

# Evaporating Primordial Black Holes: Reformation and Isocurvature Perturbations

**THK**, Philip Lu, arXiv:2411.07469 (accepted to PLB)

**THK**, Jinn-Ouk Gong, Donghui Jeong, Dong-Won Jung, Yeong Gyun Kim,  
and Kang Young Lee, arXiv:2503.14581

Speaker: TaeHun Kim (School of Physics, KIAS, Korea)

# Abstract

- Light mass primordial black holes (PBHs) with  $M \lesssim 10^9$  g all evaporated before Big Bang Nucleosynthesis (BBN) and hence not constrained.
- Their impact on cosmology :
  - If they have dominated the Universe, they can undergo a **reformation** process and form much heavier PBHs which survives much longer (arXiv:2411.07469).
  - If they remained subdominant, they induce **isocurvature perturbations** at evaporation and can be constrained by CMB observations (arXiv:2503.14581).

# Outline

- Introduction: PBHs
- PBH reformation (arXiv:2411.07469)
  - Cosmic timeline of eMD by PBHs / PBH reformation / Gravitational wave signals
- Isocurvature perturbations from evaporating PBHs (arXiv:2503.14581)
  - PBHs as an isocurvature source / Isocurvature constraints on PBH
- Summary & Conclusion

# Outline

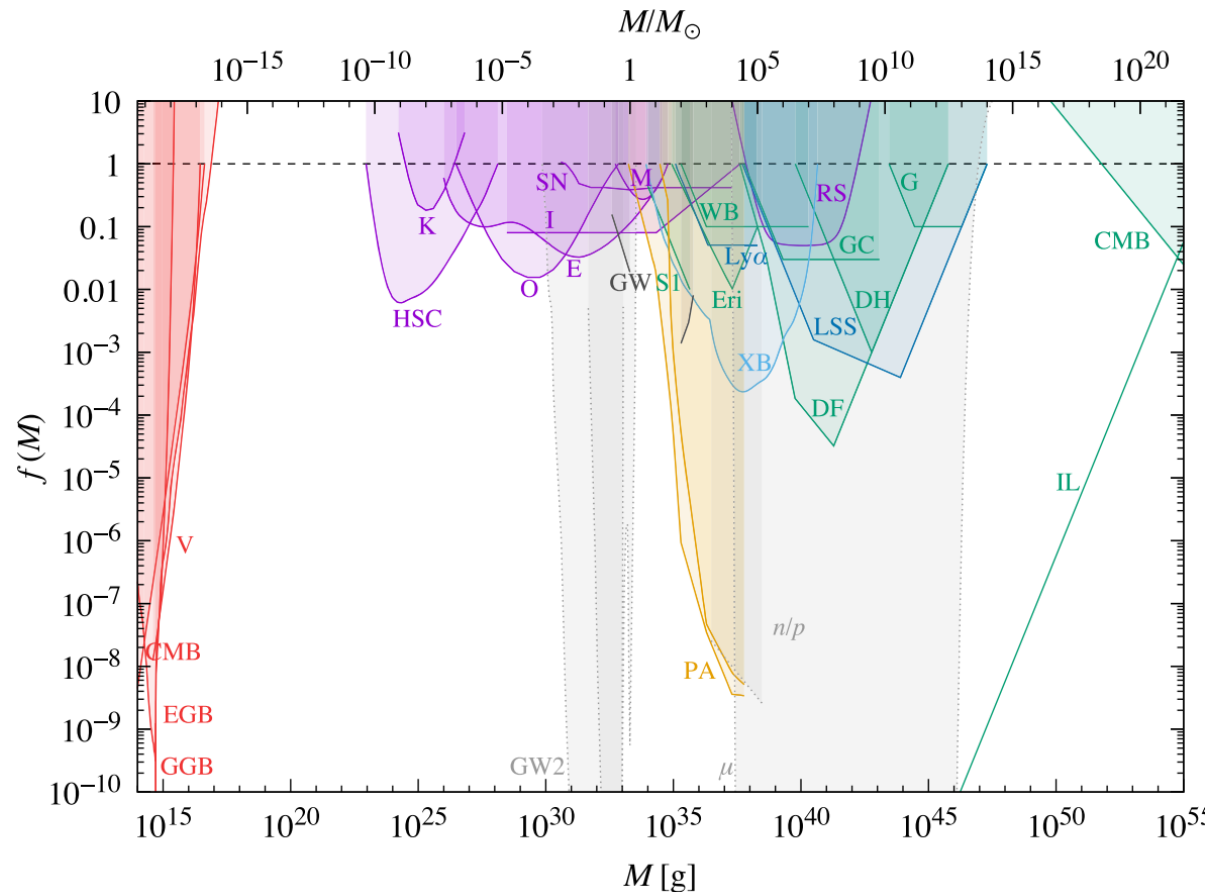
- Introduction: PBHs
- PBH reformation (arXiv:2411.07469)
  - Cosmic timeline of eMD by PBHs / PBH reformation / Gravitational wave signals
- Isocurvature perturbations from evaporating PBHs (arXiv:2503.14581)
  - PBHs as an isocurvature source / Isocurvature constraints on PBH
- Summary & Conclusion

- $1 M_{\odot} \sim 10^{33} \text{ g}$

# Introduction

Carr et. al. (2021)

- PBHs: Black holes formed in the early Universe. Inflation, FOPTs, ...



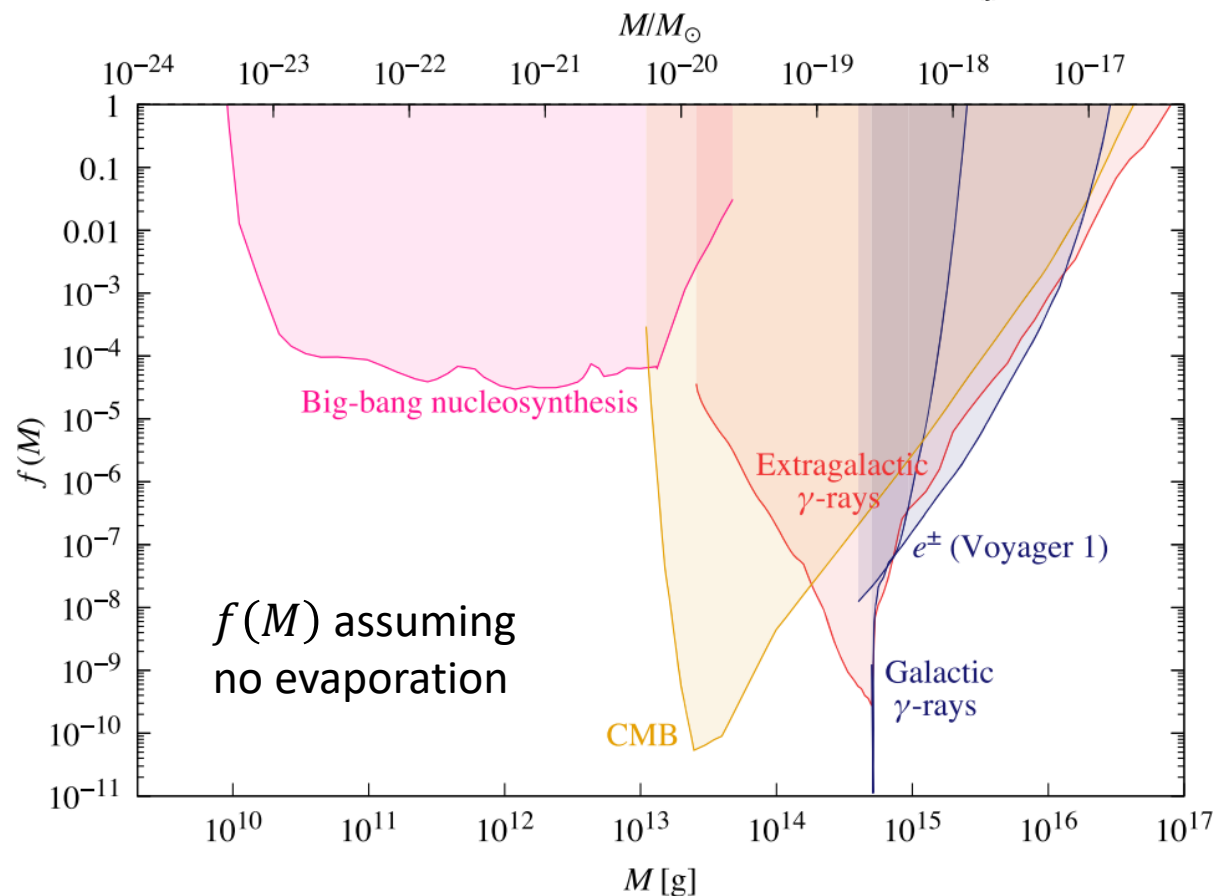
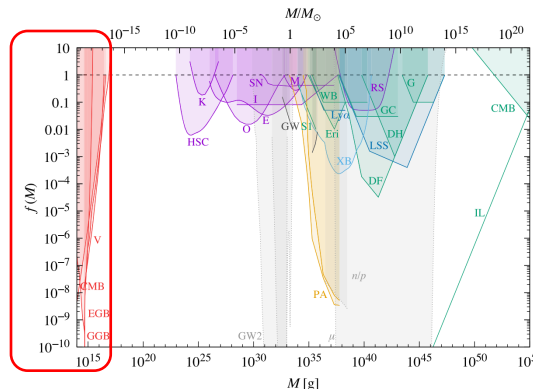
- Constraints
  - Evaporation
  - Lensing
  - Gravitational waves
  - CMB
  - Dynamical
- Candidates of
  - Dark matter
  - Microlensing event
  - Binary black hole mergers

