

Constraints on MeV Axions from Kaon Decays

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- QCD axion is a dynamical solution of strong CP problem
- Axion mass

$$m_a^2 \approx \frac{m_u m_d}{(m_u + m_d)^2} \frac{m_\pi^2 f_\pi^2}{f_a^2}$$

f_a : axion decay constant

f_π : pion decay constant

Many studies

$$10^9 \text{ GeV} \leq f_a \leq 10^{12} \text{ GeV}$$

??

Excluded by
experiment

CDM

Recently

$$f_a \sim 1 \text{ GeV} \quad m_a \sim 10 \text{ MeV}$$

1

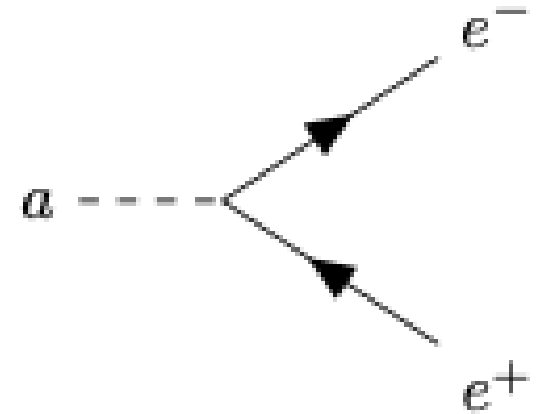
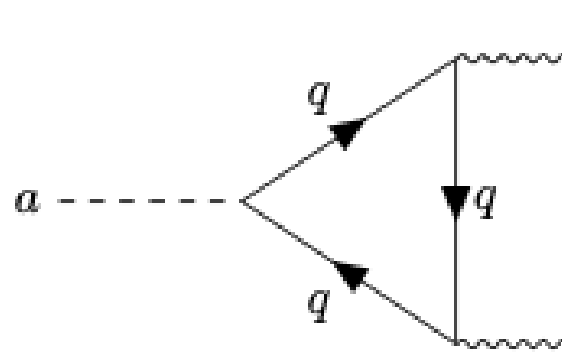
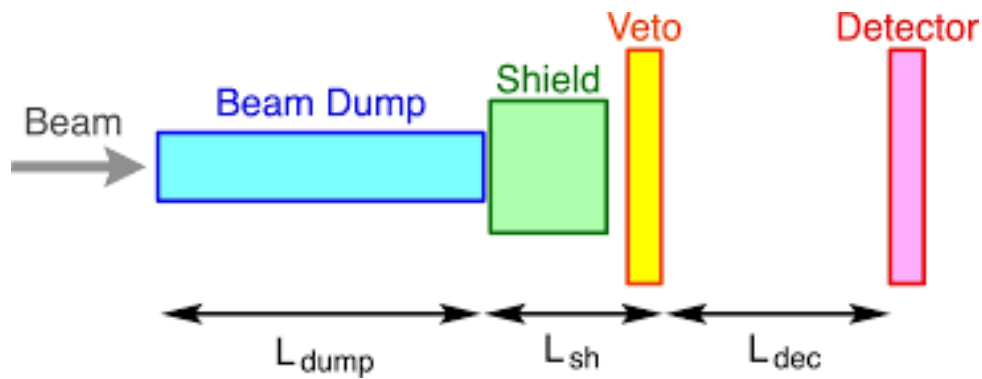
$10^8 \sim 10^9$

10^{12}

f_a
GeV

Beam dump experiment

$$\text{Br}(K^+ \rightarrow \pi^+ (a \rightarrow \text{invisible})) \lesssim 4.5 \times 10^{-11} \quad (\text{E787 Collaboration})$$



➡ Axion couples to e^-

Quarkonia Decay

(F. Wilczek, Phys. Rev. Lett. 39 (1977) 1304)

$$\Gamma(J/\psi \rightarrow \gamma a) = \Gamma(J/\psi \rightarrow \mu^+ \mu^-) \frac{Q_c^2 \lambda_c^2}{2\pi\alpha} C_{J/\psi} \quad \lambda_c \sim O\left(\frac{m_c}{f_a}\right) \quad \lambda_b \sim O\left(\frac{m_b}{f_a}\right)$$

$$\Gamma(\Upsilon \rightarrow \gamma a) = \Gamma(\Upsilon \rightarrow \mu^+ \mu^-) \frac{Q_b^2 \lambda_b^2}{2\pi\alpha} C_\Upsilon \quad \longrightarrow \quad Q_c = Q_b = Q_t = 0$$

The rare decay process

$$\Gamma(\pi^+ \rightarrow e^+ \nu_e a) = \frac{\cos^2 \theta_c}{384\pi^3} G_F^2 m_\pi^5 \theta_{a\pi}^2$$

$$\theta_{a\pi} \lesssim (0.5 - 0.7) \times 10^{-4} \quad \text{SINDRUM collaboration, Phys. Lett. B 175 (1986) 101}$$

