

# The effective field theory of multi-field inflationary fluctuations

[LP, 2405.02180]

## I. Introduction

\* Amusing worksheet - huge progress in analytical calculations 2018 + XVI [1]

- we can compute many things

$$\int (c_i)^P H_i(-2c_i) \Theta(c_i - \bar{c}_i) \dots$$

- any  $P_i$
- n-point
- derived potential
- derived loops, etc.

also loops (?) → unclear to me in terms of observables  
 \* what should we compute?

- Enciclopedia Inflationaria: 283 SF models  
 ↳ how many MF? It's a question  
 - Hordl-ind. parametrized in SF: EFTOI [2]

• In MF, 2 important works: [3]:  $\alpha S^4 \rightarrow S^4 + c$

[4] EFTOSI:  $S$

"we lack of unifying description"

↳ this work: ~~the~~  $S^4$  w/o minimum.

\* not just for fun: many-field effects important, (52)

↳ inflationary power excitations [5]

$$(cc) \quad H_{\text{eff}}^2 \rightarrow m_i^2 \sigma_i^2 \quad \text{mixing angles like CKM} \\ \omega \sum_i S^{\alpha} S^{\beta} \rightarrow \sum_i (\omega_i R^{\alpha\beta}) \sum_i \sigma_i^2$$

$$\sum_i \omega_i \rightarrow \sum_i \omega_i \quad \text{like CKM}$$

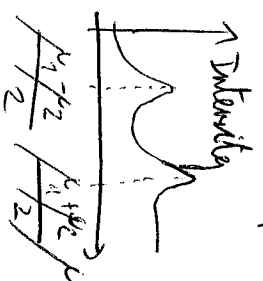
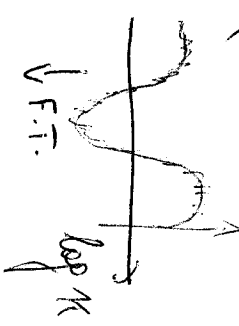
\* This was actually done using NSM interactions:

$$-\frac{1}{2} \phi^T G_i(\vec{\phi}) \phi \quad \phi^T \mathcal{P}^T \mathcal{P}^T - V(\vec{\phi}) \\ \mathcal{G}_i \rightarrow \mathcal{P}^{(i)}(\xi, S^{\alpha}) + \mathcal{P}^{(3)}(\xi, S^{\alpha})$$

⇒  $P_i$ 's, diagrams, etc. motivated

but is that all?

Multi-field inflation beyond the background



[12] Cheung et al. 07 [0708.0293]  
 [23] Senatore et al. 10 [1008.2093]  
 [24] Neumann et al. 12 [1211.1624]  
 [27] Adhikari, LP et al. 24 [2404.08547]  
 [5] LPR et al. 21 [2112.05710]; [6] LP [2011.05830]

# II Single-field EFT of inflationary fluctuations

\* [2]  $\mathcal{L} = \frac{M_{pl}^2}{2} R - V(\phi) - c_1 \dot{\phi}^{00} + \dots$

Einstein frame  $+ g_{\mu\nu} \dot{\phi}^\mu \dot{\phi}^\nu$

Heavy only invariant under special diffeos  
 special time direction  $\vec{E}(x)$

unitary gauge: pick  $E$  as the time:  $\partial_t E \rightarrow \delta_{ij}^{00}$

1. Einstein eq.  $\Rightarrow g_{\mu\nu} M_{pl}^2 (A-c) \dot{\phi}^\mu \dot{\phi}^\nu - 2c \delta_{ij}^{00} \delta_{ij}^{00} = 0$

$\Rightarrow \frac{M_{pl}^2}{2} R - M_{pl}^2 (3H^2 + \dot{H}) + \dot{H} \dot{\phi}^\mu \dot{\phi}^\nu \delta_{ij}^{00} - (p+p)$

universal part.

2. simplifications:  $\delta R_{\mu\nu\rho\sigma} \rightarrow \delta R_{ij}^{00}$   
 $\delta K_{ij}, \delta K_{ij}, \delta \dot{\phi}_i \dot{\phi}_j$

redundant

\*  $t \rightarrow \hat{t} = t + \pi(t, \vec{x})$  restores diffeo invariance if  $t + \pi$  is constant.

