

PPC2023 @ IBS Daejeon

BSM Theories and Flavor Physics

Quark and lepton hierarchies  
from S4' modular flavor symmetry

Junichiro Kawamura

Institute for Basic Science, CTPU

based on arXiv:2301.07439, 2302.11183 [PLB]

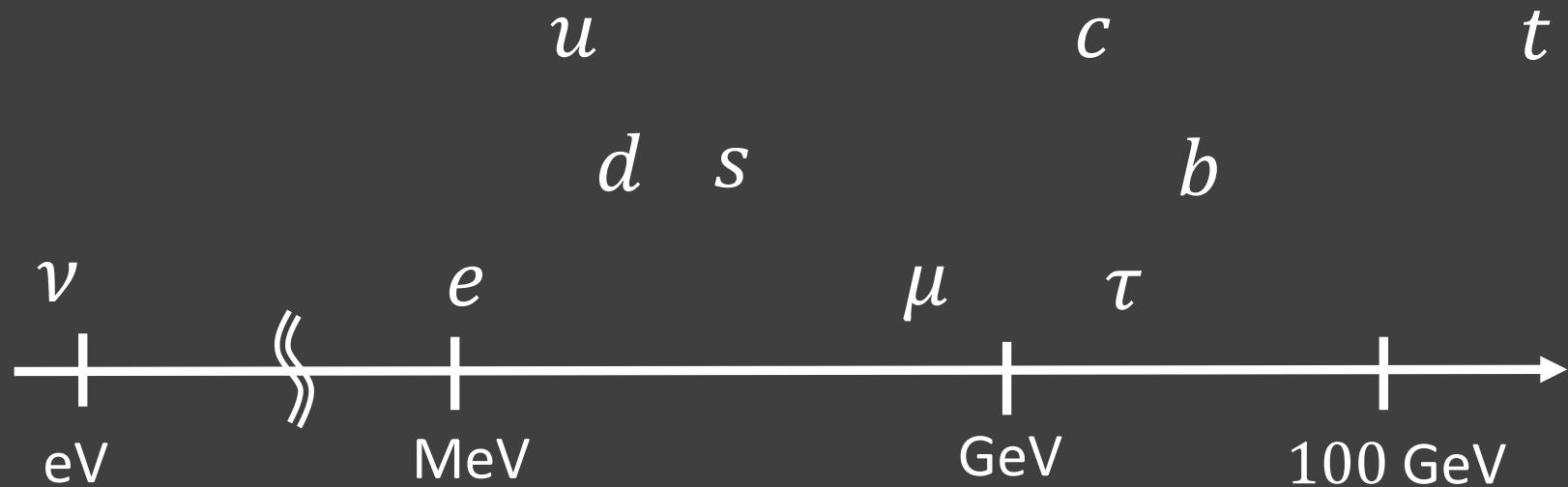
in collaboration with

Y.Abe (U. of Wisconsin), T.Higaki (Keio U.), T.Kobayashi (Hokkaido U.)

# Mass hierarchies

- Masses in the Standard Model [SM]

$W, Z, H$



Why so hierarchical ?

# Quark hierarchies

- Cabibbo-Kobayashi-Maskawa [CKM] matrix

$$\begin{array}{ccc} M_u & & U_L^\dagger M_u U_R = \text{diag}(m_u, m_c, m_t) \\ M_d & \rightarrow & V_L^\dagger M_d V_R = \text{diag}(m_d, m_s, m_b) \end{array}$$

$$\frac{g}{\sqrt{2}} W_\mu^+ \overline{u_i} \delta_{ij} d_j \quad \frac{g}{\sqrt{2}} W_\mu^+ \overline{u_i} V_{ij}^{CKM} d_j$$

diagonal W-couplings

diagonal masses

$$\text{CKM matrix} \quad V^{CKM} = U_L^\dagger V_L \sim \begin{pmatrix} 0.97 & 0.23 & 0.004 \\ 0.23 & 0.97 & 0.041 \\ 0.009 & 0.040 & 0.99 \end{pmatrix}$$

