

IV. Inflationary evolution of quantum state

1. Preliminary: Inverted harmonic oscillator
 - a. Classical inverted harmonic oscillator
 - b. Quantum inverted harmonic oscillator
2. Reformulation of quantum fluctuations
3. Hamiltonian operator revisited
 - a. Hamiltonian in factorized form
 - b. What does the Hamiltonian do on quantum state?

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$$\therefore \ddot{q} - q = 0 \quad \text{so that} \quad q(t) \sim e^{\pm t}$$

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$$p(t) = C \cosh t + D \sinh t = \frac{C + D}{2} e^t + \frac{C - D}{2} e^{-t}$$

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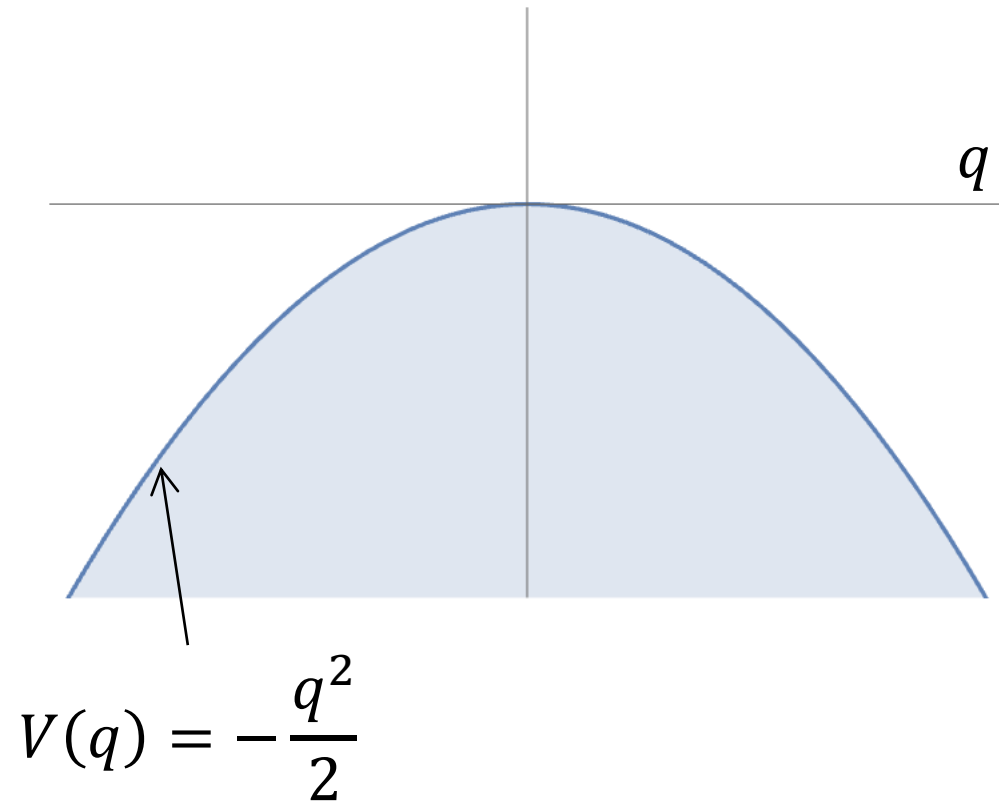
- Further, from the 2 Hamiltonian equations $A = D$ and $B = C$