# Introduction

- Below  $\sim 10^{15}$  g

- $1 M_{\odot} \sim 10^{33}$  g

Carr et. al. (2021)



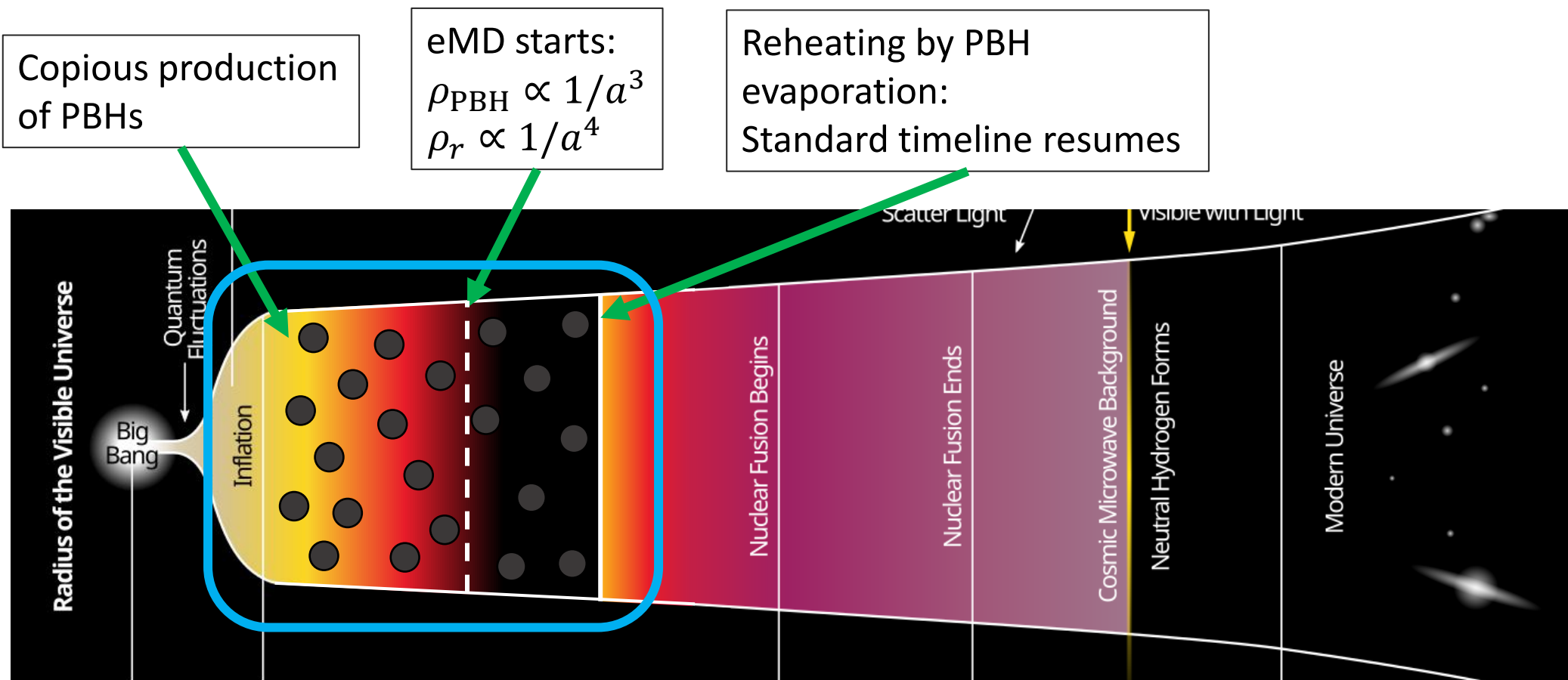
- $M \sim 10^{14}$  g evaporates now
- $M \sim 10^9$  g evaporates at BBN
  - Light element abundances
- $M \lesssim 10^9$  g: no constraints**
  - We see their impact on cosmology depending on their dominance.

# Outline

- Introduction: PBHs
- PBH reformation (arXiv:2411.07469)
  - Cosmic timeline of eMD by PBHs / PBH reformation / Gravitational wave signals
- Isocurvature perturbations from evaporating PBHs (arXiv:2503.14581)
  - PBHs as an isocurvature source / Isocurvature constraints on PBH
- Summary & Conclusion

# Cosmic timeline of eMD by PBHs

● = PBHs





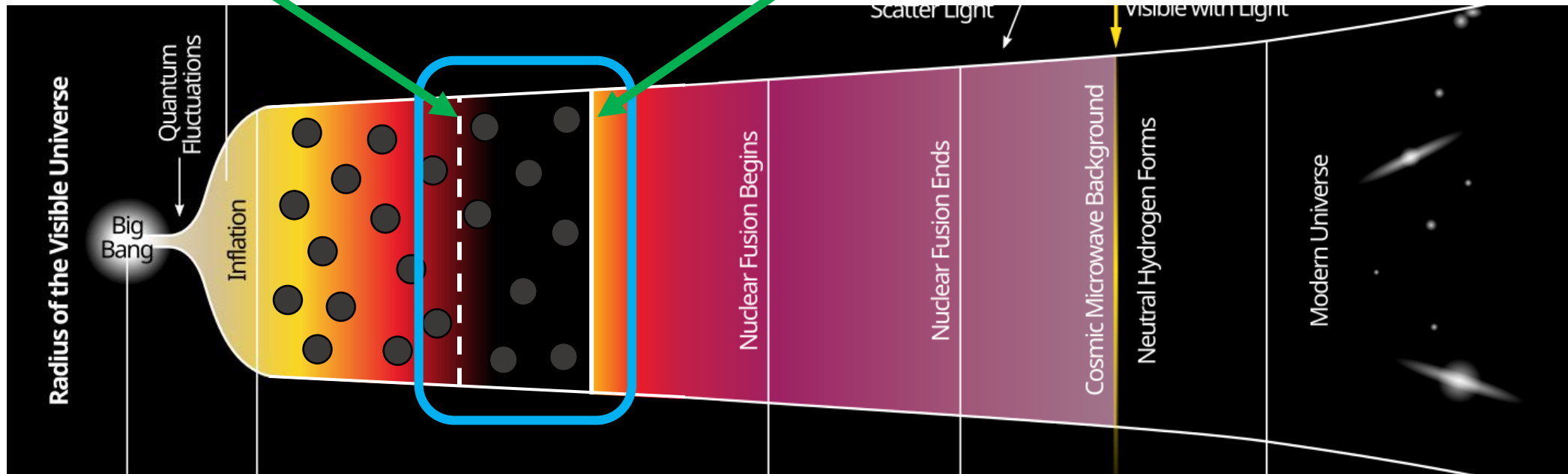
# Cosmic timeline of eMD by PBHs

- $\beta_{\text{if}}$  : Initial PBH energy fraction
- $M_{\text{PBH}}$  : Initial PBH mass

Onset:  $a_{\text{eq}} = a_{\text{if}} / \beta_{\text{if}}$

End:  $\tau_{\text{evap}} = 4.0 \times 10^{-4} \text{ sec} \times \left( \frac{M_{\text{PBH}}}{10^8 \text{ g}} \right)^3 \left( \frac{108}{g_H} \right)$