CKM also has hierarchical structure

# Lepton hierarchies

- charged lepton masses are hierarchical

$$(m_e, m_\mu, m_\tau) \sim (0.5, 106, 776) \text{ MeV}$$

- neutrino masses are not so hierarchical

$$\Delta m_{21}^2 \sim 10^{-5} \text{ eV}^2, \Delta m_{32}^2 \sim 10^{-3} \text{ eV}^2$$

$$\rightarrow m_2 \sim 0.1^{-2.5}, m_3 \sim 0.1^{-1.5} \text{ eV for } m_1 < m_{2,3}$$

- PMNS matrix has large mixing angles

$$V^{PMNS} \sim \begin{pmatrix} 0.8 & 0.6 & 0.2 \\ 0.3 & 0.6 & 0.7 \\ 0.5 & 0.6 & 0.7 \end{pmatrix}$$

# Aim of this work

Understand the hierarchies in quark and lepton hierarchies

## ➤ Modular flavor symmetry

what if Yukawa couplings (masses) are modular form ?

Altarelli, Feruglio, 2010

$$Y = Y(\tau) \rightarrow (c\tau + d)^k \rho(r) Y(\tau)$$

- non-Abelian discrete flavor symmetry
- • Froggatt-Nielsen [FN] mechanism by residual symmetry
- modular symmetry appears in string models

T.Kobayashi, S.Nagamoto et.al. '17 '18 '20  
J.Lauer, J.Mas, H.P.Nilles '89, '91,  
S.Ferrara, D.Lust, S.Theisen, '89  
A.Baur, H.P.Nilles, A.Trautner,  
PKS.Vaudrevange S.Ramos-Sanches, '19, '20

- explain the quark and lepton hierarchies

# Outline

1. Introduction
2. Modular flavor symmetry
3.  $S'_4$  model for quark and lepton hierarchies
4. Summary

# Modular group

- modular group  $\Gamma \Leftrightarrow$  special linear group

$$\Gamma := SL(2, \mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{Z}, ad - bc = 1 \right\}$$

generators

$$S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad R = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$S^2 = R, \quad (ST)^3 = R^2 = 1, \quad TR = RT$$

- action to modulus  $\tau$  : complex scalar with  $\text{Im } \tau > 0$

$$\tau \xrightarrow{\Gamma} \frac{a\tau + b}{c\tau + d} \quad \tau \xrightarrow{S} -1/\tau \quad \tau \xrightarrow{T} \tau + 1 \quad \tau \xrightarrow{R} \tau$$

# Finite modular group $\Gamma_N$

- Congruence group  $\Gamma(N)$       level  $N \in \mathbb{N}$

$$\Gamma(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \mid \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

ex)  $T^N = \begin{pmatrix} 1 & N \\ 0 & 1 \end{pmatrix} \in \Gamma(N) \Leftrightarrow \tau \rightarrow \tau + N$

- finite modular group  $\Gamma_N := \Gamma/\Gamma(N)$

$$S^2 = R, \quad (ST)^3 = R^2 = 1, \quad TR = RT, \quad T^N = 1$$

→ isomorphic to non-Abelian discrete symmetries for  $N \leq 5$

$$\Gamma_2 \simeq S'_3, \quad \Gamma_3 \simeq A'_4, \quad \Gamma_4 \simeq S'_4, \quad \Gamma_5 \simeq A'_5 \quad * \text{e.g. } \Gamma_4/\mathbb{Z}_2^R \simeq S_4$$

# $\Gamma_4 \simeq S'_4$ modular symmetry

Novichkov, Penedo, Petkov, Titov, 18'

## ➤ Representations under $S_4 = S'_4 / \mathbb{Z}_2^R$

- two singlets  $1, 1'$ , one doublet  $2$  and two triplets  $3, 3'$
- there are  $\mathbb{Z}_2^R$ -odd representations denoted by  $\hat{r}$  under  $S'_4$

