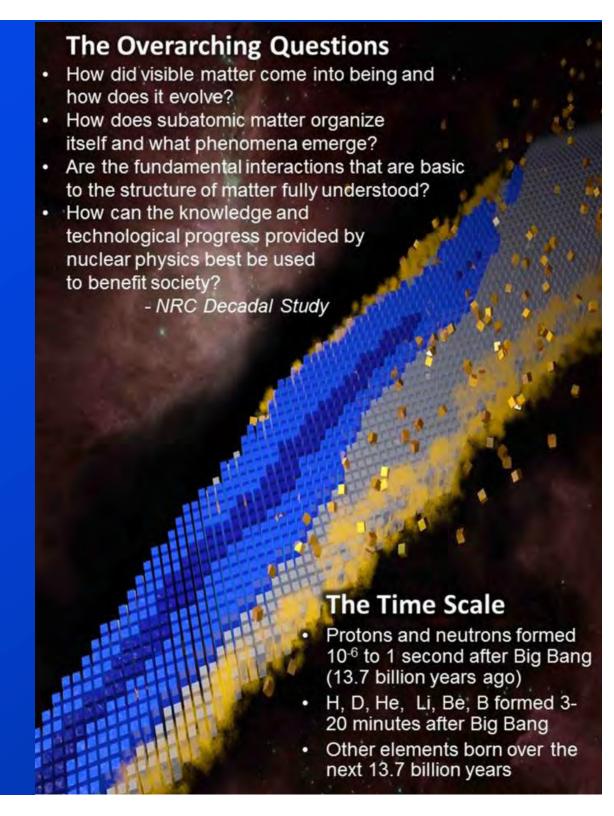
Ab initio Nuclear Physics from Hadrons to Nuclei

James P. Vary Iowa State University

Nuclear Theory in the Supercomputer Era (NTSE-2024)

> Busan South Korea

December 6, 2024



Standard Model is the current starting point for describing the nuclear processes that brought the universe to the present time and can provide fusion energy for the future

This starting point defines our "ab initio" or "from the beginning" theory of the atomic nucleus

Can we successfully proceed from that starting point to explain/predict nuclear phenomena and use discrepancies with experiment to reveal new physics?

Starting point: QCD Lagrangian

Lattice Gauge theory

Feynman Covariant Perturbation Theory

Hamiltonian Field Theory

Covariant Wave Equations

Steps to set up non-perturbative calculational framework

Specific selections for these applications

Make Legendre Transform Select a coordinate system

Fix the Gauge

Eliminate dependent fields

Select basis for the fields

Quantize the theory

Select cutoffs

Evaluate/store Hamiltonian matrix

Solve for eigenpairs (mass states &

Light-Front Wavefunctions (LFWFs))

Solve for experimental observables

L --> T^{μν} Energy-Momentum tensor

Light-Front coordinates & identify generators

Light-Front Gauge $(A^+ = 0)$

Dirac -> Pauli fields

2D HO + Jacobi Polynomials or DLCQ

Basis Light-Front Quantization (BLFQ)

N_{max}, L or K, Fock space limits

High-Performance Computers (HPCs)

High-Performance Computers (HPCs)

Matrix elements of operators with LFWFs

Dirac's forms of relativistic dynamics [Dirac, Rev. Mod. Phys. 21, 392 1949] Instant form is the well-known form of dynamics starting with $x^0 = t = 0$

 $K^{i} = M^{0i}$, $J^{i} = \frac{1}{2} \varepsilon^{ijk} M^{jk}$, $\varepsilon^{ijk} = (+1,-1,0)$ for (cyclic, anti-cyclic, repeated) indeces Front form defines relativistic dynamics on the light front (LF): $x^+ = x^0 + x^3 = t + z = 0$

$$P^{\pm} \triangleq P^0 \pm P^3$$
, $\vec{P}^{\perp} \triangleq (P^1, P^2)$, $x^{\pm} \triangleq x^0 \pm x^3$, $\vec{x}^{\perp} \triangleq (x^1, x^2)$, $E^i = M^{+i}$, $E^+ = M^{+-}$, $F^i = M^{-i}$

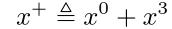
instant form

front form

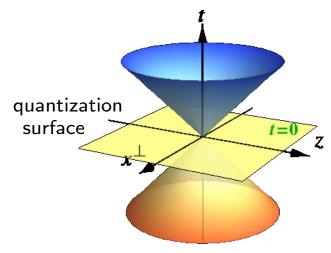
point form

time variable $t = x^0$

$$t = x^0$$



$$x^+ \triangleq x^0 + x^3$$
 $\qquad \tau \triangleq \sqrt{t^2 - \vec{x}^2 - a^2}$

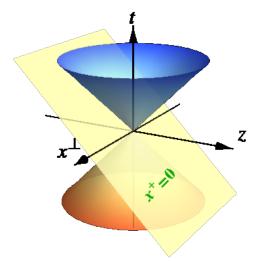




kinematical \vec{P}, \vec{J}

dynamical \vec{K}, P^0

dispersion $p^0 = \sqrt{\vec{p}^2 + m^2}$ relation

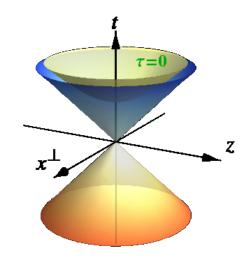


$$P^{-} \triangleq P^{0} - P^{3}$$

$$\vec{P}^{\perp}, P^{+}, \vec{E}^{\perp}, E^{+}, J^{-}$$

$$\vec{F}^{\perp}, P^{-}$$

$$p^{-} = (\bar{p}_{\perp}^{2} + m^{2})/p^{+}$$
 $p^{\mu} = mv^{\mu} (v^{2} = 1)$



$$P^{\mu}$$

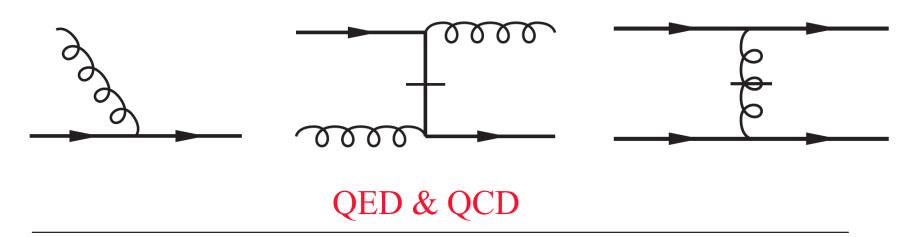
$$\vec{J}, \vec{K}$$

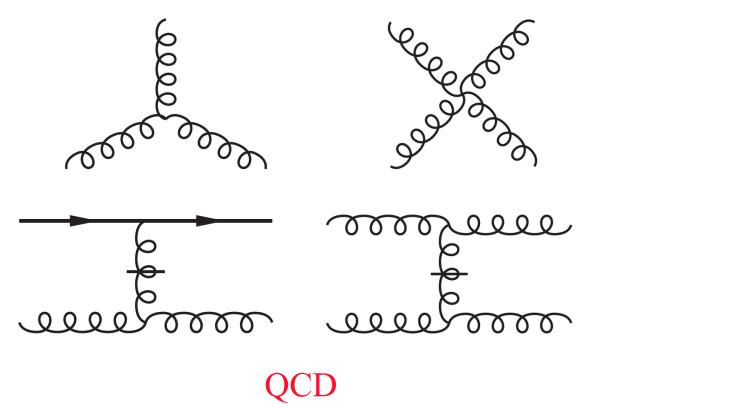
$$\vec{P}, P^{0}$$

$$p^{\mu} = mv^{\mu} \ (v^{2} = 1)$$



Light Front (LF) Hamiltonian Defined by its Elementary Vertices in LF Gauge





Discretized Light Cone Quantization

[H.C. Pauli & S.J. Brodsky, PRD32 (1985)]



Basis Light Front Quantization

[J.P. Vary, ...**P. Maris**, ... **E.G. Ng**, et al., PRC81 (2010)]

$$\phi(\vec{k}_{\perp},x) = \sum_{\alpha} \left[f_{\alpha}(\vec{k}_{\perp},x) a_{\alpha} + f_{\alpha}^{*}(\vec{k}_{\perp},x) a_{\alpha}^{\dagger} \right]$$

where $\{a_{\alpha}\}$ satisfy usual (anti-) commutation rules.

Furthermore, $f_{\alpha}(\vec{x})$ are arbitrary except for conditions:

Orthonormal:
$$\int f_{\alpha}(\vec{k}_{\perp},x)f_{\alpha'}^{*}(\vec{k}_{\perp},x)\frac{d^{2}k_{\perp}dx}{(2\pi)^{3}2x(1-x)} = \delta_{\alpha\alpha'}$$

Complete:
$$\sum_{\alpha} f_{\alpha}(\vec{k}_{\perp}, x) f_{\alpha}^{*}(\vec{k}_{\perp}', x') = 16\pi^{3} \sqrt{x(1-x)} \delta^{2}(\vec{k}_{\perp} - \vec{k}_{\perp}') \delta(x-x')$$