$$\therefore q(t) = q(0) \cosh t + p(0) \sinh t$$

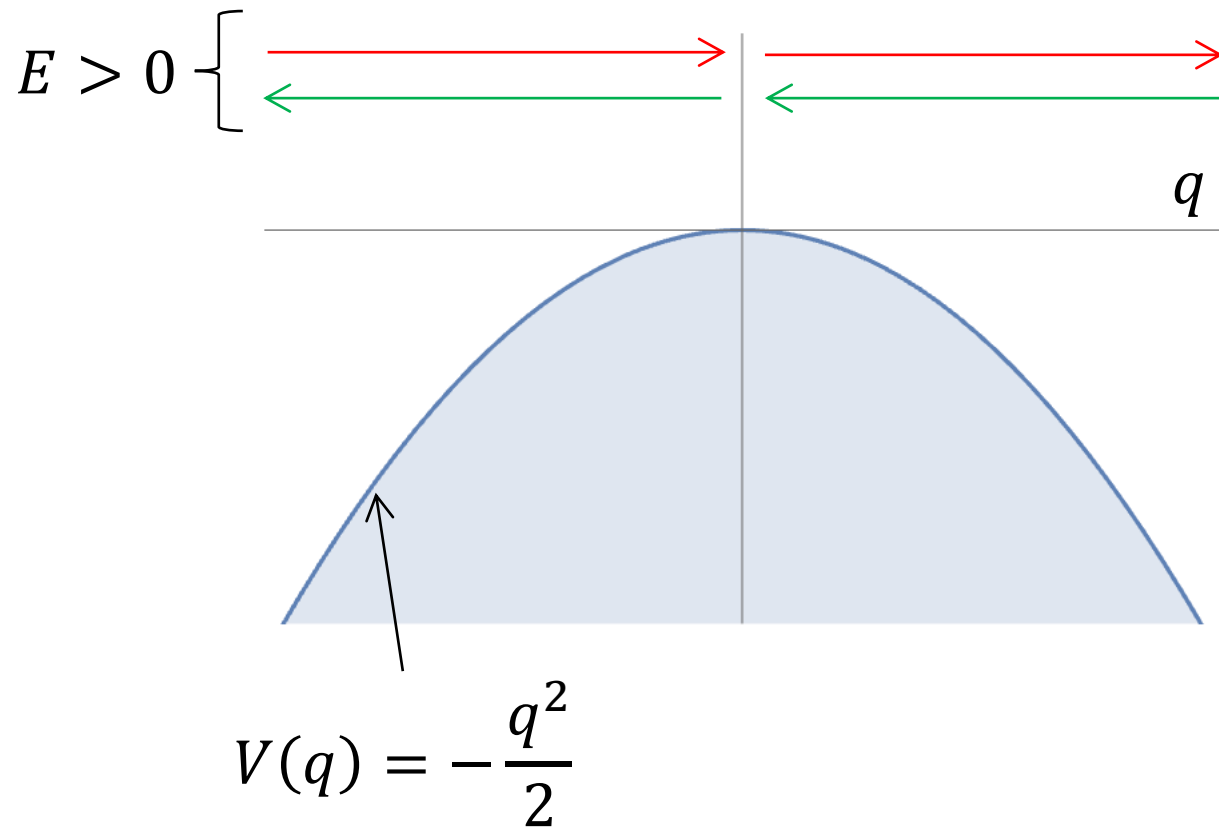
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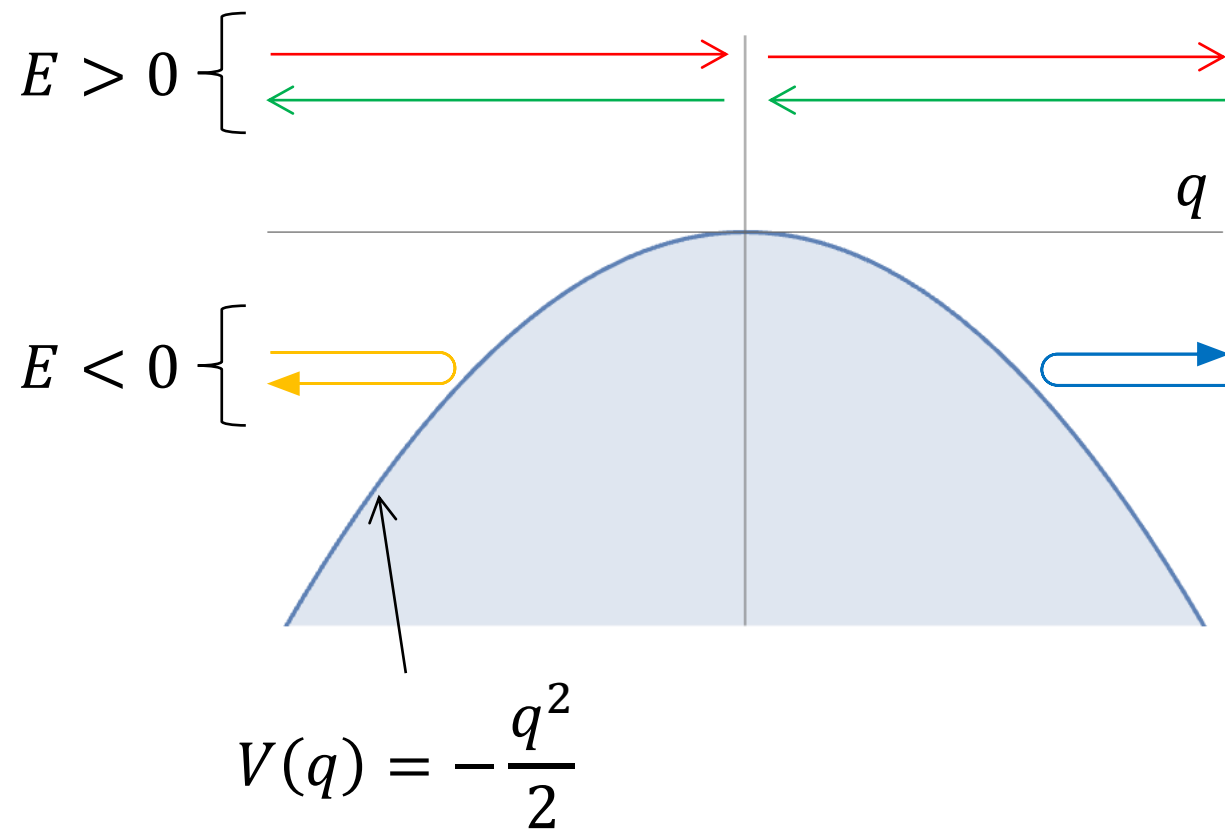
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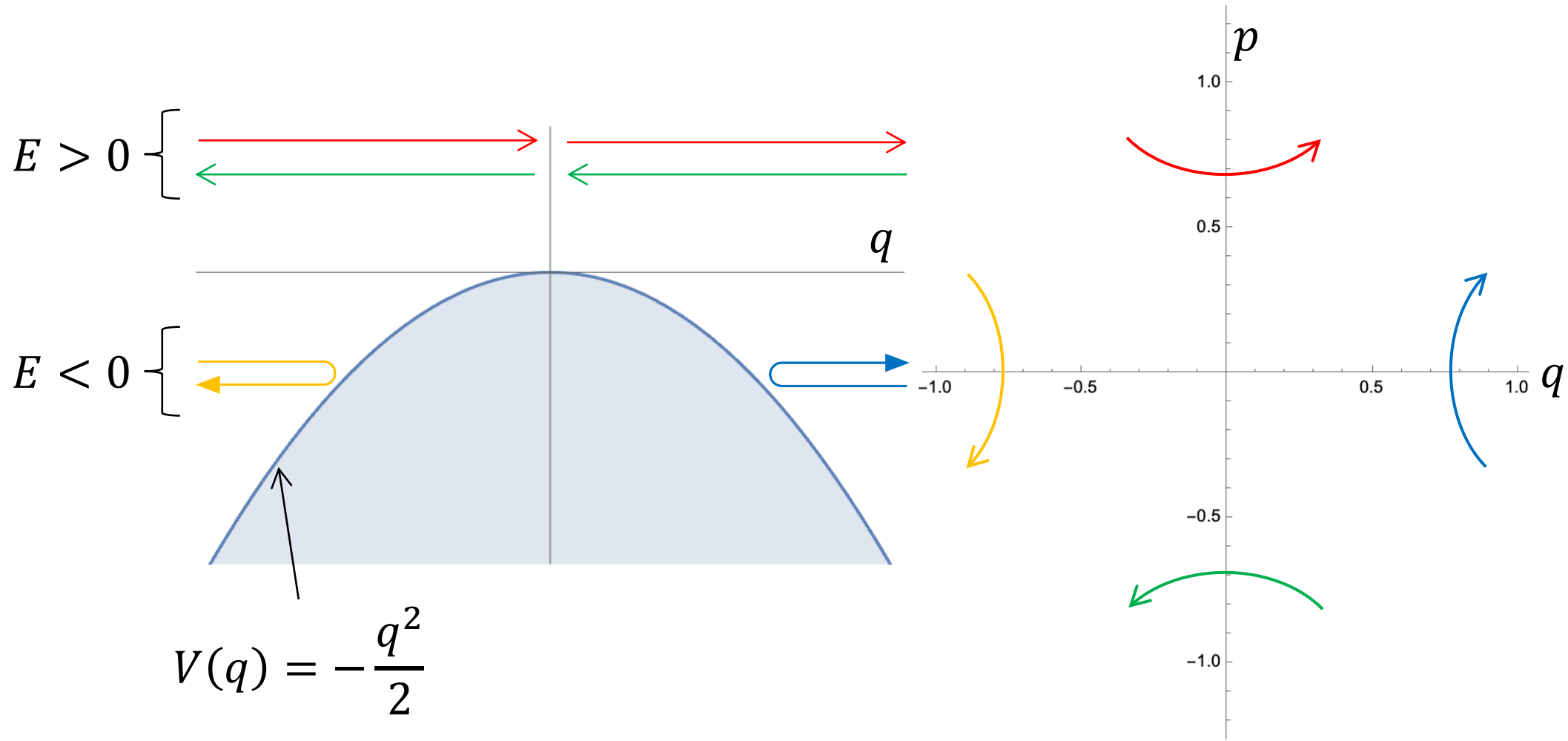
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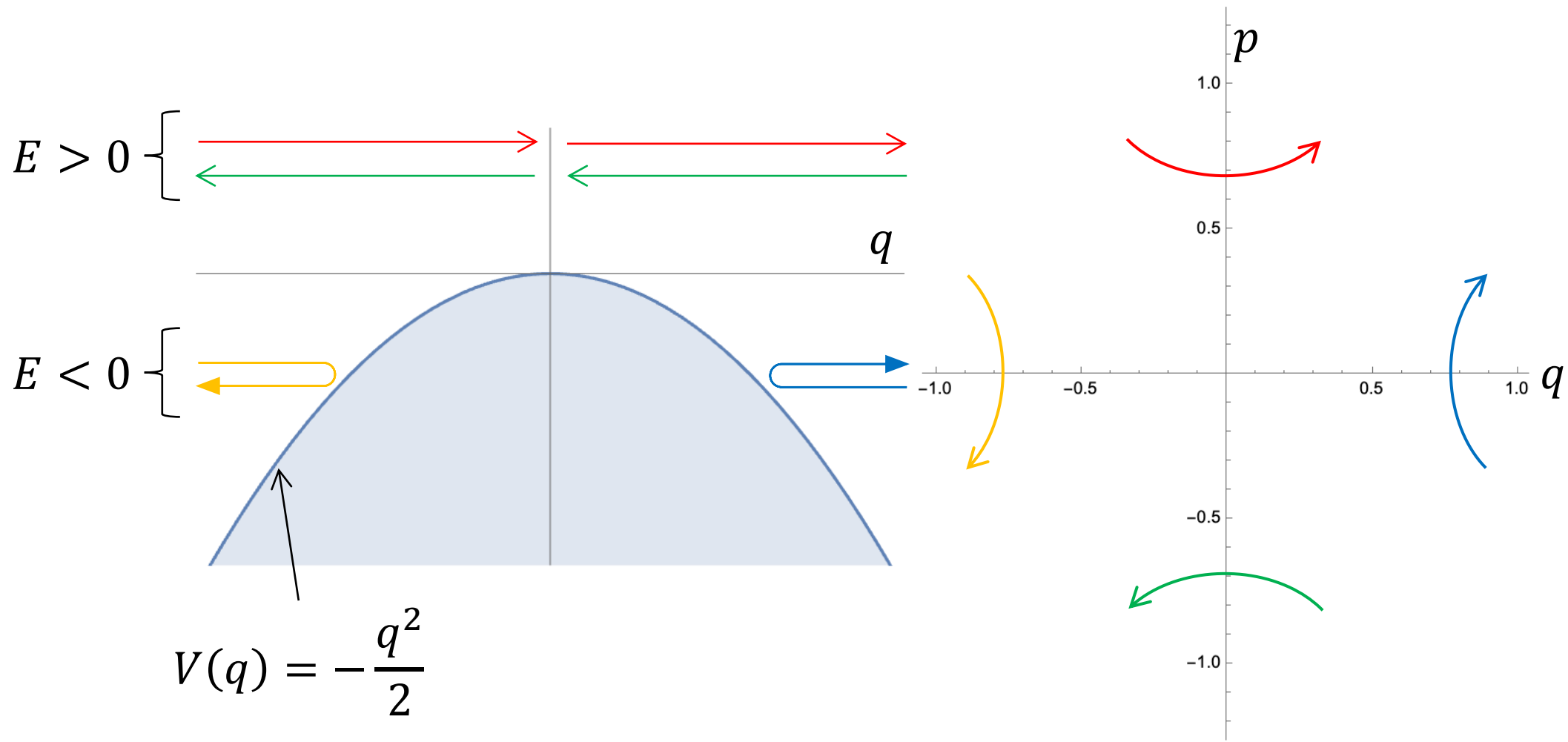