## ➤ Modular form of rep. $r$ and weight $k \in \mathbb{N}$

is a holomorphic function of  $\tau$  transforms as

$$Y_r^{(k)} = Y_r^{(k)}(\tau) \rightarrow (c\tau + d)^k \rho(r) Y_r^{(k)}(\tau) \quad \tau \xrightarrow{\Gamma} \frac{a\tau + b}{c\tau + d}$$

$\rho(r)$ : representation matrix of  $r$

- the number of rep. is fixed for a given weight  $k$
- one  $\hat{3}$  at  $k = 1$ , one  $2$  and one  $3'$  at  $k = 2$  and so on

# Residual $\mathbb{Z}_4^T$ symmetry

Novichkov, Penedo, Petkov, 21'

$$S^2 = R, \quad (ST)^3 = R^2 = 1, \quad T^4 = 1$$

➤ At  $\tau \sim i\infty$

$\tau$  is insensitive to  $\tau \xrightarrow{T} \tau + 1$  →  $\mathbb{Z}_4^T$  symmetry is unbroken

➤ Modular forms at  $\text{Im}\tau \gg 1$

$$Y_{\widehat{3}}^{(1)}(\tau) \sim \begin{pmatrix} \sqrt{2}\epsilon(\tau) \\ \epsilon(\tau)^2 \\ -1 \end{pmatrix} \begin{array}{c} \mathbb{Z}_4^T\text{-charge} \\ 1 \\ 2 \\ 0 \end{array} \quad \epsilon(\tau) \sim 2\exp\left(\frac{2\pi i\tau}{4}\right) \ll 1$$

powers of  $\epsilon \ll 1$  is controlled by  $\mathbb{Z}_4^T$  charge

→ Froggatt-Nielsen [FN] mechanism  $\left(\frac{\langle\phi\rangle}{\Lambda}\right)^n \Leftrightarrow \epsilon(\tau)^n$

natural and predictive realization of FN mech.

# Outline

1. Introduction
2. Modular flavor symmetry
3.  $S'_4$  model for quark and lepton hierarchies
4. Summary

# Representations of $S'_4$

1st example for both Q and L  
from single modular sym.

## ➤ Quark sector

$$u^c = \begin{matrix} 0 & 0 & 1 \\ 1 \oplus 1 \oplus \hat{1}' & & \end{matrix} \quad d^c = \begin{matrix} 0 & 0 & 0 \\ 1 \oplus 1 \oplus 1 & & \end{matrix} \quad \begin{matrix} (2,3,1) \\ Q = 3 \\ \text{LH doublet quark} \end{matrix} \quad \mathbb{Z}_4^T\text{-charge}$$

RH up quark                    RH down quark                    LH doublet quark

small angles and mass hierarchy mainly from  $Q$

## ➤ Lepton sector

$$L = \begin{matrix} 0 & 0 & 0 \\ 1 \oplus 1 \oplus 1 & & \end{matrix} \quad e^c = \begin{matrix} (2,3,1) \\ 3 \\ \text{LH doublet lepton} \end{matrix} \quad \mathbb{Z}_4^T\text{-charge}$$

RH charged lepton

large angles from  $L$ , while mass hierarchy from  $e^c$

# Quark and lepton hierarchies

- masses and CKM /PMNS matrix

$$(m_u, m_c, m_t) \sim (\epsilon^3, \epsilon, 1) m_t$$

$$(m_d, m_s, m_b) \sim (m_e, m_\mu, m_\tau) \sim (\epsilon^3, \epsilon^2, \epsilon) m_t / t_\beta$$

$$V^{CKM} \sim \begin{pmatrix} 1 & \epsilon & \epsilon^2 \\ \epsilon & 1 & \epsilon \\ \epsilon^2 & \epsilon & 1 \end{pmatrix} \quad V^{PMNS} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

$N = 4$  is minimal to have  $\epsilon^3$

\*see also models based on  $A_4$  (2212. 13336),  
 $\Gamma_6$  (2301.03737),  $A_4^3$  (2302.03326) for Q