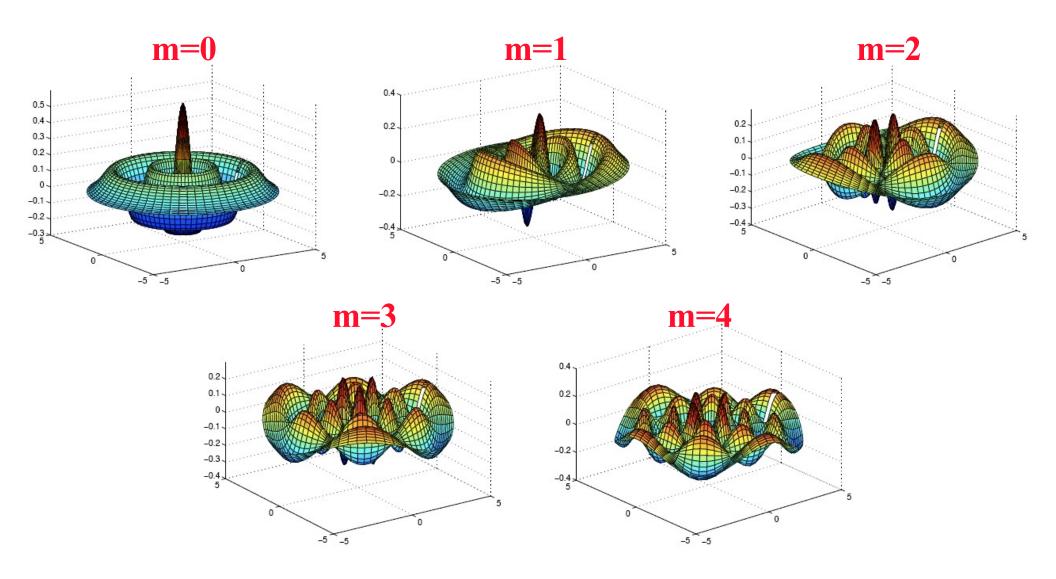
For mesons we adopt (later extended to baryons): [Y. Li, et al., PLB758 (2016)]

$$f_{\alpha=\{nml\}}(\vec{k}_{\perp},x) = \phi_{nm}(\vec{k}_{\perp}/\sqrt{x(1-x)})\chi_{l}(x)$$

 ϕ_{nm} 2D-HO functions as in AdS/QCD

 χ_l Jacobi polynomials times $x^a(1-x)^b$

Set of Transverse 2D HO Modes for n=4



J.P. Vary, H. Honkanen, J. Li, P. Maris, S.J. Brodsky, A. Harindranath, G.F. de Teramond, P. Sternberg, E.G. Ng and C. Yang, PRC 81, 035205 (2010)

BLFQ

Symmetries & Constraints

Baryon number

$$\sum_{i} b_{i} = B$$

All $J \ge J_z$ states in one calculation

Charge

$$\sum_{i} q_{i} = Q$$

Angular momentum projection (M-scheme)

$$\sum_{i} (m_i + s_i) = J_z$$

Longitudinal momentum (Bjorken sum rule)

$$\sum_{i} x_{i} = \sum_{i} \frac{k_{i}}{K} = 1$$

Finite basis regulators

Longitudinal mode regulator (Jacobi)

$$\sum_{i} l_{i} \leq \mathcal{L}$$

Transverse mode regulator (2D HO)

$$\sum_{i} (2n_i + \left| m_i \right| + 1) \leq N_{\text{max}}$$

"Internal coordinates" $\vec{k}_{i\perp} = \vec{p}_{i\perp} - x_i \vec{P}_{\perp} \implies \sum_i \vec{k}_{i\perp} = 0$

$$H \rightarrow H + \lambda H_{CM}$$

Preserve transverse boost invariance

Global Color Singlets (QCD)

Light Front Gauge

Optional Fock-Space Truncation

Light-Front Wavefunctions (LFWFs)

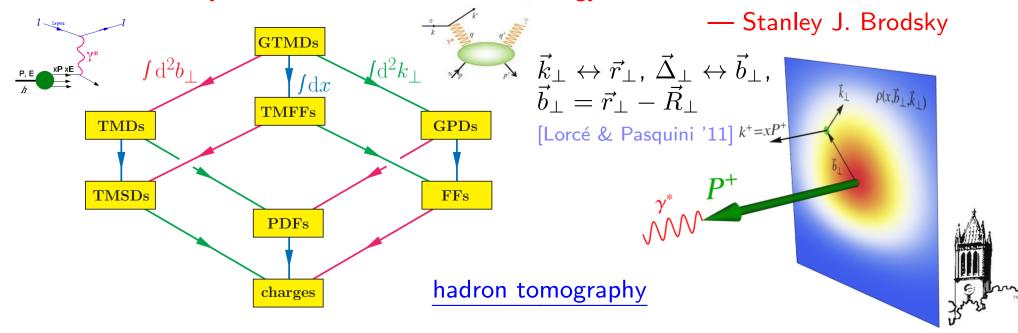
$$|\psi_h(P,j,\lambda)\rangle = \sum_n \int [\mathrm{d}\mu_n] \,\psi_{n/h}(\{\vec{k}_{i\perp},x_i,\lambda_i\}_n) |\{\vec{p}_{i\perp},p_i^+,\lambda_i\}_n\rangle$$

LFWFs are frame-independent (boost invariant) and depend only on the relative variables: $x_i \equiv p_i^+/P^+, \vec{k}_{i\perp} \equiv \vec{p}_{i\perp} - x_i \vec{P}_{\perp}$

LFWFs provide intrinsic information of the structure of hadrons, and are indispensable for exclusive processes in DIS [Lepage '80]

- Overlap of LFWFs: structure functions (e.g. PDFs), form factors, ...
- Integrating out LFWFs: light-cone distributions (e.g. DAs)

"Hadron Physics without LFWFs is like Biology without DNA!"



Research results from BLFQ as of LC2024

- There have been ~60 papers on BLFQ and tBLFQ from 2010 present.
 Of these, 48 have either "Basis Light-Front Quantization" or "BLFQ" in the title.
- Since 2020, the "BLFQ Collaboration" has posted ~ 30 papers on the arXiv and has ~27 published plus accepted papers.
- This year, to date, the BLFQ Collaboration has posted 9 papers to the arXiv:
 6 have been published and 3 are submitted for publication.
- LC-2024 Talks Yiping Liu, Tianyang Hu, Chandan Mondal, Meijian Li,
 Wenyang Qian, Sreeraj Nair, Zhi Hu, Satvir Kaur, Lingdi Meng, Tiancai Peng, . . .
- NTSE-2024 Talks Mondal, Zhao, Vary

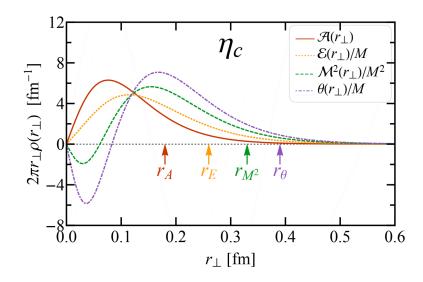
Physical densities

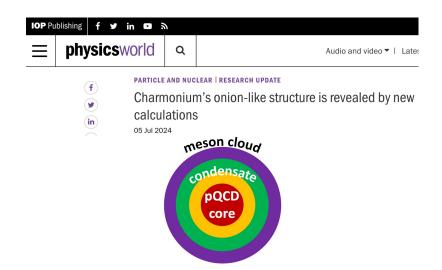
- Different physical densities: matter density $\mathcal{A}(r_{\perp})$, energy density $\mathcal{E}(r_{\perp})$, invariant mass squared density $\mathcal{M}^2(r_{\perp})$ and scalar density $\theta(r_{\perp}) = \mathcal{T}^{\alpha}_{\ \alpha}(r_{\perp}) = \mathcal{E}(r_{\perp}) 3\mathcal{P}(r_{\perp})$
- lacktriangle Because of D < 0, there is a chain of inequalities about their root mean square radii

$$r_A < r_E < r_{M^2} < r_{\theta}$$

where,

$$r_A^2 = -6A'(0), r_E^2 = r_A^2 - \frac{3}{2}\lambda_C^2(1+D), r_{M^2}^2 = r_A^2 - \frac{3}{2}\lambda_C^2(1+2D), r_\theta^2 = r_A^2 - \frac{3}{2}\lambda_C^2(1+3D)$$



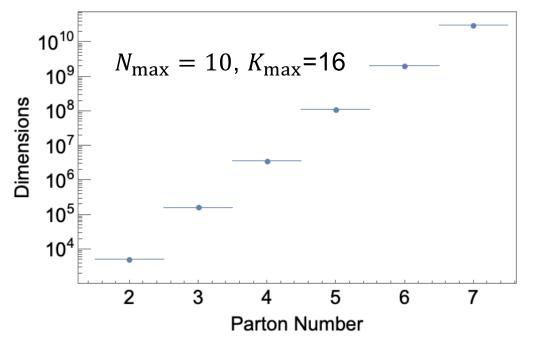


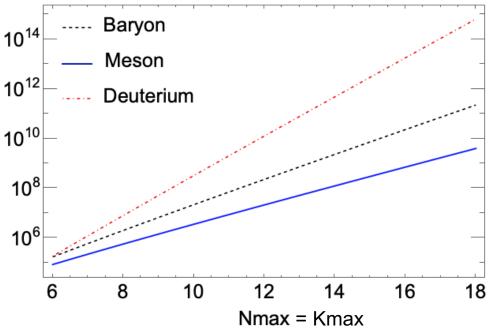
Tianyang Hu, Xianghui Cao, Siqi Xu, Yang Li, Xingbo Zhao and James P. Vary, arXiv: 2408.09689

BLFQ Basis States

> BLFQ basis: expansion in Fock space beyond the valence sector

Dimension of basis states increases with number of Fock sectors => motivation for quantum computing





Dimension of Basis States

Expansion in BLFQ basis

$$|N\rangle = |qqq\rangle + |qqqg\rangle + |qqqq\bar{q}\rangle + |qqqgg\rangle + |qqqgg\rangle + |qqqq\bar{q}g\rangle$$

$$N_{max} = 7, K_{max} = 16$$

	$ qqq\rangle$	$ qqqg\rangle$	$ qqq q\overline{q}\rangle$	qqq gg}	qqq ggg >	$ qqq q\overline{q} g\rangle$
dimension	35,088	592,960	3,901,500	5,169,360	19,603,584	7,128,576
Color	1	2	3	6	22	8

$$|N\rangle = |qqq\rangle + |qqqg\rangle + |qqq u\bar{u}\rangle + |qqq d\bar{d}\rangle + |qqq s\bar{s}\rangle$$

$$|N\rangle = |qqq\rangle + |qqqg\rangle + |qqq u\bar{u}\rangle + |qqq d\bar{d}\rangle + |qqq s\bar{s}\rangle + |qqq gg\rangle$$