$g^{\mu\nu} \rightarrow \tilde{g}^{\mu\nu} = \frac{\partial \hat{x}^\mu}{\partial x^\mu} \frac{\partial \hat{x}^\nu}{\partial x^\nu} g^{\rho\sigma}$

$\tilde{g}^{00} = \frac{1}{(1+\dot{\pi})^2} + 2g^{0i} (1+\dot{\pi}) \partial_i \pi + g^{ij} \partial_i \pi \partial_j \pi$

include metric fluctuations

power	$\pi$	$\delta \rho^{00}$	$\delta \rho^{0i}$	$\delta \rho^{ij}$	(2)
flat	$\pi$	$-1 + \delta \rho^{00}_{flat}$	$\delta \rho^{0i}_{flat}$	$\delta \rho^{ij}_{flat}$	$\bar{a}^{-2} (\delta_{ij} + \chi_{ij})$
conv	0	$-1 + \delta \rho^{00}_{conv}$	$\delta \rho^{0i}_{conv}$	0	$a^{-2} \delta_{ij} (\delta_{ij} + \chi_{ij})$
long	$\pi \bar{t}$	$\Phi$	0	0	$a^{-2} [(1+2\chi) \delta_{ij} + \chi_{ij}]$

eq.  $ds^2 = -N^2 dt^2 + g_{ij} (N^i dt + dx^i) (N^j dt + dx^j)$

$\Rightarrow a^2 \delta_{ij} \quad N = 1 + \delta N$

$\delta \rho^{00}_{flat} = 2\delta N_{flat}, \dots$

$\delta \rho^{0i}_{flat} = \delta N^i_{flat}$

$\delta \rho^{ij}_{flat} = \delta N^{ij}_{flat} \dots$

constraints  $\rightarrow \delta N_{flat} = \dots$

$\delta g^{00} \rightarrow -2\dot{\pi} - \ddot{\pi} + \frac{(\partial \pi)^2}{2} + \dots$

metric fluct.

\* lowest order in derivatives neglected in the decoupling limit

- of the metric:  $\sum_{m=2}^{100} \frac{M_{pl}^{4m}}{m!} (Sg^{00})^m$

- of the NC system: odd  $\sum_{m=1} \frac{1}{2^m m!} (Sg^{00})^m [M_m^3 S K + m_m^2 \delta R]$

$\rightarrow$  however  $\mathcal{L}^{(2)}$  streamlined Henderber, beyond when  $m_1^2 \neq m_2^2$

$\frac{M_2^4}{2} (Sg^{00})^2 \rightarrow (\frac{1}{c_2^2} - 1) [\ddot{\pi} - \dot{\pi} \partial_i \pi]^2$  fixed by non-linearly realized minimum

### III. Multi-field EFT

\* extra ingredient:  $\{S^{\alpha}(t, \vec{x})\}_{\alpha=1 \dots N}$  a set of real d.o.f. :  $S^{\alpha} \rightarrow S^{\alpha}$  under  $t \rightarrow t + \pi$

"matter fields" in the EFT.

$\Delta$  Price to pay for working in the Einstein frame

$\hookrightarrow$  matter fields coupled to gravity

$$\text{or } F^{(2)} [S^{\alpha 00}, K_{ij}, S^{(3)} R_{ij}, S^{\alpha}, E]$$

\* order in derivatives:

$$\mathcal{L}_{\text{mod}, 0} = \sum_{M, \mathbb{Z}} \frac{b^{-d_1 \dots d_{\mathbb{Z}}}}{M! \mathbb{Z}!} (S^{\alpha 00})^M S^{\alpha_1} \dots S^{\alpha_{\mathbb{Z}}}$$

$$\mathcal{L}_{\text{mod}, 1} = \sum_{M, \mathbb{Z}} \frac{c_{d_1 \dots d_{\mathbb{Z}}} \alpha_{d_1} \dots \alpha_{d_{\mathbb{Z}}}}{M! \mathbb{Z}!} (S^{\alpha 00})^M \rho^{\alpha} \rho^{\beta} S^{\alpha_1} \dots S^{\alpha_{\mathbb{Z}}}$$

$$\mathcal{L}_{\text{mod}, 2} = \sum_{M, \mathbb{Z}} \frac{(S^{\alpha 00})^M}{2M! \mathbb{Z}!} \left[ d_{\alpha\beta} \alpha_1 \dots \alpha_{\mathbb{Z}} \rho^{\alpha} \rho^{\beta} \right]$$

$$- d_{\alpha\beta} \alpha_1 \dots \alpha_{\mathbb{Z}} \rho^{\alpha} \rho^{\beta} \left[ \rho^{\gamma} S^{\alpha} \rho^{\delta} S^{\beta} S^{\gamma_1} \dots S^{\gamma_{\mathbb{Z}}} \right]$$

- ~~massless~~ dependence with  $M_m \rightarrow b^{(M,0)} = 0$

- no tadpole:  $b_{\alpha}^{(0,1)} = 0, c_{\alpha}^{(0,0)} = 0$

Pr: d.o.f. structures, higher-order derivatives. (3)

