

Intruder structure, shape coexistence, and configuration mixing from an *ab initio* perspective

Mark A. Caprio

Department of Physics and Astronomy
University of Notre Dame

Nuclear Theory in the Supercomputing Era
Busan, Republic of Korea
December 4, 2024



UNIVERSITY OF
NOTRE DAME

intrude verb

in·trude in-ˈtrüd

intruded; intruding

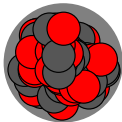
Synonyms of intrude >

intransitive verb

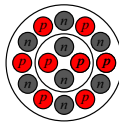
1 : to thrust oneself in without invitation, permission, or welcome



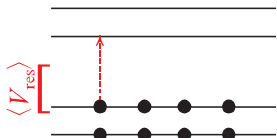
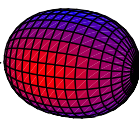
Nucleon interactions



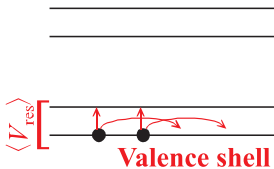
Shell structure



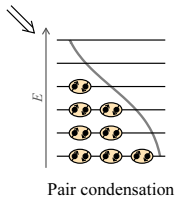
Collective deformation

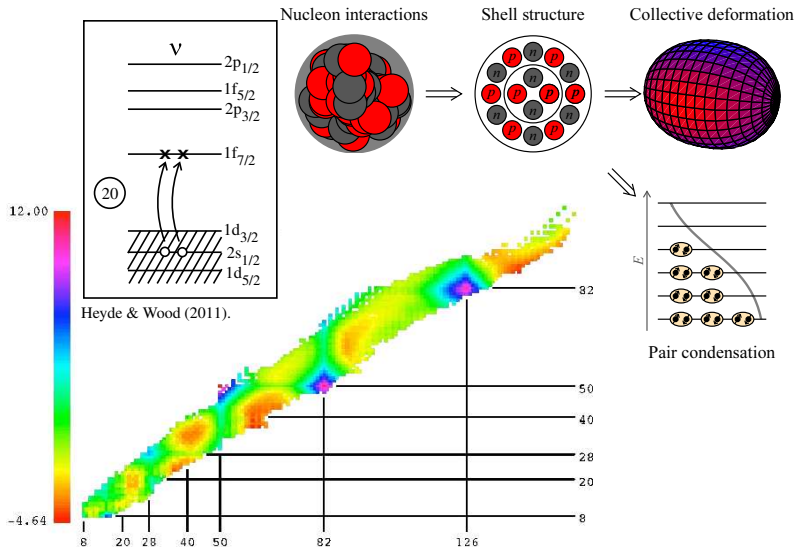


Closed-shell
nucleus



Open-shell
nucleus

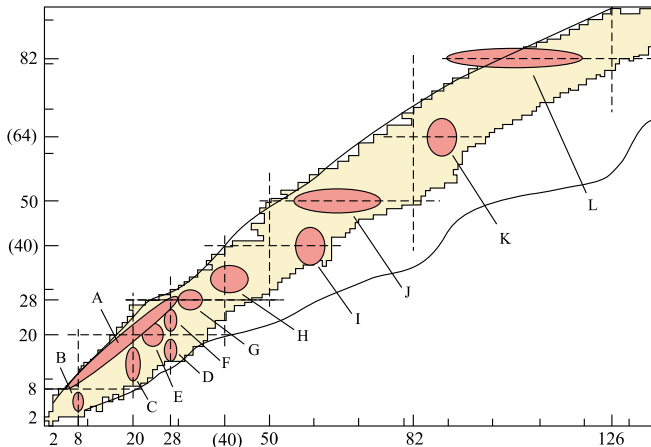




P. Van Isacker, AIP Conf. Proc. No. 819 (2006), p. 57.

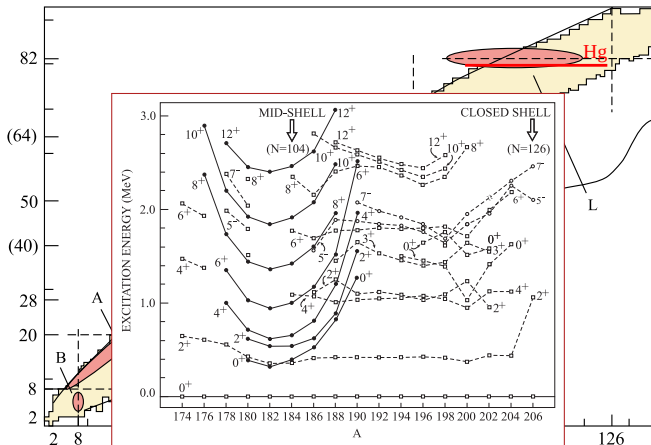
Regions of intruder structure (and shape coexistence)