Basis Dimension= 17,501,908

$$|N\rangle = |qqq\rangle + |qqqg\rangle + |qqq q\overline{q}\rangle + |qqq gg\rangle + |qqq ggg\rangle$$

Basis Dimension= 37,105,492

$$|N\rangle = |qqq\rangle + |qqqg\rangle + |qqq q\bar{q}\rangle + |qqq gg\rangle + |qqq ggg\rangle + |qqq q\bar{q} g\rangle$$

Basis Dimension= 58,491,220

Full BLFQ

$$|N\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

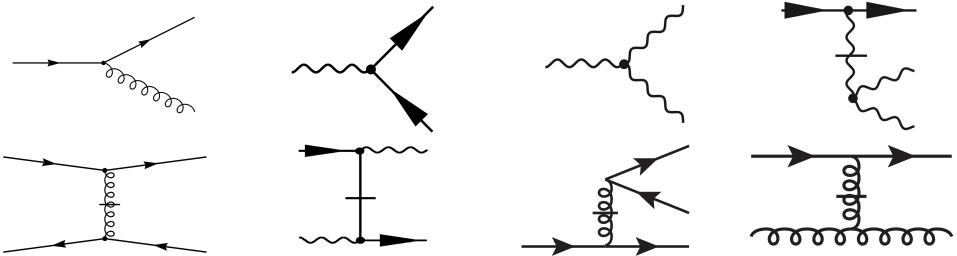
$$P^- = H_{K.E.} + H_{Interact}$$

$H_{K.E.} = \sum_{i} rac{p_i^2 + m_q^2}{p_i^+}$

Preliminary

Further progress towards first principles

$$H_{Interact} = g\overline{\psi}\,\gamma^{\mu}T^{a}\,\psi\,A^{a}_{\mu} + \frac{g^{2}C_{F}}{2}\,j^{+}\frac{1}{(i\partial^{+})^{2}}j^{+} + \frac{g^{2}C_{F}}{2}\,\overline{\psi}\gamma^{\mu}A_{\mu}\frac{\gamma^{+}}{i\partial^{+}}A_{\nu}\gamma^{\nu}\psi$$
$$-g^{2}C_{F}\overline{\psi}\gamma^{+}\psi\frac{1}{(i\partial^{+})^{2}}i\partial^{+}A^{a}_{\mu}A^{\mu}_{b} + igf^{abc}i\partial^{\mu}A^{\nu}_{a}A^{b}_{\mu}A^{c}_{\nu}$$



Fock Sector Decomposition

$$|P_{baryon}\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

 $|qqq|q\overline{q}\rangle \sim 3$ color singlet state

1 singlet \otimes singlet

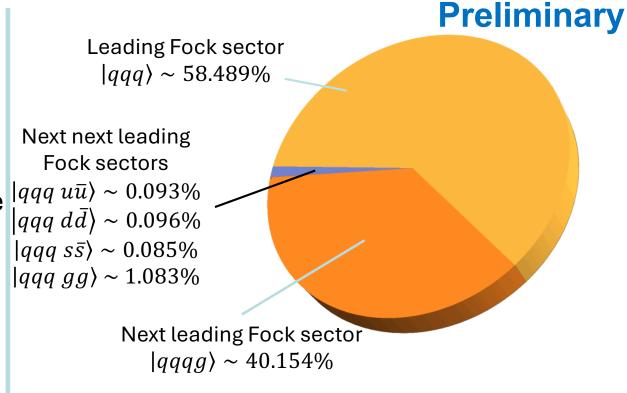
2 octet ⊗ octet

 $|qqq gg\rangle \sim 6$ color singlet state

1 singlet ⊗ singlet

4 octet ⊗ octet

1 decuplet \otimes octet \otimes octet



m_u	m_d	m_s	m_f	g	b	b_{inst}
0.5 GeV	0.45 GeV	0.6 GeV	3.0 GeV	2.1	0.6 GeV	3.0 GeV

Truncation parameter: $N_{\rm max}=7$ and $K_{\rm max}=10$

Next step in approach to Full BLFQ

 $|N\rangle \rightarrow |qqq\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqg\rangle + |qqqgg\rangle + |qqqgg\rangle$

$$P^{-} = H_{K.E.} + H_{Interact} \qquad H_{K.E.} = \sum_{i} \frac{p_{i}^{2} + m_{q}^{2}}{p_{i}^{+}}$$

$$H_{Interact} = g\overline{\psi} \gamma^{\mu} T^{a} \psi A_{\mu}^{a} + \frac{g^{2}C_{F}}{2} j^{+} \frac{1}{(i\partial^{+})^{2}} j^{+} + \frac{g^{2}C_{F}}{2} \overline{\psi} \gamma^{\mu} A_{\mu} \frac{\gamma^{+}}{i\partial^{+}} A_{\nu} \gamma^{\nu} \psi$$

$$-g^{2}C_{F} \overline{\psi} \gamma^{+} \psi \frac{1}{(i\partial^{+})^{2}} i\partial^{+} A_{\mu}^{a} A_{b}^{\mu} + igf^{abc} i\partial^{\mu} A_{a}^{\nu} A_{\mu}^{b} A_{\nu}^{c} + \frac{1}{4} g^{2} f^{abc} f^{ade} A_{b}^{\mu} A_{c}^{\nu} A_{\mu d} A_{\nu e}$$

Fock Sector Decomposition

$$|P_{baryon}\rangle \rightarrow |qqq\rangle + |qqqg\rangle + |qqqu\bar{u}\rangle + |qqqd\bar{d}\rangle + |qqqs\bar{s}\rangle + |qqqgg\rangle$$

 $|qqq gg\rangle \sim 6$ color singlet state

1 singlet ⊗ singlet

4 octet ⊗ octet

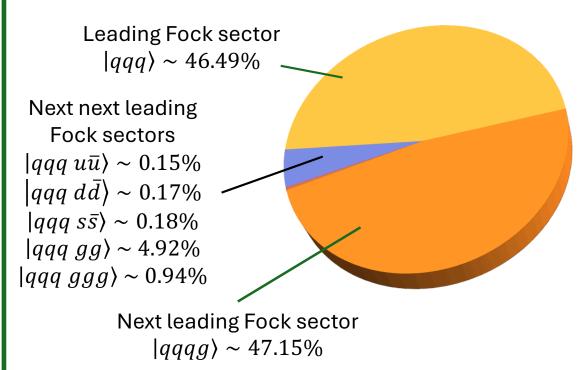
1 decuplet \otimes octet \otimes octet

 $|qqq~ggg\rangle \sim 22$ color singlet state

2 singlet \otimes singlet

16 octet ⊗ octet

4 decuplet ⊗ octet ⊗ octet |

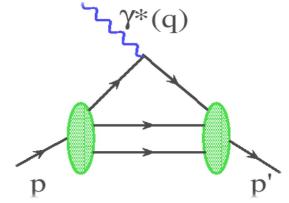


m_u	m_d	m_s	m_f	g	b	b_{inst}
0.5 GeV	0.40 GeV	0.6 GeV	2.5 GeV	2.0	0.6 GeV	3.0 GeV

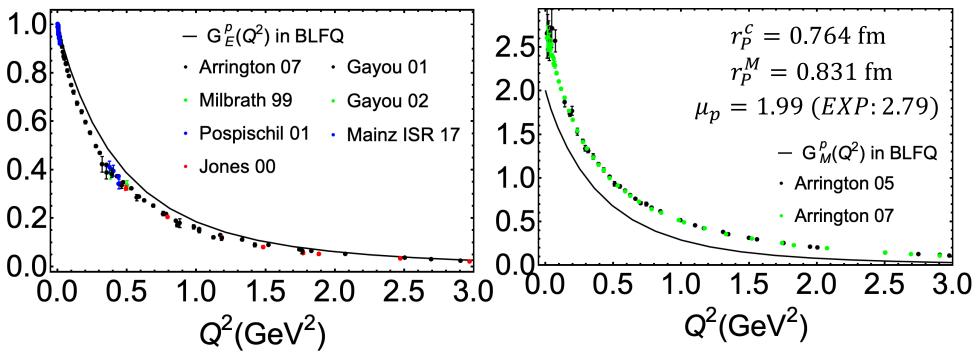
Truncation parameter: $N_{\rm max}=7$ and $K_{\rm max}=10$

Nucleon Form Factors

$$\langle N(p')|J^{\mu}(0)|N(p)\rangle = \bar{u}(p')\left[\gamma^{\mu}F_{1}(q^{2}) + \frac{i\sigma^{\mu\nu}}{2m_{N}}q_{\nu}F_{2}(q^{2})\right]u(p)$$



Preliminary results

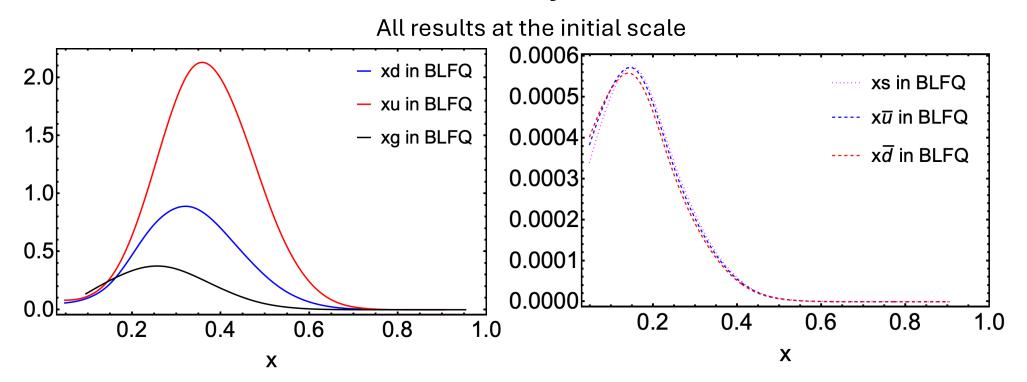


BLFQ results qualitatively agree with the experimental data for Dirac and Pauli FFs