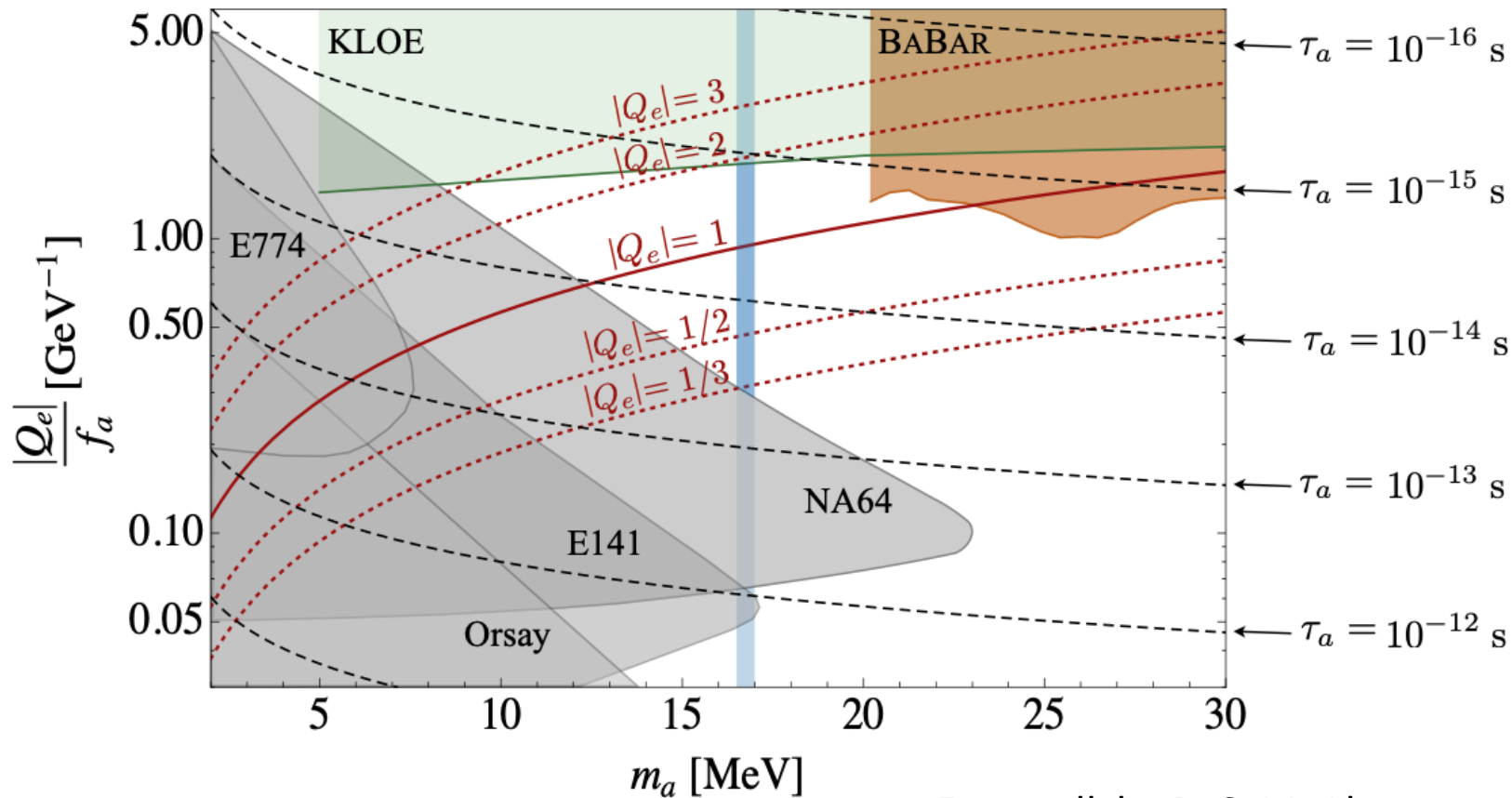
$$O\left(\frac{f_\pi}{f_a}\right) \sim (0.5 - 10) \times 10^{-2}$$

$$\frac{m_u}{m_d} = 0.462 \pm 0.020 \quad (\text{PDG 2024})$$

$$\theta_{a\pi} \sim -\left(\frac{Q_u m_u - Q_d m_d}{m_u + m_d} + \frac{Q_s}{2} \frac{m_u - m_d}{m_u + m_d}\right) \frac{f_\pi}{f_a} \quad \longrightarrow \quad \frac{Q_u}{Q_d} = 2 \quad Q_s = 0$$

