



# Beating the Lyth bound with parametric resonance during inflation

based on arXiv: 2010.03537 (published on PRD) and 2105.12554 (published on PRL) with Yi-Fu Cai, Misao Sasaki, Valeri Vardanyan and Zihan Zhou

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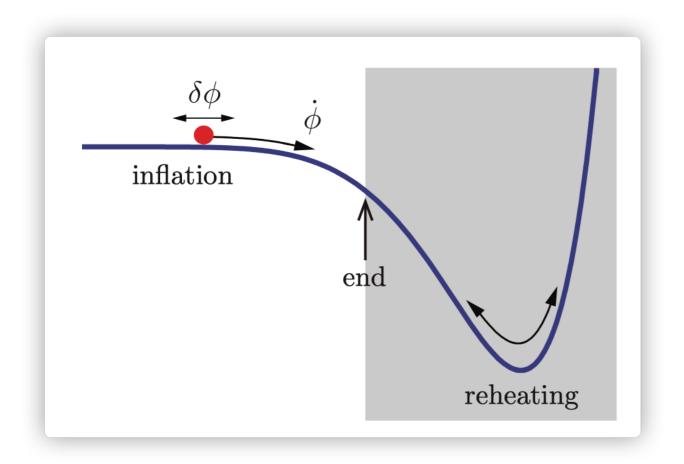
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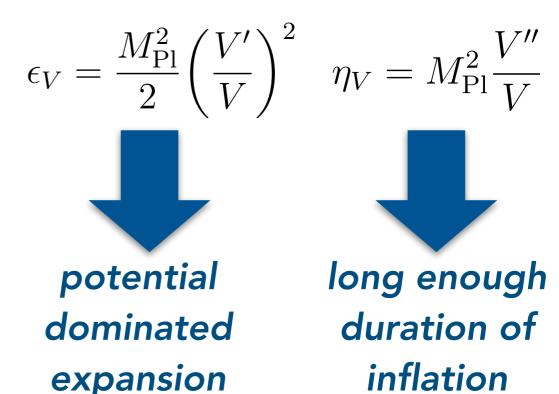
## Outline

- Introduction to inflation prediction
- Lyth bound
- Model
- Conclusion

## Single filed slow-roll inflation

as the Standard Model of the very early universe

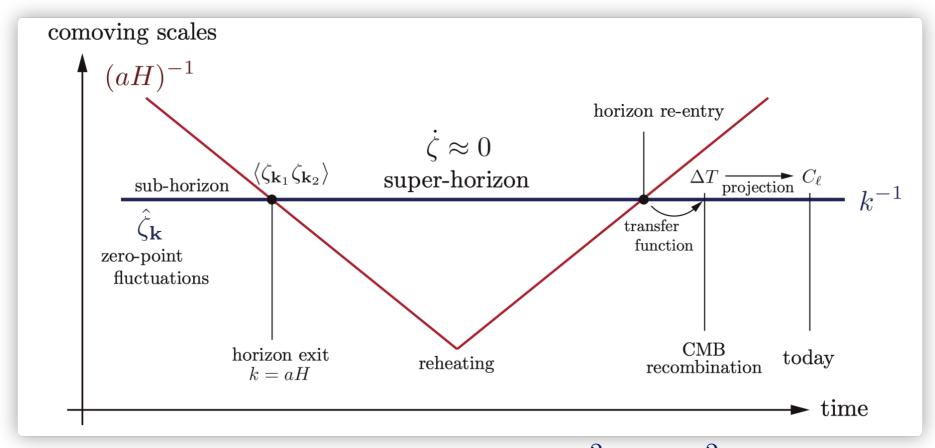




Many different models: chaotic inflation, hilltop inflation, Natural inflation, Higgs inflation, Starobinsky inflation