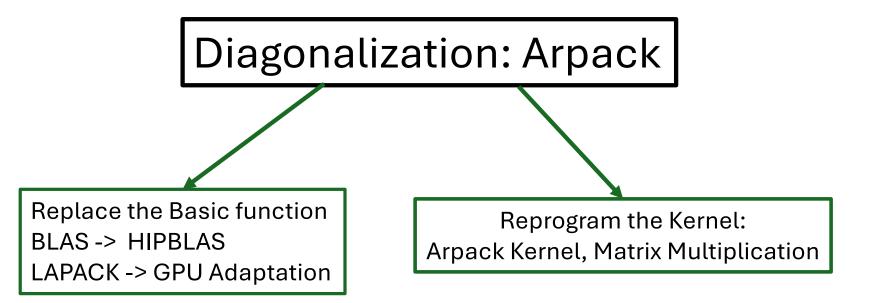
Unpolarized Parton Distribution Function

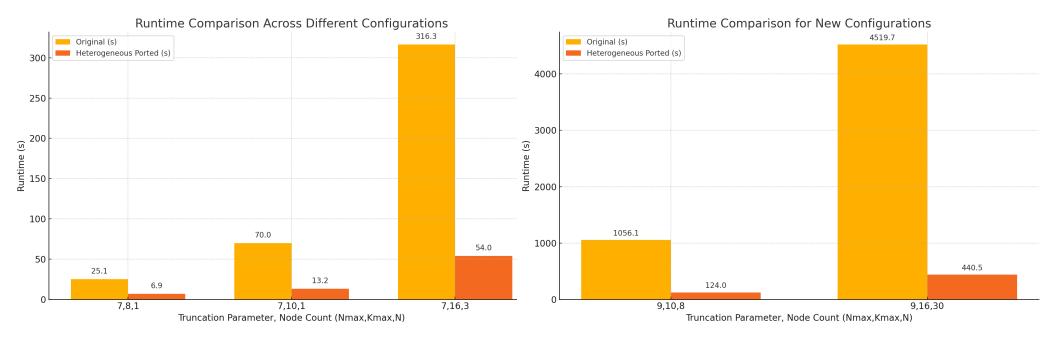
- > Parton distribution functions with five Fock sectors
 - Qualitative behavior agree with experimental results
 - Endpoint behavior improves with $|qqqgg\rangle$ and $|qqqggg\rangle$ Fock sector included
 - Five-particle sector contributions are small due to Fock sector truncation (no $|qqq \ q\overline{q} \ g\rangle$),

Preliminary results



BLFQ Optimization - Diagonalization





Sample of next steps for BLFQ & tBLFQ

Improve the BLFQ basis to include chiral symmetry breaking

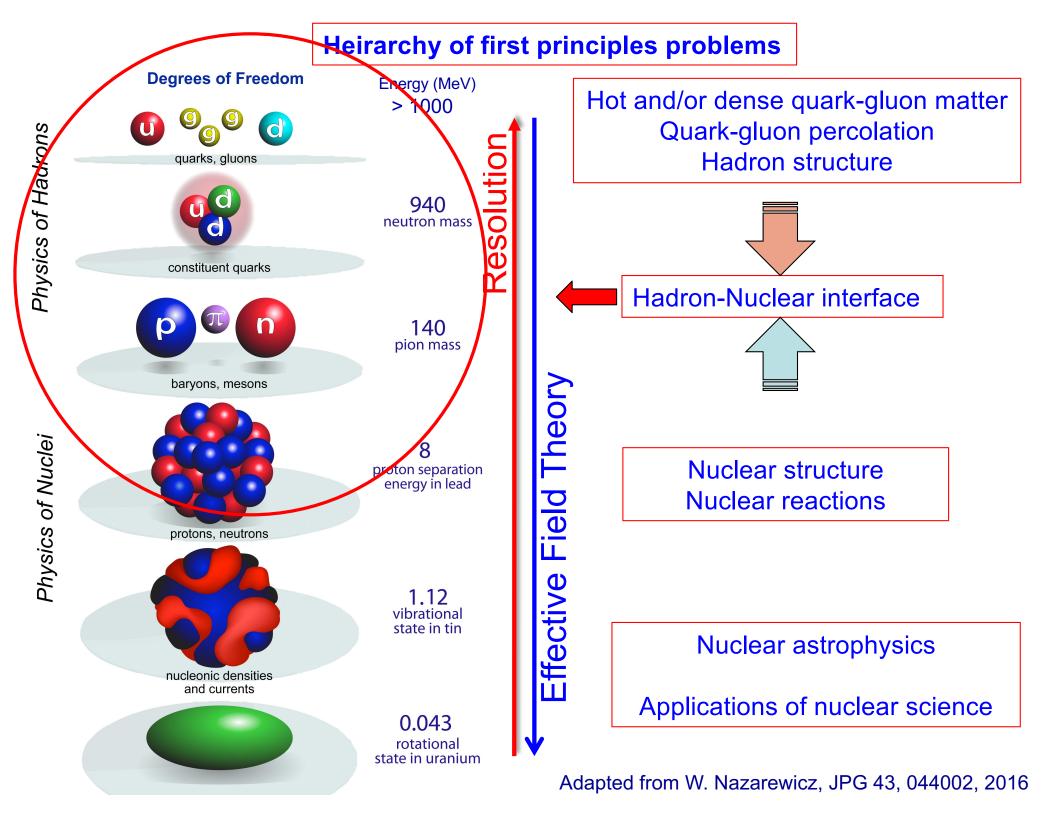
Y. Li and J.P. Vary, Phys. Letts. B 825, 136860 (2022)

Y. Li, P. Maris and J.P. Vary, Phys. Letts. B 836, 137598 (2023)

- Increase the number of dynamical gluons
- Include sea quark pairs
- Address the proton spin puzzle
- Investigate exotic systems: glueballs, tetraquarks, pentaquarks, . . .
- Calculate meson-meson, meson-baryon and baryon-baryon interactions
- Predict the six-quark cluster structure contributions to nuclear properties such as the EMC effect and x > 1 physics

. . .

Now turn our attention to Chiral EFT theory of inter-nucleon interactions with origins in QCD



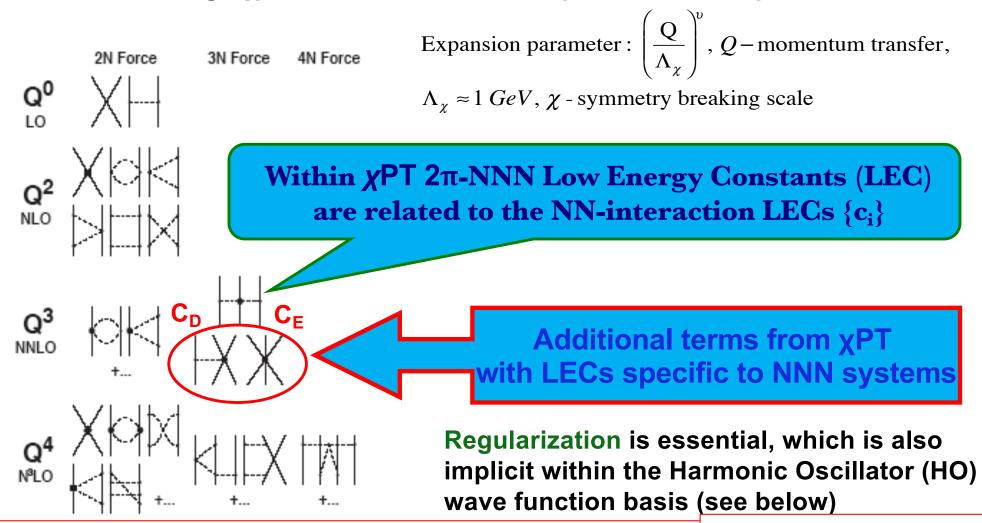
An Effective Field Theory (EFT) expresses a system's properties in terms of the constituents (degrees of freedom) most relevant to the energy/momentum scales being probed. An EFT is derivable, in principle, from an underlying theory such as the Standard Model.

For the low-lying spectroscopy and reactions of the mesons and baryons, this could be an EFT of interacting constituent quarks and gluons. Example: Basis Light Front Quantization (BLFQ) with Effective Hamiltonians inspired by Light-Front Holography with residual interactions from QCD.

For the low-lying spectroscopy and reactions of atomic nuclei this could be Chiral EFT applied within the *ab initio* No-Core Shell Model (NCSM)

Effective Nucleon Interaction Chiral Perturbation Theory (xPT)

Weinberg's xPT allows for controlled power series expansion



R. Machleidt and D.R. Entem, Phys. Rep. 503, 1 (2011);

Adapted from P. Navratil slide

E. Epelbaum, H. Krebs, U.-G Meissner, Eur. Phys. J. A51, 53 (2015); Phys. Rev. Lett. 115, 122301 (2015)

No Core Shell Model (NCSM)

A large sparse matrix eigenvalue problem

$$H = T_{rel} + V_{NN} + V_{3N} + \bullet \bullet \bullet$$

$$H | \Psi_i \rangle = E_i | \Psi_i \rangle$$

$$| \Psi_i \rangle = \sum_{n=0}^{\infty} A_n^i | \Phi_n \rangle$$
Diagonalize $\{ \langle \Phi_m | H | \Phi_n \rangle \}$

P. Navratil, J. P. Vary and B.R. Barrett, *Phys. Rev. Lett.* **84**, 5728 (2000); *Phys. Rev. C* **62**, 054311 (2000)

- Adopt realistic NN (and NNN) interaction(s) & renormalize as needed retain induced many-body interactions: Chiral Effective Field Theory (Chiral EFT) interactions
- Adopt the 3-D Harmonic Oscillator (HO) for the single-nucleon basis states, α , β ,...
- Evaluate the nuclear Hamiltonian, H, in basis space of HO (Slater) determinants (each determinant manages the bookkeeping of anti-symmetrization)
- Diagonalize this sparse many-body H in its "m-scheme" basis where $[\alpha = (n,l,j,m_j,\tau_z)]$