Current Experimental Bound

Setting up | 4



From talk by D. S. M. Alves
(2020)

Beam dump Experiments



$$8 \text{ MeV} \lesssim m_a \lesssim 30 \text{ MeV}$$



B Meson Decays

S. Nakagawa et.al.(2024)
JHEP 10, 153 (2024)

Branch of $K_L \rightarrow \pi^0 \pi^0 (a \rightarrow e^+ e^-)$

$$\text{Br}(K_L \rightarrow \pi^0 \pi^0 e^+ e^-) \lesssim 6.6 \times 10^{-9}$$

KTeV Collaboration

$$\mathcal{L}_\chi^{\Delta S=1} \Big|_{O(p^4)} \supset -\frac{4g'_8 B}{f_\pi^2 \Lambda^2} m_s K_L (-\pi^0 + \eta_{ud} + \sqrt{2}\eta_s) \partial_\mu \pi^0 \partial^\mu \pi^0$$

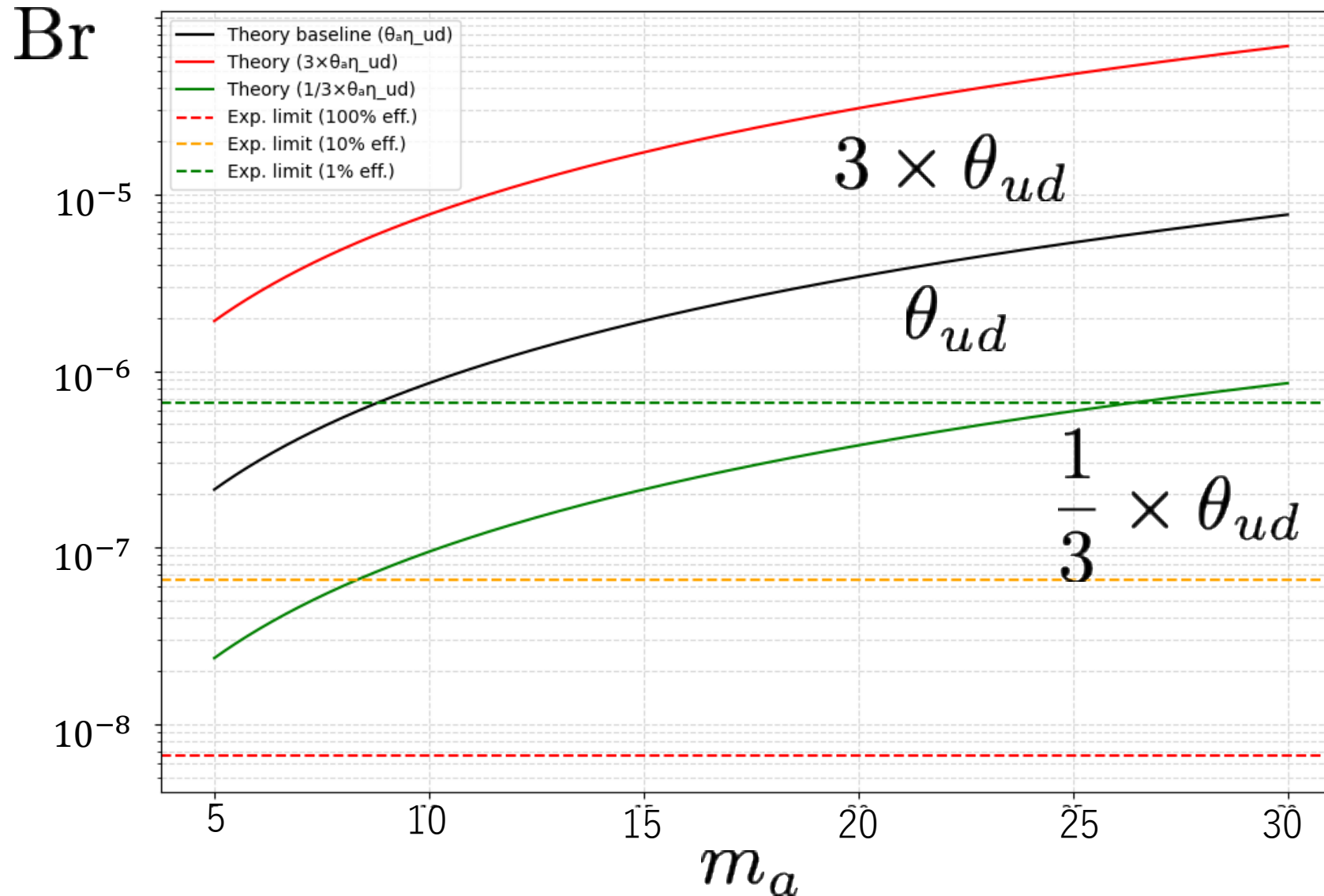
D. S. M. Alves

Phys. Rev. D **103**, 055018

$$\rightarrow \text{Br}(K_L \rightarrow \pi^0 \pi^0 a) = \frac{1}{2} \times 1.49 (\theta_{a\pi} - \theta_{a\eta_{ud}} - \sqrt{2}\theta_{a\eta_s})^2$$

$$\text{Br}(K_L \rightarrow \pi^0 \pi^0 (a \rightarrow e^+ e^-)) \simeq \frac{1}{2} \times 1.49 \theta_{a\eta_{ud}}^2$$