“[T]he intruder configuration ... corresponds to a more correlated state compared to the $0\hbar\omega$ states. Thus, low-lying 2p-2h intruder configurations are favored only at and near to the ... shell closure.” *Normal ($0\hbar\omega$) vs. intruder ($2\hbar\omega$)*



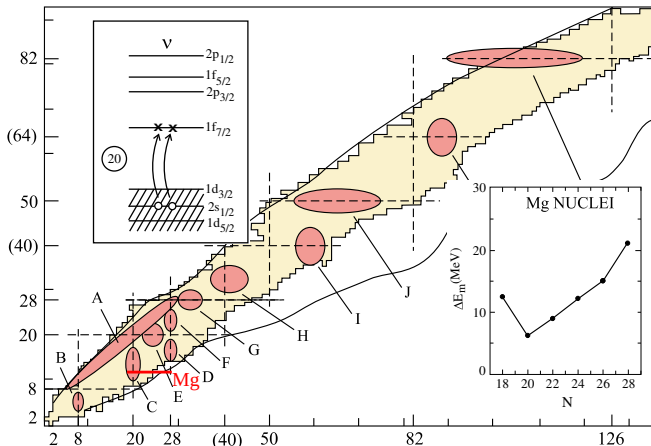
Regions of intruder structure (and shape coexistence)

“[T]he intruder configuration ... corresponds to a more correlated state compared to the $0\hbar\omega$ states. Thus, low-lying 2p-2h intruder configurations are favored only at and near to the ... shell closure.” *Normal ($0\hbar\omega$) vs. intruder ($2\hbar\omega$)*

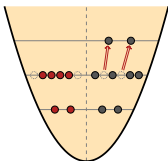
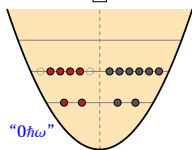
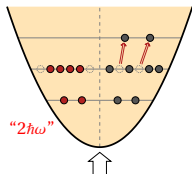
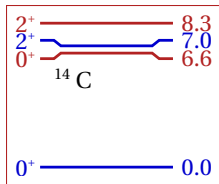


Regions of intruder structure (and shape coexistence)

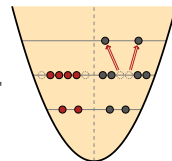
“[T]he intruder configuration ... corresponds to a more correlated state compared to the $0\hbar\omega$ states. Thus, low-lying 2p-2h intruder configurations are favored only at and near to the ... shell closure.” *Normal ($0\hbar\omega$) vs. intruder ($2\hbar\omega$)*



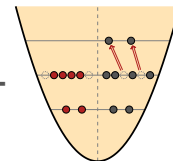
An intruder state at $N = 8$?



+



+



O 8			$^{13}\text{O}^{(3/2-)}$	$^{14}\text{O}^{0+}$	$^{15}\text{O}^{1/2-}$	$^{16}\text{O}^{0+}$
N 7			$^{12}\text{N}^{1+}$	$^{13}\text{N}^{1/2-}$	$^{14}\text{N}^{1+}$	$^{15}\text{N}^{1/2-}$
C 6	$^9\text{C}^{(3/2-)}$	$^{10}\text{C}^{0+}$	$^{11}\text{C}^{3/2-}$	$^{12}\text{C}^{0+}$	$^{13}\text{C}^{1/2-}$	$^{14}\text{C}^{0+}$
B 5	$^8\text{B}^{2+}$	$[^9\text{B}]^{3/2-}$	$^{10}\text{B}^{3+}$	$^{11}\text{B}^{3/2-}$	$^{12}\text{B}^{1+}$	$^{13}\text{B}^{3/2-}$
Be 4	$^7\text{Be}^{3/2-}$	$[^8\text{Be}]^{0+}$	$^9\text{Be}^{3/2-}$	$^{10}\text{Be}^{0+}$	$^{11}\text{Be}^{1/2+}$	$^{12}\text{Be}^{0+}$
Li 3	$^6\text{Li}^{1+}$	$^7\text{Li}^{3/2-}$	$^8\text{Li}^{2+}$	$^9\text{Li}^{3/2-}$		$^{11}\text{Li}^{3/2-}$
	3	4	5	6	7	8

In *ab initio* *no-core configuration interaction (NCCI)* calculations...

How do “normal” and “intruder” states converge? ${}^9\text{Be} \rightarrow {}^{10}\text{Be}$

What do we find for intruder structure at $N = 8$? ${}^{14}\text{C} \rightarrow {}^{12}\text{Be}$

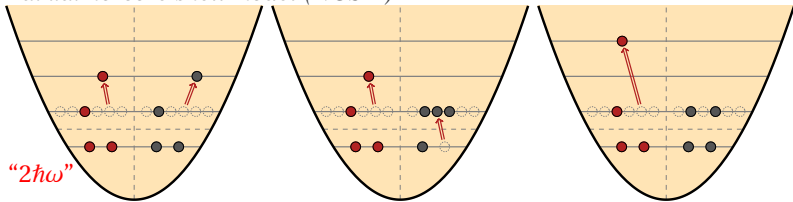
Can we describe mixing of normal & intruder configurations?