- Additional factors

- powers of  $(2\text{Im}\tau)^k$  from canonical normalization
- numerical factors from modular forms

# Yukawa couplings

2302.11183

$$W_u = H_u \left\{ \sum_{a=1}^2 \alpha_a \left( Q Y_3^{(k_{u_a} + k_Q)} \right)_1 u_i^c + \alpha_3 \left( Q Y_{\widehat{3}}^{(k_{u_3} + k_Q)} u_3^c \right)_1 \right\}$$

$$W_d = H_d \left\{ \sum_{i=13} \beta_i \left( Q Y_3^{(k_{d_i} + k_Q)} \right)_1 d_i^c + \gamma_i \left( L_i Y_3^{(k_e + k_{L_i})} e^c \right)_1 \right\}$$

$$W_\nu = \sum_{i,j=1}^3 \frac{c_{ij}}{\Lambda} Y_1^{(k_{L_i} + k_{L_j})} L_i H_u L_j H_u$$

(•)₁ : singlet combination  
 $\alpha_i, \beta_i, \gamma_i, c_{ij}$  :  $\mathcal{O}(1)$  coefficients

For neutrinos, we assume

- Majorana masses from Weinberg operator
- $L_i$  is singlet, s.t. Yukawa is singlet ( $L_i = \widehat{1} \rightarrow Y$  is  $1'$  )

# Modular weights

2302.11183

$$(k_{u_1}, k_{u_2}, k_{u_3}) = (0, 4, 3), \quad (k_{d_1}, k_{d_2}, k_{d_3}) = (0, 2, 4), \quad k_Q = 4$$

$$(L_1, k_{L_2}, k_{L_3}) = (0, 2, 4), \quad k_e = 4$$

→ Modular weights of Yukawa couplings

$$k_{Y_u} = (4, 8, 7), \quad k_{Y_d} = k_{Y_e} = (4, 6, 8), \quad k_{c_{ij}} = 2i + 2j - 4$$

- minimal combinations for rank-3 Yukawa matrices up to  $\mathcal{O}(\epsilon^3)$
- neutrino mass hierarchy from  $2\text{Im } \tau \sim 5$

➤ Modular forms:

$$\text{ex)} Y_{\widehat{3}}^{(7)} \sim \epsilon (1, \sqrt{2}\epsilon, 7\sqrt{2}\epsilon^2)$$

→ Cabibbo angle is enhanced as  $s_C \sim 7\sqrt{2}\epsilon \sim 0.2$

# Fit results

$$\tan\beta = 3.7, \text{Im } \tau = 2.8, |\alpha_3^1| = 0.0012$$

$$\frac{1}{|\alpha_3^1|} \begin{pmatrix} \alpha_1 \\ \alpha_2^1 \\ \alpha_2^2 \\ \alpha_3^1 \\ \alpha_3^2 \end{pmatrix} = \begin{pmatrix} -0.69 \\ -1.8 \\ 0.84 \\ e^{-1.6i} \\ -1.1 \end{pmatrix} \quad \frac{1}{|\alpha_3^1|} \begin{pmatrix} \beta_1 \\ \beta_2 \\ \beta_3^1 \\ \beta_3^2 \end{pmatrix} = \begin{pmatrix} 1.6 \\ -1.8 \\ 0.6 \\ -0.62 \end{pmatrix} \quad \frac{1}{|\alpha_3^1|} \begin{pmatrix} \gamma_1 \\ \gamma_2 \\ \gamma_3^1 \\ \gamma_3^2 \end{pmatrix} = \begin{pmatrix} -1.7 \\ -2.8 \\ 0.80 e^{0.93i} \\ 2.75 \end{pmatrix}$$

$$\frac{1}{|\alpha_3^1|} \begin{pmatrix} c_{11} \\ c_{22} \\ c_{33} \\ c_{13} \\ c_{23} \end{pmatrix} = \begin{pmatrix} 1.7 \\ -1.9 \\ -0.67 \\ -5.4 \\ -2.0 \end{pmatrix}$$