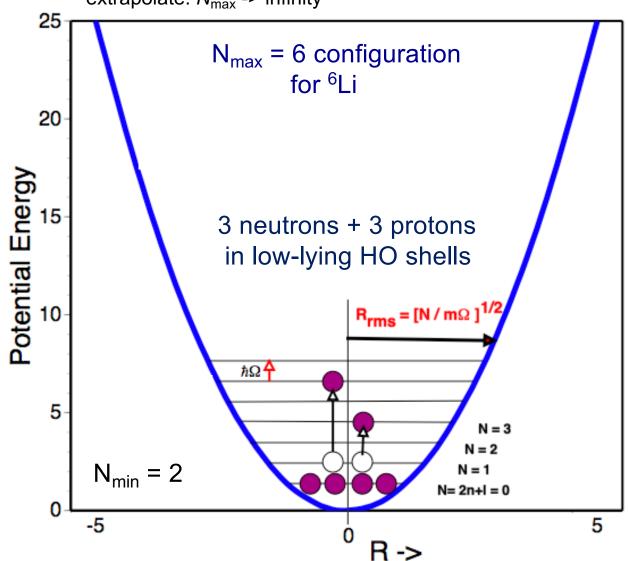
HO basis space (configurations)
$$|\Phi_n\rangle = [a_\alpha^+ \bullet \bullet \bullet a_\varsigma^+]_n |0\rangle$$
$$n = 1, 2, ..., 10^{10} \text{ or more!}$$

Evaluate observables and compare with experiment

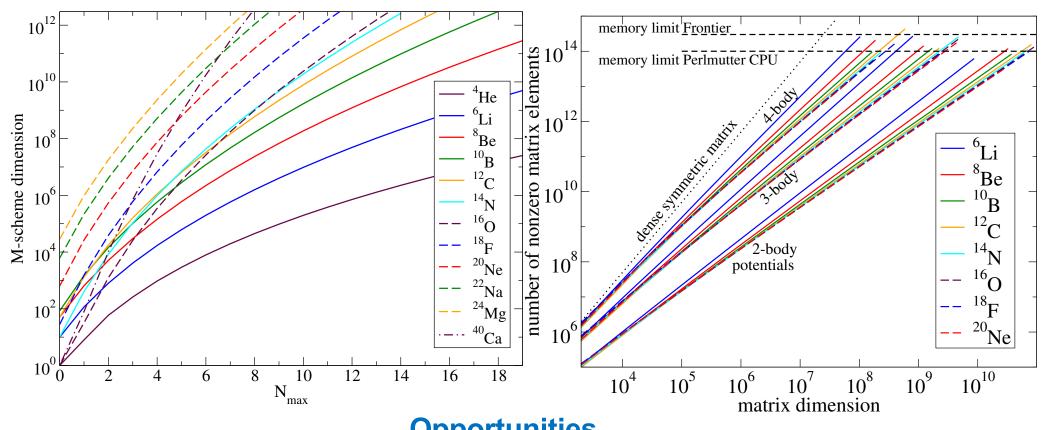
Comments

- Computationally demanding => needs new algorithms & high-performance computers
- Requires convergence assessments and extrapolation tools to retain predictive power
- Achievable for nuclei up to atomic number of about 20 with largest computers available

 $N_{\min} \equiv {
m HO}$ quanta of lowest configuration $N_{\max} \equiv {
m maximum}$ HO quanta above the lowest configuration Retain configurations with $N_{\min} \leq \sum_{i=1}^A (2n_i + l_i) \leq N_{\min} + N_{\max}$ consistent with symmetry constraints (parity, M_J ,...) extrapolate: N_{\max} -> infinity



<u>Challenge</u> **Exponential increase in Matrix Dimension (D)**



<u>Opportunities</u>

- ➤ Memory/cpu time grows only as D^{3/2}
- > Algorithm development (SciDAC funding)
- > Exaflop machines now available (DOE/INCITE competitive awards)
- **▶** Improved understanding of Chiral EFT
- ➤ Developing methods for extrapolating D->inf (N_{max}->inf)

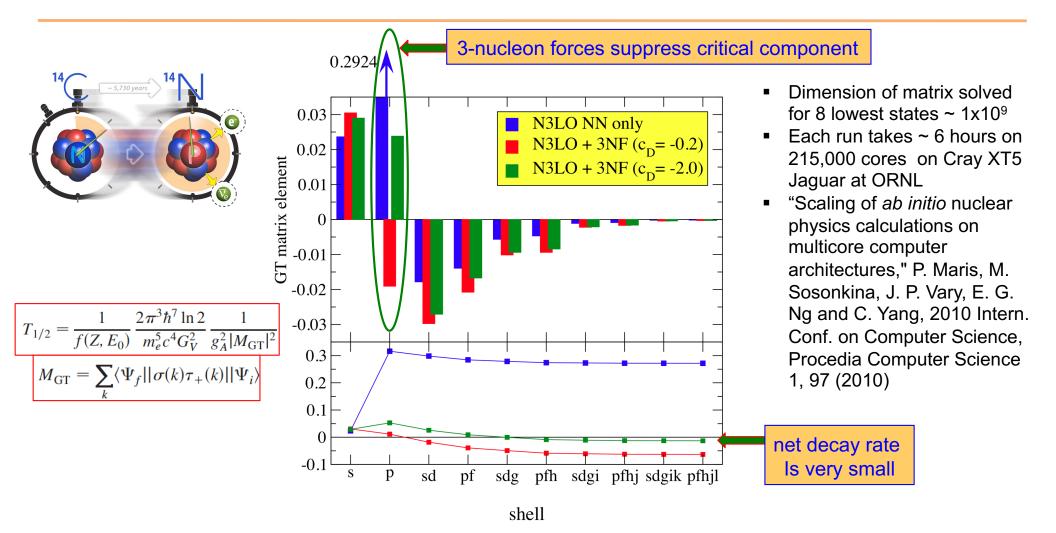


Origin of the Anomalous Long Lifetime of 14C

P. Maris, 1 J. P. Vary, 1 P. Navrátil, 2,3 W. E. Ormand, 3,4 H. Nam, 5 and D. J. Dean 5

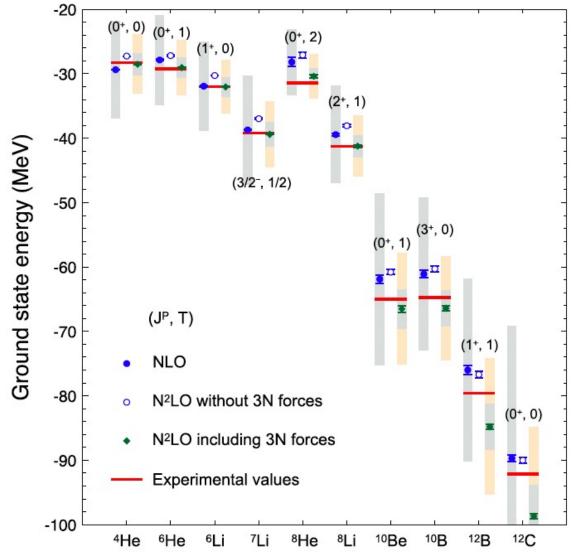


- Solves the puzzle of the long but useful lifetime of ¹⁴C
- Establishes a major role for strong 3-nucleon forces in nuclei
- Strengthens foundation for guiding experiments



Light nuclei with semilocal momentum-space regularized chiral interactions up to third order

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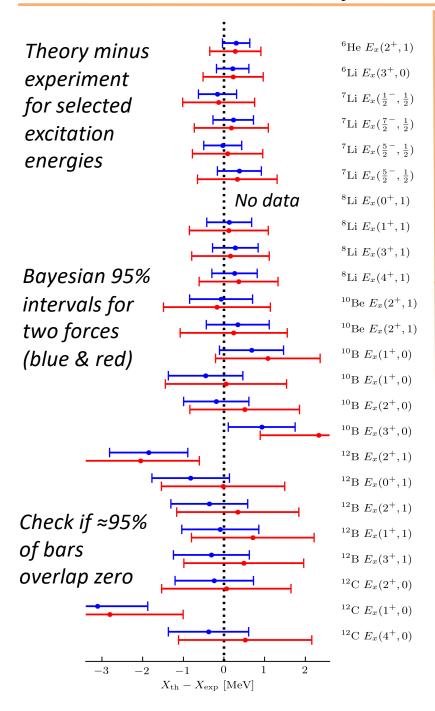
The LENPIC team: www.lenpic.org

FIG. 8. Calculated ground-state energies in MeV using chiral NLO, and N²LO interactions at $\Lambda=450$ MeV (blue and green symbols) in comparison with experimental values (red levels). For each nucleus the NLO, and N²LO results are the left and right symbols and bars, respectively. The open blue symbols correspond to incomplete calculations at N²LO using NN-only interactions. Blue and green error bars indicate the NCCI extrapolation uncertainty. All results shown are for $\alpha=0.08$ fm⁴. The light (coral) and dark (gray) shaded bars indicate the 95% and 68% DoB truncation errors, respectively, estimated using the Bayesian model $\bar{C}_{0.5-10}^{650}$ (at NLO we only show the 68% DoB truncation errors because the 95% errors would be off one or even both ends of the scale).



Excitation energies from effective field theory with quantified uncertainties





Objectives

- Predict properties of ground and excited states of light nuclei with robust theoretical error estimates.
- Test consistent <u>LENPIC</u> chiral effective field theory (EFT) interactions with 2- and 3-nucleon forces.
- Extend and test a Bayesian statistical model that learns from the order-by-order EFT convergence pattern to account for correlated excitations.