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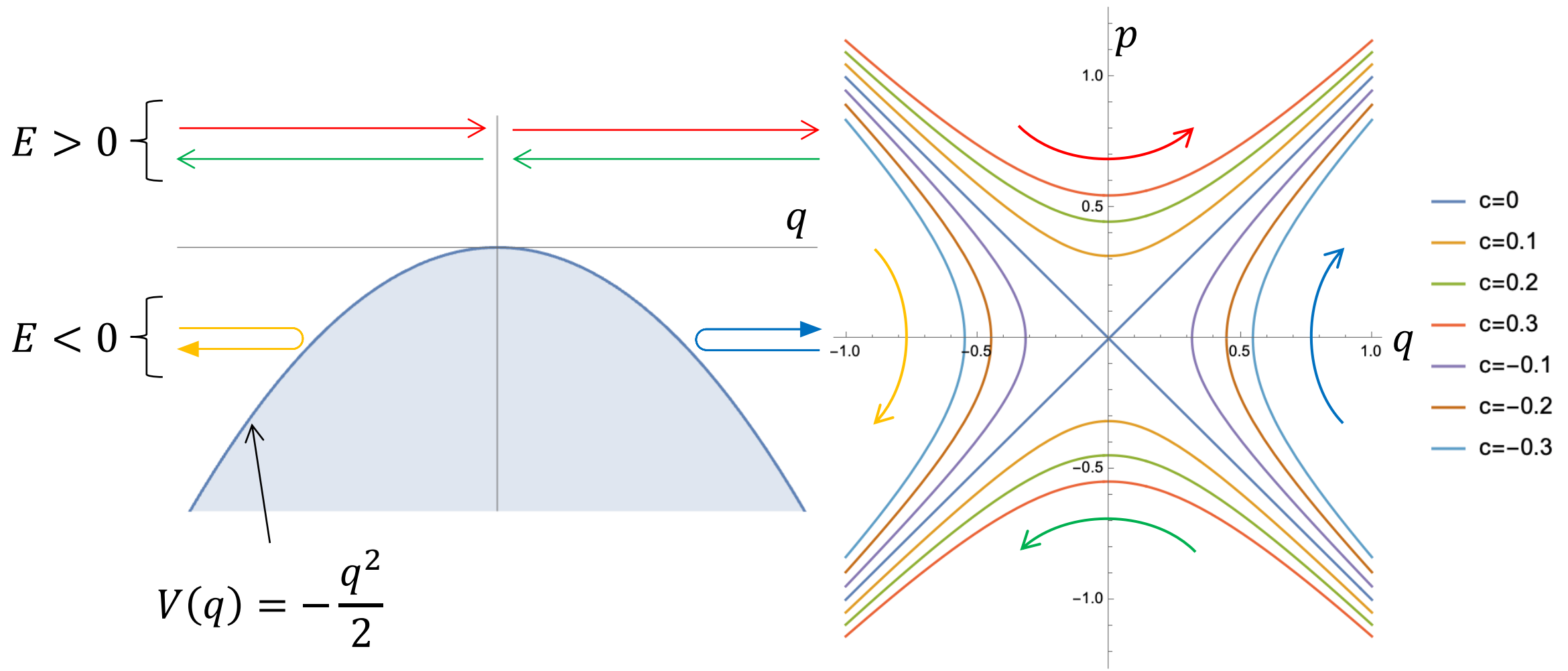
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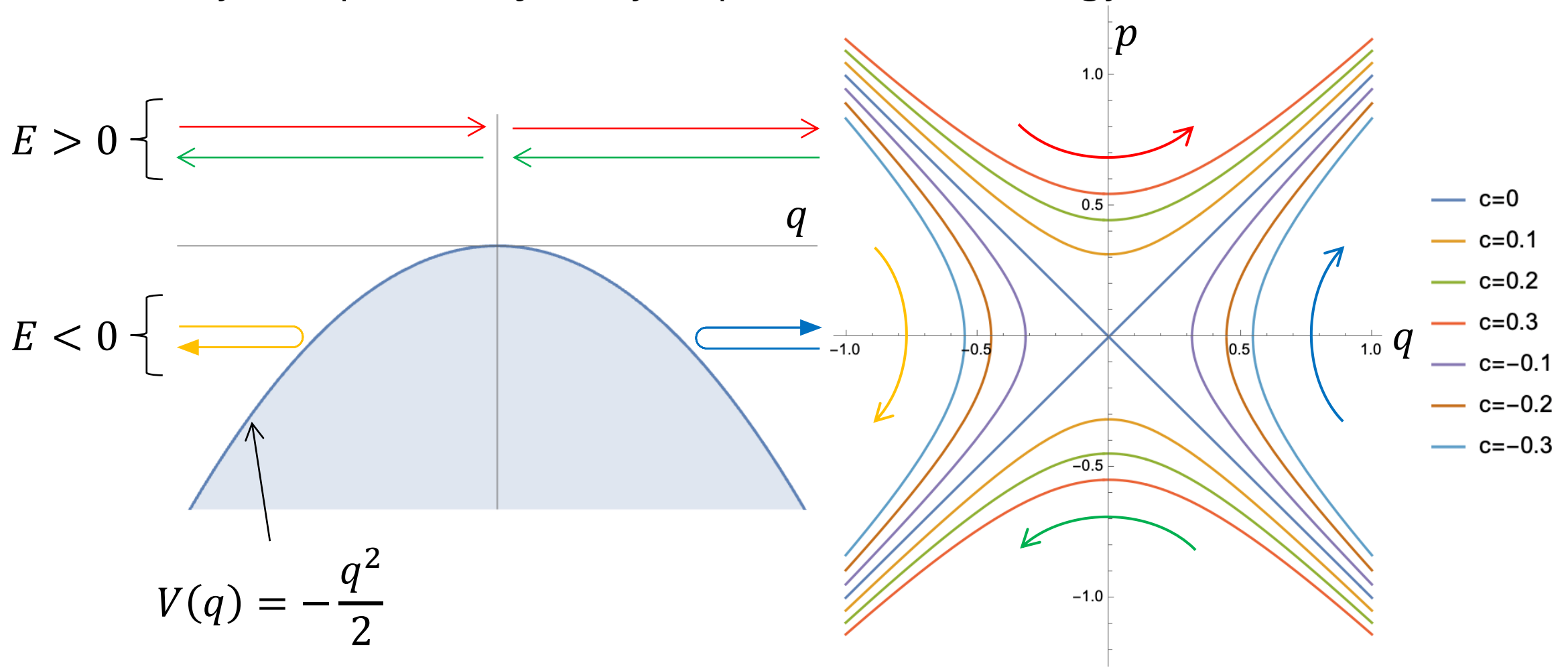
- How does the phase portrait look like?
- Since $p = \dot{q}$, we can write $\dot{p} = (dp/dq)\dot{q} = (dp/dq)p = q$, or simply $pdp = qdq$, which results in $p^2 - q^2 = c$ (c is a constant)