Branch of $K_L \rightarrow \pi^0 \pi^0 (a \rightarrow e^+ e^-)$



Branch of $K_L \rightarrow \pi^0 \pi^0 a$

$$\text{Br}(K_L \rightarrow \pi^0 \pi^0 e^+ e^-) \lesssim 6.6 \times 10^{-9} \quad \text{KTeV Collaboration}$$

Information Obtained
from the Experiment



Upper Limit on
the Total Number of Events

$$\text{Number of incoming } K_L \times \text{Branching ratio} \times \text{Efficiency} \\ = \text{Total Number of Events}$$

Efficiency

SM $\approx 0.2\%$

MeV Axion Model ???

→ Let's determine the efficiency in the MeV Axion Model
using a Monte Carlo simulation!

Simulation Flow

1. Simulate 3-body decay of K_L in rest frame

2. Inject K_L with given energy spectrum and simulate decay

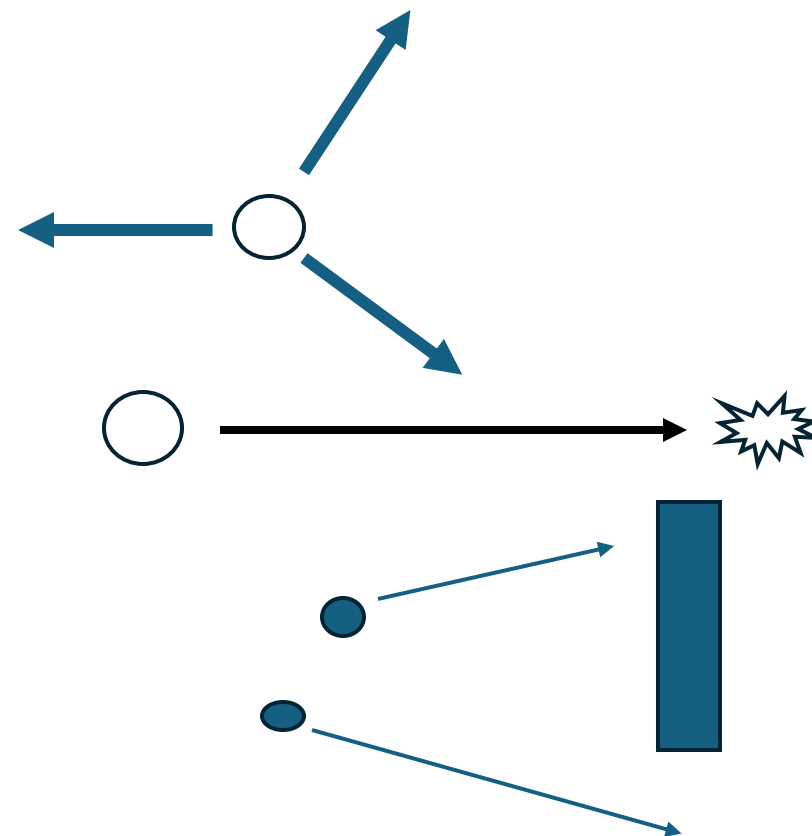
3. Boost simulated decay products to lab frame

4. Simulate secondary decays:
 $\pi^0 \rightarrow \gamma\gamma$, $a \rightarrow e^+e^-$

5. Simulate detector hits of decay products

K_L 1000000

Hit Events ???