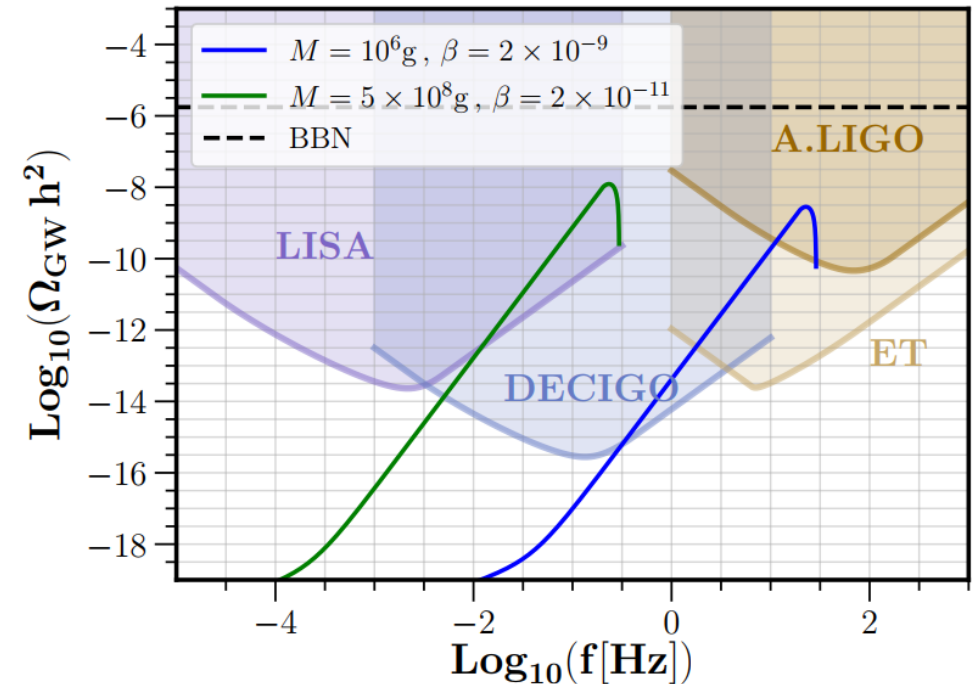
" $a_{\text{evap}} / a_{\text{eq}} \gg 1 \rightarrow \text{eMD}$ "



# Cosmic timeline of eMD by PBHs

- Stochastic GW emission at the reheating
  - Oscillating gravitational potential  
→ scalar-induced GW
- This free streaming energy density is constrained by  $\Delta N_{\text{eff}} \lesssim 0.5$  at CMB

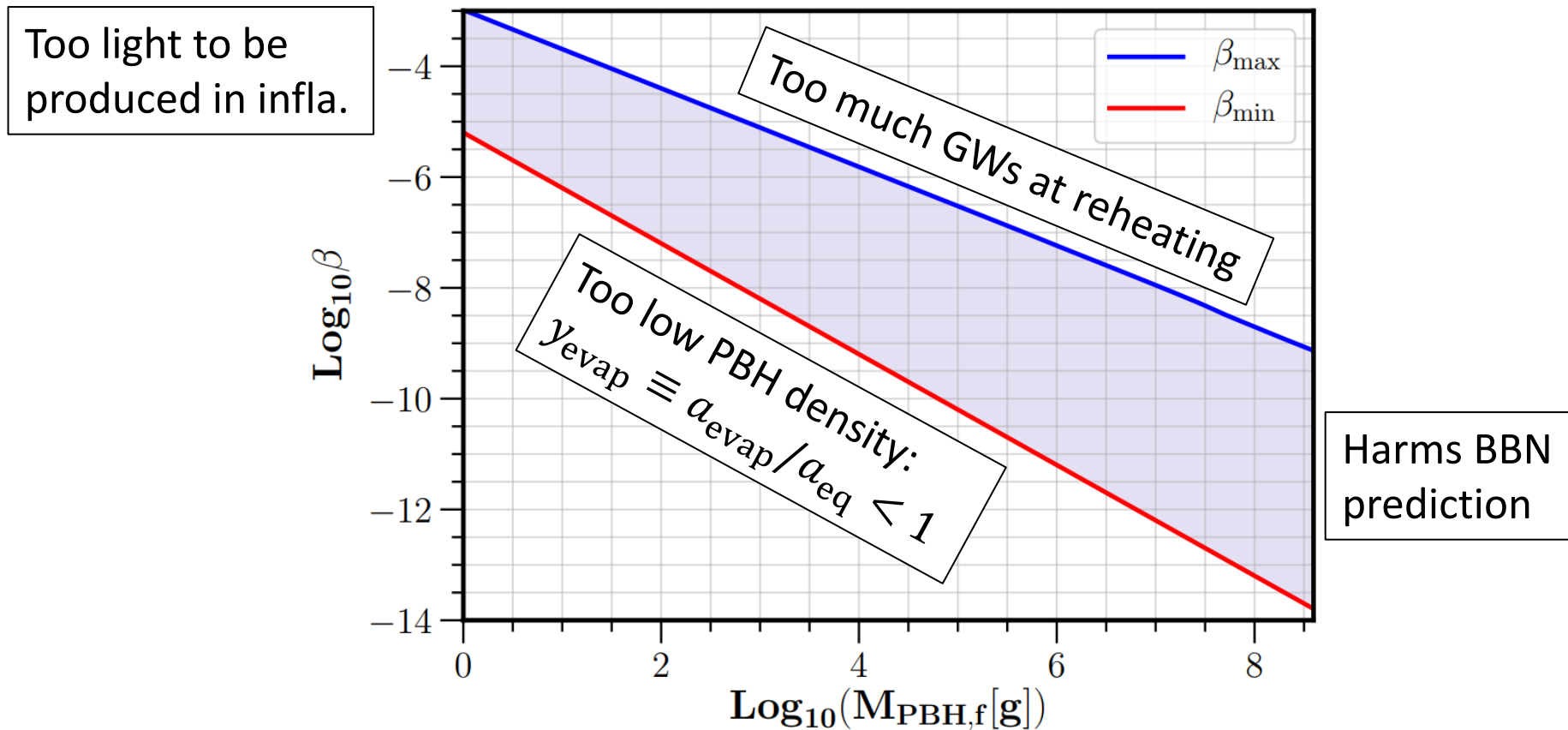
Inomata et. al. (2021)  
Domenech et. al. (2021)  
...



# Cosmic timeline of eMD by PBHs

Domenech et. al. (2021)

- Allowed region of  $(M_{\text{PBH}}, \beta_{\text{if}})$  for PBH eMD



# PBH reformation during eMD

- PBH reformation
  - PBH “gas” can gravitationally collapse and produce much heavier PBHs
- This can happen during eMD, because
  - Gravitational collapse of overdensities is easier in MD than RD
  - Matter density perturbation grows during MD

# PBH reformation during eMD

Khlopov, Polnarev (1980)

Polnarev, Khlopov (1981)

Harada et. al. (2016)

Harada et. al. (2017)

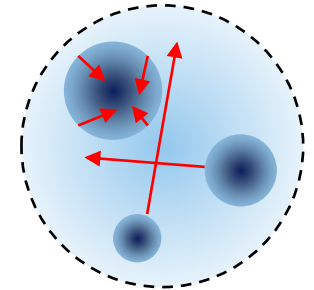
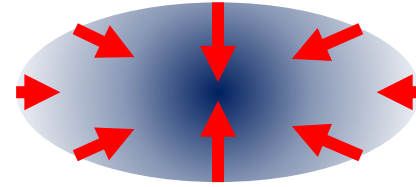
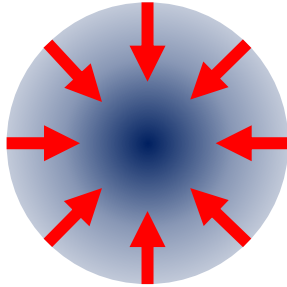
Kokubu et. al. (2018)

- Gravitational collapse in MD
  - No pressure : Eventually any overdensity will collapse
  - But what really happens during the collapse?
  - Spatial profile of an overdensity should be homogeneous and isotropic enough
    - The entire overdensity should successfully fall into its own Schwarzschild radius during the collapse

$\sigma \ll 1$  : size of overdensity at horizon scale

# PBH reformation during eMD

Before



After



BH formation



“Pancake” collapse  $\rightarrow$  virialized configuration

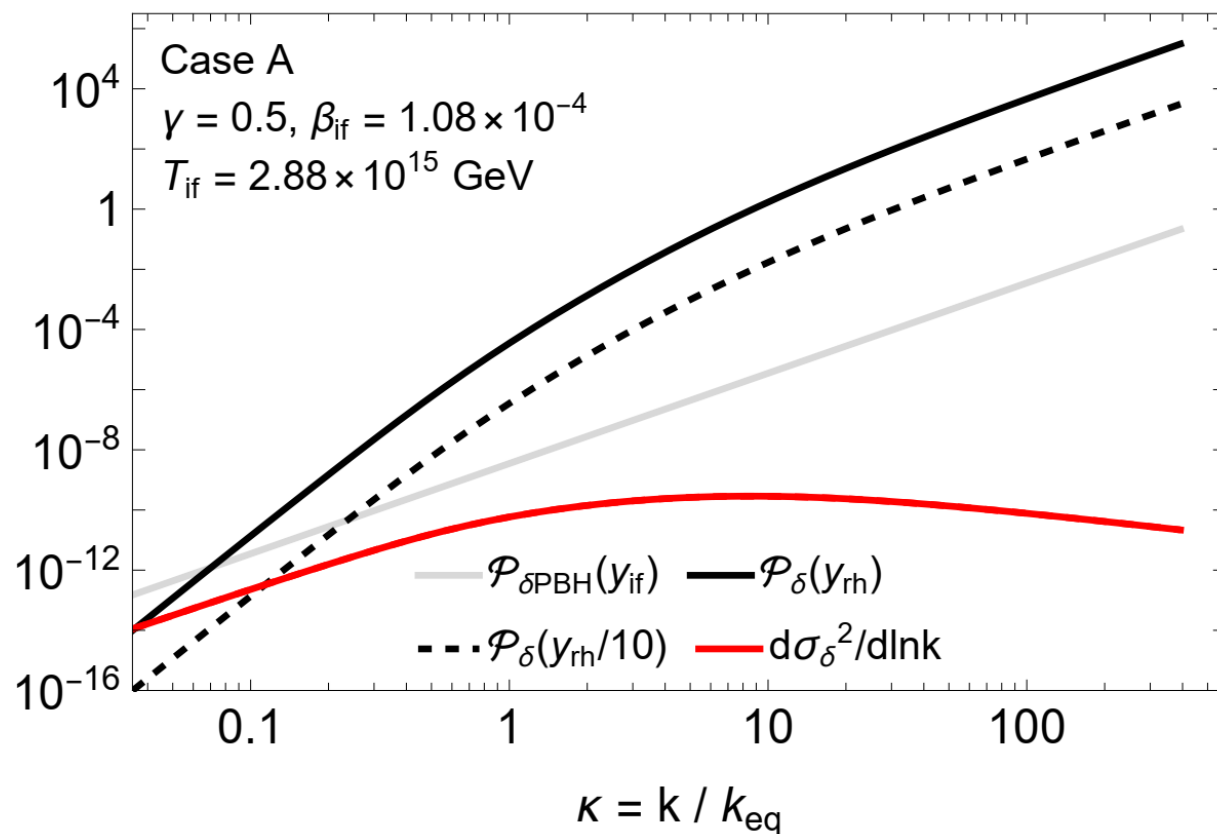
??? (not well known)