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- Obviously, the phase trajectory depends on the energy of the oscillator



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- Equations for $b_{\pm}$ read from the Hamiltonian equations:

$$\begin{aligned} \dot{b}_+ - \dot{b}_- &= b_+ + b_- & \dot{b}_+ &= b_+ & b_+(t) &= b_+(0)e^t \\ \dot{b}_+ + \dot{b}_- &= b_+ - b_- & \dot{b}_- &= -b_- & b_-(t) &= b_-(0)e^{-t} \end{aligned}$$

- Compared to solutions of $q(t)$ and $p(t)$, $b_{\pm}(0) = [p(0) \pm q(0)]/\sqrt{2}$ (of course!)

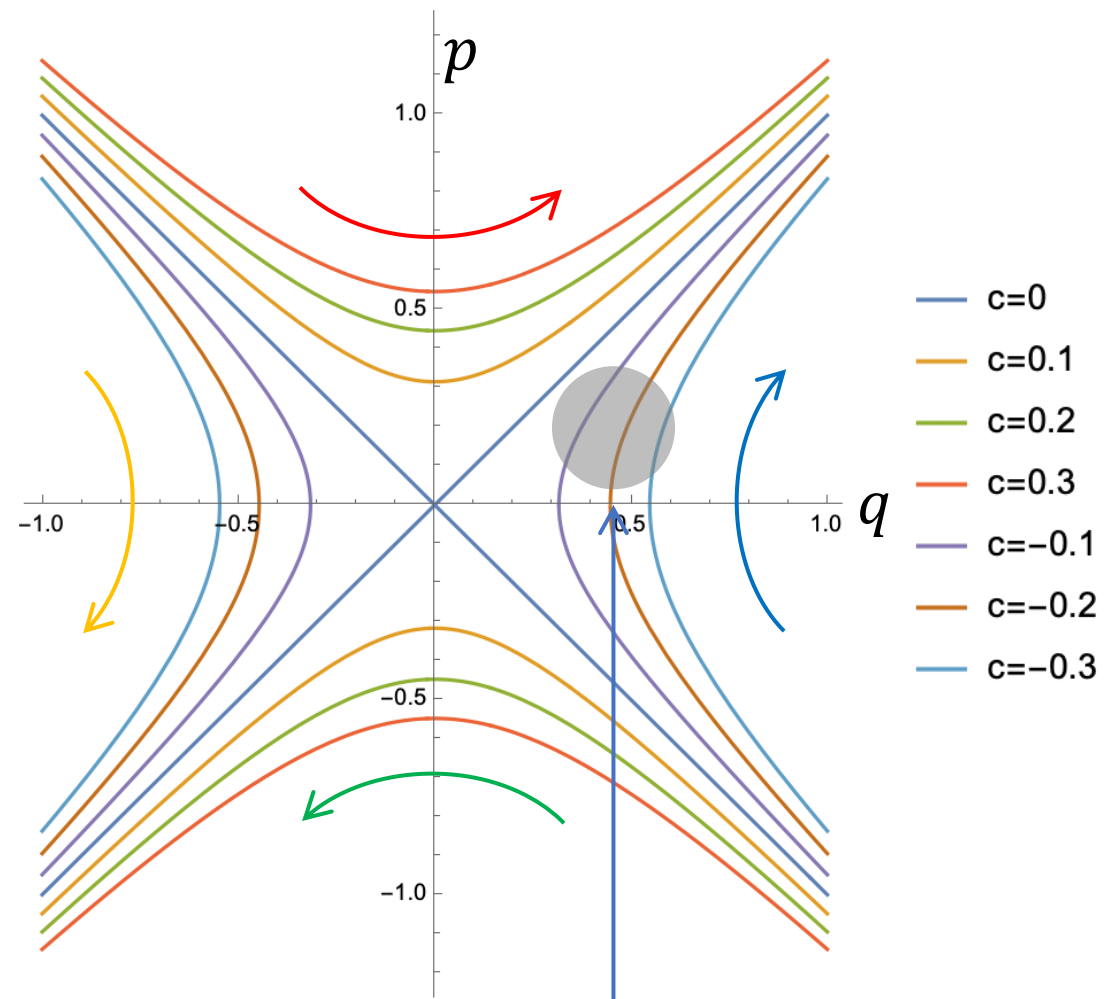
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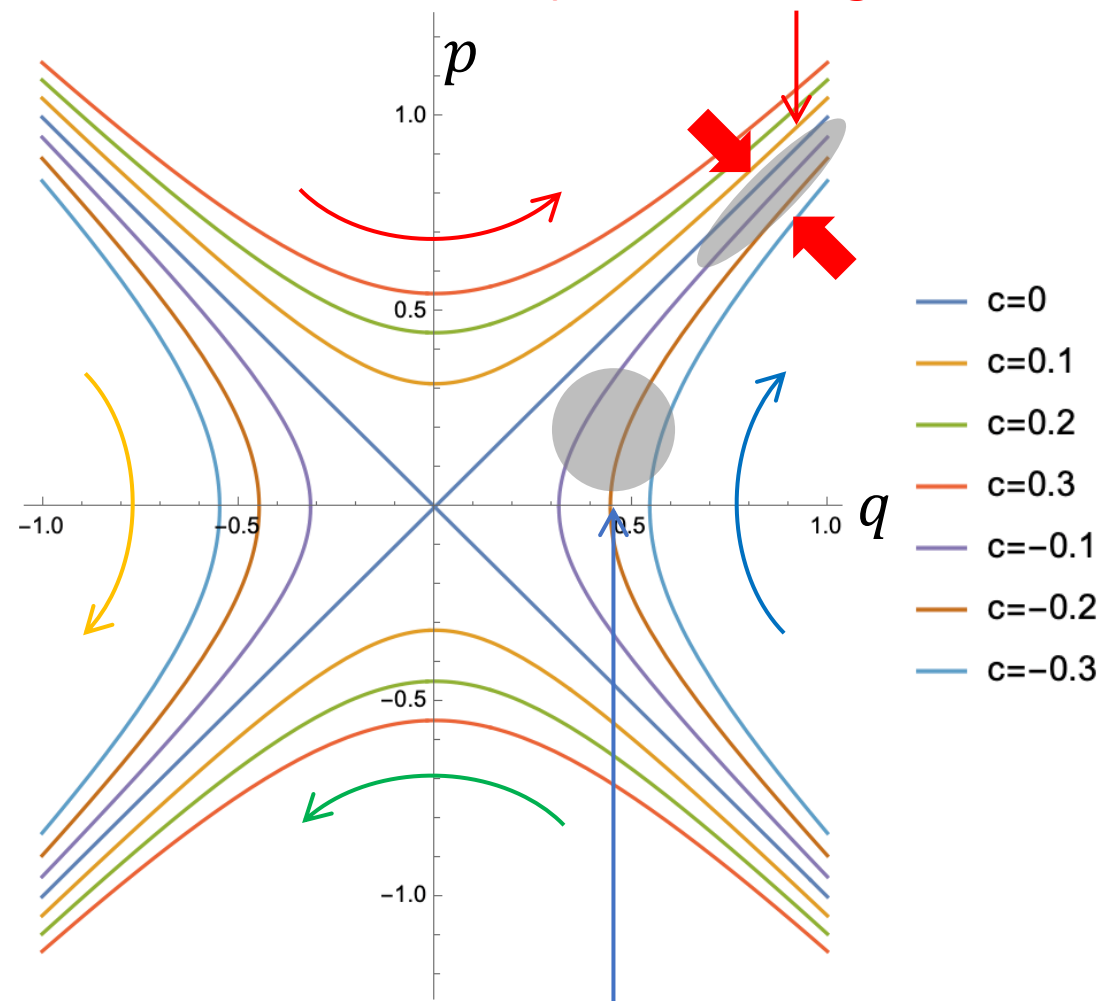
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- Compared to solutions of $q(t)$ and $p(t)$, $b_{\pm}(0) = [p(0) \pm q(0)]/\sqrt{2}$ (of course!)
- As time goes on, b_+ becomes exponentially large (p and q grow exponentially) and b_- exponentially small (difference between p and q exp approaches zero)
- Thus, any distribution in phase space eventually become “squeezed” along the axis $p = q$ as the system evolves



Initial distribution in phase space

As time goes on, initial distribution is
"squeezed" along the b_- direction



Initial distribution in phase space

b. Quantum inverted harmonic oscillator

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- Indeed this gives the canonical commutation relations:

$$\left. \begin{aligned} a &= \frac{\hat{Q} + i\hat{P}}{\sqrt{2\hbar}} \\ a^\dagger &= \frac{\hat{Q} - i\hat{P}}{\sqrt{2\hbar}} \end{aligned} \right\} \therefore [a, a^\dagger] = -\frac{i}{\hbar}[\hat{Q}, \hat{P}] = 1$$

- The Hamiltonian then is written in terms of creation and annihilation ops as:

$$\hat{H} = \frac{1}{2} \left[-\frac{\hbar}{2} (a - a^\dagger)^2 - \frac{\hbar}{2} (a + a^\dagger)^2 \right] = -\frac{\hbar}{2} (a^2 + a^{\dagger 2})$$