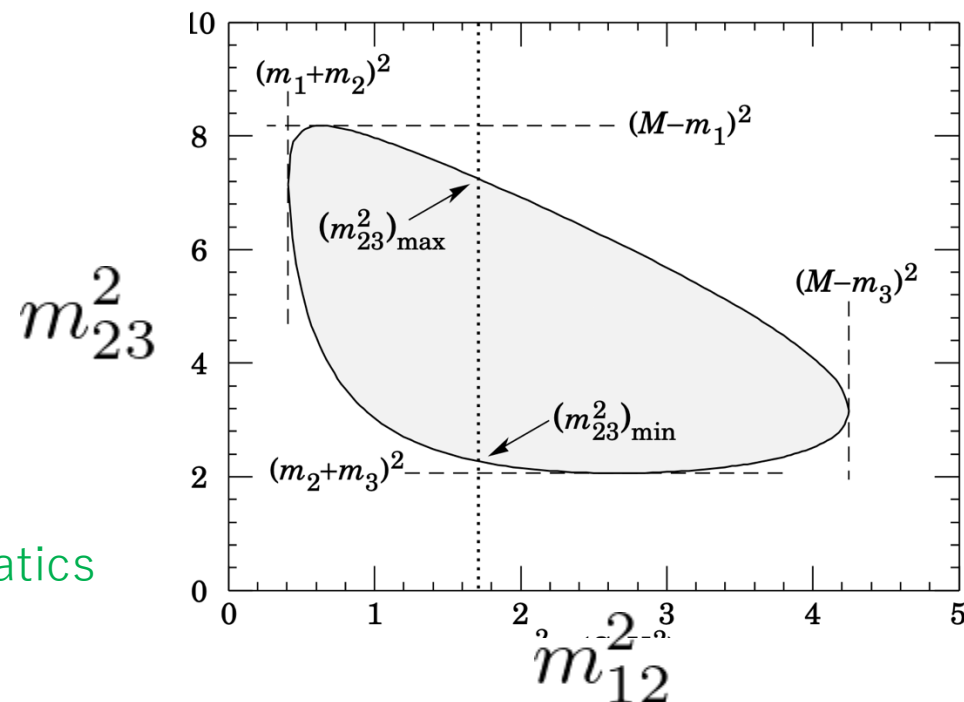
3 body Decays

$$K_L \rightarrow \pi^0 \pi^0 a$$

$$d\Gamma = \frac{1}{(2\pi)^3} \frac{1}{32M^3} |\mathcal{M}|^2 dm_{12} dm_{23}$$

$$m_{12}^2 \equiv (p_{\pi_1^0} + p_{\pi_2^0})^2$$