- Naked singularity
- Virialized
- Becomes radiation and stop by pressure
- ...

- Estimation of collapse probability :  $\beta \simeq 0.05556 \times \sigma^5$ 
  - Only power-law suppressed.

# PBH reformation during eMD

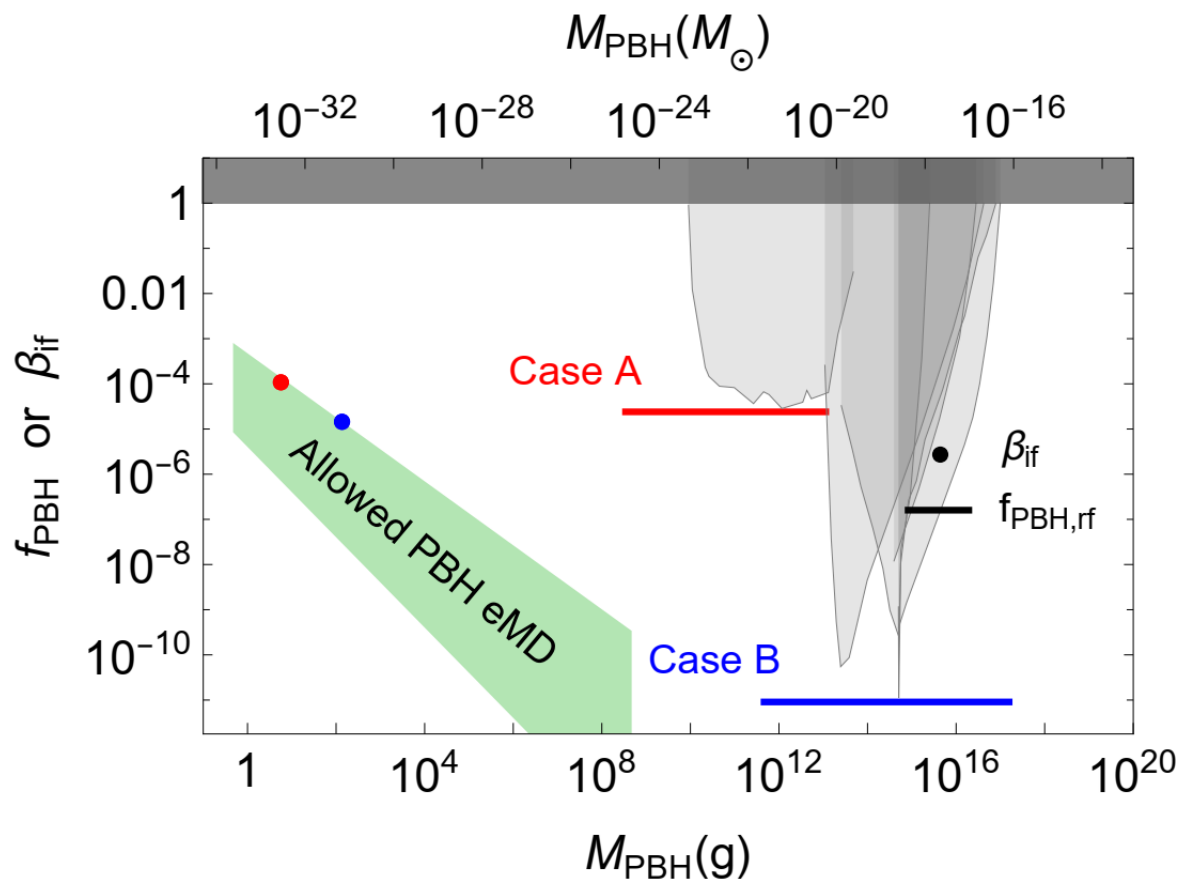
- Density power spectrum during eMD and resulting  $\sigma$



- Gray = Randomly placed initial PBHs
  - Poisson noise
- Black = Growth by transfer function
  - $\mathcal{P}_\delta(t) = \mathcal{P}_\delta(t_{\text{if}}) \times \mathcal{T}^2(t)$
- Red =  $\frac{d\sigma^2}{d\ln k} = \mathcal{P}_\delta(t) \times W^2(kr)$ 
  - $\sigma \sim 10^{-3} - 10^{-4}$
  - $\beta \sim 10^{-20}$
  - $f_{\text{PBH}} \sim (M_{\text{PBH,if}} / 1 \text{ g})^{-3/2}$

# PBH reformation during eMD

- Reformed PBH population case study



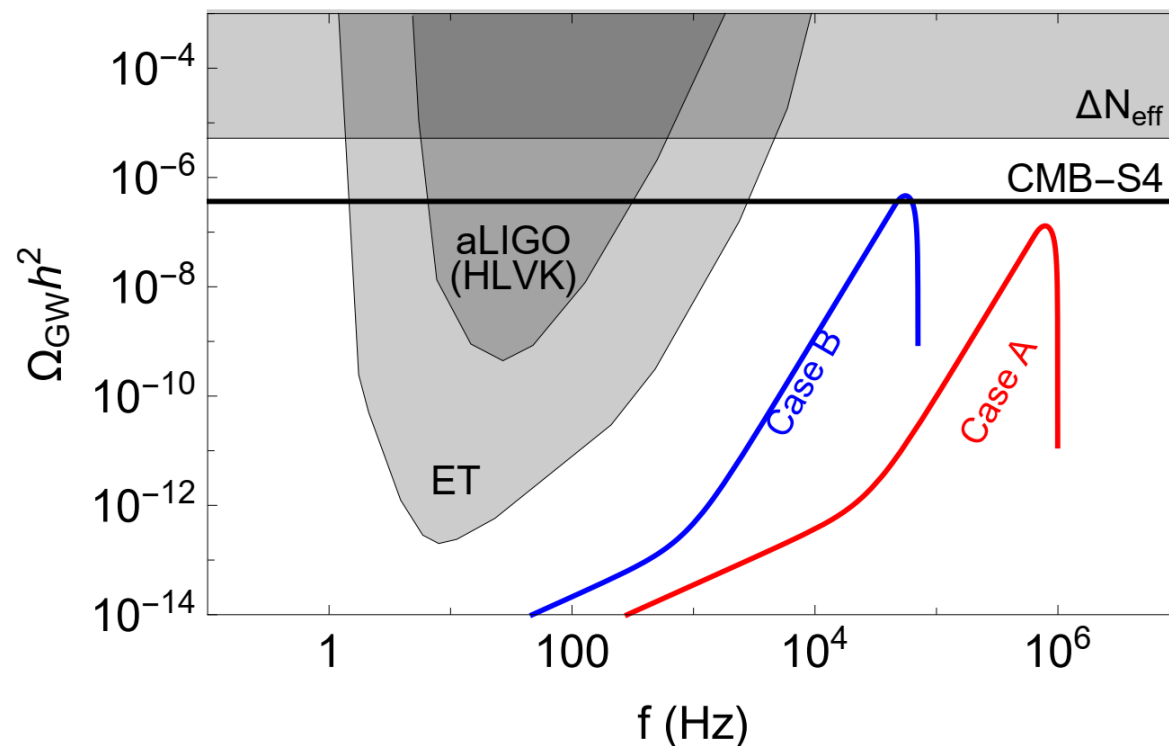
Case	$T_{\text{if}}$ (GeV)	$\beta_{\text{if}}$	$\gamma$	$f_{\text{PBH}}$
A	$2.88 \times 10^{15}$	$1.08 \times 10^{-4}$	0.5	$2.40 \times 10^{-5}$
B	$5.89 \times 10^{14}$	$1.45 \times 10^{-5}$	0.5	$9.05 \times 10^{-12}$