## Prediction from inflation

#### **Scalar Fluctuations**



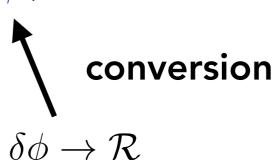
dimensionless power spectrum

$$P_{\mathcal{R}}(k) = \left(\frac{H}{2\pi}\right)^2 \left(\frac{H}{\dot{\phi}}\right)^2$$

de Sitter fluctuations

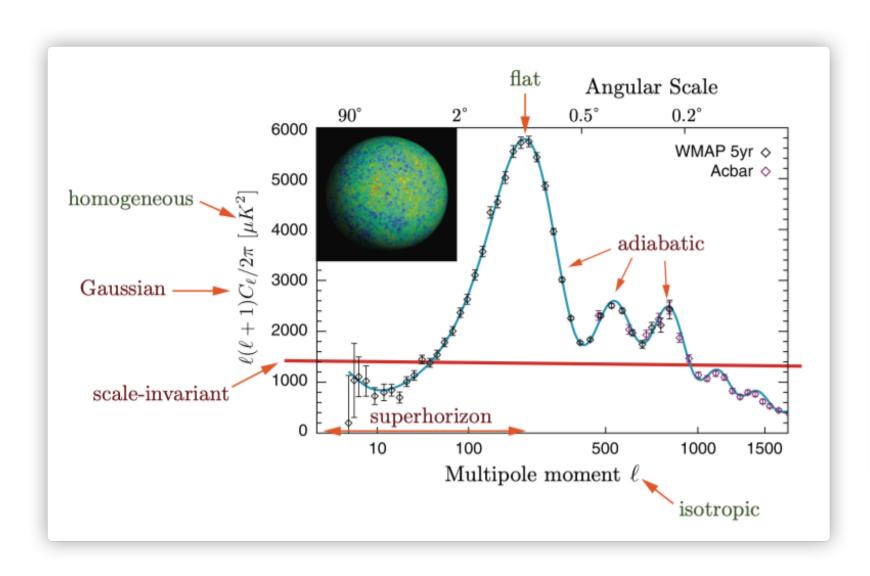
evaluated at horizon crossing k = aH

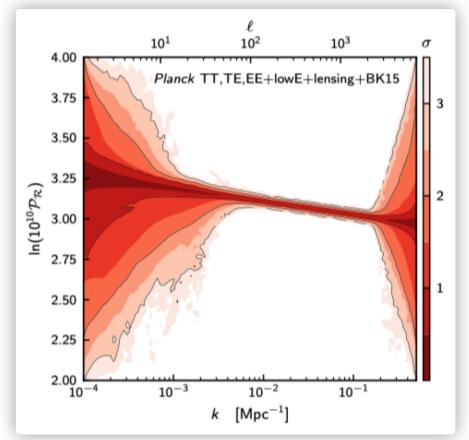




## Prediction from inflation

#### Scalar Fluctuations observation





 $P_{\mathcal{R}}(k) = A_s k^{n_s - 1}$ 

e.g. slow-roll inflation

scale-dependence

 $n_s - 1 = 2\eta - 6\epsilon$ 

Planck 2018 results.  $n_s = 0.9649 \pm 0.0042$  nearly scale-invariant vacuum fluctuations during

inflation fits observation

## Prediction from inflation

#### **Tensor Fluctuations**

Besides scalar fluctuations inflation produces tensor fluctuations:

$$ds^2 = dt^2 - a^2(t)(1 + h_{ij})dx^idx^j$$
 
$$P_h(k) = \frac{8}{M_{\rm pl}^2} \left(\frac{H}{2\pi}\right)^2$$
 gravitational waves



vacuum fluctuations

## Predictions from inflation

#### scalars

#### tensors

$$P_{\mathcal{R}}(k) = \left(\frac{H}{2\pi}\right)^2 \left(\frac{H}{\dot{\phi}}\right)^2 \qquad P_h(k) = \frac{8}{M_{\rm pl}^2} \left(\frac{H}{2\pi}\right)^2$$

$$P_h(k) = \frac{8}{M_{\rm pl}^2} \left(\frac{H}{2\pi}\right)^2$$

$$\frac{H}{2\pi}$$
 vacuum fluctuations

## What is Lyth bound?

## The Lyth bound

#### scalars

#### tensors

$$P_{\mathcal{R}}(k) = \left(\frac{H}{2\pi}\right)^2 \left(\frac{H}{\dot{\phi}}\right)^2$$