What are the coexisting shapes, anyway? *Elliott's SU(3) symmetry*

O 8			${}^{13}\text{O}^{(3/2-)}$	${}^{14}\text{O}^{0+}$	${}^{15}\text{O}^{1/2-}$	${}^{16}\text{O}^{0+}$
N 7			${}^{12}\text{N}^{1+}$	${}^{13}\text{N}^{1/2-}$	${}^{14}\text{N}^{1+}$	${}^{15}\text{N}^{1/2-}$
C 6	${}^9\text{C}^{(3/2-)}$	${}^{10}\text{C}^{0+}$	${}^{11}\text{C}^{3/2-}$	${}^{12}\text{C}^{0+}$	${}^{13}\text{C}^{1/2-}$	${}^{14}\text{C}^{0+}$
B 5	${}^8\text{B}^{2+}$	$[{}^9\text{B}]^{3/2-}$	${}^{10}\text{B}^{3+}$	${}^{11}\text{B}^{3/2-}$	${}^{12}\text{B}^{1+}$	${}^{13}\text{B}^{3/2-}$
Be 4	${}^7\text{Be}^{3/2-}$	$[{}^8\text{Be}]^{0+}$	${}^9\text{Be}^{3/2-}$	${}^{10}\text{Be}^{0+}$	${}^{11}\text{Be}^{1/2+}$	${}^{12}\text{Be}^{0+}$
Li 3	${}^6\text{Li}^{1+}$	${}^7\text{Li}^{3/2-}$	${}^8\text{Li}^{2+}$	${}^9\text{Li}^{3/2-}$		${}^{11}\text{Li}^{3/2-}$
	3	4	5	6	7	8

Many-body problem in an oscillator basis

No-core configuration interaction (NCCI) approach
a.k.a. no-core shell model (NCSM)



Antisymmetrized product basis *Slater determinants*

Distribute nucleons over oscillator shells

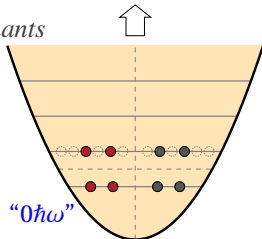
Organize basis by # oscillator excitations N_{ex}

relative to lowest Pauli-allowed filling

$N_{\text{ex}} = 0, 2, \dots$ (i.e., “ $0\hbar\omega$ ”, “ $2\hbar\omega$ ”, ...)

Basis must be truncated: $N_{\text{ex}} \leq N_{\text{max}}$

Convergence towards exact result with increasing N_{max} ...



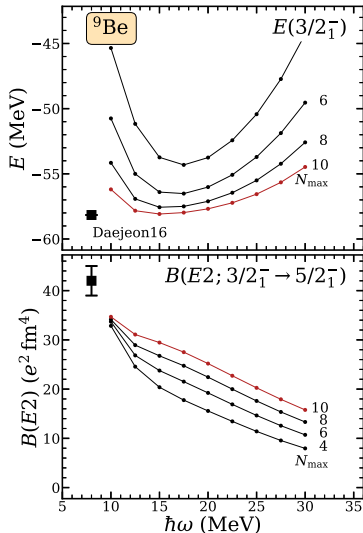
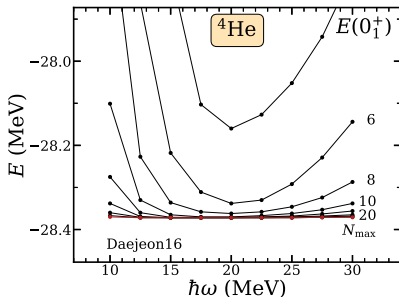
Convergence challenge for NCCI calculations

Results in finite space depend upon:

- Many-body truncation N_{\max}
- Oscillator scale parameter $\hbar\omega$

$$b = \frac{(\hbar c)}{[(m_N c^2)(\hbar\omega)]^{1/2}}$$

Convergence of results signaled
by independence of N_{\max} & $\hbar\omega$



In ab initio no-core configuration interaction (NCCI) calculations...

How do “normal” and “intruder” states converge? * ${}^9\text{Be} \rightarrow {}^{10}\text{Be}$

What do we find for intruder structure at $N = 8$? ${}^{14}\text{C} \rightarrow {}^{12}\text{Be}$

Can we describe mixing of normal & intruder configurations?

