

GENERATING COSMOLOGICAL PERTURBATIONS AT HORNDESKI BOUNCE

based on arXiv:2207.04071

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1. The search of **non-singular alternatives to inflation** seems as an important problem;
2. We study **bounce** epoch as such alternative/completion to/of inflation.

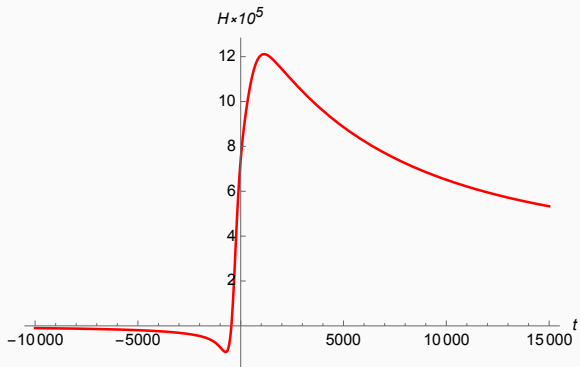


Figure 1: Hubble parameter: bounce

*Qui'2011,2013; Easson'2011; Cai'2012;
Osipov'2013; Koehn'2013; Battarra'2014; Ijjas'2016*

NULL ENERGY CONDITION

Realization of non-singular evolution within classical field theory requires the violation of the **Null Energy Condition (NEC)** $T_{\mu\nu}n^\mu n^\nu > 0$ (or **Null Convergence Condition (NCC)** $R_{\mu\nu}n^\mu n^\nu > 0$ for modified gravity).

$$T_{00} = \rho, \quad T_{ij} = a^2 \gamma_{ij} p,$$

$$\dot{H} = -4\pi G(\rho + p) + \text{curvature term.}$$

Let us use $n_\mu = (1, a^{-1}\nu^i)$ with $\gamma_{ij}\nu^i\nu^j = 1$ and then NEC leads to

$$T_{\mu\nu}n^\mu n^\nu > 0 \rightarrow \rho + p \geq 0 \rightarrow \dot{H} \leq 0.$$

Penrose theorem: singularity in the past.

HORNDESKI THEORY

Violation of NEC/NCC without obvious pathologies is possible in the class of **Horndeski theories** [*Horndeski'74*]:

$$\begin{aligned}\mathcal{L}_H = & G_2(\phi, X) - G_3(\phi, X)\square\phi + \\ & G_4(\phi, X)R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] \\ & + G_5(\phi, X)G^{\mu\nu}\nabla_\mu\nabla_\nu\phi \\ & - \frac{1}{6}G_{5,X}[(\square\phi)^3 - 3\square\phi(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3],\end{aligned}$$

where $X = -\frac{1}{2}g^{\mu\nu}\partial_\mu\phi\partial_\nu\phi$ and $\square\phi = g^{\mu\nu}\nabla_\mu\nabla_\nu\phi$. For our purposes it is enough to study

$$\mathcal{L}_H = G_2(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi)R.$$

In the framework of this theory one can (quite straightforwardly) obtain healthy bounce epoch.

Another problem arises if one considers the whole evolution ($-\infty < t < +\infty$) of such a singularity-free universe: instabilities show up at some moment in the history \rightarrow **No-Go** theorems.

M. Libanov, S. Mironov, V. Rubakov'2016; T. Kobayashi'2016; S. Mironov, V. Rubakov, V. Volkova'2018.

NO-GO THEOREM

Let us consider the following perturbed ADM metric:

$$ds^2 = -N^2 dt^2 + \gamma_{ij} \left(dx^i + N^i dt \right) \left(dx^j + N^j dt \right),$$

$$\gamma_{ij} = a^2 e^{2\zeta} (\delta_{ij} + h_{ij} + \dots), \quad N = N_0(1 + \alpha), \quad N_i = \partial_i \beta.$$

Here α and β are not physical. We work with **unitary gauge** $\delta\phi = 0$.