$$m_{23}^2 \equiv (p_{\pi_2^0} + p_a)^2$$



PDG
kinematics

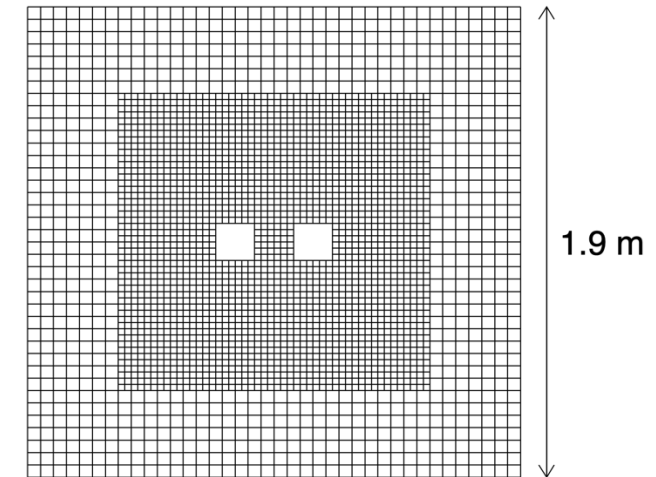
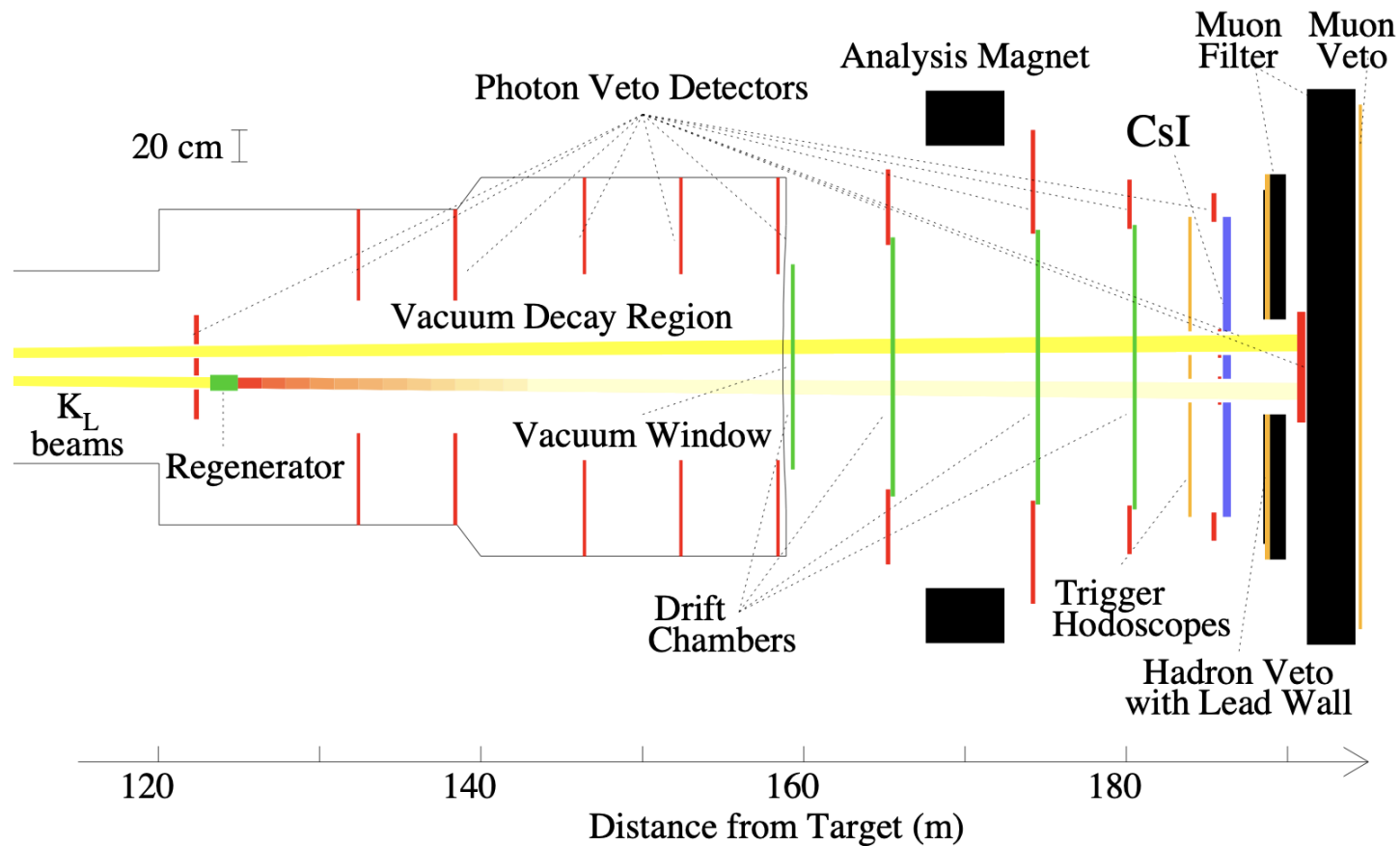
Generate random numbers
in the Dalitz plot weighted by