What are the coexisting shapes, anyway? *Elliott's SU(3) symmetry*

O 8			${}^{13}\text{O}^{(3/2-)}$	${}^{14}\text{O}^{0+}$	${}^{15}\text{O}^{1/2-}$	${}^{16}\text{O}^{0+}$
N 7			${}^{12}\text{N}^{1+}$	${}^{13}\text{N}^{1/2-}$	${}^{14}\text{N}^{1+}$	${}^{15}\text{N}^{1/2-}$
C 6	${}^9\text{C}^{(3/2-)}$	${}^{10}\text{C}^{0+}$	${}^{11}\text{C}^{3/2-}$	${}^{12}\text{C}^{0+}$	${}^{13}\text{C}^{1/2-}$	${}^{14}\text{C}^{0+}$
B 5	${}^8\text{B}^{2+}$	$[{}^9\text{B}]^{3/2-}$	${}^{10}\text{B}^{3+}$	${}^{11}\text{B}^{3/2-}$	${}^{12}\text{B}^{1+}$	${}^{13}\text{B}^{3/2-}$
Be 4	${}^7\text{Be}^{3/2-}$	$[{}^8\text{Be}]^{0+}$	${}^9\text{Be}^{(3/2-)}$	${}^{10}\text{Be}^{0+}$	${}^{11}\text{Be}^{1/2+}$	${}^{12}\text{Be}^{0+}$
Li 3	${}^6\text{Li}^{1+}$	${}^7\text{Li}^{3/2-}$	${}^8\text{Li}^{2+}$	${}^9\text{Li}^{3/2-}$		${}^{11}\text{Li}^{3/2-}$
	3	4	5	6	7	8

Separation of rotational degree of freedom

Factorization of wave function $|\psi_{JKM}\rangle \quad J = K, K+1, \dots$

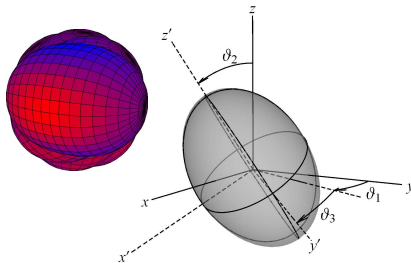
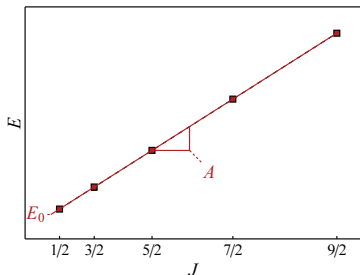
$|\phi_K\rangle$ *Intrinsic structure* ($K \equiv$ a.m. projection on symmetry axis)

$\mathcal{D}_{MK}^J(\vartheta)$ *Rotational motion in Euler angles ϑ*

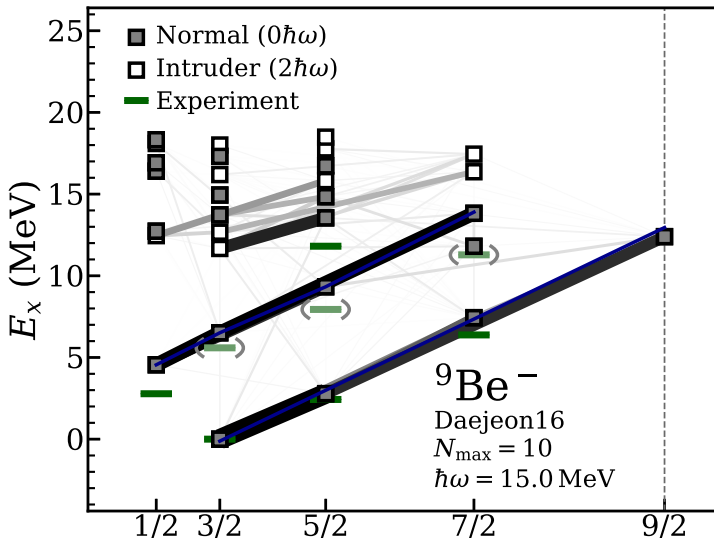
Rotational energy $\overbrace{A(J(J+1) + \dots)}^{\text{Coriolis } (K=1/2)}$

$$E(J) = E_0 + A[J(J+1) + a(-)^{J+1/2}(J + \frac{1}{2})] \quad A \equiv \frac{\hbar^2}{2\mathcal{I}}$$

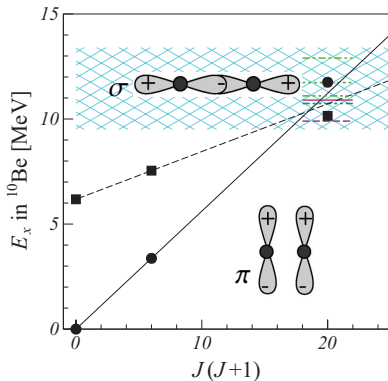
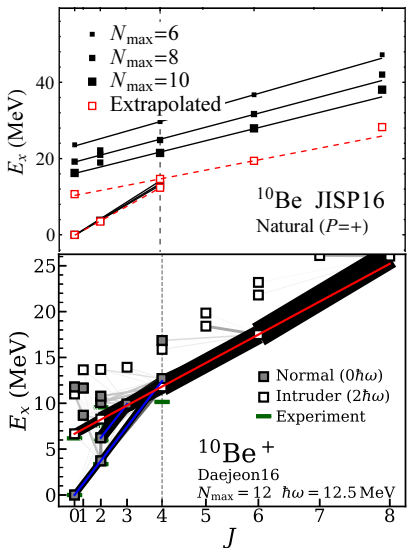
Takaharu OTSUKA talk