- Case A:** Reformed PBH population right below the current **BBN bound**
- Case B:** Reformed PBH population right below the current  **$\gamma$ -ray bound**
- “PBHs with observable signals are reformed from much lighter PBHs produced in the early Universe”
  - Population decoupling



# Correlated GW signal

- Remaining majority of original PBHs evaporate and emit GWs



- High frequency GWs are emitted
  - $\sim 10$  kHz – 1 MHz
- Could be detected by the next generation CMB-S4 experiment through  $\Delta N_{\text{eff}}$
- Correlated GW signal.  
“Possible **multi-messenger detection of PBH reformation**”

# Outline

- Introduction: PBHs
- PBH reformation (arXiv:2411.07469)
  - Cosmic timeline of eMD by PBHs / PBH reformation / Gravitational wave signals
- Isocurvature perturbations from evaporating PBHs (arXiv:2503.14581)
  - PBHs as an isocurvature source / Isocurvature constraints on PBH
- Summary & Conclusion

# Cosmological perturbations

- Cosmological perturbations describe inhomogeneity of the Universe
- Gauge-invariant curvature perturbation  $\zeta$

$$\zeta \equiv \psi - H \frac{\delta\rho}{\dot{\rho}}, \quad \zeta_X = \psi - H \frac{\delta\rho_X}{\dot{\rho}_X}$$

- $X = \boldsymbol{\gamma}$  (includes symmetric SM),  $\boldsymbol{b}$  (asymmetric part only), and  $\boldsymbol{d}$ .
- Adiabatic condition : Single source

$$\zeta_{\boldsymbol{\gamma}} = \zeta_{\boldsymbol{b}} = \zeta_{\boldsymbol{d}}$$

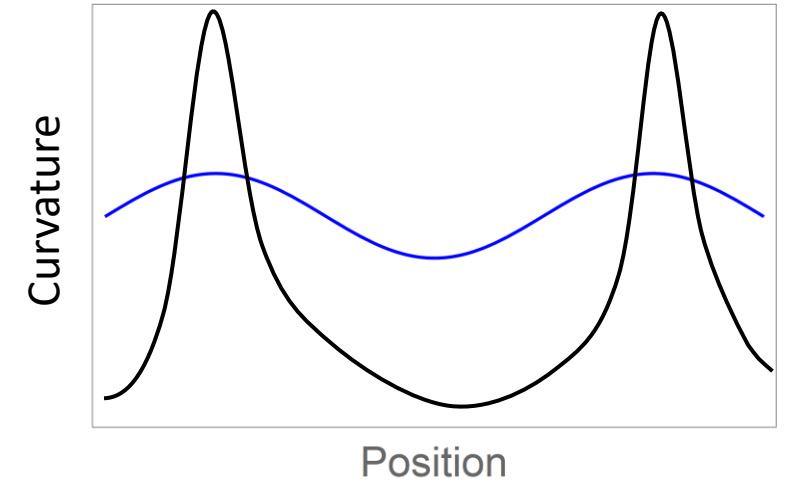
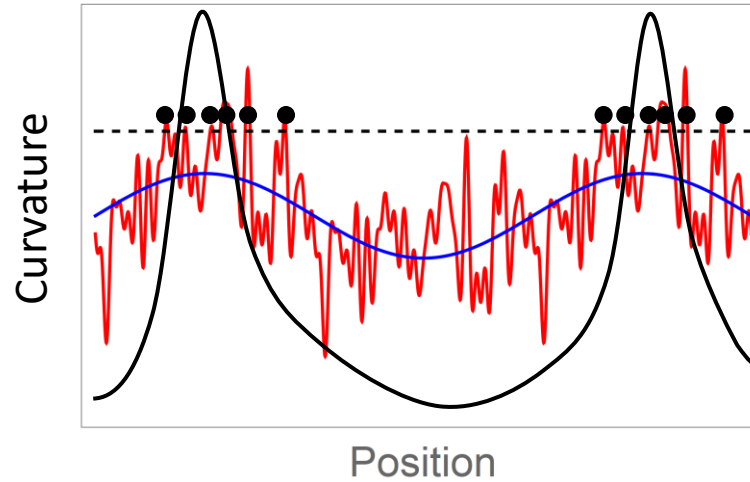
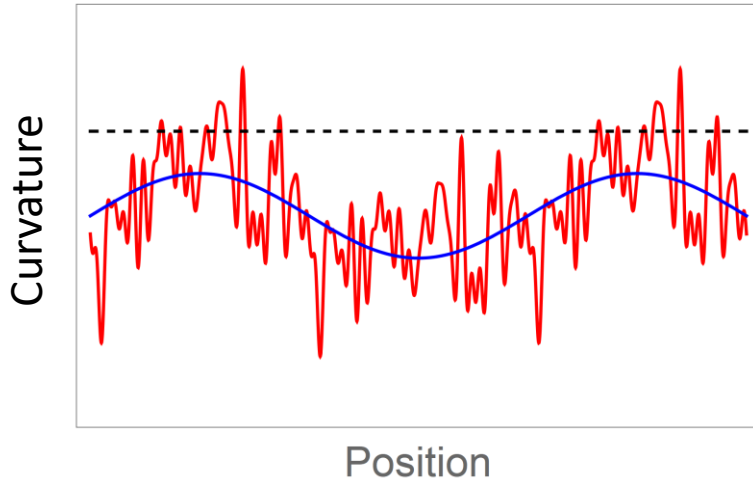
# Cosmological perturbations

- Isocurvature perturbations : Difference between  $\zeta_X$  and  $\zeta_Y$

$$S_{XY} \equiv 3(\zeta_X - \zeta_Y) = -3H \left( \frac{\delta\rho_X}{\dot{\bar{\rho}}_X} - \frac{\delta\rho_Y}{\dot{\bar{\rho}}_Y} \right)$$

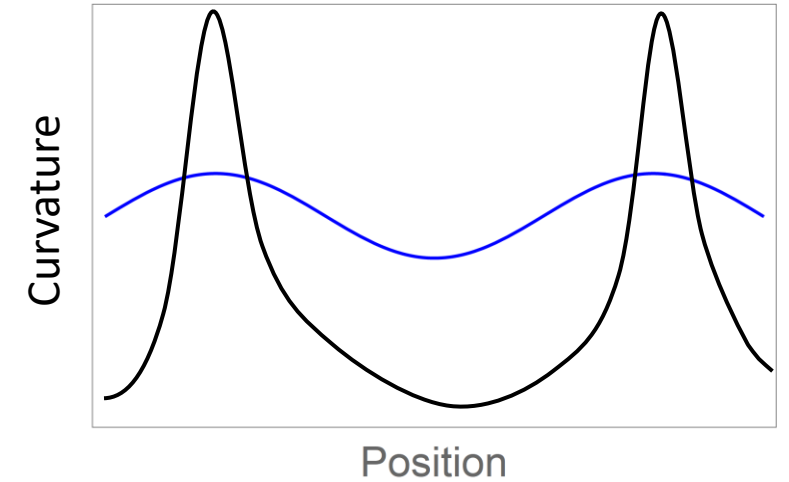
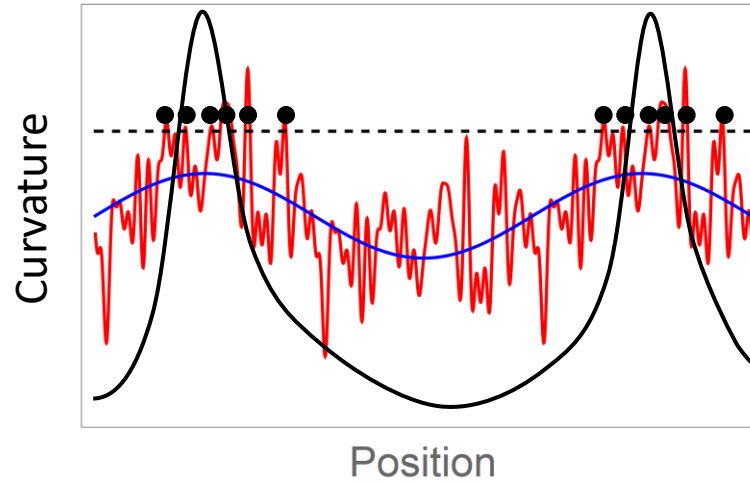
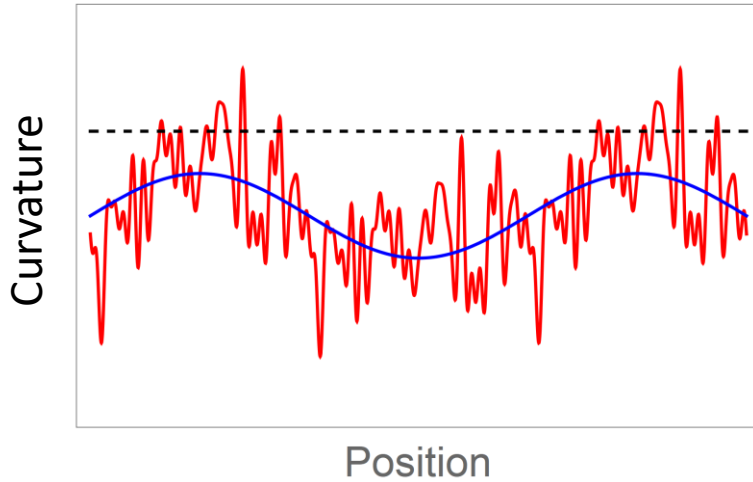
- Constrained by CMB observation (Planck 2018).
- PBH evaporation generates isocurvature perturbations  $\rightarrow$  bound on PBH
  - PBH bias
  - Branching ratio of Hawking radiation