$$P_h(k) = \frac{8}{M_{\rm pl}^2} \left(\frac{H}{2\pi}\right)^2$$

#### tensor-to-scalar ratio

$$r \equiv \frac{P_h}{P_R} = 8 \left( \frac{d\phi}{dN_e} \frac{1}{M_{\rm pl}} \right)^2$$

where  $dN_e \equiv Hdt = d \ln a$ 

speed of field evolution

## The Lyth bound

#### tensor-to-scalar ratio

$$r \equiv \frac{\Delta_{\rm t}^2}{\Delta_{\rm s}^2} = 8 \left(\frac{d\phi}{dN_e} \frac{1}{M_{\rm pl}}\right)^2$$
 field evolution over 60 e-folds

tensor-to-scalar ratio r determines the field excursion during inflation

$$\Delta \phi \gtrsim \mathcal{O}(1) \left(rac{r}{0.01}
ight)^{1/2} M_{
m Pl}$$
 $r>0.01$  Lyth 1996

If we observe tensors it proves that the inflation current upper limit field moved over a super-Planckian distance!  $r \lesssim 0.03$  (BICEP)

$$\Delta \phi \gg M_{\rm Pl}$$

**EFT failed** 

But this only consider vacuum contribution! There is also induce perturbation during inflation.  $_{10}$ 

## How to beat Lyth bound?

- The scalar power spectrum should fit the experiment result, which is nearly scale-invariant.
- In experiment, if we detect tensor perturbation, we can not distinguish whether it is vacuum fluctuations or induce perturbations.

$$\rightarrow \Delta \phi \gtrsim \mathcal{O}(1) \left(\frac{r!}{0.01}\right)^{1/2} M_{\rm Pl}$$

• Enhance the tensor perturbations but remain small field excursion.

## Fields perturbations

- $\delta \chi$  : massless controls the curvature perturbation;
- $\delta\phi$  : massive, resonant, gravitationally coupled to  $\delta\chi$  , controls isocurvature perturbations.

$$S_{3} \supset \frac{1}{2} \int dt \frac{d^{3}k d^{3}p}{(2\pi)^{6}} a^{3} \left( -\sqrt{2\epsilon_{\chi}} \frac{\boldsymbol{k} \cdot \boldsymbol{p}}{k^{2}} \mathcal{M}_{\text{eff}}^{2} \delta \phi_{\boldsymbol{k}-\boldsymbol{p}} \delta \phi_{\boldsymbol{p}} \delta \chi_{-\boldsymbol{k}} \right) \qquad \text{slow-roll suppression}$$

$$- \frac{2}{M_{\text{pl}}^{2}} \int dt \frac{d^{3}k d^{3}p}{(2\pi)^{6}} a^{3} \left( e_{ij}^{\lambda}(\boldsymbol{k}) \frac{p_{i}p_{j}}{a^{2}} \right) \delta \phi_{\boldsymbol{p}} \delta \phi_{\boldsymbol{k}-\boldsymbol{p}} h_{-\boldsymbol{k}}^{\lambda}$$

$$\ddot{\delta\phi}_k + 3H\dot{\delta\phi}_k + \left(\frac{k^2}{a^2} + \mathcal{M}_{\text{eff}}^2\right)\delta\phi_k = 0$$
 parametric resonance

$$\delta \ddot{\chi}_{k} + 3H\delta \dot{\chi}_{k} + \frac{k^{2}}{a^{2}}\delta \chi_{k} = \frac{\sqrt{2\epsilon_{\chi}}}{M_{\text{Pl}}} \left[ \ddot{\phi} \delta \phi_{k} + \mathcal{S}_{k} \right] \qquad \mathcal{S}_{k} = \int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}} \left\{ \frac{\mathbf{p} \cdot \mathbf{k}}{k^{2}} \left[ \frac{(\mathbf{p} - \mathbf{k})^{2}}{a^{2}} + \mathcal{M}_{\text{eff}}^{2} \right] - \frac{\mathbf{p} \cdot (\mathbf{k} - \mathbf{p})}{2a^{2}} \right\} \delta \phi_{|\mathbf{p}|} \delta \phi_{|\mathbf{k} - \mathbf{p}|}$$