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- For later convenience, we change the form of $\hat{H}$ as:

$$\begin{aligned} \hat{H} &= i\frac{\hbar}{2} (ia^2 + ia^{\dagger 2}) \\ &= i\frac{\hbar}{2} (a^2 e^{i\pi/2} - a^{\dagger 2} e^{-i\pi/2}) \\ &= i\frac{\hbar}{2} (a^2 e^{2i\pi/4} - a^{\dagger 2} e^{-2i\pi/4}) \\ &= i\frac{\hbar}{2} (a^2 e^{2i\pi/4} - h.c.) \end{aligned}$$

- Thus, if we have started at $t = 0$ from the vacuum state $|0\rangle$ that is annihilated by a in such a way that $a|0\rangle = 0$, the time evolution tells us the state $|\psi(t)\rangle$ at $t > 0$ is

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- As the squeezing is caused by time, instead of t we introduce "squeezing parameter" r and, instead of $-\pi/4$ we introduce "squeezing phase" ϕ :

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- Of course, $\mathcal{S}^\dagger = \mathcal{S}^{-1}$ [In fact $\mathcal{S}$ is another name for $U(t) \equiv e^{-iHt/\hbar}$]

- Now we make use of the following general operator relation:

$$e^{a\hat{\mathcal{O}}}\hat{\mathcal{P}}e^{-a\hat{\mathcal{O}}} = \hat{\mathcal{P}} + a [\hat{\mathcal{O}}, \hat{\mathcal{P}}] + \frac{a^2}{2!} [\hat{\mathcal{O}}, [\hat{\mathcal{O}}, \hat{\mathcal{P}}]] + \dots$$

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$$a = -r$$

$$\hat{\mathcal{P}} = a$$

$$\hat{\mathcal{O}} = e^{-2i\phi} \frac{a^2}{2} - e^{2i\phi} \frac{a^{\dagger 2}}{2}$$

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$$\begin{aligned} [\hat{\mathcal{O}}, \hat{\mathcal{P}}] &= \frac{e^{-2i\phi}}{2} [a^2, a] - \frac{e^{2i\phi}}{2} [a^{\dagger 2}, a] = -\frac{e^{2i\phi}}{2} \left\{ a^{\dagger} [a^{\dagger}, a] + [a^{\dagger}, a] a^{\dagger} \right\} \\ &= e^{2i\phi} a^{\dagger} \end{aligned}$$

$$\begin{aligned} [\hat{\mathcal{O}}, [\hat{\mathcal{O}}, \hat{\mathcal{P}}]] &= e^{2i\phi} [\hat{\mathcal{O}}, a^{\dagger}] = \frac{1}{2} [a^2, a^{\dagger}] - \frac{e^{4i\phi}}{2} [a^{\dagger 2}, a^{\dagger}] \\ &= a = \hat{\mathcal{P}} \end{aligned}$$

$$[\hat{\mathcal{O}}, [\hat{\mathcal{O}}, [\hat{\mathcal{O}}, \hat{\mathcal{P}}]]] = [\hat{\mathcal{O}}, \hat{\mathcal{P}}]$$

$$\vdots$$

- Thus we find $\mathcal{S}^\dagger a \mathcal{S}$ as

$$\begin{aligned}
\mathcal{S}^\dagger a \mathcal{S} &= \exp \left[-r \left(e^{-2i\phi} \frac{a^2}{2} - e^{2i\phi} \frac{a^{\dagger 2}}{2} \right) \right] a \exp \left[r \left(e^{-2i\phi} \frac{a^2}{2} - e^{2i\phi} \frac{a^{\dagger 2}}{2} \right) \right] \\
&= a - r e^{2i\phi} a^\dagger + \frac{r^2}{2!} a - \frac{r^3}{3!} e^{2i\phi} a^\dagger + \dots \\
&= a \left(1 + \frac{r^2}{2!} + \dots \right) - a^\dagger e^{2i\phi} \left(r + \frac{r^3}{3!} + \dots \right) \\
&= a \cosh r - a^\dagger e^{2i\phi} \sinh r
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- Taking adjoint of both sides gives

$$(\mathcal{S}^\dagger a \mathcal{S})^\dagger = \mathcal{S}^\dagger a^\dagger \mathcal{S} = a^\dagger \cosh r - a e^{-2i\phi} \sinh r$$

- This immediately gives $\mathcal{S}^\dagger \hat{P} |\psi(t)\rangle$ as

$$\begin{aligned}
\mathcal{S}^\dagger \hat{P} |\psi(t)\rangle &= \mathcal{S}^\dagger \hat{P} \mathcal{S} |0\rangle \\
&= \mathcal{S}^\dagger \cdot -i\sqrt{\frac{\hbar}{2}} (a - a^\dagger) \cdot \mathcal{S} |0\rangle \\
&= -i\sqrt{\frac{\hbar}{2}} (\mathcal{S}^\dagger a \mathcal{S} - \mathcal{S}^\dagger a^\dagger \mathcal{S}) |0\rangle \\
&= -i\sqrt{\frac{\hbar}{2}} \left[(\cosh ra - e^{2i\phi} \sinh ra^\dagger) - (\cosh ra^\dagger - e^{-2i\phi} \sinh ra) \right] |0\rangle \\
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$\langle \hat{P} \rangle \equiv \langle \psi(t) | \hat{P} | \psi(t) \rangle = \langle 0 | \mathcal{S}^\dagger \hat{P} \mathcal{S} | 0 \rangle = 0$
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- Then we have:

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 &= \frac{\hbar}{2} \langle 0 | a \left(\cosh r + e^{-2i\phi} \sinh r \right) \left(\cosh r + e^{2i\phi} \sinh r \right) a^\dagger | 0 \rangle
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- Then the uncertainty relationship is:

$$\left[\langle \psi(t) | \hat{P}^2 | \psi(t) \rangle \langle \psi(t) | \hat{Q}^2 | \psi(t) \rangle \right]^{1/2} = \frac{\hbar}{2} [1 + \sin^2(2\phi) \sinh^2(2r)]^{1/2}$$

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 \langle \psi(t) | \hat{P}^2 | \psi(t) \rangle &= \langle \psi(t) | \hat{P} \mathcal{S} \cdot \mathcal{S}^\dagger \hat{P} | \psi(t) \rangle \\
 &= \frac{\hbar}{2} \langle 0 | a \underbrace{(\cosh r + e^{-2i\phi} \sinh r)(\cosh r + e^{2i\phi} \sinh r)}_{=\cosh(2r) + \cos(2\phi) \sinh(2r)} a^\dagger | 0 \rangle
 \end{aligned}$$

$$= \frac{\hbar}{2} [\cosh(2r) + \cos(2\phi) \sinh(2r)]$$

$$\langle \psi(t) | \hat{Q}^2 | \psi(t) \rangle = \frac{\hbar}{2} [\cosh(2r) - \cos(2\phi) \sinh(2r)]$$

- Then the uncertainty relationship is:

$$\underbrace{\left[\langle \psi(t) | \hat{P}^2 | \psi(t) \rangle \langle \psi(t) | \hat{Q}^2 | \psi(t) \rangle \right]^{1/2}}_{= \Delta \hat{Q} \Delta \hat{P}} = \underbrace{\frac{\hbar}{2} [1 + \sin^2(2\phi) \sinh^2(2r)]^{1/2}}_{\xrightarrow{r=t \gg 1} \frac{\hbar}{4} e^{2t}}$$

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Uncertainty spreads rapidly in $\hat{Q}$ and $\hat{P}$!