# PBHs as an isocurvature source



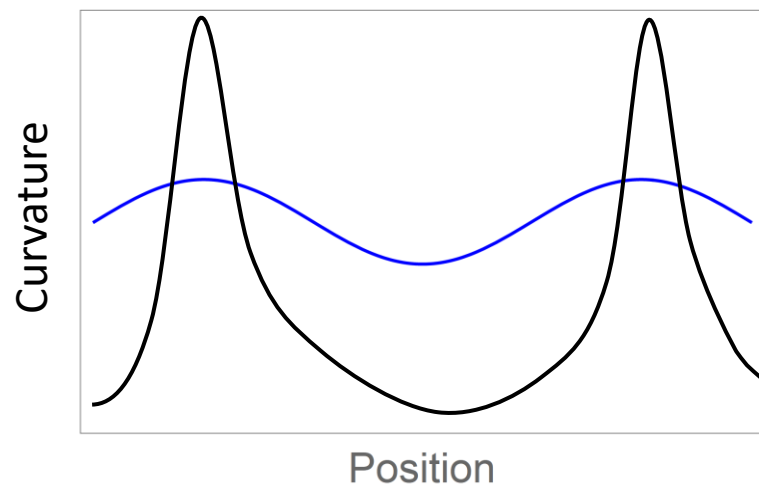
- PBH bias:  $\zeta_{\text{PBH}} \sim \mathcal{O}(10^2)\zeta$ 
  - Uniform density slicing, leading order : linearly added curvature  $\rightarrow$  PBH clustering.
  - Natural consequence of PBHs being formed at the high curvature peaks.

# PBHs as an isocurvature source



- PBH bias:  $\zeta_{\text{PBH}} \sim \mathcal{O}(10^2)\zeta$ 
  - Gauge ambiguity if we use  $\delta$  instead of  $\zeta$
  - We use  $\zeta$  to evaluate the PBH bias ( $\simeq \delta$  at Newtonian gauge).

# PBHs as an isocurvature source

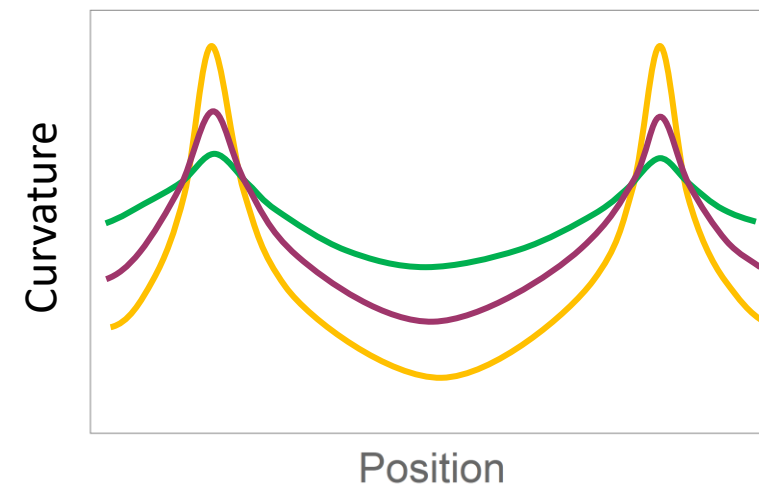
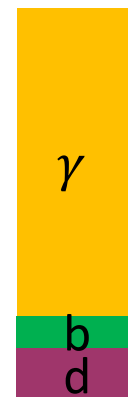


Example particle composition:

Infla.

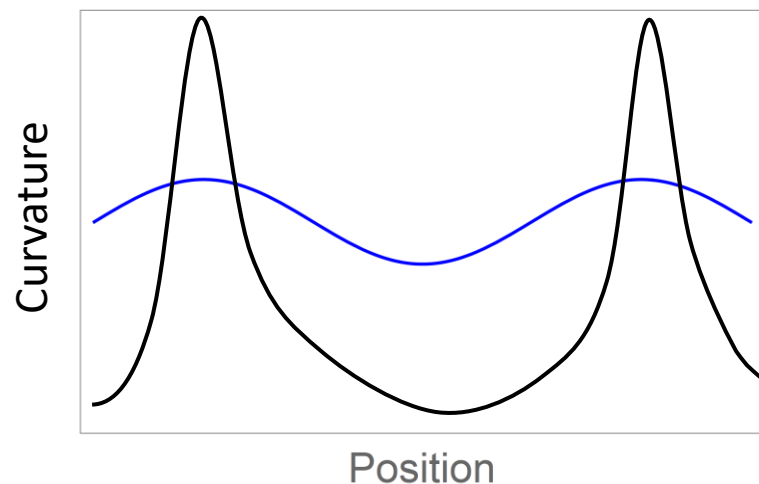


Hawking



- After evaporation, each of  $\gamma$ ,  $b$ , and  $d$  gets different perturbation
  - Isocurvature perturbations are generated.

# PBHs as an isocurvature source

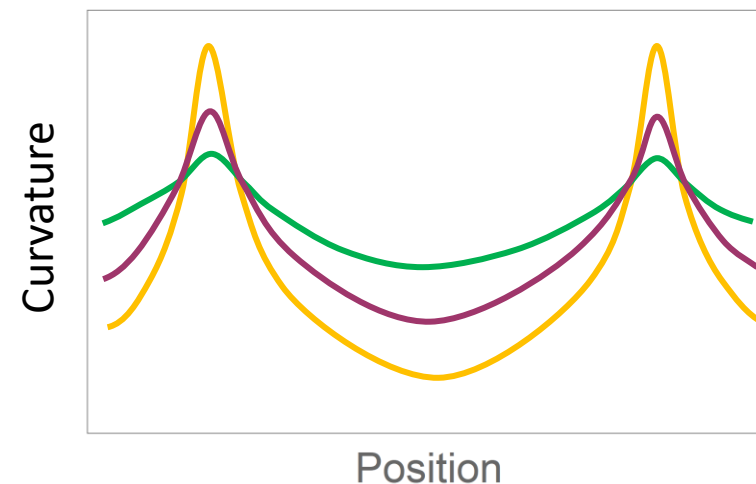


Example particle composition:

Infla.



Hawking



- Two conditions are simultaneously required:
  - PBHs are biased ("black curve should be different from the blue curve").
  - Branching ratio should be different. (Otherwise, they sum up as a single source.)



# PBHs as an isocurvature source

- The key equation : Isocurvature between  $X$  and  $Y$  is

$$S_{XY,0} = 3 \left( \frac{\bar{\rho}_{XPBH,0}}{\bar{\rho}_{X,0}} - \frac{\bar{\rho}_{YPBH,0}}{\bar{\rho}_{Y,0}} \right) (\zeta_{PBH} - \zeta)$$