^9Be : NCCI calculated energies and $E2$ transitions

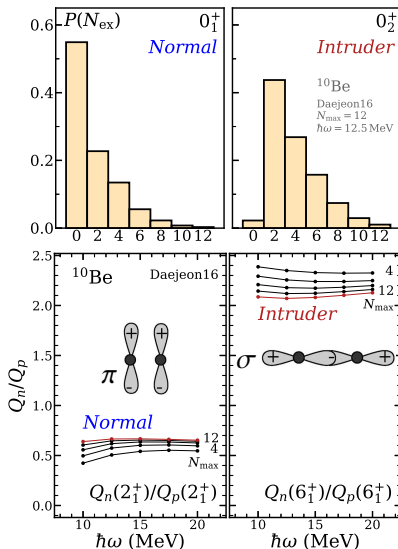
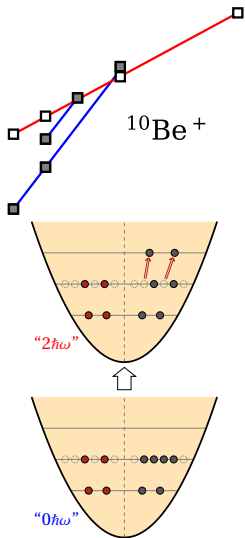


Convergence for “intruder” band ^{10}Be



From D. Suzuki *et al.*, Phys. Rev. C **87**, 054301 (2013).
 Orbital schematics from Y. Kanada-En'yo, H. Horiuchi,
 and A. Doté, Phys. Rev. C **60**, 064304 (1999).

Structure of normal and intruder states in ^{10}Be



In ab initio no-core configuration interaction (NCCI) calculations...

How do “normal” and “intruder” states converge? ${}^9\text{Be} \rightarrow {}^{10}\text{Be}$

What do we find for intruder structure at $N = 8$? ${}^{14}\text{C} \rightarrow {}^{12}\text{Be}$

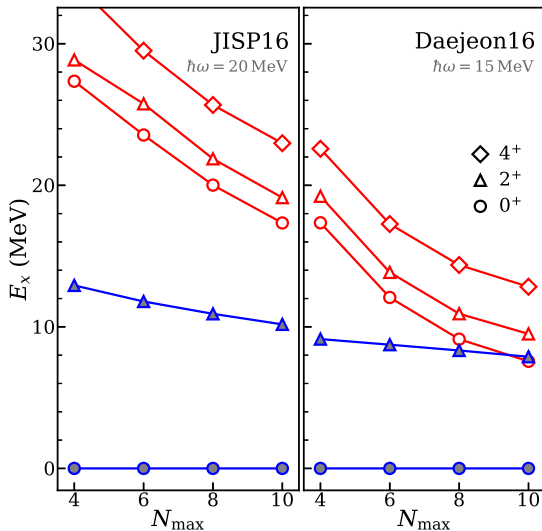
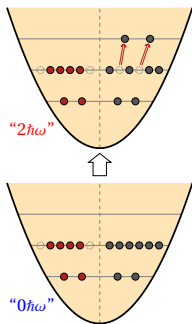
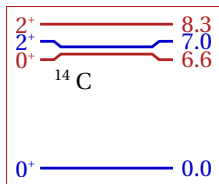
Can we describe mixing of normal & intruder configurations?*

What are the coexisting shapes, anyway? *Elliott's SU(3) symmetry*

O 8			${}^{13}\text{O}^{(3/2-)}$	${}^{14}\text{O}^{0+}$	${}^{15}\text{O}^{1/2-}$	${}^{16}\text{O}^{0+}$
N 7			${}^{12}\text{N}^{1+}$	${}^{13}\text{N}^{1/2-}$	${}^{14}\text{N}^{1+}$	${}^{15}\text{N}^{1/2-}$
C 6	${}^9\text{C}^{(3/2-)}$	${}^{10}\text{C}^{0+}$	${}^{11}\text{C}^{3/2-}$	${}^{12}\text{C}^{0+}$	${}^{13}\text{C}^{1/2-}$	${}^{14}\text{C}^{0+}$
B 5	${}^8\text{B}^{2+}$	$[{}^9\text{B}]^{3/2-}$	${}^{10}\text{B}^{3+}$	${}^{11}\text{B}^{3/2-}$	${}^{12}\text{B}^{1+}$	${}^{13}\text{B}^{3/2-}$
Be 4	${}^7\text{Be}^{3/2-}$	$[{}^8\text{Be}]^{0+}$	${}^9\text{Be}^{(3/2-)}$	${}^{10}\text{Be}^{0+}$	${}^{11}\text{Be}^{1/2+}$	${}^{12}\text{Be}^{0+}$
Li 3	${}^6\text{Li}^{1+}$	${}^7\text{Li}^{3/2-}$	${}^8\text{Li}^{2+}$	${}^9\text{Li}^{3/2-}$		${}^{11}\text{Li}^{3/2-}$
	3	4	5	6	7	8

*With a brief tutorial on two-level mixing...