$$\ddot{h}_{k}^{\lambda} + 3H\dot{h}_{k}^{\lambda} + \frac{k^{2}}{a^{2}}h_{k}^{\lambda} = \mathcal{T}_{k}^{\lambda}(t) \qquad \qquad \mathcal{T}_{k}^{\lambda}(\tau) = \frac{2}{M_{p}^{2}} \int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}} e_{ij}^{\lambda}(\mathbf{k}) p_{i} p_{j} \delta\phi_{\mathbf{p}}(\tau) \delta\phi_{\mathbf{k}-\mathbf{p}}(\tau) + (\phi \leftrightarrow \chi)$$

## Basic conditions for effective mass

Heavy field should have oscillation

$$\delta\phi \propto \exp\left(\left(\left|M_{\rm eff}\right|/H\right)\Delta N\right)$$

$$\exp(\Delta N) \sim \frac{\left|M_{\rm eff}\right|}{H}$$

e.g. Narrow parametric resonance

$$\left| \mathcal{M}_{\text{eff}} \right| \sim O(10) H$$
  $\ddot{\delta \phi}_k + 3H \dot{\delta \phi}_k + \left( \frac{k^2}{a^2} + \mathcal{M}_{\text{eff}}^2 \right) \delta \phi_k = 0$ 

 Resonant field mass needs to become small right after horizon crossing.

$$\ddot{h}_{k}^{\lambda} + 3H\dot{h}_{k}^{\lambda} + \frac{k^{2}}{a^{2}}h_{k}^{\lambda} = \mathcal{T}_{k}^{\lambda}(t) \qquad \qquad \mathcal{T}_{k}^{\lambda}(\tau) = \frac{2}{M_{p}^{2}}\int \frac{d^{3}\mathbf{p}}{(2\pi)^{3}}e_{ij}^{\lambda}(\mathbf{k})p_{i}p_{j}\delta\phi_{\mathbf{p}}(\tau)\delta\phi_{\mathbf{k}-\mathbf{p}}(\tau) + (\phi\leftrightarrow\chi)$$

$$\mathcal{M}_{\mathrm{eff}} \quad \text{should be small} \quad \text{induce GWs long enough}$$

 Resonant heavy field must decay far outside horizon, not to affect curvature perturbations.

$$\mathcal{M}_{\text{eff}} \gtrsim O(1)H$$

## Parametric Resonance



Someone can swing higher and higher with swinging legs

## Parametric Resonance

#### Floquet number

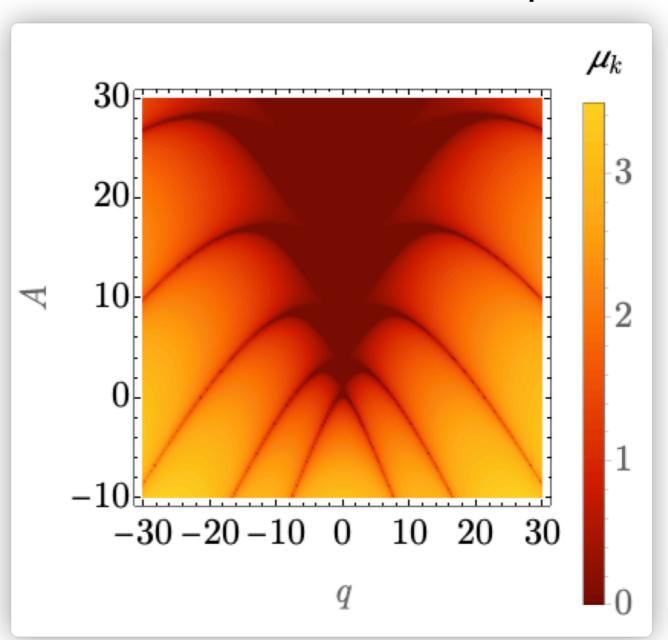
#### Mathieu equation:

$$x'' + (A - 2q\cos 2z)x = 0$$

#### Floquet analysis

instability:  $\mu_k > 0$   $\chi_k \sim e^{\mu_k z}$ 

Applications: reheating, sound speed  $c_s$  resonance to produce primordial black holes