PBH contribution to the present  
 $X$  and  $Y$  should be different

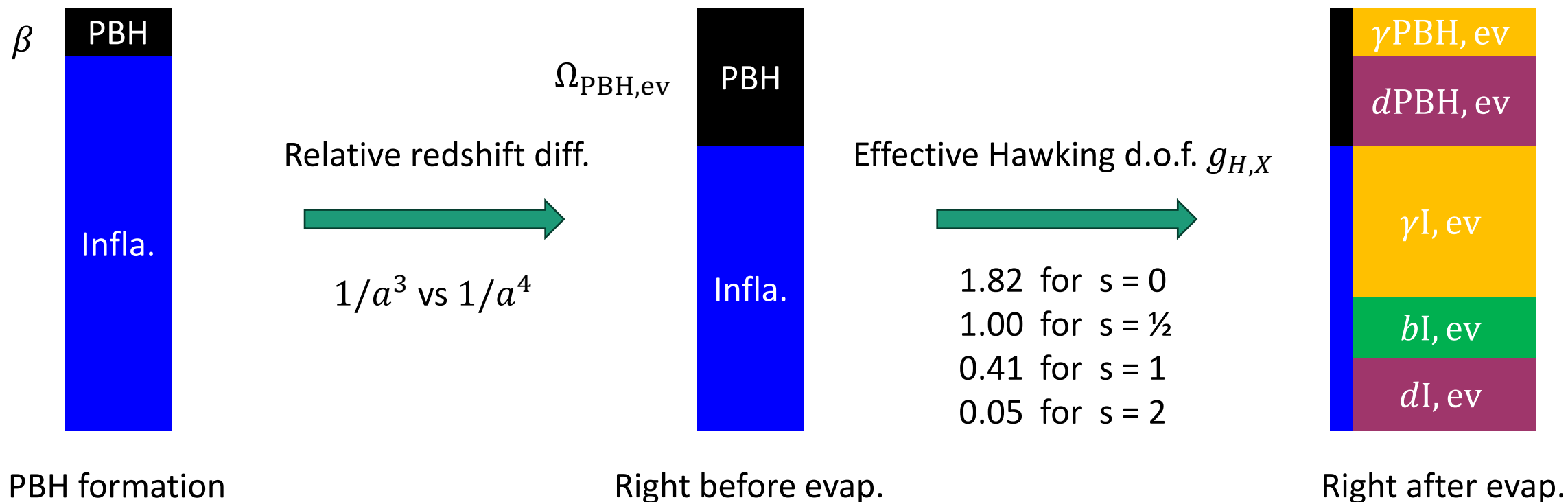
PBHs are biased.  
 $\zeta_{PBH} \sim \mathcal{O}(10^2)\zeta$

Branching ratio :  
Hawking radiation  $\neq$  Inflationary reheating

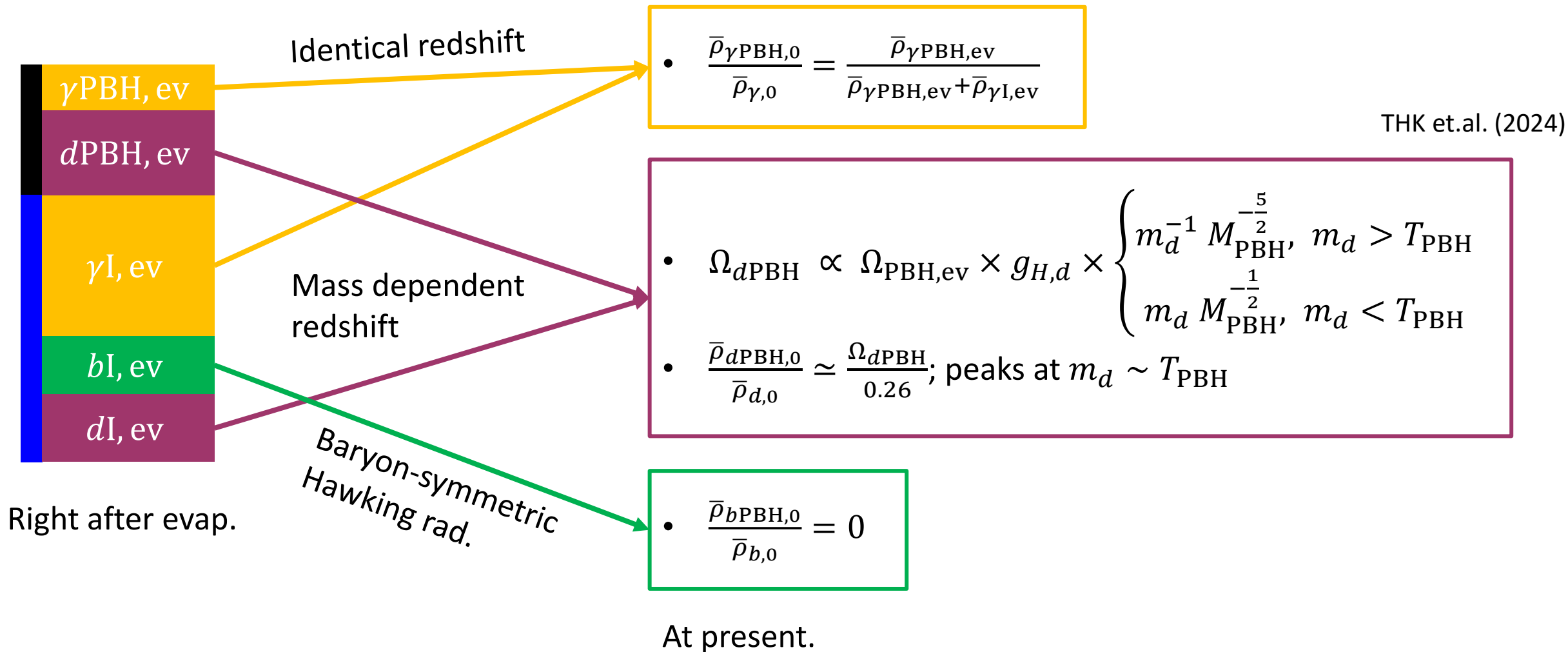
# Particle abundances from PBH evaporation

- Calculate  $\bar{\rho}_{X\text{PBH},0} / \bar{\rho}_{X,0}$  for  $X = \gamma, b, d$
- Focus on a simplified case
  - Baryon-symmetric Hawking radiation. No net baryons from PBHs;  $\bar{\rho}_{b\text{PBH},0} = 0$
  - Single scalar DM, out of equilibrium (no longer converts to SM)

# Particle abundances from PBH evaporation

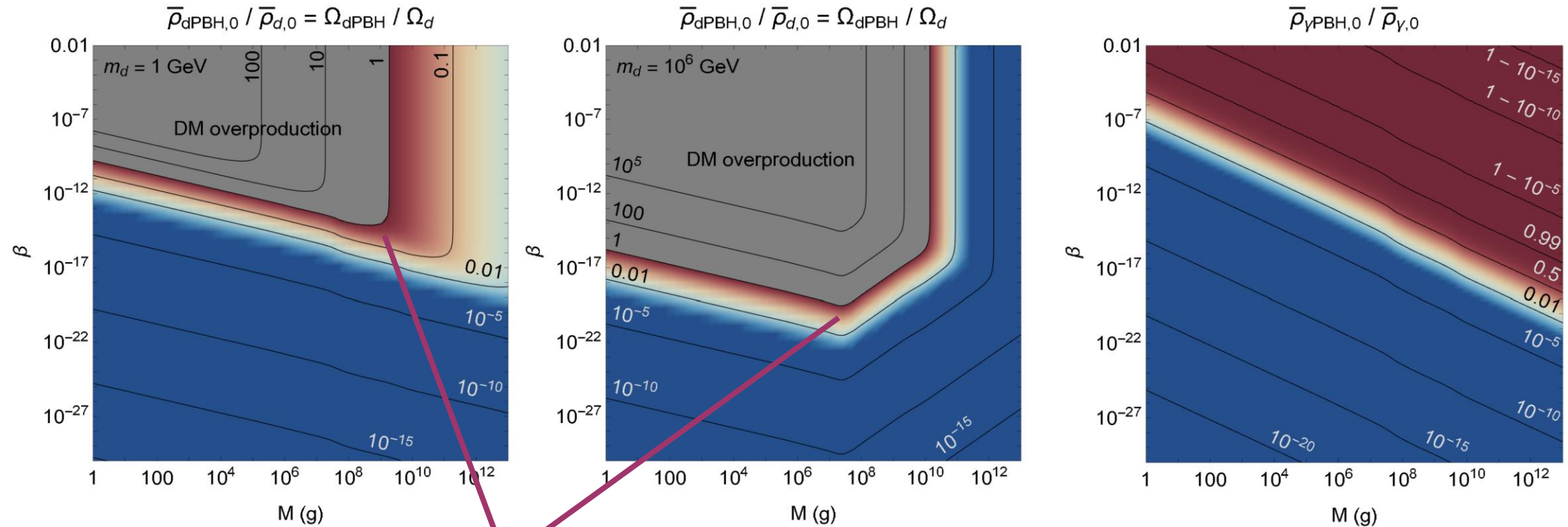


# Particle abundances from PBH evaporation



- $\frac{\bar{\rho}_{b\text{PBH},0}}{\bar{\rho}_{b,0}} = 0$

# Particle abundances from PBH evaporation



$\frac{\bar{\rho}_{d\text{PBH},0}}{\bar{\rho}_{d,0}}$  peaks at  $m_d \sim T_{\text{PBH}}$

$\frac{\bar{\rho}_{\gamma\text{PBH},0}}{\bar{\rho}_{\gamma,0}}$  is nearly the same as  $\Omega_{\text{PBH,ev}}$