The quadratic actions for ζ and h_{ij} are given, respectively:

$$\mathcal{L}_{\zeta\zeta} = a^3 \left[\mathcal{G}_S \frac{\dot{\zeta}^2}{N^2} - \frac{\mathcal{F}_S}{a^2} \zeta_{,i} \zeta_{,i} \right], \quad \mathcal{L}_{hh} = \frac{a^3}{8} \left[\mathcal{G}_T \frac{\dot{h}_{ij}^2}{N^2} - \frac{\mathcal{F}_T}{a^2} h_{ij,k} h_{ij,k} \right].$$

Remind that bounce solution is $a(t) \rightarrow \infty$ as $t \rightarrow -\infty$. No-Go works if

$$\int_{-\infty}^t a(t) (\mathcal{F}_T + \mathcal{F}_S) dt = \infty, \\ \int_t^{+\infty} a(t) (\mathcal{F}_T + \mathcal{F}_S) dt = \infty.$$

No-Go: $\mathcal{F}_{S,T} < 0$ at some moment of time, **instability**.

NO-GO THEOREM

- One way is to go beyond Horndeski and DHOST [Cai et.al.' 2016, Creminelli et.al.'2016, Kolevatov et.al.'2017, Cai, Piao'2017]
- Another way to avoid No-Go theorem for Horndeski is to obtain such a model/solution that $\mathcal{F}_{S,T}$ coefficients have asymptotics

$$\mathcal{F}_{S,T} \rightarrow 0 \text{ as } t \rightarrow -\infty, \text{ where } \mathcal{F}_T = 2G_4.$$

- This means that

$$G_4 \rightarrow 0 \text{ as } t \rightarrow -\infty.$$

- Effective Planck mass goes to zero and it signalizes that we may have **strong coupling** at $t \rightarrow -\infty$.

Solution: no SC regime at $t \rightarrow -\infty$ in some region of lagrangian parameters.

CONCRETE BOUNCE MODEL

With the appropriate choice of lagrangian functions, the bounce solution is given by

$$N = \text{const} , \quad a = d(-t)^\chi ,$$

where $\chi > 0$ is a constant and $Nt \rightarrow t$ is cosmic time, so that $H = \chi/t$. Coefficients from quadratic actions are

$$\mathcal{G}_T = \mathcal{F}_T = \frac{g}{(-t)^{2\mu}} ,$$

and

$$\mathcal{G}_S = g \frac{g_s}{2(-t)^{2\mu}} , \quad \mathcal{F}_S = g \frac{f_s}{2(-t)^{2\mu}} ,$$
$$u_T^2 = \frac{\mathcal{F}_T}{\mathcal{G}_T} = 1, \quad u_S^2 = \frac{\mathcal{F}_S}{\mathcal{G}_S} = \frac{f_s}{g_s} \neq 1.$$

To avoid No-Go:

$$1 > \chi > 0, \quad 2\mu > \chi + 1.$$

To avoid SC regime ($t \rightarrow -\infty$):

$$\mu < 1.$$

Spectra are given by

$$\mathcal{P}_\zeta \equiv \mathcal{A}_\zeta \left(\frac{k}{k_*} \right)^{n_S-1}, \quad \mathcal{P}_T \equiv \mathcal{A}_T \left(\frac{k}{k_*} \right)^{n_T},$$

where k_* is pivot scale, the spectral tilts are

$$n_S - 1 = n_T = 2 \cdot \left(\frac{1 - \mu}{1 - \chi} \right),$$

$$n_S = 0.9649 \pm 0.0042.$$

The amplitudes in our model are

$$\mathcal{A}_\zeta = \frac{C}{g} \frac{1}{g_S u_S^{2\nu}}, \quad \mathcal{A}_T = \frac{8C}{g},$$

where

$$\nu = \frac{1 + 2\mu - 3\chi}{2(1 - \chi)} = \frac{3}{2} + \frac{1 - n_S}{2} \approx \frac{3}{2},$$

approximate flatness is ensured in our set of models by choosing $\mu \approx 1$, while the slightly red spectrum is found for $\mu > 1$.

The problem №1: red-tilted spectrum requires $\mu > 1$, while absence of strong coupling $\mu < 1$!