Convergence of intruder state energies in ^{14}C



The $E2$ strength to the first 2^+ state(s) in ^{14}C ?

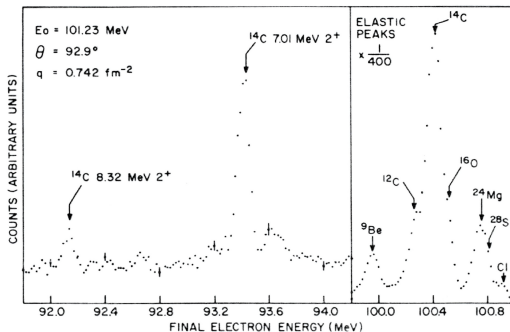
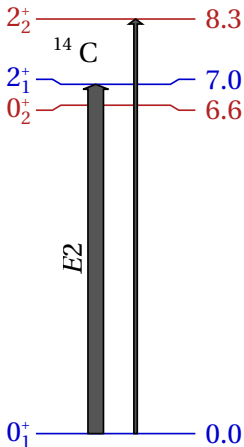
Electron Scattering from Low Lying 2^+ States in $^{14}\text{C}^*$

Hall Crannell, P.L. Hallowell, J.T. O'Brien,
J.M. Finn and F.J. Kline⁺

The Catholic University of America, Washington, D.C.

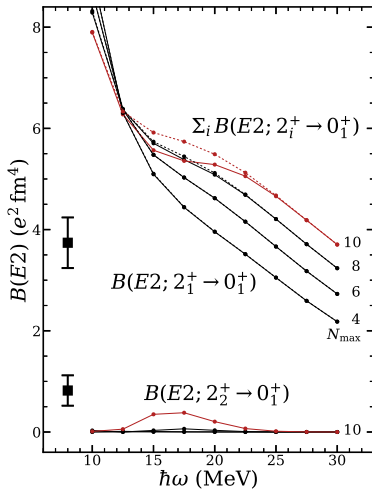
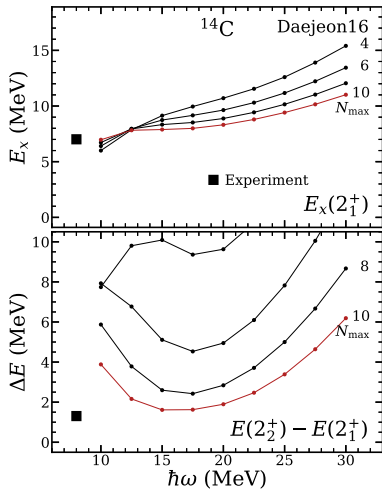
and

S. Penner, J.W. Lightbody, Jr., and S.P. Fivovinsky
National Bureau of Standards, Washington, D.C.



H. Crannell *et al.*, Proc. Int. Conf. Nucl. Struct. Studies Using Electron Scattering and Photoreaction, Sendai, Japan (1972).

The $E2$ strength to the first 2^+ state(s) in ^{14}C ?

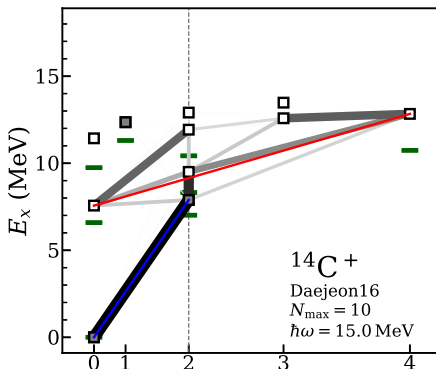
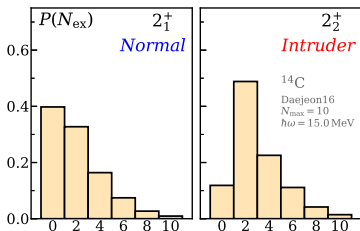
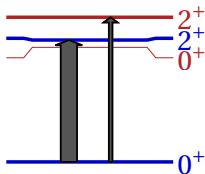