- coefficients are in [0.62,5.4]
- two phases are introduced



obs.	value	center	error
$y_u/10^{-6}$	4.44	2.85	0.88
$y_c/10^{-3}$	1.481	1.479	0.052
$y_t$	0.5322	0.5320	0.0053
$y_d/10^{-5}$	1.94	1.93	0.21
$y_s/10^{-4}$	3.88	3.82	0.21
$y_b/10^{-2}$	2.097	2.100	0.021
$y_e/10^{-6}$	7.816	7.816	0.047
$y_\mu/10^{-3}$	1.6496	1.6500	0.00099
$y_\tau/10^{-2}$	2.808	2.805	0.028

$s_{12}$	0.22520	0.22541	0.00072
$s_{23}/10^{-2}$	4.007	3.998	0.064
$s_{13}/10^{-3}$	3.43	3.48	0.13
$\delta_{\text{CKM}}$	1.2395	1.2080	0.0540
$R_{32}^{21}/10^{-2}$	3.053	3.070	0.084
$s_{12}^2$	0.302	0.307	0.013
$s_{23}^2$	0.547	0.546	0.021
$s_{13}^2/10^{-2}$	2.203	2.200	0.070
$\delta_{\text{PMNS}}$	-0.85	-2.01	0.63

Well-fit ( $< 1.8\sigma$ ) to the data by  $\mathcal{O}(1)$  coefficients

# Summary

- Modular flavor symmetry realizes
  - generalized non-Abelian discrete symmetry
  - hierarchical Yukawa matrix via Froggatt-Nielsen mechanisms
- $S'_4$  model
  - maybe minimal possibility to realize the Q and L hierarchy
  - successfully explains the quark and lepton hierarchies
  - can not be embedded into e.g. SU(5) GUT      ongoing

Thank you

# backups

# $S_3$ symmetry

the coefficients have the “hierarchy” structure

$$\alpha_1 \ll \alpha_2, \alpha_3 \quad \beta_{11} \gg \beta_{21}, \beta_{22}, \beta_{23}$$

first low is smaller (larger) than others in up (down) quarks

➤  $S_3$  model with another modulus  $\tau_2$

$$d^c, q_1: \text{singlet } 1 \quad u^c, q_2: \text{non-trivial singlet } 1'$$

$$\rightarrow Y_u \propto \begin{pmatrix} \epsilon_2 & \epsilon_2 & \epsilon_2 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \begin{matrix} \alpha_1 \\ \alpha_2, \alpha_3 \end{matrix} \quad Y_d \propto \begin{pmatrix} 1 & 1 & 1 \\ \epsilon_2 & \epsilon_2 & \epsilon_2 \\ \epsilon_2 & \epsilon_2 & \epsilon_2 \end{pmatrix} \begin{matrix} \beta_{11} \\ \beta_{21}, \beta_{22}, \beta_{23} \end{matrix}$$

the hierarchy is explained by  $\epsilon_2(\tau_2) \sim 0.1$

# Canonical normalization

- modular invariant kinetic term

$$\text{kinetic term} \quad \frac{\bar{Q}Q}{(-i\tau + i\bar{\tau})^{k_q}} \quad \rightarrow \quad \bar{Q}Q \quad \text{canonical basis}$$

$$\text{Yukawa coup.} \quad Y^{(k_Y)}(\tau) \quad \rightarrow \quad (2\text{Im}\tau)^{k_Y/2} Y^{(k_Y)}$$

- When  $\epsilon(\tau) \ll 1$

$$\epsilon(\tau) \sim 0.05 \quad \rightarrow \quad t := 2\text{Im } \tau \sim 5 \text{ gives additional structure}$$

another FN-like mechanism controlled by modular weights

# Spontaneous CP violation

\* Thank to Tanimoto-san for comments

- from  $S'_4$

$$\epsilon(\tau) \sim 2 \exp\left(\frac{2\pi\tau i}{4}\right) \text{ is a complex parameter}$$