Solution: consider time-dependent μ : changes from $\mu < 1$ to $\mu > 1$ (time runs as $-\infty < t < \infty$).

Try to escape from SC and generate spectrum, consistent with experiment. Horizon exit must occur in weak coupling regime!

The problem №2: r -ratio is small:

$$r = \frac{\mathcal{A}_T}{\mathcal{A}_\zeta} \approx 8g_S u_S^3 < 0.032. \text{ *Tristram'2022*}$$

Solution: choose $u_S \ll 1$. *Mukhanov'1999, 2000, k-inflation*

STRONG COUPLING

Cubic action for tensors

$$\mathcal{S}_{TTT}^{(3)} = \int dt a^3 d^3x \left[\frac{\mathcal{F}_T}{4a^2} \left(h_{ik} h_{jl} - \frac{1}{2} h_{ij} h_{kl} \right) h_{ij,kl} \right].$$

Corresponding SC and classical scales are

$$E_{strong}^{TTT} \sim \frac{\mathcal{G}_T^{3/2}}{\mathcal{F}_T} = \frac{g^{1/2}}{|t|^\mu}, \quad E_{cl} \sim H \sim |t|^{-1},$$

thus we obtain for $E_{strong}^{TTT} > E_{cl}$:

$$|t|^{2\mu-2} < g.$$

Tensors exit (effective) horizon:

$$t_f^{(T)}(k) \sim \left(\frac{d}{k} \right)^{\frac{1}{1-\chi}}$$

so the absence of SC at $t = t_f$

$$\frac{1}{g} \left(\frac{d}{k} \right)^{\frac{2\mu-1}{1-\chi}} \sim \mathcal{A}_T \ll 1.$$

Cubic action for scalars

$$S_{\zeta\zeta\zeta}^{(3)} = \int dt d^3x \Lambda_\zeta \partial^2 \zeta (\partial_i \zeta)^2 ,$$

$$E_{strong}^{\zeta\zeta\zeta} \sim \Lambda_\zeta (\mathcal{G}_S)^{-3/2} u_S^{-11/2} \sim \frac{1}{|t|} \left(\frac{g^{1/2} u_S^{11/2}}{|t|^{\mu-1}} \right)^{1/3} ,$$

thus we obtain for $E_{strong}^{\zeta\zeta\zeta} > E_{cl}$:

$$\left(\frac{g u_S^{11}}{|t|^{2(\mu-1)}} \right)^{1/6} > 1 .$$

Scalars exit (effective) horizon:

$$t_f^{2(\mu-1)} \sim g \mathcal{A}_\zeta u_S^3 .$$

$$\left(\frac{g u_S^{11}}{|t_f(k_{min})|^{2(\mu-1)}} \right)^{1/6} \sim \left(\frac{u_S^8}{\mathcal{A}_\zeta} \right)^{1/6} \sim \left(\frac{r^{8/3}}{\mathcal{A}_\zeta} \right)^{1/6} ,$$

$$\left(\frac{r^{8/3}}{\mathcal{A}_\zeta} \right)^{1/6} > 1 .$$

STRONG COUPLING AND r -RATIO

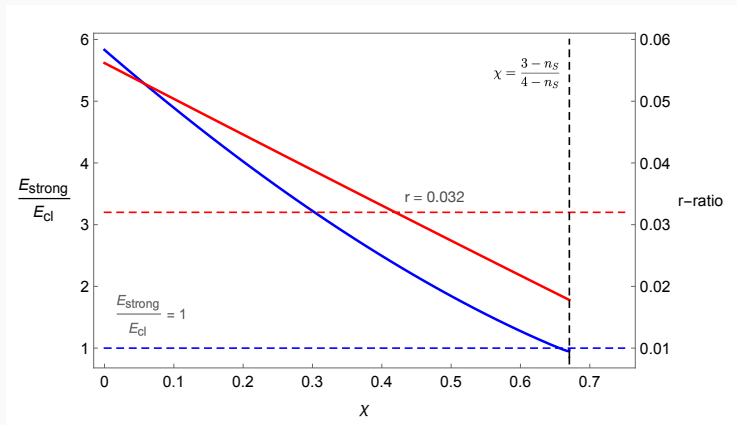


Figure 2: The r -ratio (red line) and ratio $E_{\text{strong}}(k_*)/E_{\text{cl}}(k_*)$ (blue line) as functions of χ for the central value $n_S = 0.9649$.