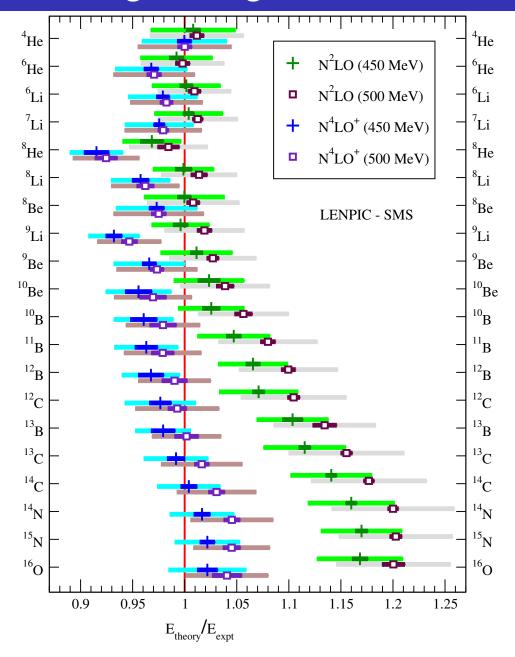
Impact

- First test of novel chiral nucleon-nucleon potentials with consistent three-nucleon forces.
- Demonstrates understanding of theoretical uncertainties due to chiral EFT expansion.
- Accounting for correlations produces agreement with experimental excitation energies (see figure).
- Exceptions in ¹²C and ¹²B indicate different theoretical correlations in the nuclear structure.

Accomplishments

P. Maris et al, Phys. Rev. C **103**, 054001 (2021); Editors' Suggestion; arXiv: 2012.12396 [nucl-th]

Binding Energies with LENPIC-SMS chiral EFT



P. Maris, H. Le, A. Nogga, R. Roth, J.P. Vary Front. Phys. 11, 1098262 (2023)

- NN potential up to N⁴LO+
- ► 3NFs at N²LO
- ▶ SRG evolved to $\alpha = 0.08$ fm⁴
- LECs fitted to
 - NN scattering data
 - ³H binding energy
 - Nd scattering
- Parameter-free predictions
- Error bars
 - numerical uncertainty
 - chiral EFT uncertainty from Bayesian analysis

Daejeon16 NN interaction

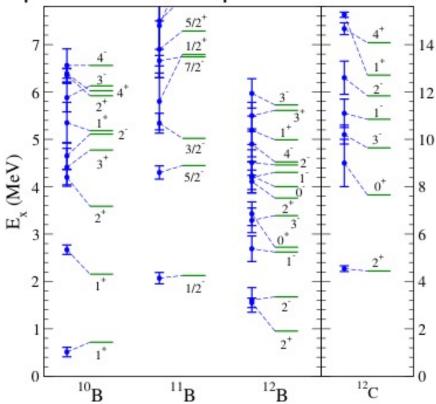
Based on SRG evolution of Entem-Machleidt "500" chiral N3LO to $\lambda = 1.5 \text{ fm}^{-1}$ followed by Phase-Equivalent Transformations (PETs) to fit selected properties of light nuclei.

A.M. Shirokov, I.J. Shin, Y. Kim, M. Sosonkina, P. Maris and J.P. Vary, "N3LO NN interaction adjusted to light nuclei in ab exitu approach," Phys. Letts. B 761, 87 (2016); arXiv: 1605.00413

Application to excited states of p-shell nuclei

(Maris, Shin, Vary, in preparation)

Spectra of B isotopes and ¹²C



- difference of extrapolated E_b
- extrapolation uncertainties: max of E_b uncertainties
- good agreement with positive and negative parity spectra
- need large bases for 'intruder' and 'non-normal parity' states
- spectrum ¹⁰B
 - correct gs 3⁺ and excited 1⁺
 - third 1+ 'intruder' state
- excited 0⁺ state in ¹²C
 - Hoyle state?
 - see MCNCSM results below

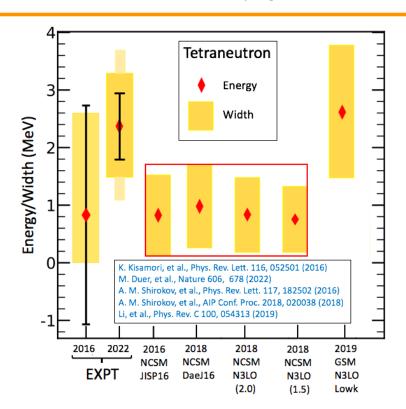


Tetraneutron discovery confirms prediction



Objectives

- Ab initio nuclear theory aims for parameter-free predictions of nuclear properties with controlled uncertainties using supercomputer simulations
- Specific goal is to predict if the tetraneutron (4-neutron system) has a bound state, a low-lying resonance or neither



Experiment and theory for the tetraneutron's resonance energy and width. *Ab initio* No-Core Shell Model (NCSM) and Gamow Shell Model (GSM) predictions use different neutron-neutron interactions and different basis function techniques.

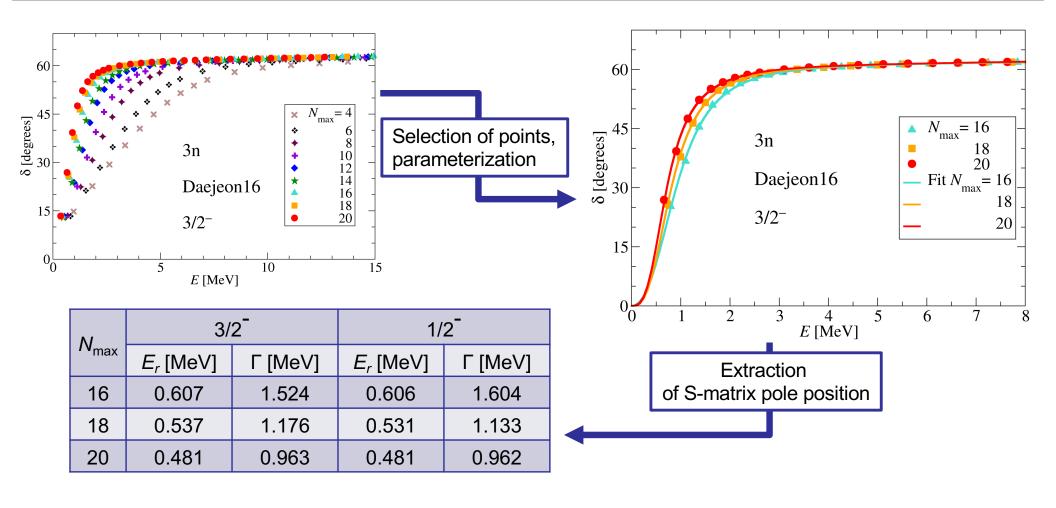
Impact

- Discovery in 2022 announced in Nature [1] confirms ab initio theory predictions from 2016 [2] of a short-lived tetraneutron resonance at low energy and the absence of a tetraneutron bound state
- Demonstrates the predictive power of *ab initio* nuclear theory since theory and experiment are within their combined uncertainties
- Sets stage for further experimental and theoretical research on new states of matter formed only of neutrons
- Shows need to anticipate a long wait time for experimental confirmation of such an exotic phenomena,
 6 years in this case
- Emphasizes the value of DOE supercomputer allocations (NERSC) and support for multi-disciplinary teamwork (SciDAC/NUCLEI)

Accomplishments

- [1] M. Duer, et al., Nature 606, 678 (2022)
- [2] A.M. Shirokov, G. Papadimitriou, A.I. Mazur, I.A. Mazur, R. Roth and J.P. Vary, "Prediction for a four-neutron resonance," Phys. Rev. Lett. 117, 182502 (2016)

3n Results with Daejeon16 NN interaction





Alpha clusters in Carbon-12 from ab initio theory & statistical learning



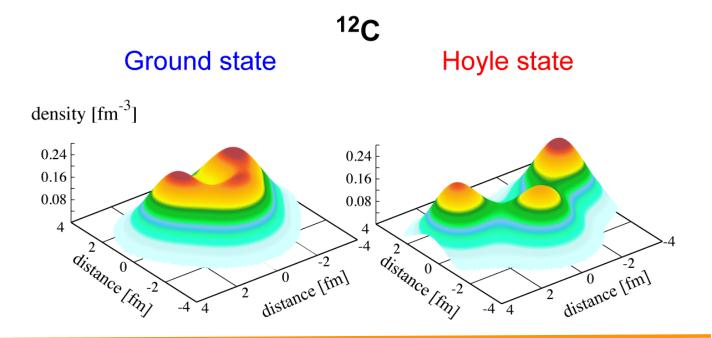
Objectives

- Ab initio nuclear theory aims for parameter-free predictions of critical nuclear properties with controlled uncertainties using supercomputer simulations
- Specfic goal is to determine extent of alpha clustering in the Ground state and the Hoyle state of Carbon-12 (¹²C)

Impact

- Ground state found to have 6% alpha clustering while Hoyle state discovered to be 3-alphas 61% of the time
- With this high percentage of 3-alphas, the Hoyle state is confirmed as a natural gateway state for the cosmic formation of ¹²C, the key element for organic life
- Statistical learning confirms 3-alpha feature of Hoyle state

Ab initio Monte-Carlo Shell Model results for density contours of 12C Ground state and first excited 0⁺ (Hoyle) state using the Daejeon16 two-nucleon potential. Simulations were performed on Fugaku in Japan, the world's largest supercomputer at the time.