Low-lying intruder structure in ^{14}C

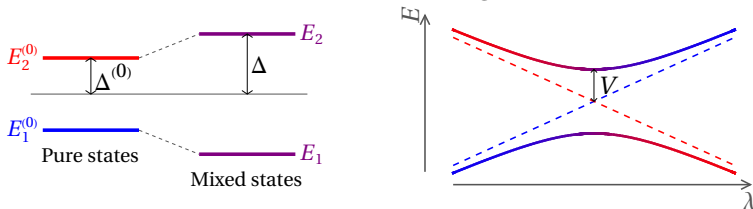
Coexisting 0^+-2^+ sequences: $0\hbar\omega$ and $2\hbar\omega$

Very different “moments of inertia” $\Rightarrow 2^+$ states approach and mix

Excited structure as triaxial rotor? *Elliott SU(3)*



Two-state mixing



$$H = \begin{pmatrix} E_1^{(0)}(\lambda) & V \\ V & E_2^{(0)}(\lambda) \end{pmatrix}$$

$$\begin{pmatrix} |\psi_1\rangle \\ |\psi_2\rangle \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} |\psi_1^{(0)}\rangle \\ |\psi_2^{(0)}\rangle \end{pmatrix}$$

Mixing depends on relative size of:

- Mixing matrix element V
- Energy denominator $E_2^{(0)} - E_1^{(0)} \equiv 2\Delta^{(0)}$

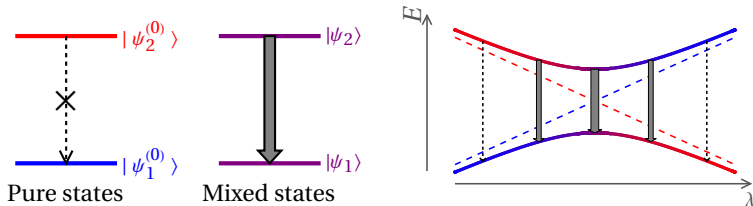
$$\tan 2\theta = -\frac{V}{\Delta^{(0)}}$$

$$\Delta^2 = (\Delta^{(0)})^2 + V^2$$

Questions...

- Our calculated **normal** and **intruder** states are “mixing”.
- Can we tell *how mixed* they are (extract a mixing angle θ)?
- The answer will change as energy separation converges.
- But can we extract a *consistent mixing matrix element* V ?

Transition as measure of mixing



Suppose transition between pure states vanishes... *e.g.*, $E0$ or $E2$

$$\langle \psi_2^{(0)} | \mathcal{M} | \psi_1^{(0)} \rangle = 0$$

Contributions from diagonal matrix elements (*i.e.*, moments) get “mixed in”...

$$\langle \psi_1 | \mathcal{M} | \psi_2 \rangle = \frac{1}{2} (\sin 2\theta) \left[\langle \psi_2^{(0)} | \mathcal{M} | \psi_2^{(0)} \rangle - \langle \psi_1^{(0)} | \mathcal{M} | \psi_1^{(0)} \rangle \right]$$

From “mixed” observables, we can deduce mixing angle ...

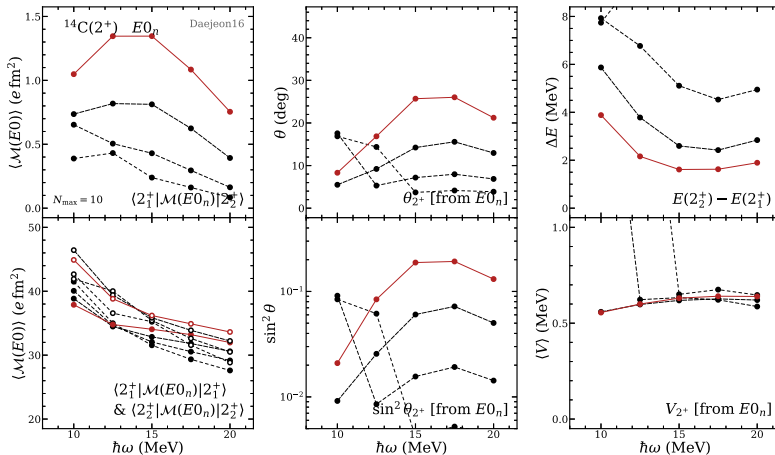
A. E. McCoy, M. A. Caprio, P. Maris, P. J. Fasano, Phys. Lett. B **856**, 138870 (2024).

$$\tan 2\theta = \frac{2\langle \psi_2 | \mathcal{M} | \psi_1 \rangle}{\langle \psi_2 | \mathcal{M} | \psi_2 \rangle - \langle \psi_1 | \mathcal{M} | \psi_1 \rangle}$$