## Model realization

## Two field inflation

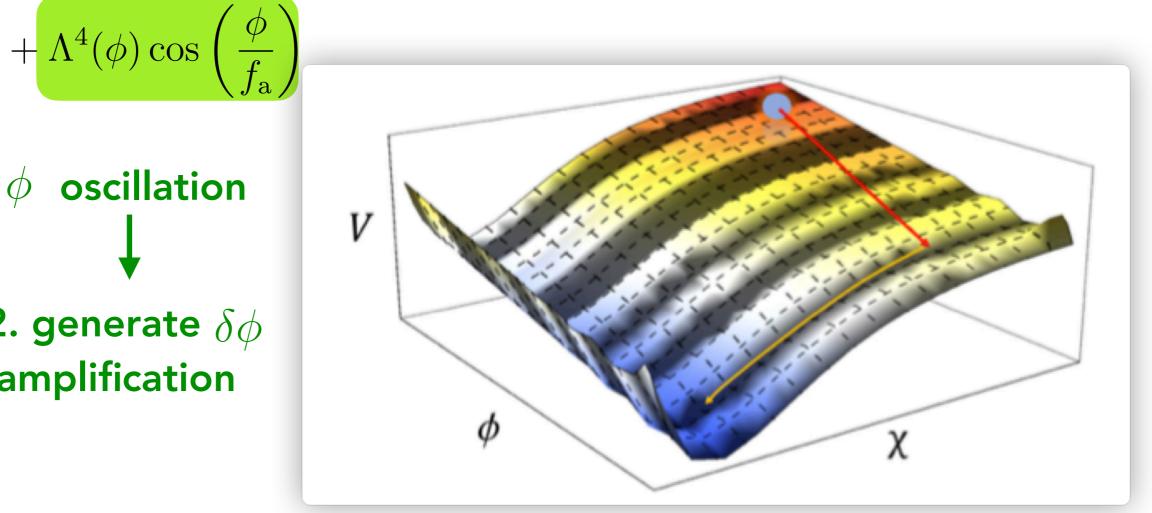
vacuum energy slope of  $\phi$  slope of  $\chi$  — inflation, control