- However, consider the following:

$$\mathcal{S}^\dagger \hat{P} \cos \phi |\psi(t)\rangle = i\sqrt{\frac{\hbar}{2}} (\cosh r + e^{2i\phi} \sinh r) \cos \phi a^\dagger |0\rangle$$

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$$\therefore \mathcal{S}^\dagger \left(\hat{P} \cos \phi - \hat{Q} \sin \phi \right) |\psi(t)\rangle = \sqrt{\frac{\hbar}{2}} i e^{i\phi} e^r a^\dagger |0\rangle$$

$$\text{Similarly } \mathcal{S}^\dagger \left(\hat{P} \sin \phi + \hat{Q} \cos \phi \right) |\psi(t)\rangle = \sqrt{\frac{\hbar}{2}} e^{i\phi} e^{-r} a^\dagger |0\rangle$$

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$$\therefore \left\langle \psi(t) \left| \left(\hat{P} \cos \phi - \hat{Q} \sin \phi \right)^2 \right| \psi(t) \right\rangle = \frac{\hbar}{2} e^{2r}$$

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- Several important remarks in order:

1. For $\phi = -\pi/4$, $(\hat{P} \cos \phi - \hat{Q} \sin \phi)^2 = (\Delta b_+)^2$, $(\hat{P} \sin \phi + \hat{Q} \cos \phi)^2 = (\Delta b_-)^2$
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- We will observe similar properties in the evolution of the quantum states for cosmological perturbations!

IV. Inflationary evolution of quantum state

1. Preliminary: Inverted harmonic oscillator
 - a. Classical inverted harmonic oscillator
 - b. Quantum inverted harmonic oscillator
2. Reformulation of quantum fluctuations
3. Hamiltonian operator revisited
 - a. Hamiltonian in factorized form
 - b. What does the Hamiltonian do on quantum state?

- We begin with the quadratic action

$$S_2^{(s)} = \int d^4x a^3 \epsilon m_{\text{Pl}}^2 \left[\mathcal{R}'^2 - (\nabla \mathcal{R})^2 \right]$$
$$S_2^{(t)} = \int d^4x \frac{a^2 m_{\text{Pl}}^2}{8} \left(h^{ij'} h'_{ij} - h^{ij,k} h_{ij,k} \right)$$

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- In terms of the Fourier modes:

$$\mathcal{R}(\tau, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k} \cdot \mathbf{x}} \mathcal{R}(\tau, \mathbf{k})$$

$$h_{ij}(\tau, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} e^{i\mathbf{k} \cdot \mathbf{x}} \sum_{s=1}^2 h_{(s)}(\tau, \mathbf{k}) e_{ij}^{(s)}(\hat{\mathbf{k}})$$

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- From now on we only consider tensor perturbations because 1) both scalar and tensor actions have exactly the same structure, and 2) tensor is simpler

- The action in terms of the Fourier modes (with more explicit in momentum):

$$\begin{aligned}
S_2^{(t)} &= \int d\tau \frac{a^2 m_{\text{Pl}}^2}{4} \int \frac{d^3 k}{(2\pi)^3} \sum_s \left[h'_{(s)}(\tau, \mathbf{k}) h'_{(s)}(\tau, -\mathbf{k}) - k^2 h_{(s)}(\tau, \mathbf{k}) h_{(s)}(\tau, -\mathbf{k}) \right] \\
&= \sum_s \int d\tau \frac{d^3 k}{(2\pi)^3} \frac{1}{2} \left[v_{\mathbf{k}}^{(s)'} v_{-\mathbf{k}}^{(s)'} - \frac{a'}{a} v_{\mathbf{k}}^{(s)'} v_{-\mathbf{k}}^{(s)} - \frac{a'}{a} v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)'} - k^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} + \left(\frac{a'}{a} \right)^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} \right]
\end{aligned}$$

$$v_{\mathbf{k}}^{(s)}(\tau) \equiv \frac{a m_{\text{Pl}}}{\sqrt{2}} h_{(s)}(\tau, \mathbf{k})$$

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 &= \sum_s \int d\tau \frac{d^3 k}{(2\pi)^3} \frac{1}{2} \left[v_{\mathbf{k}}^{(s)'} v_{-\mathbf{k}}^{(s)'} \left(-\frac{a'}{a} v_{\mathbf{k}}^{(s)'} v_{-\mathbf{k}}^{(s)} - \frac{a'}{a} v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)'} \right) - k^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} + \left(\frac{a'}{a} \right)^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} \right]
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 \end{aligned}$$

$$v_{\mathbf{k}}^{(s)}(\tau) \equiv \frac{a m_{\text{Pl}}}{\sqrt{2}} h_{(s)}(\tau, \mathbf{k})$$

- Then the canonical conjugate momentum of $v_{\mathbf{k}}^{(s)}$ is given by

$$\pi_{\mathbf{k}}^{(s)} \equiv \frac{\delta \mathcal{L}}{\delta v_{\mathbf{k}}^{(s)'}} = v_{\mathbf{k}}^{(s)'} - \frac{a'}{a} v_{\mathbf{k}}^{(s)}$$

- Then we can find the Hamiltonian from the quadratic action as:

$$H = \frac{1}{2} \sum_s \int \frac{d^3 k}{(2\pi)^3} \left[\pi_{\mathbf{k}}^{(s)} \pi_{-\mathbf{k}}^{(s)} + k^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} + \frac{a'}{a} \left(\pi_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} + v_{\mathbf{k}}^{(s)} \pi_{-\mathbf{k}}^{(s)} \right) \right]$$

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- Now we promote the conjugate pair to time-dependent operators:

$$\hat{\pi}_{\mathbf{k}}^{(s)}(\tau) = a_{\mathbf{k}}^{(s)}(\tau) u_k + a_{-\mathbf{k}}^{(s)\dagger}(\tau) u_k^*$$

$$\hat{v}_{\mathbf{k}}^{(s)}(\tau) = a_{\mathbf{k}}^{(s)}(\tau) v_k + a_{-\mathbf{k}}^{(s)\dagger}(\tau) v_k^*$$

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- An important difference from the conventional approach is that the time dependence is given to the creation and annihilation operators, $a_{\mathbf{k}}^{(s)} = a_{\mathbf{k}}^{(s)}(\tau)$ and $a_{-\mathbf{k}}^{(s)\dagger} = a_{-\mathbf{k}}^{(s)\dagger}(\tau)$, while the mode functions are set up at an initial time τ_0

- We impose the canonical commutation relations:

$$\left[\widehat{v}^{(s)}(\tau, \mathbf{x}), \widehat{\pi}^{(s')}(\tau, \mathbf{y}) \right] = i\delta^{(3)}(\mathbf{x} - \mathbf{y})\delta_{ss'}$$

$$\left[a_{\mathbf{k}}^{(s)}(\tau), a_{\mathbf{q}}^{(s')\dagger}(\tau) \right] = (2\pi)^3 \delta^{(3)}(\mathbf{k} - \mathbf{q})\delta_{ss'}$$

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- To set up the initial conditions at $\tau = \tau_0$, we assume that the modes are deep inside the horizon so that the effects of gravity can be neglected
- This amounts to considering only the part of the full Hamiltonian that is free from gravitational effects:

$$H \rightarrow \frac{1}{2} \sum_s \int \frac{d^3 k}{(2\pi)^3} \left[\pi_{\mathbf{k}}^{(s)} \pi_{-\mathbf{k}}^{(s)} + k^2 v_{\mathbf{k}}^{(s)} v_{-\mathbf{k}}^{(s)} \right]$$

- Plugging the operator expansion and taking the expectation value with respect to the initial vacuum state that is annihilated by $a_{\mathbf{k}}^{(s)}$, we have (now we omit the polarization index for simplicity)

$$\langle H(\tau_0) \rangle = \frac{1}{2} \int \frac{d^3 k}{(2\pi)^3} (2\pi)^3 \delta^{(3)}(0) [|u_k|^2 + k^2 |v_k|^2]$$

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- The vacuum state, or equivalently the mode function, is chosen to minimize this expectation value of the Hamiltonian
- We may proceed formally by using the Cauchy-Schwarz inequality, but let us be satisfied with a more heuristic, handwaving approach
- Without losing generality, let us assume that v_k is real
- Then, because of the condition $u_k^* v_k - u_k v_k^* = i$, u_k should be purely imaginary so let us write $u_k = -i\tilde{u}_k$

- Then the condition is reduced to $\tilde{u}_k v_k = 1/2$

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- Thus, we can find v_k that minimizes $|u_k|^2 + k^2 |v_k|^2 = 1/(4v_k^2) + k^2 v_k^2$ as we did previously, i.e. 1st derivative = 0 and 2nd derivative > 0:

$$v_k = \frac{1}{\sqrt{2k}}$$

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- Thus, the canonical variables are

$$\hat{\pi}_{\mathbf{k}}(\tau) = -i\sqrt{\frac{k}{2}} \left[a_{\mathbf{k}}(\tau) - a_{-\mathbf{k}}^{\dagger}(\tau) \right]$$

$$\hat{v}_{\mathbf{k}}(\tau) = \frac{1}{\sqrt{2}} \left[a_{\mathbf{k}}(\tau) + a_{-\mathbf{k}}^{\dagger}(\tau) \right]$$

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- Barring the time dependence, these solutions are of the same form as those for the time-independent textbook QM harmonic oscillator!