$$\mathcal{M}(K_L \rightarrow \pi^0 \pi^0 a) \propto m_{12}^2 - 2m_\pi^2$$

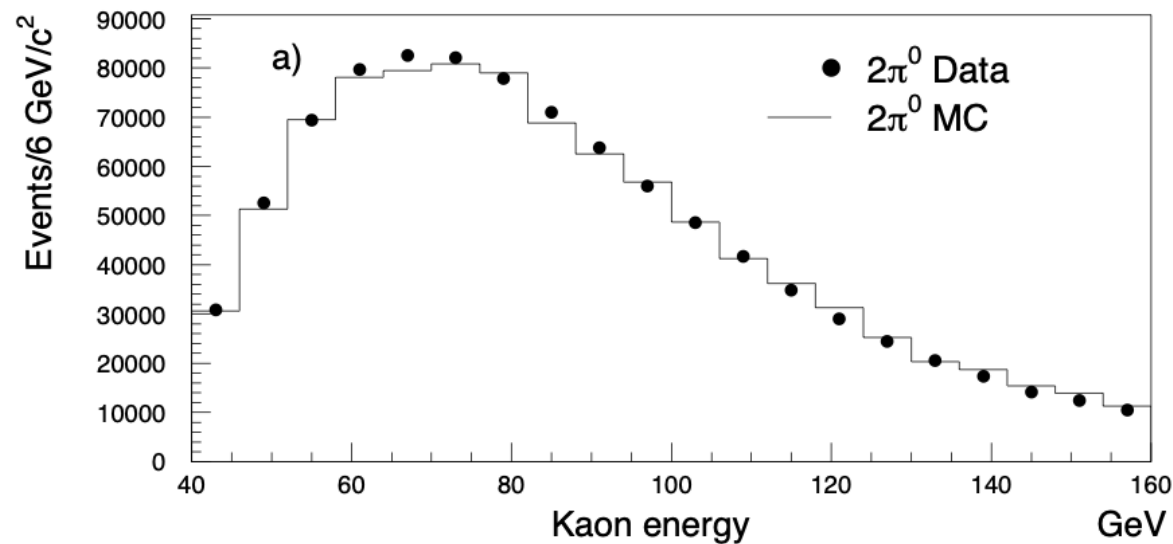
+ Euler angles

KTeV Experiment

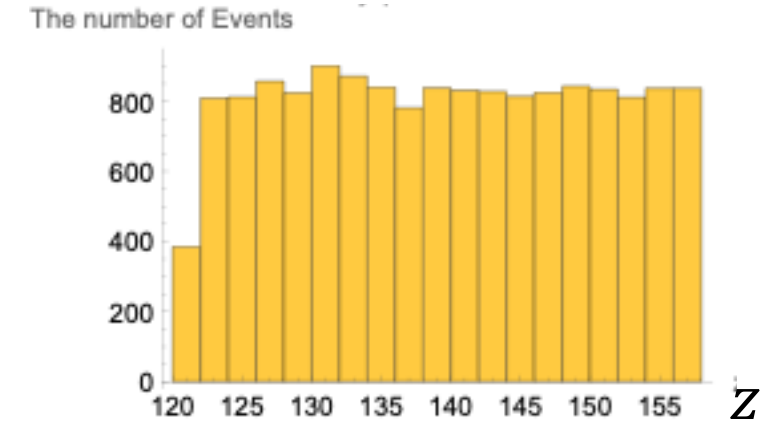
KTeV experiment | 10



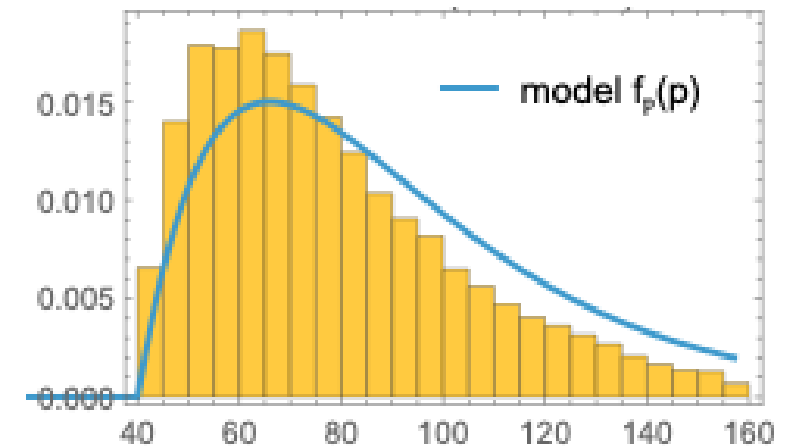
KTeV Collaboration



Distribution of K_L Decay Positions



Momentum Distribution of Decayed K_L



Step	Selection / Processing	Event count
1	Number of incident K_L	1,000,000
2	Number of hit events	5,830
3	After cuts: $0.493 \leq M_{\pi^0\pi^0e^+e^-} \leq 0.501$, $p_x^2 + p_y^2 \leq 1.5 \times 10^{-4}$	5,495
4	Removed $K_L \rightarrow \pi^0\pi^0(\pi^0 \rightarrow e^+e^-e^+e^-)$	5,495
5	Removed $K_L \rightarrow \pi^0\pi^0(\pi^0 \rightarrow e^+e^-\gamma)$	3,035
6	Removed $K_L \rightarrow \pi^0\pi^0(\pi^0 \rightarrow \gamma(\gamma \rightarrow e^+e^-))$	1,170
—	Final number of events	1,170

 **Efficiency(MeV Axion) $\approx 0.1\% \approx \frac{1}{2}$ Efficiency(SM)**

Summary

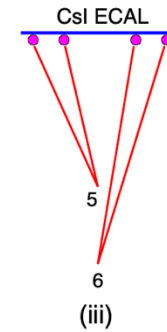
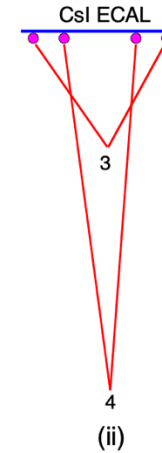
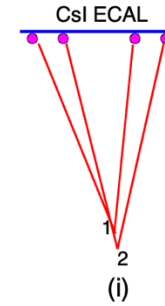
- In the MeV Axion model, there are effects arising from mixing with the η
- $\text{Br}(K_L \rightarrow \pi^0 \pi^0 e^+ e^-)$ is significantly larger than the Standard Model prediction.
- $\text{Efficiency}(\text{MeV Axion}) \approx \frac{1}{2} \text{Efficiency}(\text{SM})$
- Realizing the MeV axion model looks difficult