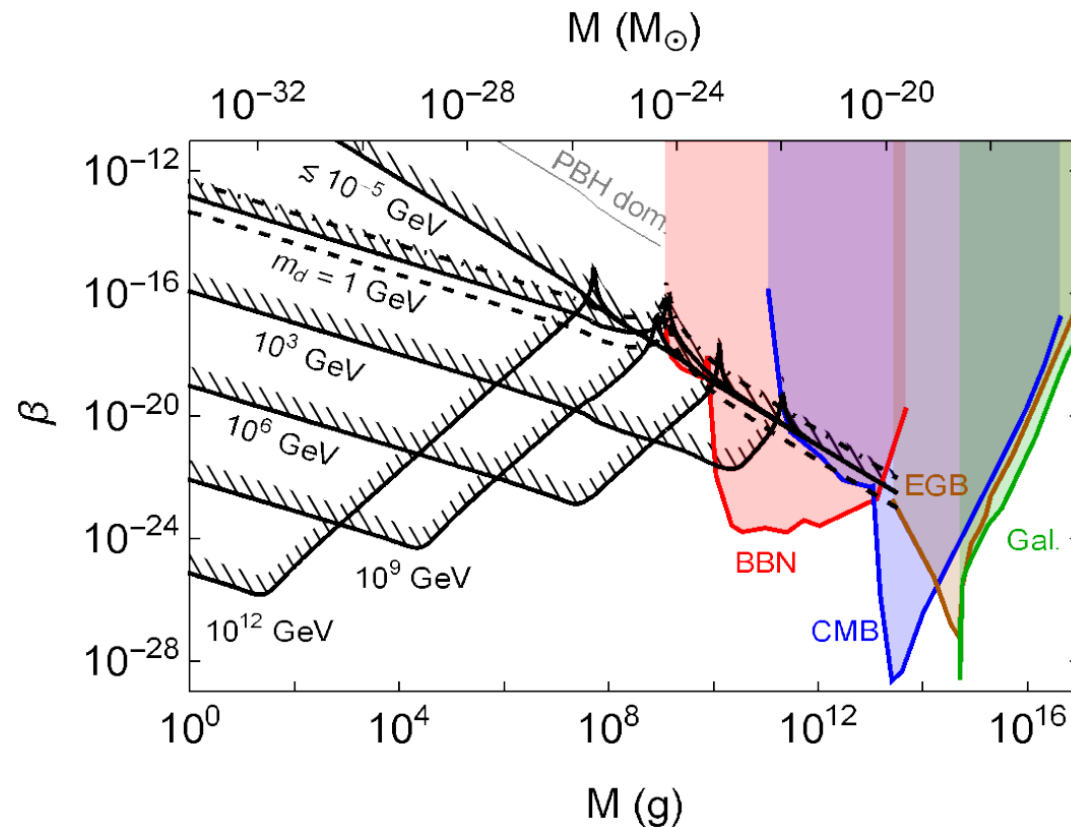
# Isocurvature constraints on PBH

- $S_{XY,0} = 3 \left( \frac{\bar{\rho}_{XPBH,0}}{\bar{\rho}_{X,0}} - \frac{\bar{\rho}_{YPBH,0}}{\bar{\rho}_{Y,0}} \right) (\zeta_{PBH} - \zeta) \neq 0.$

- Observed quantity : Isocurvature fraction

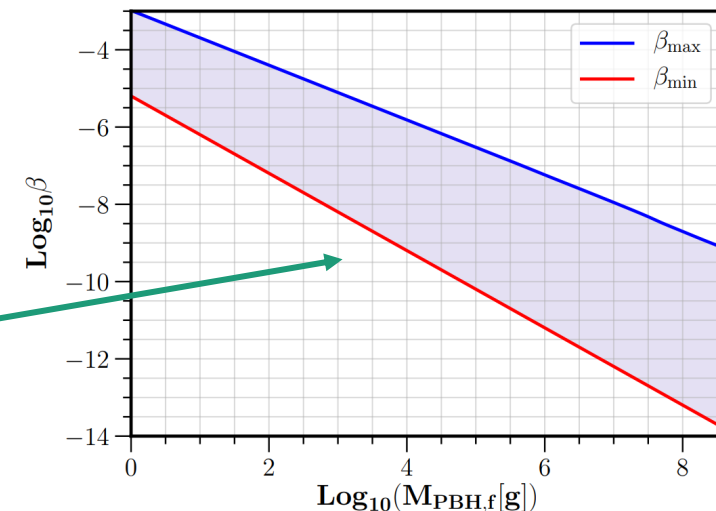
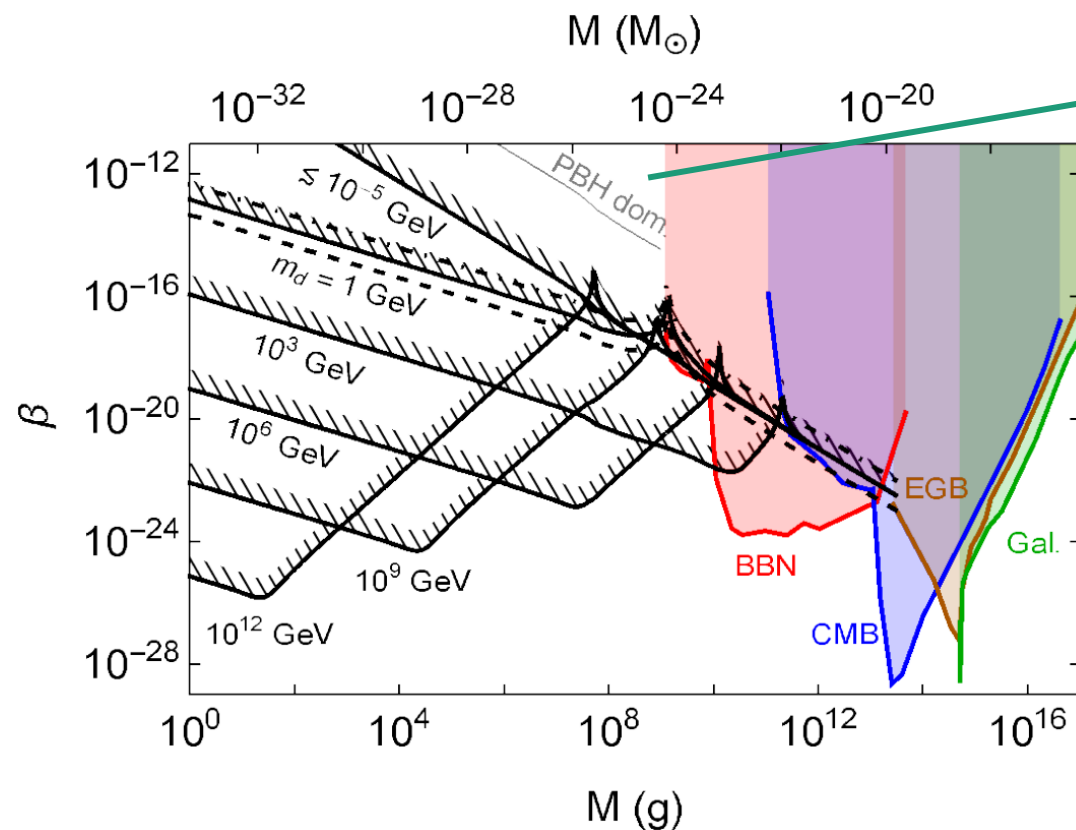
$$\beta_{\text{iso}} = \frac{\mathcal{P}_S}{\mathcal{P}_\zeta + \mathcal{P}_S} = \frac{\left( S_{\gamma d,0} + \frac{\Omega_b}{\Omega_d} S_{\gamma b,0} \right)^2}{\zeta^2 + \left( S_{\gamma d,0} + \frac{\Omega_b}{\Omega_d} S_{\gamma b,0} \right)^2} < 0.025$$

# Isocurvature constraints on PBH



- $\beta_{\text{iso}} < 0.025$  (Planck 2018) gives bounds on evaporating PBHs
- Depending on the DM mass,  $\beta(M)$  for  $M \lesssim 10^9$  g can be **observationally** constrained

# Isocurvature constraints on PBH



- If PBH domination happens, the Universe is effectively a single fluid; no constraints above the gray line



# Outline

- Introduction: PBHs
- PBH reformation (arXiv:2411.07469)
  - Cosmic timeline of eMD by PBHs / PBH reformation / Gravitational wave signals
- Isocurvature perturbations from evaporating PBHs (arXiv:2503.14581)
  - PBHs as an isocurvature source / Isocurvature constraints on PBH
- Summary & Conclusion

# Summary & Conclusion

- PBHs with  $M \lesssim 10^9$  g are currently not constrained by observations
- If they dominated the Universe, they could have undergone reformation
  - Reformed PBHs are much heavier and could be detected by future BBN light element abundances /  $\gamma$ -ray observations.
  - Evaporation of original PBHs gives SGWB. Possible multi-messenger observations.

**“PBH reformation provides an interesting possibility to decouple the PBH population today and the one from the early Universe physics.”**

# Summary & Conclusion

- If they remained subdominant, they generate isocurvature perturbations
  - Particle composition of Hawking radiation is different from the one from the inflationary reheating
  - PBHs are biased. Formation happens at the high curvature tail of the distribution.

**“CMB observation can constrain the past abundances of evaporating PBHs. This is the first observational constraint up to our knowledge.”**

***THE END. Thank you!***