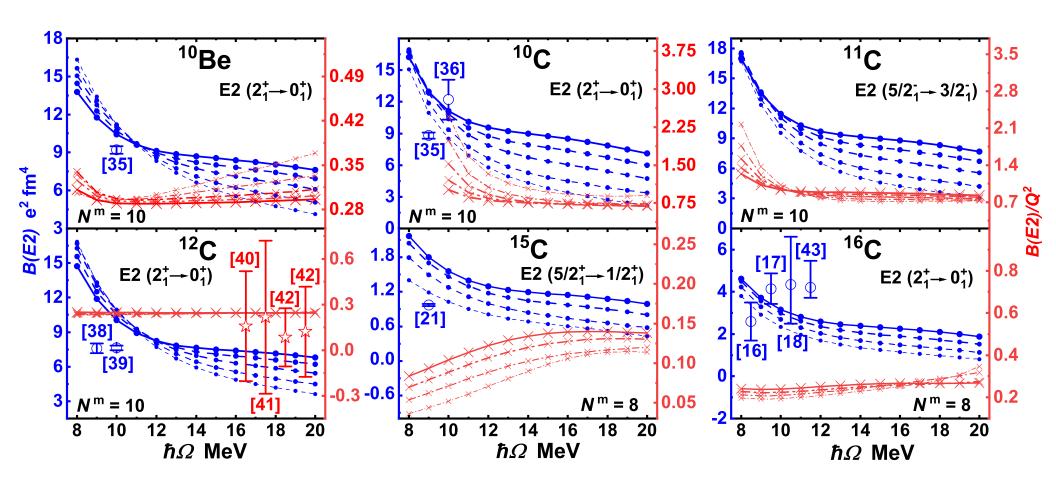


Accomplishments

T. Otsuka, T. Abe, T. Yoshida, Y. Tsunoda, N. Shimizu, N. Itagaki, Y. Utsuno, J. Vary, P. Maris and H. Ueno, "Alpha-Clustering in Atomic Nuclei from First Principles with Statistical Learning and the Hoyle State Character," Nature Communications 13:2234 (2022)

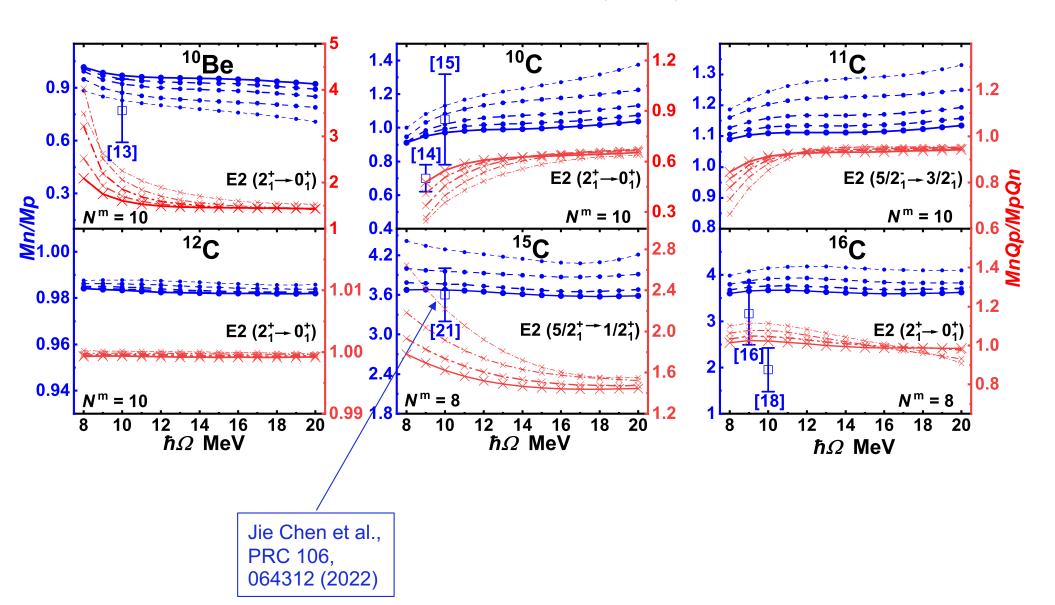
$$\frac{B(E2)}{Q_p^2} = \frac{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2}{\langle J_i || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle^2},$$

Ratios of observables converge better He Li, et al., arXiv: 2401.05776

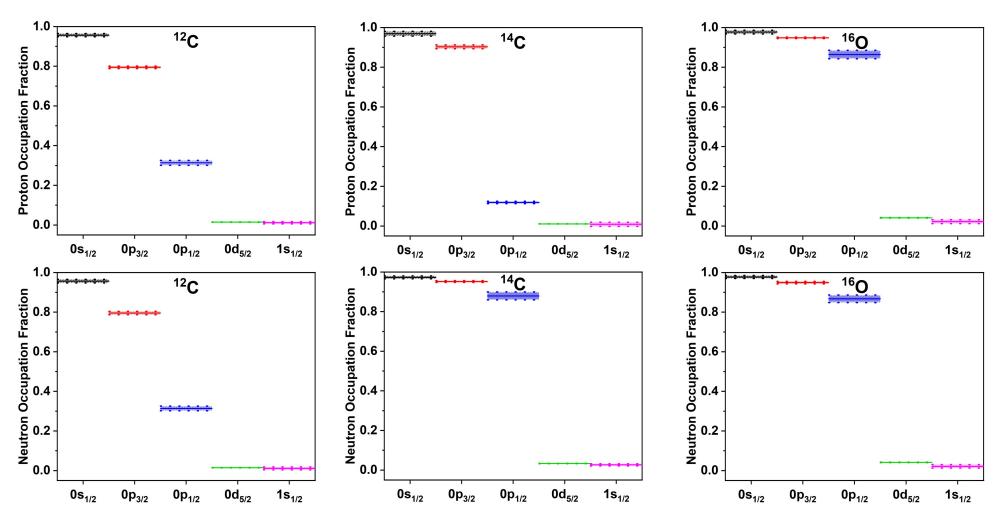


$$\frac{M_n}{M_p} = \frac{\langle J_f || \sum_{i \in n} r_i^2 Y_2(\hat{r}_i) || J_i \rangle}{\langle J_f || \sum_{i \in p} r_i^2 Y_2(\hat{r}_i) || J_i \rangle},$$

Ratios of observables and ratios of ratios converge better He Li, et al., arXiv: 2401.0577



Z = 6 show good subshell closure at N = 8 (i.e. "locally magic")



Ground state occupation fractions of protons (neutrons) in low-lying single particle states in 12 C, 14 C, and 16 O. The NCSM calculations performed in a harmonic oscillator basis using the Daejeon16 NN interaction with N_{max} = 10 and $\hbar\Omega$ = 17.5 MeV. We present uncertainties where the lowest (highest) point indicates the minimal (maximal) occupation fraction value in the range from $\hbar\Omega$ = 15 to 20 MeV.

H. Li, H.J. Ong, Dong-Liang Fang, I.A. Mazur, I.J. Shin, A.M. Shirokov, J.P. Vary, Peng Yin, Xing-Bo Zhao and Wei Zuo, Chinese Physics C 48, 124103 (2024); arXiv: 2407.09734

What lies ahead for nuclear theory across energy scales?

- Need for increased theory effort at deriving and validating EFTs
 Expand multi-disciplinary and multi-national collaborative efforts
- Need for enhanced computational power to greatly expand basis spaces
 Artificial Intelligence and/or Quantum Computing can be keys to progress

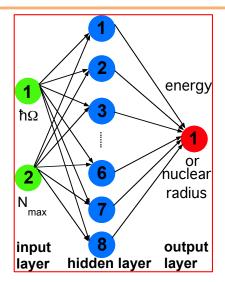
Deep Learning for Nuclear Binding Energy and Radius

Scientific Achievement

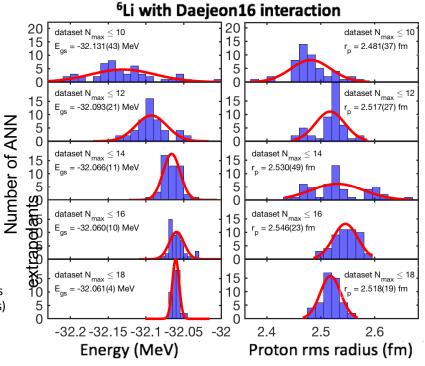
- Developed artificial neural networks (ANNs) for extending the application range of the ab initio No-Core Shell Model (NCSM)
- Demonstrated predictive power of ANNs for converged solutions of weakly converging simulations of the nuclear radius
- Provided a new paradigm for matching deep learning with results from high performance computing simulations

Significance and Impact

- Guides experimental programs at DOE's rare isotope facilities
- Extends the predictive power of ab initio nuclear theory beyond the reach of current high performance computing simulations
- Establishes foundation for deep learning tools in nuclear theory useful for a wide range of applications

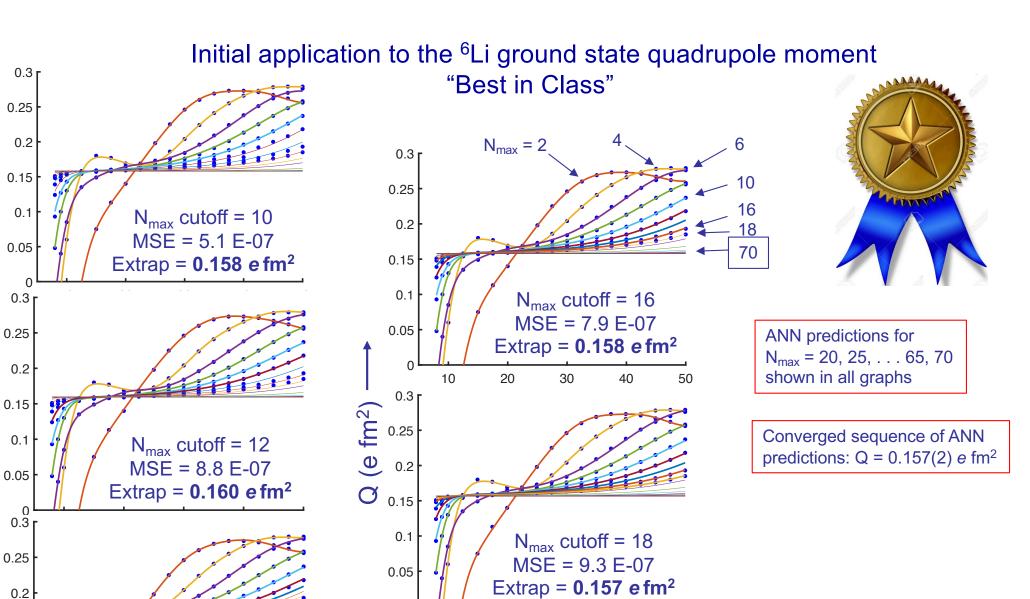


Neural network **(above)** used to successfully extrapolate the ⁶Li ground state energy and rms radius from modest basis spaces (N_{max} datasets) to extreme basis spaces achieving basis parameter independence (histograms of extrapolation ensembles in **right figure**).