1. same as single field curvature perturbation

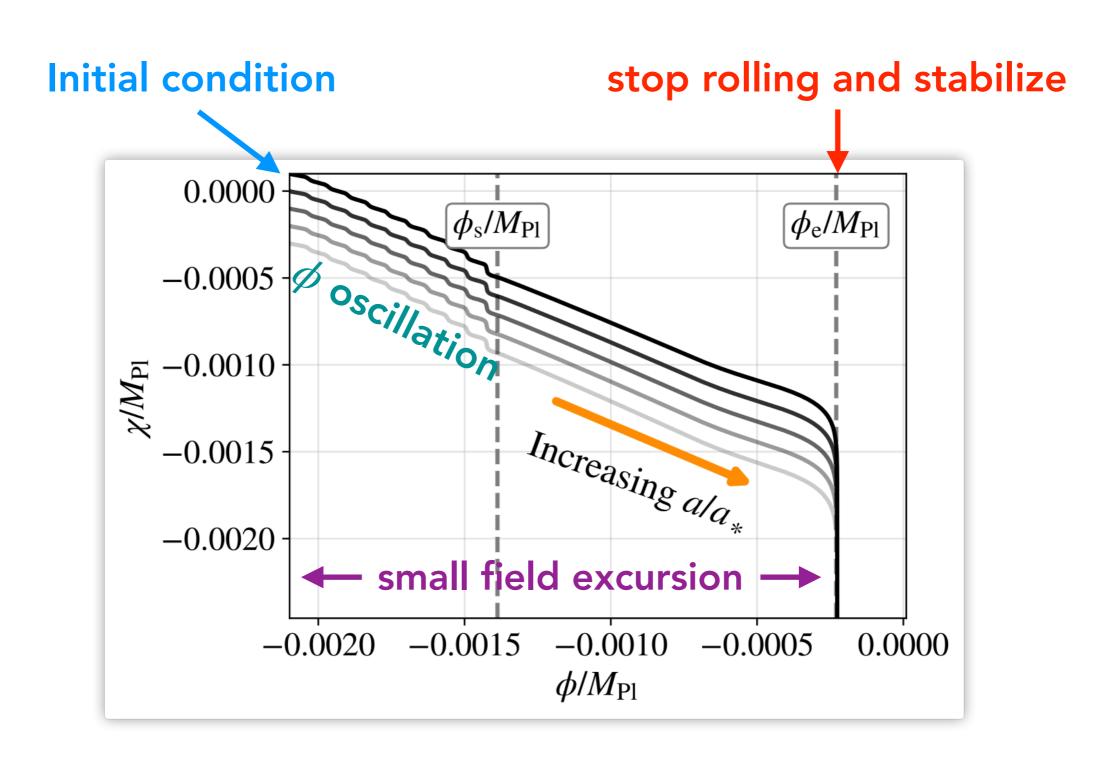
$$V(\phi,\chi) = V_0 \left( \mathbf{1} - \sqrt{2\epsilon_\phi} \frac{\phi}{M_{\rm Pl}} + \sqrt{2\epsilon_\chi} \frac{\chi}{M_{\rm Pl}} + \eta_\chi \frac{\chi^2}{2M_{\rm Pl}^2} \right) + V_m(\phi) \quad \text{3. Super-horizon decay}$$

 $\phi$  oscillation

**2.** generate  $\delta \phi$ amplification



## Background field evolution



## Fields perturbations

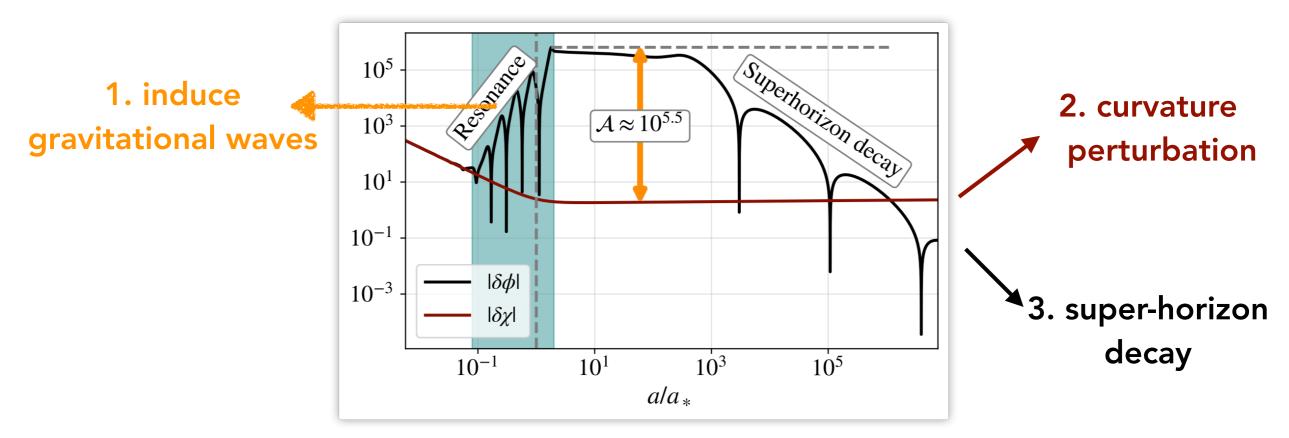
$$\ddot{\delta\phi}_k + 3H\dot{\delta\phi}_k + \left(\frac{k^2}{a^2} + \mathcal{M}_{\text{eff}}^2\right)\delta\phi_k = 0$$