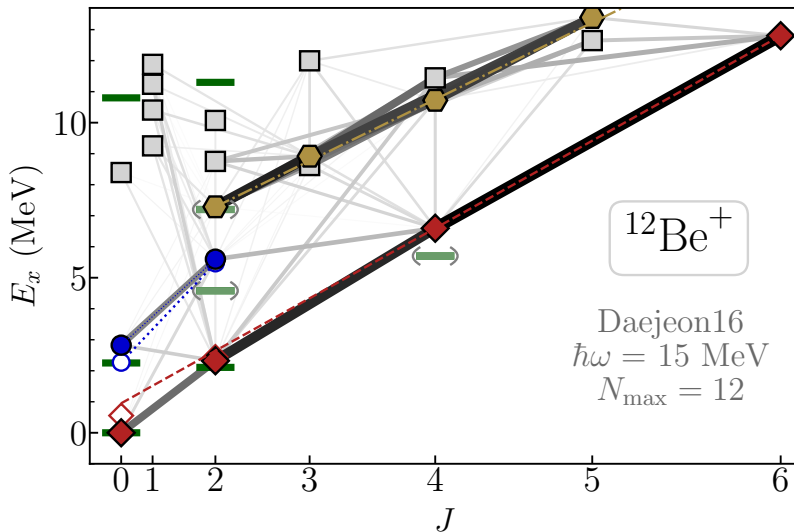
Mixing analysis of *ab initio* calculations for ^{14}C

Assume $\langle 0\hbar\omega | \mathcal{M}(E0) | 2\hbar\omega \rangle$ vanishes for “pure” (unmixed) 2^+ states.

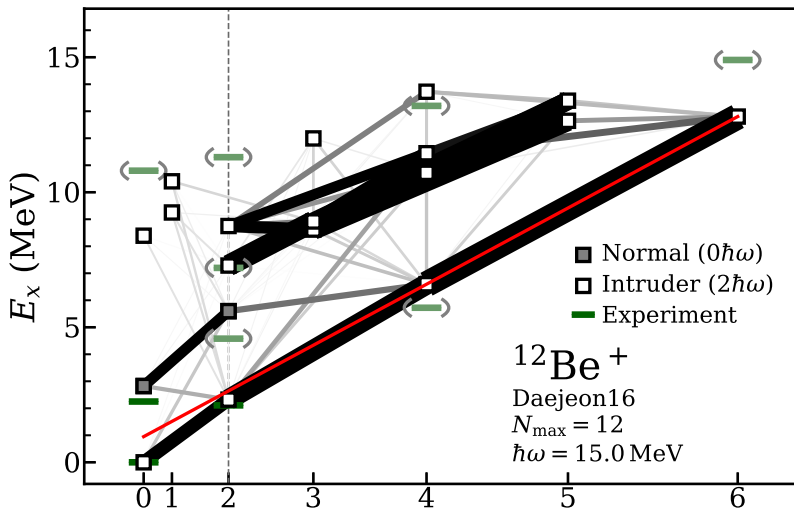
Deduce mixing from matrix elements for NCCI calculated (mixed) states.



Intruder ground state and mixing in ^{12}Be



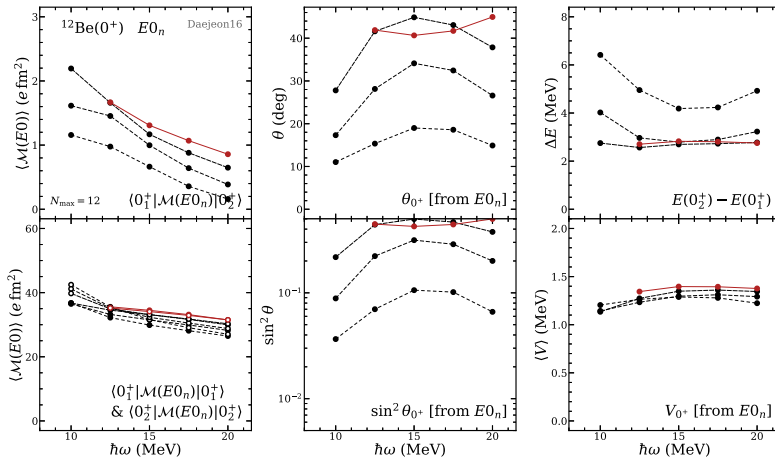
^{12}Be : NCCI calculated energies and $E2$ transitions



Mixing analysis of *ab initio* calculations for ^{12}Be

Assume $\langle 0\hbar\omega | \mathcal{M}(E0) | 2\hbar\omega \rangle$ vanishes for “pure” (unmixed) 0^+ states.

Deduce mixing from matrix elements for NCCI calculated (mixed) states.



Summary

Different states in low-lying spectrum have...

- Different shell model character *Normal* ($0\hbar\omega$) vs. *intruder* ($2\hbar\omega$)
- Different shape/deformation & Elliott SU(3) symmetry

Convergence is more challenging for intruders

But calculation of intruders is still tractable (with “soft” interaction)

Two-state mixing emerges in *ab initio* results...

- Amount of mixing is highly sensitive to convergence of energies
A. E. McCoy *et al.*, Phys. Lett. B **856**, 138870 (2024).
- But we can extract a robust “mixing matrix element”