- We construct the model of bounce, within one can **generate nearly flat (red-tilted) power spectrum** of scalar perturbations. But it is not so automatic as in inflation!
- In such models the requirement of strong coupling absence leads to the fact that the **r -ratio cannot be arbitrarily small** and, moreover, it is close to the boundary $r < 0.032$ suggested by the observational data.

Thank you for attention!

NO-GO THEOREM

Coefficients $\mathcal{F}_S, \mathcal{G}_S, \mathcal{F}_T, \mathcal{G}_T$ are given by:

$$\mathcal{F}_T = 2G_4 + \dots, \quad \mathcal{G}_T = 2G_4 + \dots,$$

and

$$\mathcal{F}_S = \frac{1}{a} \frac{d}{dt} \left(\frac{a}{\Theta} \mathcal{G}_T^2 \right) - \mathcal{F}_T, \quad \mathcal{G}_S = \frac{\Sigma}{\Theta^2} \mathcal{G}_T^2 + 3\mathcal{G}_T,$$

where Σ and Θ are some cumbersome expression of G_2, G_3, G_4 and H .
Stability conditions are:

$$\mathcal{G}_T \geq \mathcal{F}_T > 0, \quad \mathcal{G}_S \geq \mathcal{F}_S > 0.$$

Denote $\xi = a\mathcal{G}_T^2/\Theta$, we rewrite \mathcal{F}_S as

$$\mathcal{F}_S = \frac{1}{a} \frac{d\xi}{dt} - \mathcal{F}_T \rightarrow \frac{d\xi}{dt} > a\mathcal{F}_T > 0$$

$$\frac{d\xi}{dt} > a\mathcal{F}_T > 0, \quad \xi = a\mathcal{G}_T^2/\Theta,$$

Here $|\Theta| < \infty$ everywhere and it is smooth function of time (as it is function of ϕ and H), so ξ can never vanish (except $a = 0$) \rightarrow thus we demand **non-singular** model. Integrating from some t_i to t_f , we obtain:

$$\xi(t_f) - \xi(t_i) > \int_{t_i}^{t_f} a(t)\mathcal{F}_T dt,$$

where $a > \text{const} > 0$ for $t \rightarrow -\infty$ and it is increasing with $t \rightarrow +\infty$.

NO-GO THEOREM

$$\xi(t_f) - \xi(t_i) > \int_{t_i}^{t_f} a(t) \mathcal{F}_T dt,$$

- Let $\xi_i < 0$, so

$$-\xi_f < |\xi_i| - \int_{t_i}^{t_f} a \mathcal{F}_T dt,$$

where RHS \rightarrow negative with $t_f \rightarrow +\infty$. So therefore $\xi_f > 0$. And it means that $\xi = 0$ at some moment of time - singularity! So we should demand $\xi > 0$ for all times.

- But on the other had, again just rewriting:

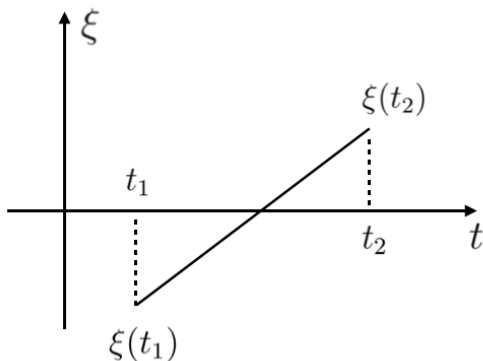
$$-\xi_i > -\xi_f + \int_{t_i}^{t_f} a \mathcal{F}_T dt,$$

and now RHS \rightarrow positive with $t_i \rightarrow -\infty$ and ξ_i must be negative. Again contradiction...