- We can derive the Hamiltonian equations from the Hamiltonian:

$$v'_{\mathbf{k}} = \frac{\partial H}{\partial \pi_{\mathbf{k}}} = \pi_{\mathbf{k}} + \frac{a'}{a} v_{\mathbf{k}}$$
$$\pi'_{\mathbf{k}} = -\frac{\partial H}{\partial v_{\mathbf{k}}} = -\left(k^2 v_{\mathbf{k}} + \frac{a'}{a} \pi_{\mathbf{k}}\right)$$

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$$\therefore v''_{\mathbf{k}} = \pi'_{\mathbf{k}} + \frac{a'}{a} v'_{\mathbf{k}} + \left[\frac{a''}{a} - \left(\frac{a'}{a}\right)^2\right] v_{\mathbf{k}}$$

$$= -\left(k^2 v_{\mathbf{k}} + \frac{a'}{a} \pi_{\mathbf{k}}\right) + \frac{a'}{a} \left(\pi_{\mathbf{k}} + \frac{a'}{a} v_{\mathbf{k}}\right) + \left[\frac{a''}{a} - \left(\frac{a'}{a}\right)^2\right] v_{\mathbf{k}}$$

$$= -\left(k^2 - \frac{a''}{a}\right) v_{\mathbf{k}}$$

- As we know the conjugate variables $v_{\mathbf{k}}$ and $\pi_{\mathbf{k}}$ in terms of the creation and annihilation operators $a_{\mathbf{k}}$ and $a_{\mathbf{k}}^\dagger$, the Hamiltonian equations can be written as the equations for $a_{\mathbf{k}}$ and $a_{\mathbf{k}}^\dagger$:

$$v'_{\mathbf{k}} = \pi_{\mathbf{k}} + \frac{a'}{a} v_{\mathbf{k}}$$

$$\rightarrow a_{\mathbf{k}}^\dagger + a_{-\mathbf{k}}^{\dagger'} = -ik \left(a_{\mathbf{k}} - a_{-\mathbf{k}}^\dagger \right) + \frac{a'}{a} \left(a_{\mathbf{k}} + a_{-\mathbf{k}}^\dagger \right)$$

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$$\therefore a'_{\mathbf{k}} = -ika_{\mathbf{k}} + \frac{a'}{a} a_{-\mathbf{k}}^\dagger$$

$$a_{-\mathbf{k}}^{\dagger'} = ika_{-\mathbf{k}}^\dagger + \frac{a'}{a} a_{\mathbf{k}}$$

- These are 1st-order coupled differential equations for $a_{\mathbf{k}}$ and $a_{\mathbf{k}}^\dagger$, the general solutions are given by the linear combinations of the initial conditions at τ_0 :

$$a_{\mathbf{k}}(\tau) = \alpha_k(\tau)a_{\mathbf{k}}(\tau_0) + \beta_k(\tau)a_{-\mathbf{k}}^\dagger(\tau_0)$$

$$a_{-\mathbf{k}}^\dagger(\tau) = \alpha_k^*(\tau)a_{-\mathbf{k}}^\dagger(\tau_0) + \beta_k^*(\tau)a_{\mathbf{k}}(\tau_0)$$

We rediscover the Bogoliubov transformation!

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We rediscover the Bogoliubov transformation!

- The canonical equal-time commutation relation $[a_{\mathbf{k}}, a_{\mathbf{q}}^\dagger] = (2\pi)^3 \delta^{(3)}(\mathbf{k} - \mathbf{q})$ leads to the relation between α_k and β_k :

$$[a_{\mathbf{k}}(\tau), a_{\mathbf{q}}^\dagger(\tau)] = (2\pi)^3 \delta^{(3)}(\mathbf{k} - \mathbf{q}) \left[|\alpha_k(\tau)|^2 - |\beta_k(\tau)|^2 \right]$$
$$\therefore |\alpha_k|^2 - |\beta_k|^2 = 1$$

- Motivated by this form, we can parametrize α_k and β_k i.t.o. hyperbolic fcts:

$$\alpha_k = e^{-i\theta_k} \cosh r_k$$

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- The equations for r_k , θ_k and ϕ_k can be derived from those for a_k and $a_k^\dagger$:

$$r'_k = \frac{a'}{a} \cos(2\phi_k)$$

$$\theta'_k = k + \frac{a'}{a} \sin(2\phi_k) \tanh r_k$$

$$\phi'_k = -k - \frac{a'}{a} \sin(2\phi_k) \coth r_k$$

- We can derive the solutions for r_k , θ_k and ϕ_k in de Sitter limit:

$$r_k = \sinh^{-1} \left[\frac{1}{2(-k\tau)} \right]$$

$$\theta_k = k\tau - \tan^{-1} \left[\frac{1}{2(-k\tau)} \right]$$

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- Derivation of these solutions are a bit complicated and we will not cover it...

IV. Inflationary evolution of quantum state

1. Preliminary: Inverted harmonic oscillator
 - a. Classical inverted harmonic oscillator
 - b. Quantum inverted harmonic oscillator
2. Reformulation of quantum fluctuations
3. Hamiltonian operator revisited
 - a. Hamiltonian in factorized form
 - b. What does the Hamiltonian do on quantum state?

a. Hamiltonian in factorized form

- We can write the Hamiltonian “density” purely in terms of $a_{\mathbf{k}}$ and $a_{\mathbf{k}}^\dagger$:

$$\begin{aligned}\mathcal{H} &= \frac{1}{2} \left[\pi_{\mathbf{k}} \pi_{-\mathbf{k}} + k^2 v_{\mathbf{k}} v_{-\mathbf{k}} + \frac{a'}{a} \left(\pi_{\mathbf{k}} v_{-\mathbf{k}} + v_{\mathbf{k}} \pi_{-\mathbf{k}} \right) \right] \\ &= \frac{1}{2} \left[k \left(a_{\mathbf{k}} a_{\mathbf{k}}^\dagger + a_{-\mathbf{k}}^\dagger a_{-\mathbf{k}} \right) + i \frac{a'}{a} \left(-a_{\mathbf{k}} a_{-\mathbf{k}} + a_{-\mathbf{k}}^\dagger a_{\mathbf{k}}^\dagger \right) \right]\end{aligned}$$

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- We may use the commutation relation to change the order of $a_{\mathbf{k}} a_{\mathbf{k}}^\dagger$, because after all we are interested in the evolution of the (initial vacuum) state so that the operations by the part without a'/a keep the vacuum

$$\mathcal{H} = \frac{1}{2} \left\{ k \left[(2\pi)^3 \delta^{(3)}(0) + a_{\mathbf{k}}^\dagger a_{\mathbf{k}} + a_{-\mathbf{k}}^\dagger a_{-\mathbf{k}} \right] + i \frac{a'}{a} \left(-a_{\mathbf{k}} a_{-\mathbf{k}} + a_{-\mathbf{k}}^\dagger a_{\mathbf{k}}^\dagger \right) \right\}$$

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- For clarity, let us concentrate on a single k -mode
- This means the Hamiltonian we consider is $\mathcal{H}$, rather than $H = \int d^3k/(2\pi)^3 \mathcal{H}$
- Equivalently, we may “descretize” the momentum $\int d^3k/(2\pi)^3(\dots) \rightarrow L^{-3} \sum_k(\dots)$ where L^3 is the volume into which the mode of our interest permeates

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- With a given volume L^3 , we may now isolate the volume from the canonical creation and annihilation operators in such a way that (note that previously we have $a_{\mathbf{k}}$ and $a_{\mathbf{k}}^\dagger$ mass dimension 3/2)

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- Then the new dimensionless operators satisfy

$$\left[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}}^\dagger \right] = 1$$