parametric resonance

$$\ddot{\delta \chi_k} + 3H\dot{\delta \chi_k} + \frac{k^2}{a^2}\delta \chi_k = \frac{\sqrt{2\epsilon_\chi}}{M_{\rm Pl}} [\ddot{\phi}\delta\phi_k + \mathcal{S}_k]$$

we have parameter space to remain small

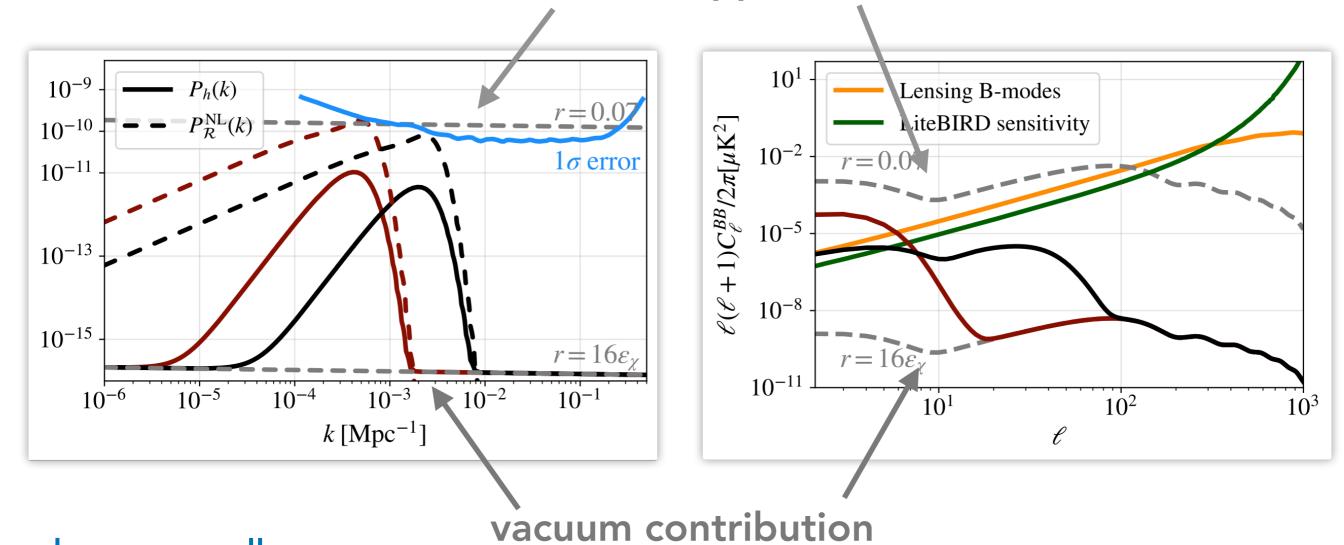
$$\ddot{h}_k^{\lambda} + 3H\dot{h}_k^{\lambda} + \frac{k^2}{a^2}h_k^{\lambda} = \mathcal{T}_k^{\lambda}(t) \quad \text{where:} \quad \mathcal{T}_k^{\lambda}(\tau) = \frac{2}{M_p^2}\int \frac{d^3\boldsymbol{p}}{(2\pi)^3}e_{ij}^{\lambda}(\boldsymbol{k})p_ip_j\delta\phi_{\boldsymbol{p}}(\tau)\delta\phi_{\boldsymbol{k}-\boldsymbol{p}}(\tau) + (\phi\leftrightarrow\chi)$$



different source tensor perturbation:  $\delta\phi$ 

# Induce gravitational waves and BB power spectrum

current upper limit



base on small field excursion

Lyth bound was beaten by considering induce perturbations.

curvature perturbation:  $\delta \chi$ 

tensor perturbation:  $\delta\phi$ 



different sources to break the implicit binding in Lyth bound

## Summary

- Our mechanism provides a scale-dependent counter-example of the Lyth bound within slowroll inflation.
- Our scenario can generate detectable primordial GWs for the forthcoming CMB polarization experiments such as LiteBIRD even within inflationary models with very low energy scales and limited excursion range of the inflaton field.

## Thanks for your attention