NO-GO THEOREM

Thus we have two important features here:

1. $\xi \neq 0$,
2. $d\xi/dt > a\mathcal{F}_T > 0$.



$$\xi(t_f) - \xi(t_i) > \int_{t_i}^{t_f} a(t)\mathcal{F}_T dt,$$

$$G_2 = A_2 - 2XF_\phi,$$

$$G_3 = -2XF_X - F,$$

$$G_4 = B_4,$$

where $F(\phi, X)$ is an auxiliary function, such that

$$F_X = -\frac{A_3}{(2X)^{3/2}} - \frac{B_4\phi}{X},$$

with

$$N^{-1}d\phi/dt = \sqrt{2X}.$$

EoMs are

$$(NA_2)_N + 3NA_{3N}H + 6N^2(N^{-1}A_4)_NH^2 = 0,$$

$$A_2 - 6A_4H^2 - \frac{1}{N} \frac{d}{dt} (A_3 + 4A_4H) = 0 .$$

CONCRETE BOUNCE MODEL

Let us move to ADM formalism now:

$$\mathcal{L} = A_2(t, N) + A_3(t, N)K + A_4(K^2 - K_{ij}^2) + B_4(t, N)R^{(3)}.$$

We remind that we have unitary gauge $\phi = \phi(t)$. ${}^{(3)}R_{ij}$ is the Ricci tensor made of γ_{ij} , $\sqrt{-g} = N\sqrt{\gamma}$, $K = \gamma^{ij}K_{ij}$, ${}^{(3)}R = \gamma^{ij}{}^{(3)}R_{ij}$ and

$$K_{ij} \equiv \frac{1}{2N} \left(\frac{d\gamma_{ij}}{dt} - {}^{(3)}\nabla_i N_j - {}^{(3)}\nabla_j N_i \right),$$

At $t \rightarrow -\infty$

$$\begin{aligned} A_2(t, N) &= g(-t)^{-2\mu-2} \cdot a_2(N), & a_2(N) &= c_2 + \frac{d_2}{N} \\ A_3(t, N) &= g(-t)^{-2\mu-1} \cdot a_3(N), & a_3(N) &= c_3 + \frac{d_3}{N}, \\ A_4(t) &= -B_4(t) = -\frac{g}{2}(-t)^{-2\mu}. \end{aligned}$$

CONCRETE BOUNCE MODEL: STABILITY

$$f_S = \frac{2(2 - 4\mu + N^2 a_{3N})}{2\chi - N^2 a_{3N}},$$

$$g_S = 2 \left[\frac{2(2N^3 a_{2N} + N^4 a_{2NN} - 3\chi(2\chi + N^3 a_{3NN}))}{(N^2 a_{3N} - 2\chi)^2} + 3 \right],$$

$$f_S = -2 \left(\frac{4\mu - 2 + d_3}{2\chi + d_3} \right),$$

$$g_S = \frac{6d_3^2}{(2\chi + d_3)^2} \cdot$$

$$d_3 = -2,$$

$$f_S = \frac{4(\mu - 1)}{1 - \chi} = 2(1 - n_S),$$

$$g_S = \frac{6}{(1 - \chi)^2} \cdot$$

$$\zeta = \frac{1}{(2\mathcal{G}_S a^3)^{1/2}} \cdot \psi,$$

$$\mathcal{S}_{\psi\psi}^{(2)} = \int d^3x dt \left[\frac{1}{2} \dot{\psi}^2 + \frac{1}{2} \frac{\ddot{\alpha}}{\alpha} \psi^2 - \frac{u_S^2}{2a^2} (\vec{\nabla} \psi)^2 \right],$$

$$\alpha = (2\mathcal{G}_S a^3)^{1/2} = \frac{\text{const}}{(-t)^{\frac{2\mu-3\chi}{2}}}.$$