Research Details

- Develop ANNs that extend the reach of high performance computing simulations of nuclei
- Predict properties of nuclei based on ab initio structure calculations in achievable basis spaces
- Produce accurate predictions of nuclear properties with quantified uncertainties using fundamental inter-nucleon interactions such as Daejeon16



20

 $\hbar\Omega$ (MeV)

10

0.15

0.1

0.05

10

20

 N_{max} cutoff = 14 MSE = 8.1 E-07

Extrap = $0.159 e fm^2$

40

50

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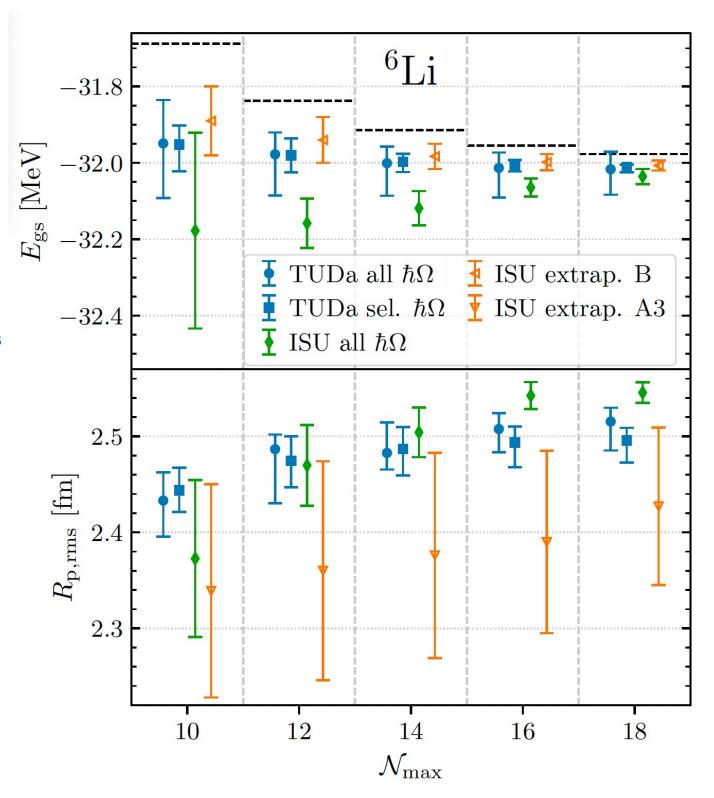
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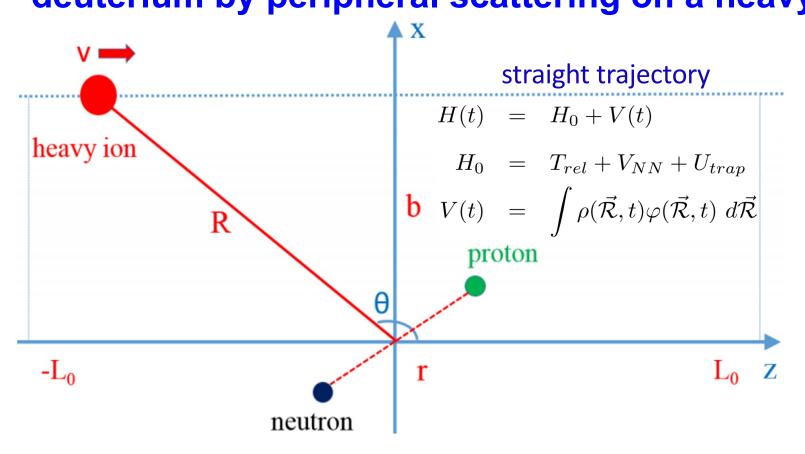
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"Benchmarking ANN extrapolations of the ground state energies and rms radii of the Li isotopes," M. Knoll, M. Lockner, P. Maris, R.J. McCarty, R. Roth, J.P. Vary and T. Wolfgruber, in preparation

"TUD" – Technische Universitaet Darmstadt "ISU" – Iowa State University

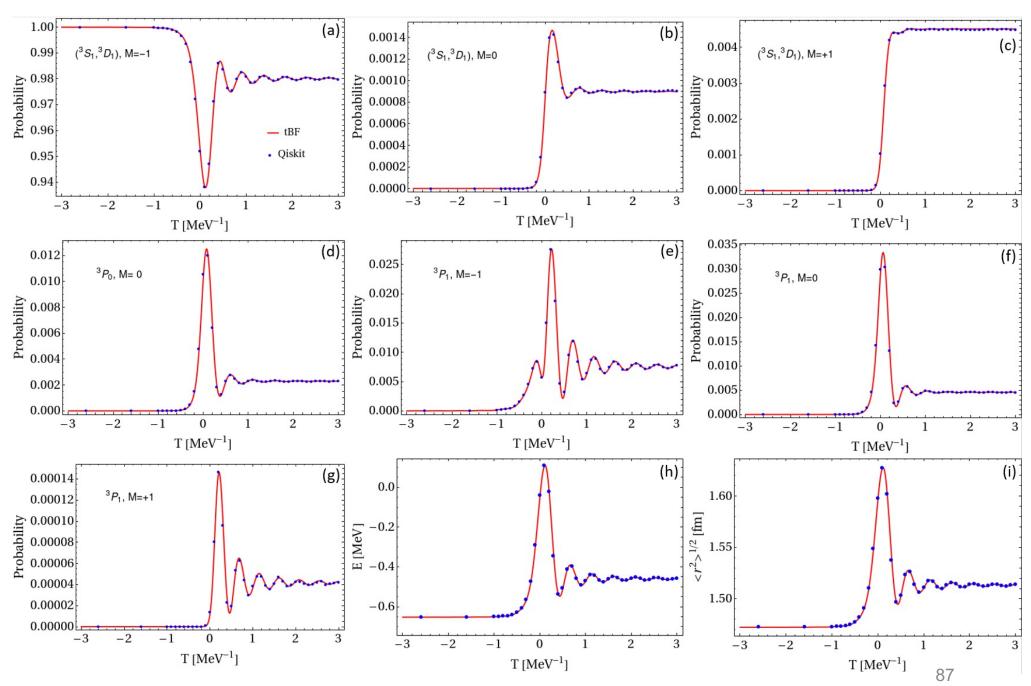


tBF on Quantum Computers Demonstration case: Coulomb excitation of deuterium by peripheral scattering on a heavy ion



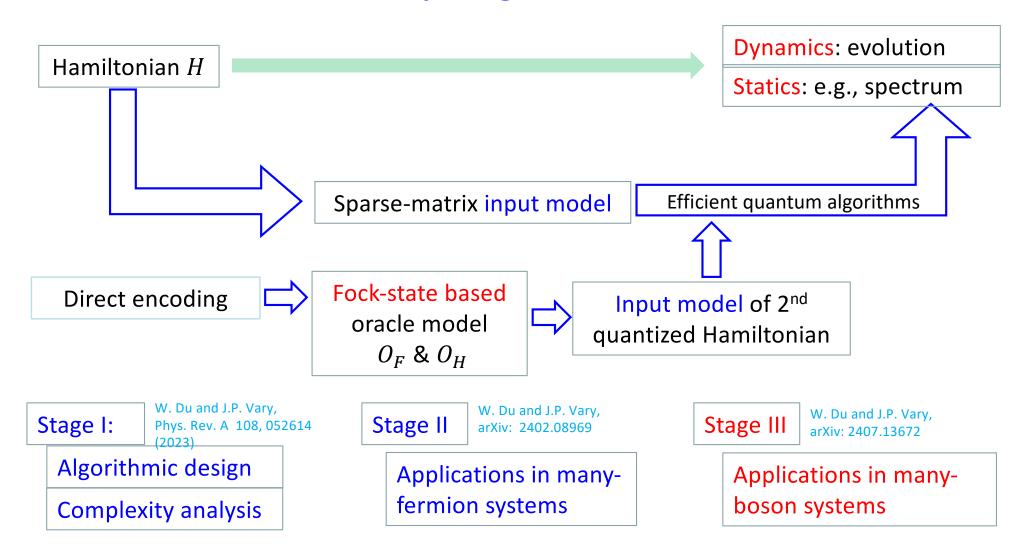
- H₀: Target (deuteron in trap) Hamiltonian
- φ: Coulomb field from heavy ion (U⁹²⁺) sensed by target
- ρ: Charge density distribution of target
- Limited to 7 deuteron states

Transition probabilities and observables



Weijie Du, James P. Vary, Xingbo Zhao and Wei Zuo, , Phys. Rev. A 104, 012611 (2021)

Quantum computing offers a promising path



Application: Spectral calculations of ⁴²Ca, ⁴⁴Ca, and ⁴⁶Ca

Pairing-plus-quadrupole-quadrupole interaction

$$H_A = g \left[-\sum_{lpha,eta} s_lpha s_eta a_lpha^\dagger a_{arlpha}^\dagger a_{areta} a_eta + \chi \sum_{lpha$$

Basis space

	SP basis (qubit)	\overline{n}	\overline{l}	2j	$2m_j$	2τ
$0f_{7/2}$	0	0	3	7	+7	-1
	1	0	3	7	-7	-1
	2	0	3	7	+5	-1
	3	0	3	7	-5	-1
	4	0	3	7	+3	-1
	5	0	3	7	-3	-1
	6	0	3	7	+1	-1
	7	0	3	7	-1	-1

Color coding: **Theory Experiment** See numerical values in the tables 4⁺, 2.92714 MeV 2+ 2+ 2⁺, 1.52471 MeV 2+ 2+ The ratio and absolute values of the two levels in ⁴²Ca are used to fit the parameters g and χ 0^+

[W. Du and J.P. Vary, arXiv: 2402.08969]

[W. Du and J. P. Vary, in preparation]

Many outstanding nuclear physics puzzles and discoveries remain

Spin structure of the proton Exotic systems including glueballs Origin of successful constituent quark model Origin of the successful nuclear shell model Clustering phenomena Nuclear reactions and breakup Astrophysical processes & drip lines Precision Nuclear Theory as a window on Physics beyond the Standard Model

Thank you for your attention I welcome your questions