However, it induces only unphysical phases in CKM matrix up to  $\epsilon^3$

→ spontaneous CP violation is too small  $\sim \epsilon^4$

- from  $S_3$

CPV from  $\epsilon_2 \sim 0.1$  does not physical phase up to  $\epsilon_2$

However,  $\epsilon_2^2 \sim 0.01$  ( $\mathbb{Z}_2^T$  neutral) is enough for CKM phase

→ moderate CP violation from  $S_3$

# Quark hierarchies

## ➤ masses and CKM matrix

$$(m_u, m_c, m_t) \sim (\epsilon^3, \epsilon, 1) m_t$$

$$(m_d, m_s, m_b) \sim \epsilon^p (\epsilon^2, \epsilon^2, 1) m_t / t_\beta$$

$$V^{CKM} \sim \begin{pmatrix} 1 & 1 & \epsilon^2 \\ 1 & 1 & \epsilon^2 \\ \epsilon^2 & \epsilon^2 & 1 \end{pmatrix} \quad \begin{array}{l} \epsilon \sim 0.05 \\ p = 0,1 \\ t_\beta = v_u/v_d \end{array}$$

- $N = 4$  is the minimal number for the hierarchy with  $\epsilon^3$
- $\mathcal{O}(0.1)$  deviations could be explained by modular forms

## ➤ representations of quarks for $p = 1$

c.f. Novichkov, Penedo, Petkov, 21'

there is only one combination of reps. for the quark hierarchy \*

\* assume no coexistence of  $\mathbb{Z}_2^R$ -even and –odd states in same quark

$\mathbb{Z}_4^T$ -charge :	(2,3,1)	2	2	2	0	(0, 2)
$u^c = 3$		$1' \oplus 1' \oplus 1'$			$1 \oplus 2$	
RH up quark		RH down quark			LH doublet quark	

# Representation matrices in $S'_4$

$$\rho_S(2) = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}, \quad \rho_T(2) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

and

$$\rho_S(3) = -\frac{1}{2} \begin{pmatrix} 0 & \sqrt{2} & \sqrt{2} \\ \sqrt{2} & -1 & 1 \\ \sqrt{2} & 1 & -1 \end{pmatrix}, \quad \rho_T(3) = \begin{pmatrix} -1 & 0 & 0 \\ 0 & -i & 0 \\ 0 & 0 & i \end{pmatrix}.$$

The primed and hatted representations are related as

$$\begin{aligned} \rho_S(r) &= -\rho_S(r') = -i\rho_S(\hat{r}) = i\rho_S(\hat{r}'), \\ \rho_T(r) &= -\rho_T(r') = i\rho_T(\hat{r}) = -i\rho_T(\hat{r}'), \\ \mathbb{I} &= \rho_R(r) = \rho_R(r') = -\rho_R(\hat{r}) = -\rho_R(\hat{r}'). \end{aligned}$$

$\tau \sim i\infty$



$$\begin{aligned} Y_1 &\sim 1, & Y_{1'} &\sim \epsilon^2, & Y_{\hat{1}} &\sim \epsilon^3, & Y_{\hat{1}'} &\sim \epsilon, & Y_2 &\sim \begin{pmatrix} 1 \\ \epsilon^2 \end{pmatrix}, & Y_{\hat{2}} &\sim \begin{pmatrix} \epsilon^3 \\ \epsilon \end{pmatrix}, \\ Y_3 &\sim \begin{pmatrix} \epsilon^2 \\ \epsilon^3 \\ \epsilon \end{pmatrix}, & Y_{3'} &\sim \begin{pmatrix} 1 \\ \epsilon \\ \epsilon^3 \end{pmatrix}, & Y_{\hat{3}} &\sim \begin{pmatrix} \epsilon \\ \epsilon^2 \\ 1 \end{pmatrix}, & Y_{\hat{3}'} &\sim \begin{pmatrix} \epsilon^3 \\ 1 \\ \epsilon^2 \end{pmatrix}, \end{aligned}$$