- Thus, the Hamiltonian density and the Hamiltonian operator can be written as

$$\hat{\mathcal{H}} = L^3 \times \frac{1}{2} \left\{ k \left[1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right] + i \frac{a'}{a} \left(-\hat{a}_{\mathbf{k}} \hat{a}_{-\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right) \right\}$$

$$\begin{aligned} \hat{H} &= L^{-3} \sum_{\mathbf{k}} \hat{\mathcal{H}} = \sum_{\mathbf{k}} \frac{1}{2} \left\{ k \left[1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right] + i \frac{a'}{a} \left(-\hat{a}_{\mathbf{k}} \hat{a}_{-\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right) \right\} \\ &\equiv \sum_{\mathbf{k}} \hat{\mathcal{H}}_{\mathbf{k}} \end{aligned}$$

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- $\hat{\mathcal{H}}$: Hamiltonian “density” in the sense that it only accounts for a single k -mode, but it includes the whole volume over which that k -mode is effective
- $\hat{H}$: Hamiltonian per unit volume, but the contributions of all k -mode is considered
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- After long calculations (I will not show you... basically solving the Schroedinger equation) we find the time evolution operator is factorized:

$$\hat{U}_{\mathbf{k}} = \hat{R}_{\mathbf{k}} \hat{S}_{\mathbf{k}}$$

$$\hat{R}_{\mathbf{k}} = \exp \left[-i\theta_k \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right) \right]$$

$$\hat{S}_{\mathbf{k}} = \exp \left[\frac{r_k}{2} \left(\hat{a}_{\mathbf{k}} \hat{a}_{-\mathbf{k}} e^{-2i\phi_k} - \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger e^{2i\phi_k} \right) \right]$$

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- The operator $\hat{U}_{\mathbf{k}}$ is called "rotation operator" and $\hat{S}_{\mathbf{k}}$ "squeezing operator" for the reasons that will be obvious soon

b. What does the Hamiltonian do on quantum state?

- The action of $\hat{R}_{\mathbf{k}}$ on the vacuum state $|0\rangle$ is:

$$\hat{R}_{\mathbf{k}}|0\rangle = \exp \left[-i\theta_k \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right) \right] |0\rangle$$

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 \end{aligned}$$

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- Thus, what the operator $\hat{R}_{\mathbf{k}}$ does on the vacuum state $|0\rangle$ is adding a phase angle $\theta_{\mathbf{k}}$, so the name "rotation operator" is given
- This is expected, as $\hat{R}_{\mathbf{k}}$ is coming from the part of the Hamiltonian that does not include any gravitational effects (i.e. scale factor) so it should do what is done in Minkowski space
- That is, **vacuum remains vacuum under $\hat{R}_{\mathbf{k}}$**

- Meanwhile, the squeezing operator $\hat{S}_k$ can be rewritten as (another long calculation!):

$$\hat{S}_{\mathbf{k}}|0\rangle = \exp \left[-e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right] \left[\frac{1}{\cosh(r_k/2)} \right]^{\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} + 1} \exp \left[e^{-2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}} \right]$$

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- What happens when $\hat{S}_k$ acts upon the vacuum state $|0\rangle$?
 1. Expanding the exponential, the first part does nothing:

$$\begin{aligned} \exp \left[e^{-2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}} \right] |0\rangle &= \left[1 + e^{-2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}} \right. \\ &\quad \left. + \frac{1}{2} e^{-4i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) (\hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}})^2 + \dots \right] |0\rangle \\ &= |0\rangle \end{aligned}$$

$\hat{a}_{\mathbf{k}} |0\rangle = 0$ for all $\mathbf{k}$



2. Expanding the next part using $a^x = 1 + x \log a + x^2 (\log a)^2 / 2! + \dots$ gives

$$\left[\frac{1}{\cosh(r_k/2)} \right]^{\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} + 1} |0\rangle = \left\{ 1 + \log \left[\frac{1}{\cosh(r_k/2)} \right] \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right) \right. \\ \left. + \frac{1}{2!} \log^2 \left[\frac{1}{\cosh(r_k/2)} \right] \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right)^2 + \dots \right\} |0\rangle$$

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$a_{\mathbf{k}}|0\rangle = 0$ for all $\mathbf{k}$ 

2. Expanding the next part using $a^x = 1 + x \log a + x^2 (\log a)^2 / 2! + \dots$ gives

$$\begin{aligned}
 \left[\frac{1}{\cosh(r_k/2)} \right]^{\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} + 1} |0\rangle &= \left\{ 1 + \log \left[\frac{1}{\cosh(r_k/2)} \right] \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right) \right. \\
 &\quad \left. + \frac{1}{2!} \log^2 \left[\frac{1}{\cosh(r_k/2)} \right] \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right)^2 + \dots \right\} |0\rangle \\
 &= |0\rangle \underbrace{\left\{ 1 + \log \left[\frac{1}{\cosh(r_k/2)} \right] + \frac{1}{2!} \log^2 \left[\frac{1}{\cosh(r_k/2)} \right] + \dots \right\}}_{=1/\cosh(r_k/2)}
 \end{aligned}$$

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 &\quad \left. + \frac{1}{2!} \log^2 \left[\frac{1}{\cosh(r_k/2)} \right] \left(1 + \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}} \right)^2 + \dots \right\} |0\rangle \\
 &= |0\rangle \underbrace{\left\{ 1 + \log \left[\frac{1}{\cosh(r_k/2)} \right] + \frac{1}{2!} \log^2 \left[\frac{1}{\cosh(r_k/2)} \right] + \dots \right\}}_{=1/\cosh(r_k/2)} \\
 &= |0\rangle \frac{1}{\cosh(r_k/2)}
 \end{aligned}$$

$a_{\mathbf{k}}|0\rangle = 0$ for all $\mathbf{k}$ 

3. Finally, applying the last part gives:

$$\begin{aligned} & \exp \left[- e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right] |0\rangle \frac{1}{\cosh(r_k/2)} \\ &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \end{aligned}$$

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&= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
&= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle + \dots \right\}
\end{aligned}$$

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 &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
 &= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle + \dots \right\}
 \end{aligned}$$

1 particle of momentum $\mathbf{k}$ and
another of $-\mathbf{k}$ are created

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 &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
 &= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \underbrace{\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle}_{\equiv |1, \mathbf{k}; 1, -\mathbf{k}\rangle} + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle + \dots \right\}
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 &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
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 &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
 &= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \underbrace{\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle}_{\equiv |1, \mathbf{k}; 1, -\mathbf{k}\rangle} + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \underbrace{\frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle}_{\equiv |2, \mathbf{k}; 2, -\mathbf{k}\rangle} + \dots \right\}
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 &= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \underbrace{\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle}_{\equiv |1, \mathbf{k}; 1, -\mathbf{k}\rangle} + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \underbrace{\frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle}_{\equiv |2, \mathbf{k}; 2, -\mathbf{k}\rangle} + \dots \right\}
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$$= \frac{1}{\cosh(r_k/2)} \sum_{n=0}^{\infty} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^n |n, \mathbf{k}; n, -\mathbf{k}\rangle$$

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 &= \left\{ 1 - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger + \frac{1}{2} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right] \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 + \dots \right\} |0\rangle \frac{1}{\cosh(r_k/2)} \\
 &= \frac{1}{\cosh(r_k/2)} \left\{ |0\rangle - e^{2i\phi_k} \tanh \left(\frac{r_k}{2} \right) \underbrace{\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger |0\rangle}_{\equiv |1, \mathbf{k}; 1, -\mathbf{k}\rangle} + \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^2 \underbrace{\frac{1}{2} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^2 |0\rangle}_{\equiv |2, \mathbf{k}; 2, -\mathbf{k}\rangle} + \dots \right\}
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$$= \frac{1}{\cosh(r_k/2)} \sum_{n=0}^{\infty} \left[- e^{2i\phi_k} \tanh^2 \left(\frac{r_k}{2} \right) \right]^n \underbrace{|n, \mathbf{k}; n, -\mathbf{k}\rangle}_{\equiv \frac{1}{n!} \left(\hat{a}_{-\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}}^\dagger \right)^n}$$

- Here, $|n, \mathbf{k}; n, -\mathbf{k}\rangle$ are the state with the number of particles with the momenta $\mathbf{k}$ and $-\mathbf{k}$ being both n
- That is, the squeezing operator $\hat{S}_{\mathbf{k}}$ is responsible for the creation of equal numbers of particles with momenta $\mathbf{k}$ and $-\mathbf{k}$
- The numbers of particles are very very large! (sum runs up to ∞)
- **Cosmological vacuum fluctuations are amplified**, or equivalently, as time goes on quantum fluctuations are created!
- This is another equivalent way of formulating generation of perturbations during inflation