E_0 (keV)	mult
(1,1,1)	1.89	8	(5,5,1)	7.78	24
(2,2,0)	3.08	12	(7,1,1)	7.78	24
(3,1,1)	3.62	24	(6,4,2)	8.16	48
(4,0,0)	4.36	6	(5,5,3)	8.37	24
(3,3,1)	4.75	24	(7,3,1)	8.37	48
(4,2,2)	5.34	24	(8,0,0)	8.72	6
(3,3,3)	5.66	8	(7,3,3)	8.92	24
(5,1,1)	5.66	24	(8,2,0)	8.99	24
(4,4,0)	6.17	12	(6,6,0)	9.25	12
(5,3,1)	6.45	48	(5,5,5)	9.44	8
(5,3,3)	6.45	24	(7,5,1)	9.44	48
(4,4,4)	7.55	8	(7,5,3)	9.93	48

Dark Matter Axion Search (II)

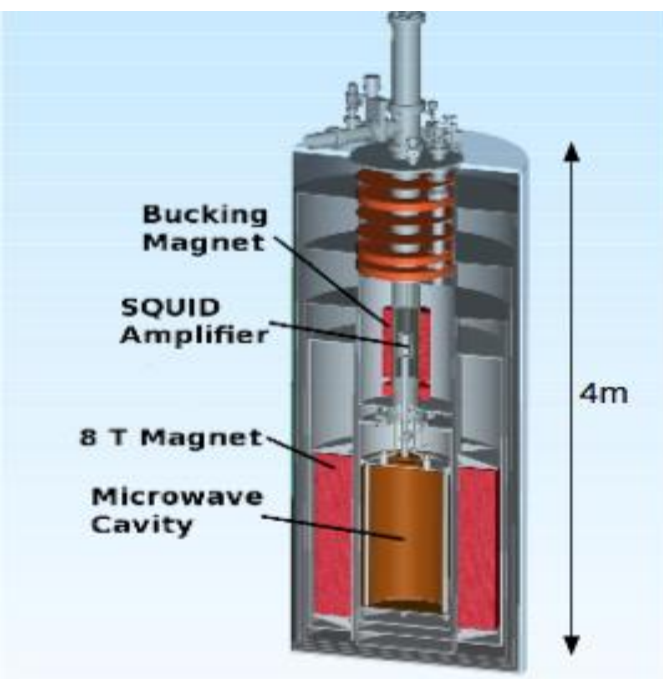
Experimental details of the RF-cavity technique



- The conversion is resonant: **frequency=mass+K.E.**
- The total noise temperature ($T+T_{\text{amp}}$) is critical factor.

Dark Matter Axion Search (III)

▪ ADMX exp.: Axion Dark-Matter eXperiment



Technology	Squid Amp.
Noise (T)	150 mK(=50mK+100mK)
B	8 T
V	200,000 cm ³
C	0.69
Quality factor	5x10 ⁵
b (Band Width)	12.5 Hz

- Conversion power for 1 μeV of axion: $P_a = g_{a\gamma}^2 V B^2 \rho_a C Q = 2.1 \times 10^{-23} \text{ W}$

- Noise power: $P_N = k_b T b = 2.6 \times 10^{-23} \text{ W}$

- $\text{SNR} = (P_a / P_N)(b t)^{1/2} > 3 \rightarrow$ scanning time $t > 0.5 \text{ s}$

- It takes about 1 yr to scan from 1 μeV to 4.2 μeV

Solar Axion Search: CAST (CERN Axion Solar Telescope) Exp. (I)

- Use S.C. dipole magnet for LHC:

- $B=9$ T, $L=9.26$ m, $\text{Area}=14.5$ cm²

- Solar tracking: ~ 3 h/day

$$P_{a \rightarrow \gamma} \sim g_{a\gamma}^2 B^2 L^2$$



Solar Axion Search:

IAXO (International AXion Observatory) Exp. (II)

Parameter	CAST	Scenario 1	Scenario 2	Scenario 3	Scenario 4
B (T)	9	3	3	4	5
L (m)	9.26	12	15	15	20
A (m ²)	2x0.0015	1.7	2.6	2.6	4