# Yukawa matrices

➤ model for  $p = 1$

$$Y_u = \begin{pmatrix} \alpha_1[Y_3^{(4)}]_1 & \alpha_1[Y_3^{(4)}]_3 & \alpha_1[Y_3^{(4)}]_2 \\ -2\alpha_2[Y_3^{(6)}]_1 & \alpha_2[Y_3^{(6)}]_3 + \sqrt{3}\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_2 & \alpha_2[Y_3^{(6)}]_2 + \sqrt{3}\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_3 \\ -2\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_1 & \alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_3 - \sqrt{3}\alpha_2[Y_3^{(6)}]_2 & \alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_2 - \sqrt{3}\alpha_2[Y_3^{(6)}]_3 \end{pmatrix},$$

$$Y_d = \begin{pmatrix} \beta_{11}Y_{1'}^{(6)} & 0 & 0 \\ -\beta_{21}^{i_Y}[Y_2^{i_Y(8)}]_2 & -\beta_{22}[Y_2^{(6)}]_2 & -\beta_{23}[Y_2^{(4)}]_2 \\ \beta_{21}^{i_Y}[Y_2^{i_Y(8)}]_1 & \beta_{22}[Y_2^{(6)}]_1 & \beta_{23}[Y_2^{(4)}]_1 \end{pmatrix},$$

➤ model for  $p = 0$

$$Y_u = \begin{pmatrix} \alpha_1[Y_3^{(6)}]_1 & \alpha_1[Y_3^{(6)}]_3 & \alpha_1[Y_3^{(6)}]_2 \\ -2\alpha_2[Y_3^{(6)}]_1 & \alpha_2[Y_3^{(6)}]_3 + \sqrt{3}\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_2 & \alpha_2[Y_3^{(6)}]_2 + \sqrt{3}\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_3 \\ -2\alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_1 & \alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_3 - \sqrt{3}\alpha_2[Y_3^{(6)}]_2 & \alpha_3^{i_Y}[Y_{3'}^{i_Y(6)}]_2 - \sqrt{3}\alpha_2[Y_3^{(6)}]_3 \end{pmatrix},$$

$$Y_d = \begin{pmatrix} \beta_{11}Y_{\hat{1}}^{(9)} & 0 & 0 \\ \beta_{21}[Y_{\hat{2}}^{(9)}]_1 & \beta_{22}[Y_{\hat{2}}^{(7)}]_1 & \beta_{23}[Y_{\hat{2}}^{(5)}]_1 \\ \beta_{21}[Y_{\hat{2}}^{(9)}]_2 & \beta_{22}[Y_{\hat{2}}^{(7)}]_2 & \beta_{23}[Y_{\hat{2}}^{(5)}]_2 \end{pmatrix}.$$

$$\text{Model for } p = 0 \quad u^c = 3 \quad d^c = \hat{1}' \oplus \hat{1}' \oplus \hat{1}' \quad Q = 1 \oplus 2$$

$$d_1^c \quad d_2^c \quad d_3^c \quad q_1 \quad q_2$$

$$k_u = 2, \quad (k_{d_1}, k_{d_2}, k_{d_3}) = (5, 3, 1), \quad (k_{q_1}, k_{q_2}) = (4, 4)$$

$$\tan\beta = 1.6, \tau = 1.5 + 2.7i, |\alpha_3| = 0.0013$$

at GUT scale  
S.Antusch, V.Maurer 1306.6879

$$\frac{1}{|\alpha_3|} \begin{pmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \\ \alpha'_3 \end{pmatrix} = \begin{pmatrix} -0.27 \\ -1.7 \\ e^{-3.1i} \\ -1.4 \end{pmatrix}$$