$$\psi_{\text{WKB}} = \frac{1}{(2\pi)^{3/2}} \frac{1}{\sqrt{2\omega}} \cdot e^{-i \int \omega dt} = \frac{1}{(2\pi)^{3/2}} \sqrt{\frac{d}{2u_S k}} (-t)^{\chi/2} \cdot e^{i \frac{u_S}{d} \frac{k}{1-\chi} (-t)^{1-\chi}},$$

$$\omega = \frac{u_S k}{a} = \frac{u_S \cdot k}{d(-t)^\chi}.$$

$$\zeta = \mathfrak{e} \cdot (-t)^\delta \cdot H_\nu^{(2)}(\beta(-t)^{1-\chi}),$$

$$\delta = \frac{1 + 2\mu - 3\chi_1}{2},$$

$$\beta = \frac{u_S k}{d(1-\chi)},$$

$$\nu = \frac{\delta}{\gamma} = \frac{1 + 2\mu - 3\chi}{2(1-\chi)},$$

$$\mathfrak{e} = \frac{1}{(gg_S)^{1/2}} \frac{1}{2^{5/2}\pi(1-\chi)^{1/2}} \frac{1}{d^{3/2}},$$

$$\zeta = (-i) \frac{\mathfrak{e}}{\sin(\nu\pi)} \frac{(1-\chi)^\nu}{u_S^\nu \Gamma(1-\nu)} \left(\frac{2d}{k}\right)^\nu,$$

$$\mathcal{P}_\zeta = 4\pi k^3 \zeta^2.$$

SPACE OF PARAMETERS n_S AND χ

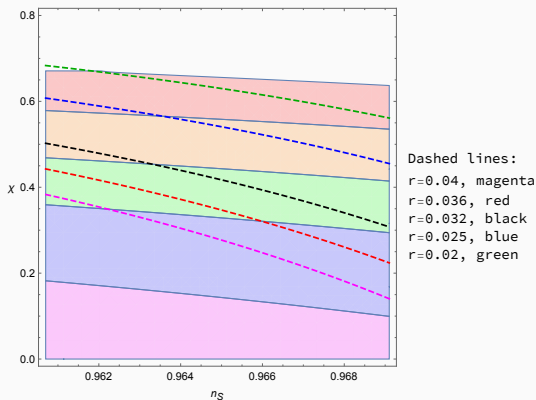


Figure 3: Space of parameters n_S and χ . Colored strips correspond to different ratios of strong coupling scale to classical scale:
 $1 < E_{strong}(k_*)/E_{cl}(k_*) < 1.5$ (red), $1.5 < E_{strong}(k_*)/E_{cl}(k_*) < 2.2$ (orange),
 $2.2 < E_{strong}(k_*)/E_{cl}(k_*) < 3$ (green), $3 < E_{strong}(k_*)/E_{cl}(k_*) < 4.5$ (blue),
 $4.5 < E_{strong}(k_*)/E_{cl}(k_*)$ (magenta).

SPACE OF PARAMETERS ϵ AND χ : $\mu = 1$

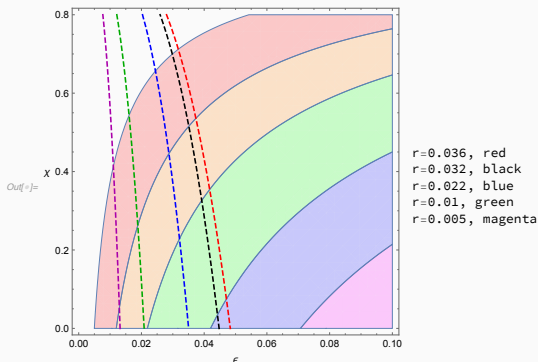


Figure 4: Space of parameters ϵ and χ in the case $\mu = 1$. Colored strips correspond to different ratios of strong coupling scale to classical scale: $1 < E_{strong}/E_{cl} < 1.8$ (red), $1.8 < E_{strong}/E_{cl} < 2.7$ (orange), $2.7 < E_{strong}/E_{cl} < 4.2$ (green), $4.2 < E_{strong}/E_{cl} < 6$ (blue), $6 < E_{strong}/E_{cl}$ (magenta).