\* Breeding degeneracies for  $\rho^{(2)}$

$$-\frac{1}{2} \left[ d_{\alpha\beta} \rho^{\alpha} \rho^{\beta} \right] \rho^{\gamma} S^{\alpha} S^{\beta}$$

$\left\{ \begin{array}{l} \geq 0 \text{ or } > 0 \\ - \text{ no prod. inst.} \\ - \text{ neglect higher-order der.} \end{array} \right.$

sym. and real  $\hookrightarrow d_{\alpha\beta} \rightarrow S_{\alpha\beta}, \bar{d}_{\alpha\beta} \rightarrow \left( \frac{1}{c_{\alpha}^2} - 1 \right) \delta_{\alpha\beta}$  (no sum.)

$$- \text{ mixing } - c_{\alpha\beta} \dot{S}^{\alpha} S^{\beta} \xrightarrow{\text{I.B.F.}} - \left[ c_{\alpha\beta} \right] \dot{S}^{\alpha} S^{\beta} + \frac{d}{dt} \left( \frac{a^3}{2} c_{\alpha\beta} \right) \dot{S}^{\alpha} S^{\beta}$$

$$- \text{ mass matrix: } \left[ \frac{b^{-d_1 \dots d_{\mathbb{Z}}}}{2} + \frac{d}{dt} \left( \frac{a^3}{2} c_{\alpha\beta} \right) \right] S^{\alpha} S^{\beta}$$

$$b_{\alpha}^{(1,1)} S^{\alpha} S^{\alpha} \xrightarrow{-m^2 c_{\alpha\beta} / 2} c_{\alpha}^{(1,0)} S^{\alpha} \rho^{\alpha} \rho^{\alpha} S^{\alpha}$$

$$- \text{ mixing with } \pi \rightarrow \dot{\pi} S^{\alpha} \left[ \dot{\pi} S^{\alpha} \right] - \frac{m_{\alpha}^2}{2} S^{\alpha} S^{\beta} + \mathcal{L}_{\text{FB}} \dot{S}^{\alpha} S^{\beta}$$

$$\Rightarrow \mathcal{L}_{\text{mod}}^{(2)} = \sum_{\alpha} \frac{1}{2c_{\alpha}^2} \left[ (\dot{S}^{\alpha})^2 - c_{\alpha}^2 \left( \frac{dS^{\alpha}}{dt} \right)^2 \right] - \frac{m_{\alpha}^2}{2} S^{\alpha} S^{\beta} + \mathcal{L}_{\text{FB}} \dot{S}^{\alpha} S^{\beta}$$

$$- 2 \sqrt{\pi} \omega_{\alpha} \dot{\pi} S^{\alpha} - 2 \left( \frac{\partial \pi}{\partial H} \right) \omega_{\alpha} \dot{\pi} S^{\alpha} + \frac{\sqrt{\pi}}{2c_{\alpha}^3} \left[ \dot{\pi}^2 - c_{\alpha}^2 \left( \frac{d\pi}{dt} \right)^2 \right]$$

\* decoupling limit:  $S^N, S^{N^i} \Rightarrow \dot{\pi} \rightarrow \dot{\pi} + \epsilon H \dot{\pi} = -\frac{3}{2} \dot{\pi} / H$

\* NL-mediated symm.  $b_{\alpha}^{(1,1)} S^{\alpha 00} S^{\alpha} \rightarrow \sqrt{\pi}^2 \omega_{\alpha} \left( \frac{d\pi}{dt} \right)^2 S^{\alpha}$

-  $c_{\alpha\beta}^{(0,1)} \rho^{\alpha} \rho^{\beta} S^{\alpha} S^{\beta} \rightarrow -\Omega_{\alpha\beta} \frac{\partial \pi}{\partial S^{\alpha}} \frac{\partial S^{\alpha}}{\partial S^{\beta}}$

time-dep:  $\omega_{\alpha} \rightarrow \omega_{\alpha}(t + \pi) \Rightarrow \Delta$  (fluctuations)

# Appendix A: decoupling limit

I neglected metric fluctuations so far.

$$g_{ij}^{\text{pert}} \rightarrow g_{ij}^{\text{pert}} - 2\dot{\pi} + \dots, \text{ where } g_{ij}^{\text{pert}} \text{ is of quadrupole order}$$