$$\frac{1}{|\alpha_3|} \begin{pmatrix} \beta_{11} \\ \beta_{21} \\ \beta_{22} \\ \beta_{23} \end{pmatrix} = \begin{pmatrix} -6.9 \\ 0.13 \\ 0.28 \\ 0.41 \end{pmatrix}$$

obs.	value	center	error
$y_u/10^6$	2.9	2.9	1.3
$y_c/10^3$	1.560	1.508	0.095
$y_t$	0.5464	0.5464	0.0084
$y_d/10^6$	9.00	9.06	0.87
$y_s/10^4$	1.73	1.79	0.14
$y_b/10^2$	1.011	0.994	0.013
$s_{12}$	0.2274	0.2274	0.0007
$s_{23}/10^2$	3.991	3.989	0.065
$s_{13}/10^3$	3.47	3.47	0.13
$\delta_{\text{CP}}$	1.204	1.208	0.054

our model    exp.    error

- similar to the model for  $p = 1$ , but with  $\tan\beta \sim 1$
- the sizes of coefficients are in  $[0.13, 6.9]$ , ratio is 50

# Rank condition

- e.g. if  $k_d = (4,2,2)$ ,  $k_Q = (2,0)$

$Y_d = \begin{pmatrix} \beta_{11} Y_1^{(6)} & 0 & 0 \\ \beta_{21} Y_2^{(4)} & \beta_{22} Y_2^{(2)} & \beta_{23} Y_2^{(2)} \end{pmatrix}$  is “rank-2” up to  $\epsilon^3 \rightarrow$  need larger weights

- # of representations \* reps. are hatted for odd weights

weight	1	2	3	4	5	6	7	8	9	10	11
1	0	0	0	1	0	1	0	1	1	1	0
$1'$	0	0	1	0	0	1	1	0	1	1	1
2	0	1	0	1	1	1	1	2	1	2	2
3	1	0	1	1	2	1	2	2	3	2	3
$3'$	0	1	1	1	1	2	2	2	2	3	3

there are  $2k + 1$  independent modular forms at a weight  $k$

# # of representations under $S'_4$

weight	1	2	3	4	5	6	7	8	9	10	11
1	0	0	0	1	0	1	0	1	1	1	0
1'	0	0	1	0	0	1	1	0	1	1	1
2	0	1	0	1	1	1	1	2	1	2	2
3	1	0	1	1	2	1	2	2	3	2	3
3'	0	1	1	1	1	2	2	2	2	3	3

\* reps. for odd weights are hatted ones

there are  $2k + 1$  independent modular forms at a weight  $k$

# Bad case for CKM hierarchy

## ➤ Quark masses

$$(m_u, m_c, m_t) \sim (\epsilon^3, \epsilon, 1) m_t \quad (m_d, m_s, m_b) \sim \epsilon (\epsilon^2, \epsilon, 1) m_t / t_\beta$$

is also realized if

c.f. Novichkov, Penedo, Petkov, 21'

$$\begin{array}{ccccc} (2,3,1) & & 2 & 0 & 0 \\ Q = 3 & u^c = 1' \oplus 1 \oplus 1 & d^c = 1 \oplus 1 \oplus 1 & 0 & 0 & 0 \end{array}$$

## ➤ Yukawa hierarchies

$$Y_u \sim \begin{pmatrix} \epsilon^2 & \epsilon^2 & 1 \\ \epsilon & \epsilon & \epsilon^3 \\ \epsilon^3 & \epsilon^3 & \epsilon \end{pmatrix} \begin{pmatrix} t \\ c \\ u \end{pmatrix}_L \quad Y_d \sim \begin{pmatrix} \epsilon^2 & \epsilon^2 & \epsilon^2 \\ \epsilon & \epsilon & \epsilon \\ \epsilon^3 & \epsilon^3 & \epsilon^3 \end{pmatrix} \begin{pmatrix} s \\ b \\ d \end{pmatrix}_L$$

$$\rightarrow V^{CKM} \sim \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} + \mathcal{O}(\epsilon) \quad \text{not identity at LO}$$