Back up

$$\chi^2 = \chi_{trac}^2 + \chi_{cal}^2$$

$$\chi_{trac}^2 = \sum_{i=1,2} \sum_{DC=1,2} \left(\frac{[x_{DC}^i - s_x^i(z_{DC}^i - z_v)]^2}{\sigma_x^2} + \frac{[y_{DC}^i - s_y^i(z_{DC}^i - z_v)]^2}{\sigma_y^2} \right)$$

$$\chi_{cal}^2 = \frac{(z_A - z_v)^2}{\sigma_A^2} + \frac{(z_B - z_v)^2}{\sigma_B^2}$$



$$K_L \rightarrow \pi^0 \pi^0 a$$

$$d\Phi_3 = \frac{1}{(2\pi)^9} \prod_{i=1}^3 \frac{d^3 p_i}{2E_i} \delta^4(P - p_1 - p_2 - p_3)$$

$$d\Phi_3 = (2\pi)^3 \int ds_{12} d\Phi_2(P \rightarrow q, p_3) d\Phi_2(q \rightarrow p_1, p_2)$$

Chiral Lagrangian

$$\mathcal{L}_a^{\text{eff}} = m_u e^{iQ_u^{PQ} a/f_a} u u^c + m_d e^{iQ_d^{PQ} a/f_a} d d^c + m_e e^{iQ_e^{PQ} a/f_a} e e^c$$

Chiral Lagrangian

$$\mathcal{L}_\chi^{(0)} = \frac{f_\pi^2}{4} \text{Tr}[2BM_q(a)U + h.c.] - \frac{1}{2} M_0^2 \eta_0^2$$

$$M_q(a) \equiv \begin{pmatrix} m_u e^{iQ_u^{PQ} a/f_a} & & \\ & m_d e^{iQ_d^{PQ} a/f_a} & \\ & & m_s \end{pmatrix}$$

$$U \equiv \exp\left(i \frac{\sqrt{2}}{f_\pi} \Sigma\right)$$

$$\Sigma \equiv \begin{pmatrix} \frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & \pi^+ & K^+ \\ \pi^+ & -\frac{\pi^0}{\sqrt{2}} + \frac{\eta_8}{\sqrt{6}} + \frac{\eta_0}{\sqrt{3}} & K^0 \\ K^- & \overline{K^0} & -\frac{\eta_8}{\sqrt{3/2}} + \frac{\eta_0}{\sqrt{3}} \end{pmatrix}$$

Constraint of decays of Kaon

Existing bound

$$\text{Br}(K^+ \rightarrow \pi^+ a \rightarrow \pi^+ e^+ e^-) \leq 10^{-6} - 10^{-5} \quad \text{D. S. M. Alves et.al. JHEP 07, 092 (2018)}$$

$$\text{Br}(K^+ \rightarrow \pi^+ a)|_{a-\pi \text{ mixing}} = \theta_{a\pi}^2 \text{Br}(K^+ \rightarrow \pi^+ \pi^0) \approx 10^{-9}$$

Pion Phobia

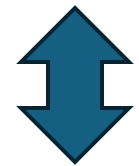
 **$a - \eta_{8,0}$ mixing is main contribution**

$$\mathcal{M}(K^+ \rightarrow \pi^+ a)|_{a-\eta_{8,0} \text{ mixing}} = \theta_{a\eta_8} \mathcal{M}(K^+ \rightarrow \pi^+ \eta_8) + \theta_{a\eta_0} \mathcal{M}(K^+ \rightarrow \pi^+ \eta_0)$$

Octet enhancement

$$\mathcal{L}_{\chi}^{\Delta S=1} \Big|_{O(p^2)} = g_8 f_{\pi}^2 \text{Tr}[\lambda_{ds} \partial_{\mu} U \partial^{\mu} U^{\dagger}] + g_{27} f_{\pi}^2 C_{ab} \text{Tr}[\lambda_a \partial_{\mu} U U^{\dagger} \lambda_b \partial^{\mu} U U^{\dagger}] + h.c.$$

$$\frac{\Gamma(K_S^0 \rightarrow \pi\pi)}{\Gamma(K^+ \rightarrow \pi^+ \pi^0)} \approx 668 \qquad \frac{g_8}{g_{27}} \cong 31.2$$



If we consider $O(p^4)$ expansion

$$|\theta_{a\eta_{ud}}|_{octet\ enh.} \leq (1.7 - 5.3) \times 10^{-4}$$

$$\mathcal{L}_{\chi}^{\Delta S=1} \Big|_{O(p^4)} \supset g'_8 \frac{f_{\pi}^2}{\Lambda^2} \text{Tr}[\lambda_{ds} M_q U] \text{Tr}[\partial_{\mu} U \partial^{\mu} U^{\dagger}] + h.c.$$

$$\frac{g_8}{g_{27}} \sim O(1) \qquad \frac{g'_8}{g_{27}} \sim O(100)$$

$$|\theta_{a\eta_{ud}}|_{not\ octet\ enh.} \leq (0.5 - 1.6) \times 10^{-2}$$