Universal part  $\rightarrow$   $S_{N \text{ pert}} = \epsilon_{HTT} \leftarrow \frac{g_{ij}^{\text{pert}}}{g_{ij}^{\text{background}}} = 0$   
 $S_{N \text{ pert}} = (\dots) \leftarrow \frac{S_{ij}}{S_N} = 0$

$$\mathcal{L} \sim \underbrace{\frac{\partial_i N^i}{a^2}}_{\text{universal part}} \left[ \epsilon_{HTT} + 4 S_N^2 + 2 \int \frac{\pi^2 \omega_\alpha}{H} \right] + 2 \int \frac{\pi^2 \omega_\alpha}{H} S_N \dot{S}_\alpha$$

$$\frac{\delta \mathcal{L}}{\delta N^i} = 0 \rightarrow \left[ \epsilon_{HTT} \right] = 0 \text{ i.e. } S_N = \epsilon_{HTT}$$

$$\frac{\delta \mathcal{L}}{\delta N} = 0 \rightarrow \epsilon_{HTT} + 4 S_N \frac{\delta \mathcal{L}}{\delta S_N} + \frac{\partial_i N^i}{a^2} \times \frac{\partial_i N^i}{a^2} \epsilon_{HTT} + B(\pi) \epsilon_{HTT} + 2 \int \frac{\pi^2 \omega_\alpha}{H} \dot{S}_\alpha = 0$$

$$\Rightarrow \mathcal{L} \sim O + A (\epsilon_{HTT})^2 + B(\pi) \epsilon_{HTT} + 2 \int \frac{\pi^2 \omega_\alpha}{H} S_\alpha \dot{\epsilon}_{HTT} + 2 \int \frac{\pi^2 \omega_\alpha}{H} \dot{S}_\alpha \epsilon_{HTT}$$

$$\hookrightarrow -2 \int \frac{\pi^2 \omega_\alpha}{H} \left( \dot{\pi} - \epsilon_{HTT} \right) \int S_\alpha - 2 \int \frac{\pi^2 \omega_\alpha}{H} \left( \dot{\pi} - \epsilon_{HTT} \right) \dot{S}_\alpha$$

# Appendix B: Adding 3d - curvatures

$$* K_{ij} = \frac{1}{2} \sqrt{-g^{00}} (\partial^0 \partial^i \partial^j - \partial^i \partial^0 \partial^j - \partial^j \partial^0 \partial^i)$$

$$\hookrightarrow \tilde{K}_{ij} = K_{ij} - \partial_i \partial_j \pi ; \quad \bar{K}_{ij} = H \cdot \delta_{ij}$$

$$\Rightarrow \widehat{S}_{H ij} = S_{K ij} + \epsilon_{HTT} \pi \delta_{ij} - \partial_i \partial_j \pi$$

inverse:  $\tilde{R}_{ij}^{(3)} = R_{ij}^{(3)} \text{ pert} + H (\partial_i \partial_j \pi + \delta_{ij} \partial^2 \pi)$

\* adiabatic:  $\frac{H_1^3}{2} S_{ij}^{\text{pert}} S_{K ij} \rightarrow \frac{H_1^3}{2} (S_{N \text{ pert}} - \dot{\pi}) (S_{K \text{ pert}} - \frac{\partial^2 \pi}{a^2})$

$$S_{K \text{ pert}} = -3HS_N - \frac{\partial_i N^i}{a^2} \rightarrow \text{effects the loop: } S_N = \frac{\epsilon_{HTT}}{1+\alpha} + \frac{\alpha}{1+\alpha} \dot{\pi}$$

$$\Rightarrow -\frac{\alpha}{1+\alpha} \frac{1}{H} \times \left( -\frac{3H\alpha}{1+\alpha} \dot{\pi} - \frac{\partial^2 \pi}{a^2} \right) \rightarrow \left( \frac{\alpha}{1+\alpha} \right)^2 \dot{\pi}^2$$

$\Rightarrow$  either  $\alpha \ll 1$  and we effect still +  $\frac{\alpha}{1+\alpha} \frac{\dot{\pi}^2}{H^2 a^2}$   
 either  $\alpha \sim 1$  and decoupling limit not justified  $\hookrightarrow \frac{\partial^2 \pi}{a^2}$

\* mod vector:  $\vec{b}_i^{\text{mod}} S_{K ij} \rightarrow R_{ij}^{(3)} (S_{K \text{ pert}} - \frac{\partial^2 \pi}{a^2}) S_\alpha$ , etc.

$$\Rightarrow \Delta \mathcal{L}^{(3)} = -\sqrt{\alpha} \frac{\pi^2}{H} \frac{\partial^2 \pi}{a^2} \cdot S_\alpha - \sqrt{\alpha} \frac{\pi^2}{H} \frac{\partial^2 \pi}{a^2} S_\alpha$$